Fill in this information to identify the case:

Debtor Apple Tree Life Sciences, Inc.

United States Bankruptcy Court for the: _____ District of Delaware (State)

Case number 25-12177

Modified Official Form 410
Proof of Claim

04/25

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) **that you received.**

Part 1: Identify the Claim

1. **Who is the current creditor?**

Brian Vash

Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor _____

2. **Has this claim been acquired from someone else?**

☑ No

☐ Yes. From whom? _____

3. **Where should notices and payments to the creditor be sent?**

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Where should notices to the creditor be sent?	**Where should payments to the creditor be sent?** (if different)
Brian Vash 1453 Odette Dr. Arlington, TX 76013	
Contact phone 617-281-6360	Contact phone _____
Contact email brian.vash@gmail.com	Contact email _____

Uniform claim identifier (if you use one):

_ _

4. **Does this claim amend one already filed?**

☑ No

☐ Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?**

☑ No

☐ Yes. Who made the earlier filing? _____

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Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. **Do you have any number you use to identify the debtor?**

☑ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ___ ___ ___ ___

7. **How much is the claim?** $ Undetermined. **Does this amount include interest or other charges?**

☑ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. **What is the basis of the claim?**

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

Employment contract

9. **Is all or part of the claim secured?**

☑ No

☐ Yes. The claim is secured by a lien on property.

Nature or property:

☐ Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe: _____

Basis for perfection: _____

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: $_____

Amount of the claim that is secured: $_____

Amount of the claim that is unsecured: $_____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: $_____

Annual Interest Rate (when case was filed)_____%

☐ Fixed

☐ Variable

10. **Is this claim based on a lease?**

☑ No

☐ Yes. **Amount necessary to cure any default as of the date of the petition.** $_____

11. **Is this claim subject to a right of setoff?**

☑ No

☐ Yes. Identify the property: _____

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12. **Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?**

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☑ No

☐ Yes. *Check all that apply:*

	Amount entitled to priority
☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	$_____
☐ Up to $3,800* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	$_____
☐ Wages, salaries, or commissions (up to $17,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	$_____
☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	$_____
☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	$_____
☐ Other. Specify subsection of 11 U.S.C. § 507(a)(__) that applies.	$_____

* Amounts are subject to adjustment on 4/01/28 and every 3 years after that for cases begun on or after the date of adjustment.

13. **Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9)?**

☑ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

$_____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(3) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☑ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 07/09/2026
MM / DD / YYYY

/s/Brian Vash
Signature

Print the name of the person who is completing and signing this claim:

Name: Brian Vash
First name Middle name Last name

Title: Entrepreneur-in-Residence

Company: Apple Tree Life Sciences
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

Contact phone _____ Email _____

25121772607090000000000006

Verita (KCC) ePOC Electronic Claim Filing Summary

For phone assistance: Domestic 866-927-7091 | International 310-751-2657

Debtor:
25-12177 - Apple Tree Life Sciences, Inc.
District:
District of Delaware

Creditor:
Brian Vash
1453 Odette Dr.
Arlington, TX, 76013
Phone:
617-281-6360
Phone 2:
Fax:
Email:
brian.vash@gmail.com

Has Supporting Documentation:
Yes, supporting documentation successfully uploaded
Related Document Statement:

Has Related Claim:
No
Related Claim Filed By:

Filing Party:
Creditor

Other Names Used with Debtor:

Amends Claim:
No
Acquired Claim:
No

Basis of Claim:
Employment contract

Last 4 Digits:
No

Uniform Claim Identifier:

Total Amount of Claim:
Undetermined

Includes Interest or Charges:
No

Has Priority Claim:
No

Priority Under:

Has Secured Claim:
No
Amount of 503(b)(9):
No
Based on Lease:
No
Subject to Right of Setoff:
No

Nature of Secured Amount:
Value of Property:
Annual Interest Rate:
Arrearage Amount:
Basis for Perfection:
Amount Unsecured:

Submitted By:
Brian Vash on 09-Jul-2026 12:20:25 p.m. Pacific Time
Title:
Entrepreneur-in-Residence
Company:
Apple Tree Life Sciences

VN: 3397CA598B872A4675971AB87F8B3905

EMPLOYMENT AGREEMENT

EMPLOYMENT AGREEMENT dated August 19, 2019, between Apple Tree Life Sciences, Inc. ("Employer") and Brian E. Vash ("Employee").

1. **EMPLOYMENT**. Employer hereby employs Employee, and Employee hereby accepts employment, upon the terms and subject to the conditions hereinafter set forth.

2. **JOB TITLES AND DUTIES**.

(a) **Title**. Employee shall be employed as an Entrepreneur-in-Residence of Employer and shall report to the Chief Executive Officer of Employer (the "CEO"). In addition, Employee shall hold the title of Entrepreneur-in-Residence with respect to the Apple Tree Partners organization. Employee shall carry out such duties, consistent with his status, as may be assigned to him from time to time by the CEO.

