Fill in this information to identify the case:

Debtor Achaogen, Inc.

United States Bankruptcy Court for the: _____ District of Delaware (State)

Case number 19-10844

Official Form 410
Proof of Claim

04/19

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) **that you received.**

Part 1: Identify the Claim

1. **Who is the current creditor?**
   Donnelley Financial, LLC
   Name of the current creditor (the person or entity to be paid for this claim)
   Other names the creditor used with the debtor _____

2. **Has this claim been acquired from someone else?**
   ☑ No
   ☐ Yes. From whom? _____

3. **Where should notices and payments to the creditor be sent?**
   Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

   Where should notices to the creditor be sent?
   Donnelley Financial, LLC
   35 W Wacker
   Chicago, IL 60601, USA

   Contact phone 8555429011
   Contact email See summary page

   Where should payments to the creditor be sent? (if different)
   Contact phone _____
   Contact email _____

   Uniform claim identifier for electronic payments in chapter 13 (if you use one):
   _

4. **Does this claim amend one already filed?**
   ☑ No
   ☐ Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?**
   ☑ No
   ☐ Yes. Who made the earlier filing? _____

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Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. **Do you have any number you use to identify the debtor?**

☑ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ___ ___ ___ ___

7. **How much is the claim?** $ 1,038.89 . **Does this amount include interest or other charges?**

☑ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. **What is the basis of the claim?**

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

_____

9. **Is all or part of the claim secured?**

☑ No

☐ Yes. The claim is secured by a lien on property.

Nature or property:

☐ Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe: _____

Basis for perfection: _____

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: $_____

Amount of the claim that is secured: $_____

Amount of the claim that is unsecured: $_____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: $_____

Annual Interest Rate (when case was filed)_____%

☐ Fixed

☐ Variable

10. **Is this claim based on a lease?**

☑ No

☐ Yes. **Amount necessary to cure any default as of the date of the petition.** $_____

11. **Is this claim subject to a right of setoff?**

☑ No

☐ Yes. Identify the property: _____

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12. **Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?**

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☑ No

☐ Yes. *Check all that apply:*

	Amount entitled to priority
☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	$_____
☐ Up to $3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	$_____
☐ Wages, salaries, or commissions (up to $13,650*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	$_____
☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	$_____
☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	$_____
☐ Other. Specify subsection of 11 U.S.C. § 507(a)(__) that applies.	$_____

* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

13. **Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?**

☑ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

$_____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☑ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/29/2019
MM / DD / YYYY

/s/Andrew Sagen
Signature

Print the name of the person who is completing and signing this claim:

Name: Andrew Sagen
First name | Middle name | Last name

Title: AR Manager

Company: _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address: _____

Contact phone _____ Email _____

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KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 249-2747 | International (310) 751-2606

Debtor:
19-10844 - Achaogen, Inc.
District:
District of Delaware

Creditor:
Donnelley Financial, LLC
35 W Wacker
Chicago , IL, 60601
USA
Phone:
8555429011
Phone 2:
Fax:
Email:
accounts-receivable@dfinsolutions.com

Has Supporting Documentation:
Yes, supporting documentation successfully uploaded
Related Document Statement:

Has Related Claim:
No
Related Claim Filed By:

Filing Party:
Creditor

Other Names Used with Debtor:

Amends Claim:
No
Acquired Claim:
No

Basis of Claim:

Last 4 Digits:
No

Uniform Claim Identifier:

Total Amount of Claim:
1,038.89

Includes Interest or Charges:
No

Has Priority Claim:
No

Priority Under:

Has Secured Claim:
No
Amount of 503(b)(9):
No
Based on Lease:
No
Subject to Right of Setoff:
No

Nature of Secured Amount:
Value of Property:
Annual Interest Rate:
Arrearage Amount:
Basis for Perfection:
Amount Unsecured:

Submitted By:
Andrew Sagen on 29-Oct-2019 4:08:25 p.m. Eastern Time
Title:
AR Manager
Company:

VN: 51BA8DE03122FE93E5882E87BEEB17A1

Donnelley Financial Solutions
formerly an RR Donnelley company

Please make checks payable to Donnelley Financial Solutions
and include the invoice number on your check.
Remit with a copy of the invoice to :

Donnelley Financial, LLC
P.O. Box 842282
Boston MA 02284-2282

INVOICE

Sold to: ACHAOGEN INC
Attn: Davidson Tsai
1 TOWER PL STE # 300
SOUTH SAN FRANCISCO CA 94080

TT-COC-005939
TT-SFI/COC-000021
F.E.I.N. 13-2618477

INVOICE DATE	INVOICE NUMBER	PAYABLE DATE	TERMS	BY	PAGE
04/09/19	**1268707800**	04/19/19	Net 10 days from DOI	EB/wm	1 of 1

Achaogen, Inc.

