

Debtor, please complete and return this form accordingly.

Your claim can be filed electronically on KCC's website at https://epoc.kcccllc.net/WalterEnergy. Your unique login information is:

B 10 Modified (Official Form 10) (04/13)

ID: 23022937

PIN: hZMtZKc5

| UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF ALABAMA | | PROOF OF CLAIM |
|---|---|---|
| Indicate Debtor against which you assert a claim by checking the appropriate box below. (Check only one Debtor per claim form.) | | |
| <input type="checkbox"/> Atlantic Development & Capital, LLC (Case No. 15-02747) <input type="checkbox"/> Maple Coal Co., LLC (Case No. 15-02764) <input type="checkbox"/> Walter Energy Holdings, LLC (Case No. 15-02758) <input type="checkbox"/> Atlantic Leaseco, LLC (Case No. 15-02773) <input type="checkbox"/> Sloss-Sheffield Steel & Iron Company (Case No. 15-02766) <input type="checkbox"/> Walter Energy, Inc. (Case No. 15-02741) <input type="checkbox"/> Blue Creek Coal Sales, Inc. (Case No. 15-02750) <input type="checkbox"/> SP Machine, Inc. (Case No. 15-02746) <input type="checkbox"/> Walter Exploration & Production LLC (Case No. 15-02757) <input type="checkbox"/> Blue Creek Energy, Inc. (Case No. 15-02752) <input type="checkbox"/> Taft Coal Sales & Associates, Inc. (Case No. 15-02751) <input type="checkbox"/> Walter Home Improvement, Inc. (Case No. 15-02760) <input type="checkbox"/> J.W. Walter, Inc. (Case No. 15-02755) <input type="checkbox"/> Tuscaloosa Resources, Inc. (Case No. 15-02753) <input type="checkbox"/> Walter Land Company (Case No. 15-02761) <input type="checkbox"/> Jefferson Warrior Railroad Company Inc. (Case No. 15-02759) <input type="checkbox"/> V Manufacturing Company (Case No. 15-02754) <input type="checkbox"/> Walter Minerals, Inc. (Case No. 15-02763) <input type="checkbox"/> Jim Walter Homes, LLC (Case No. 15-02762) <input type="checkbox"/> Walter Black Warrior Basin, LLC (Case No. 15-02756) <input type="checkbox"/> Walter Natural Gas, LLC (Case No. 15-02765) <input checked="" type="checkbox"/> Jim Walter Resources, Inc. (Case No. 15-02743) <input type="checkbox"/> Walter Coke, Inc. (Case No. 15-02744) | | |
| NOTE: This form should not be used to make a claim for an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) arising after the commencement of the case. A "request" for payment of an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) may be filed pursuant to 11 U.S.C. § 503. | | |
| Name of Creditor (the person or other entity to whom the debtor owes money or property): ALEXANDER, ROGER V. JWR | | <input type="checkbox"/> Check this box if this claim amends a previously filed claim. |
| Name and address where notices should be sent: Electronic Claim filing ID: 23022937 PIN:hZMtZKc5 NameID: 12222974 ALEXANDER, ROGER V. JWR Warren & Associates P.O. Box 2992 Jasper, AL 35502 | | Court Claim Number: _____ (If known) Filed on: _____ |
| Telephone number: _____ email: _____ | | <input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach copy of statement giving particulars. |
| Name and address where payment should be sent (if different from above): | | 5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount. <input type="checkbox"/> Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier - 11 U.S.C. §507 (a)(4). <input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5). <input type="checkbox"/> Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7). <input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8). <input type="checkbox"/> Other - Specify applicable paragraph of 11 U.S.C. §507 (a)(). |
| Telephone number: _____ email: _____ | | |
| 1. Amount of Claim as of Date Case Filed: \$ <u>26,157.40 with Medicals open</u> If all or part of the claim is secured, complete item 4. If all or part of the claim is entitled to priority, complete item 5. <input type="checkbox"/> Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges. | | Amount entitled to priority: \$ _____ * Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment |
| 2. Basis for Claim: <u>Worker's Compensation</u> (See instruction #2) | | |
| 3. Last four digits of any number by which creditor identifies debtor: _____ | 3a. Debtor may have scheduled account as: (See instruction #3a) _____ | |
| 3b. Uniform Claim Identifier (optional): (See instruction #3b) _____ | | |
| 4. Secured Claim (See instruction #4) Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ % <input type="checkbox"/> Fixed <input type="checkbox"/> Variable (when case was filed) Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____ | | |
| 6. Claim Pursuant to 11 U.S.C. § 503(b)(9): Indicate the amount of your claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim. \$ _____ (See instruction #6) | | |
| 7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim. (See instruction #7) | | |
| 8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed, and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #8, and the definition of "redacted".) DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain: | | |
| 9. Signature: (See instruction #9) Check the appropriate box. <input type="checkbox"/> I am the creditor. <input checked="" type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) | | |
| I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <u>John E. Warren III</u> Title: <u>Attorney</u> Company: <u>Warren & Associates LLC</u> (Signature) <u>[Signature]</u> (Date) <u>October 1, 2015</u> Address and telephone number (if different from notice address above): _____ | | |
| Telephone number: <u>205-221-1044</u> Email: <u>reesa@warrenandassociates.com</u> | | |

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

RECEIVED
OCT 05 2015

KURTZMAN CARSON CONSULTANTS



**WARREN &
ASSOCIATES**
ATTORNEYS AT LAW

JOHN E. WARREN, III

H.H. "CHIP" NATION, IV

105 19th Street East

Jasper, AL 35501

Direct Mail To:

P.O. Box 2992

Jasper, AL 35502

Website: warrenandassociates.com

Telephone (205) 221-1044

Toll Free 1-800-223-1644

Fax (205) 221-0311

October 1, 2015

Walter Energy Claims Processing
c/o KCC
2335 Alaska Avenue
El Segundo, CA 90245

Dear Sir/Madam:

Enclosed please find claim forms for the following workers' compensation cases pending in State Court:

1. Roger Alexander
2. Herman Cargill
3. Thomas Croft
4. Wallace Blevins
5. Richard Byars
6. James Dunn
7. Bobby Dyer
8. Jimmie Terrell Files
9. Roosevelt Ford
10. Jimmy Kimbrell
11. Robert Leggett
12. Ellis Long
13. Charles Main
14. Matthew Martin
15. James Mays
16. Rodney McGough
17. Ricky NeSmith
18. Cecil Price
19. Mary Scales
20. Richard Smith
21. Roger Stephenson

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October 1, 2015
Walter Energy Claims Processing
c/o KCC

22. William Gary Thomas
23. Jimmy Traweek
24. Chauncey Vickers
25. John Wallace
26. Alfred Williams
27. Warren & Associates, LLC, John E. Warren, III.

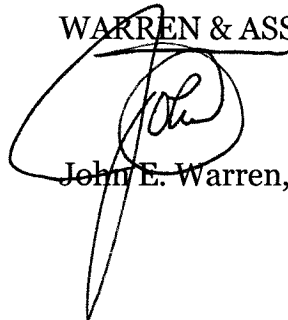
Also, attached are the claim forms for blasting cases pending in case State Court against Taft Coal Sales:

1. Tammy Burkhart
2. Deanna Caraway
3. Freida Gilbert
4. Phyllis Dement Guthrie
5. James Herr
6. Paulette Minor
7. Trey & Kasie Sargent
8. Anthony & Ellen Smith

Should you have any questions or concerns, please do not hesitate to contact me.

Very truly yours,

WARREN & ASSOCIATES, L.L.C.

A handwritten signature in black ink, appearing to read "John E. Warren, III", is written over a horizontal line. The signature is stylized and somewhat circular, with a long vertical stroke extending downwards from the bottom of the circle.

John E. Warren, III

JEW.III\tc
Enclosures



AlaFile E-Notice

63-CV-2013-900981.00

To: JOHN EDWARD WARREN III
treesa@warrenandassociates.com

NOTICE OF ELECTRONIC FILING

IN THE CIRCUIT COURT OF TUSCALOOSA COUNTY, ALABAMA

ROGER ALEXANDER V. JIM WALTER RESOURCES, INC.
63-CV-2013-900981.00

The following complaint was FILED on 8/12/2013 11:20:18 AM

Notice Date: 8/12/2013 11:20:18 AM

MAGARIA HAMNER BOBO
CIRCUIT COURT CLERK
TUSCALOOSA COUNTY, ALABAMA
714 GREENSBORO AVENUE
TUSCALOOSA, AL 35401

205-349-3870
magaria.bobo@alacourt.gov

IN THE CIRCUIT COURT OF TUSCALOOSA COUNTY, ALABAMA

ROGER ALEXANDER,

Plaintiff,

vs.

JIM WALTERS RESOURCES, INC.

Defendant.

CIVIL ACTION NO. CV 2013-_____

**COMPLAINT
(Worker's Compensation)**

The Plaintiff claims of the Defendant Worker's Compensation benefits to which he is entitled by reason of the laws in effect in the State of Alabama and alleges as follows:

1. That on and prior to February 17, 2013, Plaintiff was employed by the Defendant and both parties were subject to the workmen's compensation laws then and there in effect in the State of Alabama.

2. Plaintiff is over the age of 21 years and is a resident citizen of Walker County, Alabama, and has been for more than six months next preceding the filing of this Complaint.

3. That the Defendant is a corporation qualified to do business and doing business in the State of Alabama.

4. The Plaintiff avers that while employed by the Defendant and while working and acting within the line and scope of his employment, he suffered an injury which arose out of and in the course of said employment, and as a proximate result of said accident, Plaintiff suffered an injury as follows: while working at Jim Walters Resources and acting within the line and scope of his employment, he is suffering from hearing loss and as a proximate consequence, Plaintiff is disabled.

5. Plaintiff alleges that at the time of his injuries he was earning an hourly wage of approximately \$27.00 per hour plus fringe benefits, and the Plaintiff is married and his wife is dependent upon him for her support.

WHEREFORE, PREMISES CONSIDERED:

A. Plaintiff claims of the Defendant such benefits as he is entitled to under the Workman's Compensation Laws of Alabama.

B. Plaintiff prays that notice may be given to the Defendant and that a final hearing may be had, all in accordance with the laws and rules of this Honorable Court.

C. Plaintiff further prays for permission to secure the services of John E. Warren, III, attorney at law, of the firm Warren and Associates, L.L.C., 105 19th Street East, P.O. Box

2992, Jasper, Alabama 35502, to represent him in this cause.

WARREN & ASSOCIATES, L.L.C.
Attorneys for Plaintiff



John E. Warren, III
Post Office Box 2992
Jasper, AL 35502
(205) 221-1044

Serve Defendant:

Jim Walter Resources, Inc.
16243 Highway 216
Brookwood, AL 35444-3058

STATE OF ALABAMA)

COUNTY OF TUSCALOOSA)

Before me, the undersigned authority, a Notary Public in and for said County and State, personally appeared Roger Alexander, who is known to me and who, after being first duly sworn, deposes on oath and says that the allegations contained in the foregoing complaint are true and correct to the best of his information, knowledge and belief.

Roger Alexander
Roger Alexander

Sworn to and subscribed before me this 23rd day of July, 2013.

Notary Public: Megan Harrison

My Commission expires: 8-25-13