(b) **Duties**. Employee shall be responsible for developing investment opportunities in translational pharmaceuticals and biotechnology, including the establishment of protein engineering and production capabilities suitable for the incubation-stage discovery, design, manufacture and evaluation of potential antibody and protein therapeutics as directed by the CEO, and shall devote himself exclusively to the performance of his duties during normal working hours and at all other times which may be reasonably necessary for the proper performance of his duties.

(c) **Location**. Employee shall primarily carry out the duties set out above in Employer's Cambridge, Massachusetts office, and shall also spend such time in such other locations as may be reasonably necessary.

3. **TERM**. Employee's employment shall commence on September 3, 2019, or earlier as agreed in writing between Employer and Employee (the "Commencement Date"), and shall continue until it is terminated in accordance with the provisions of Section 6.

4. **COMPENSATION AND BENEFITS**. Until the termination of Employee's employment hereunder, in consideration for the services of Employee hereunder, Employer shall compensate Employee as follows:

(a) **Base Salary**. Employer shall pay Employee, in accordance with Employer's standard payroll practices as in effect from time to time, a base salary (the "Base Salary") at an annual rate of $[redacted].

(b) **Incentive Bonus**. Employee shall be eligible to receive an annual bonus with a target of 20% of the Base Salary. The amount of any such bonus shall be in the sole discretion of the CEO. If a bonus is awarded for any year, it shall be paid no later than March 31 of the following year. Employee must be employed by Employer on the date of payment in order to receive any bonus that has been awarded to him. Any bonus for the year ending December 31, 2019, shall be pro-rated based on the number of days in such year that Employee was employed by Employer.

(c) **Vacation**. Employee shall be entitled to 15 days of vacation each calendar year, which shall accrue ratably over the course of the year. Accrued vacation not taken in any calendar year may not be carried forward or used in any subsequent calendar year, except as may otherwise be required by law.

(d) **Other Benefits**. Employee shall be entitled to participate in all benefit programs that Employer establishes and makes available to its executives to the extent that Employee's position, tenure, salary, age, health and other qualifications make him eligible to participate. Such participation shall be subject to (i) the terms of the applicable plan documents, (ii) generally applicable Employer policies and (iii) the discretion of the board of directors of Employer or any administrative or other committee provided for in or contemplated by such plan.

5. **EXPENSES**. Employer shall reimburse Employee for all reasonable expenses of types authorized by Employer and incurred by Employee in the performance of his duties hereunder. Employee shall comply with such travel policies, budget limitations and approval and reporting requirements with respect to expenses as Employer may establish from time to time.

6. **TERMINATION**. Employee's employment hereunder shall terminate:

(a) **Death or Disability**. Upon the death of Employee or, at the option of Employer, in the event of Employee's disability. Employee shall be deemed disabled if Employee has for 30 consecutive days or 90 days in any 12-month period been disabled in a manner which interferes with his ability to perform his responsibilities under this Agreement.

(b) **For Cause**. For "Cause" immediately upon written notice by Employer to Employee. For purposes of this Agreement, a termination shall be for Cause if any one or more of the following has occurred:

(i) Employee has committed an act of fraud, misappropriation or breach of fiduciary duty against Employer or any of its affiliates (including, without limitation, Apple Tree Partners IV, L.P. or any of its direct or indirect portfolio companies); or

(ii) Employee has committed any crime, other than motor vehicle violations punishable solely by fine; or

(iii) Employee has been chronically late to or absent from work; or

(iv) Employee has refused, after written notice, to obey any lawful direction by the CEO which is consistent with Employee's duties hereunder; or

(v) Employee has breached any of the provisions or representations of this Agreement or the Confidentiality and Developments Agreement referred to in Section 7(c) below.

(c) **Without Cause**. Without Cause immediately upon written notice by Employer, subject to the terms set out in Section 6(f) below.

(d) **For Good Reason**. For Good Reason by Employee. "Good Reason" means the occurrence, without Employee's prior consent, of any of the events or circumstances set forth in clauses (i) through (iii) below; provided, however, that termination for Good Reason may only

occur if (x) Employee has given Employer written notice of termination indicating the existence of a condition giving rise to Good Reason and Employer has not cured such condition within 30 days after receipt of such notice, and (y) such notice of termination is given within 30 days after the initial occurrence of the condition giving rise to Good Reason and termination for Good Reason occurs within 75 days after such initial occurrence: (i) a material diminution in Employee's rate of Base Salary or incentive bonus opportunity; (ii) a material diminution in Employee's title; or (iii) a material breach by Employer of this Agreement.