ActiveDisclosure Three-Month Fees
Three-month fees for the period: April 4, 2019 - July 3, 2019
P.O. # 14068

ActiveDisclosure

1	ActiveDisclosure three-month fee	$8,500.00
3	Additional ActiveDisclosure license(s) (Full Access)	Included
	XBRL - Standard validation support package, per three-month period	Included

TOTAL THIS INVOICE **$8,500.00**

This invoice includes work that has not been completed as of the invoice date

If you need assistance, please call Errol Buxton at 650-845-6631

BMT-PEFC-1517

For information about ACH, Wire payment or W9 information please contact us at DFSEFTandW9Requests@dfsco.com

PAYMENT BY CHECK

Please record the invoice number on your check : **1268707800**

ACH/EFTS

Account #: 4645223816
Routing # 071921891
PNC Bank, N.A.
249 Fifth Avenue
Pittsburgh, PA 15222
Name on account : Donnelley Financial, LLC
Transmit in CTX format. If CTX is not possible, please send

OVERNIGHT DELIVERY

PNC Bank
c/o Donnelley Financial, LLC
Lockbox # 842282
20 Commerce Way, Ste 800
Woburn, MA 01801-1057
Please send remittance information

WIRE TRANSFER INFO

DONNELLEY FINANCIAL, LLC
PNC Bank, N.A.
249 Fifth Avenue
Pittsburgh, PA 15222
Account #: 4645223816
Route #: 041000124

If payment is not received by the due date on this invoice, we will charge you interest at the lesser of (i) eighteen percent (18%) per year, (ii) the interest rate stated in our contract with you or the maximum interest permitted in your jurisdiction. Seller represents that with respect to the production of all goods and services performed covered by this invoice, it has fully complied with Section 12a of the Fair Labor Standards Act of 1938 as amended.
Terms within the invoice are confidential and may not be shared with any party outside the paying entity.

Accounts Receivable <accounts-receivable@dfinsolutions.com>

DFIN Solutions - $7,461.11 Achaogen Inc

2 messages

Accounts Receivable <accounts-receivable@dfinsolutions.com>
To: churd@achaogen.com

Hello,

We received the payment below on 10/11/19 without remittance

Can you provide at your earliest convenience?

Thank you

$7,461.11 19284906419690 $7,461.11
ACH CREDIT RECEIVED - Cust ID: 12767 Desc: ACH_101019 Con
ACHAOGEN INC Comp ID: 7680533693 Batch Discr: 156009 SEC:
DONNELLEY FINANCIAL L Date: 10-11-19 Time: 05:52 AM Addend

Accounts-Receivable
DONNELLEY FINANCIAL SOLUTIONS

work: 855.542.9011
To pay by credit card call: 855.542.9011 option 1
email: accounts-receivable@DFINsolutions.com

35 W. Wacker Drive / Chicago, IL 60601

Caitlin Hurd <churd@achaogen.com> Tue, Oct 29, 2019 at 2:34 PM
To: Accounts Receivable <accounts-receivable@dfinsolutions.com>

Hello,

Thanks for reaching out. That payment relates to a partial payment for invoice 1268707800 for the period of April 15, 2019- July 3, 2019. The invoice is for the period of April 4, 2019 - July 3, 2019 but we are prohibited by Federal law to pay for services prior to April 15, 2019 as that is the day we entered bankruptcy. Any services prior to that must go through the bankruptcy claims process and can be filed at http://www.kccllc.net/Achaogen. Therefore, the remaining $1,038.89 must go through the bankruptcy court for payment.

Caitlin
[Quoted text hidden]
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Caitlin Hurd
Interim Controller
Achaogen, Inc. | www.achaogen.com
m: +1.913.634.0910 | CHurd@achaogen.com