Docket #1081 Date Filed: 10/15/2026

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IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF OREGON

PORTLAND DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT MANAGEMENT, LLC; ROBERT J. JESENIK; BRIAN A. OLIVER; and N. SCOTT GILLIS,

Defendants.

Case No. 3:16-CV-00438-JR

STATUS REPORT BY SECURITIES AND EXCHANGE COMMISSION

Plaintiff Securities and Exchange Commission (the "SEC"), respectfully submits the following status report pursuant to the Court's April 20, 2026 Order directing the SEC to "begin filing formal Status Reports in this matter every 90 days with the first report due by 7/20/2026." ECF No. 1067. The SEC has previously described the history of this case, including the final

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judgments the Court has entered against Defendants and the efforts of the Receiver and his successors to distribute funds to harmed investors. *See* ECF No. 1075 at 2-5.

On July 16, 2026, the Court ordered the disbursement of funds collected by the SEC in this matter to the Successor Trustee of the Aequitas Qualified Settlement Fund Irrevocable Trust ("QSF Trustee") for distribution. ECF No. 1077. The SEC is in the process of implementing the order and transferring the funds. The QSF Trustee has informed the staff that it anticipates that it will complete a final distribution by the second quarter of 2027.

Dated: October 15, 2026

Respectfully submitted,

/s/ *Michael K. Catoe*

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CERTIFICATE OF SERVICE

I, Michael K. Catoe, hereby certify that on October 15, 2026, I caused a true and correct copy of Plaintiff Securities and Exchange Commission's Status Report (filed October 15, 2026) to be served by U.S. Mail on the *pro se* Interested Party listed below:

Leslee R. Wiltjer
2650 Behling Rd.
Boyne City, MI 49712

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated: October 15, 2026

Respectfully submitted,

/s/ *Michael K. Catoe*

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