(e) **Resignation**. Upon at least 30 days' written notice by Employee to Employer in circumstances that do not constitute Good Reason.

(f) **Rights and Remedies on Termination**.

(i) Except as provided in Section 6(f)(ii), upon termination of employment for any reason, Employer shall be obligated to pay Employee only: (A) the Base Salary payable to Employee through the last day of his actual employment, (B) any bonus for the immediately preceding fiscal year that is due and owed to Employee, (C) any unpaid expense reimbursements under Section 5, (D) the value of any unused vacation accrued to the date of termination of employment, and (E) as may otherwise be required by law (collectively, the "Accrued Benefits").

(ii) If Employee's employment hereunder is terminated by Employer pursuant to Section 6(c) (other than in a case where Employee has previously given notice of resignation), or by Employee pursuant to Section 6(d), then Employee shall be entitled to receive, in addition to the Accrued Benefits, as severance pay, payment of Employee's Base Salary in effect at the time of such termination for three months. Any such severance payment shall be conditioned upon execution by Employee of a general release in the form furnished by Employer; provided it is delivered to Employer within 21 days following the date it has been furnished to Employee.

7. **GENERAL**.

(a) **Captions**. Captions used in this Agreement are for reference only and shall not affect the interpretation or construction of this Agreement.

(b) **Notices**. All notices and other communications hereunder shall be in writing, and shall be deemed to have been duly given if delivered personally or if mailed by certified mail, return receipt requested, postage prepaid or sent by electronic mail or recognized delivery service, to the relevant address set forth below, or to such other address as the recipient of such notice or communication shall have specified to the other party hereto by notice given in accordance with this Section 7(b):

If to Employer, to:

Apple Tree Life Sciences, Inc.
Attn: Chief Executive Officer
230 Park Avenue, Suite 2800
New York, NY 10169
slh1@appletreepartners.com

If to Employee, to:

Brian E. Vash
[redacted]
brian.vash@gmail.com

(c) **Confidentiality and Developments Agreement**. Employee shall, promptly after the Commencement Date, execute and deliver Employer's standard form of Confidentiality and Developments Agreement.

(d) **Company Policies**. Employee shall adhere to all policies and procedures that may be adopted or implemented by Employer from time to time in its sole discretion, including but not limited to the (i) Portfolio Company Fees Policy, (ii) Insider Trading Policy, (iii) Travel and Expense Reimbursement Policy, (iv) Mobile Device Policy, (v) Family Leave Policy, (vi) Sexual Harassment Policy, and (vii) Electronic Communications Policy.

(e) **Severability**. If any provision of this Agreement is or becomes invalid, illegal or unenforceable in any respect under any law, the validity, legality and enforceability of the remaining provisions hereof shall not in any way be affected or impaired.

(f) **Waivers**. No delay or omission by either party hereto in exercising any right, power or privilege hereunder shall impair such right, power or privilege, nor shall any single or partial exercise of any such right, power or privilege preclude any further exercise thereof or the exercise of any other right, power or privilege.

(g) **Counterparts**. This Agreement may be executed in multiple counterparts, including by electronic (PDF) transmission, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

(h) **Assigns**. This Agreement shall be binding upon and inure to the benefit of the successors and assigns of Employer. Employee shall not have the right to assign Employee's rights or duties under this Agreement.

(i) **Entire Agreement**. This Agreement contains the entire understanding of the parties, supersedes all prior agreements and understandings relating to the subject matter hereof, and may not be amended except by a written instrument hereafter signed by each of the parties hereto.

(j) **Governing Law**. This Agreement and the performance hereof shall be construed and governed in accordance with the laws of the State of New York, without regard to the conflict of laws provisions thereof, and the parties hereto submit to the exclusive jurisdiction of the state and federal courts having jurisdiction within New York city.

(k) **Contract Construction**. Where the context of this Agreement so requires, use of masculine gender pronouns shall be deemed to mean or include the feminine or neuter gender, and vice versa, and use of the word "person" shall be deemed to mean or include individual persons,

entities, trusts and governmental bodies and agencies, and vice versa. Definitions in this Agreement apply equally to both the singular and the plural forms of the defined terms. It is the intent of the parties that this Agreement shall not be construed for or against either party by reason of the authorship or alleged authorship of any provisions hereof or by reason of the status of the respective parties.

IN WITNESS WHEREOF, and intending to be legally bound hereby, the parties hereto have caused this Agreement to be duly executed on the date and year first above written.

APPLE TREE LIFE SCIENCES, INC.

By: _____
Seth L. Harrison
President and Chief Executive Officer

EMPLOYEE:

Signature: _____
Brian E. Vash

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APPLE TREE LIFE SCIENCES, INC.

By: _____
Seth L. Harrison
President and Chief Executive Officer

EMPLOYEE:

Signature: _____
Brian E. Vash