

UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF ALABAMA		PROOF OF CLAIM
Indicate Debtor against which you assert a claim by checking the appropriate box below. <u>(Check only one Debtor per claim form.)</u>		
<input type="checkbox"/> Atlantic Development & Capital, LLC (Case No. 15-02747) <input type="checkbox"/> Atlantic Leaseco, LLC (Case No. 15-02773) <input type="checkbox"/> Blue Creek Coal Sales, Inc. (Case No. 15-02750) <input type="checkbox"/> Blue Creek Energy, Inc. (Case No. 15-02752) <input type="checkbox"/> J.W. Walter, Inc. (Case No. 15-02755) <input type="checkbox"/> Jefferson Warrior Railroad Company Inc. (Case No. 15-02759) <input type="checkbox"/> Jim Walter Homes, LLC (Case No. 15-02762) <input type="checkbox"/> Jim Walter Resources, Inc. (Case No. 15-02743)	<input type="checkbox"/> Maple Coal Co., LLC (Case No. 15-02764) <input type="checkbox"/> Sloss-Sheffield Steel & Iron Company (Case No. 15-02766) <input type="checkbox"/> SP Machine, Inc. (Case No. 15-02746) <input type="checkbox"/> Taft Coal Sales & Associates, Inc. (Case No. 15-02751) <input type="checkbox"/> Tuscaloosa Resources, Inc. (Case No. 15-02753) <input type="checkbox"/> V Manufacturing Company (Case No. 15-02754) <input type="checkbox"/> Walter Black Warrior Basin, LLC (Case No. 15-02756) <input type="checkbox"/> Walter Coke, Inc. (Case No. 15-02744)	<input type="checkbox"/> Walter Energy Holdings, LLC (Case No. 15-02758) <input checked="" type="checkbox"/> <b>Walter Energy, Inc. (Case No. 15-02741)</b> <input type="checkbox"/> Walter Exploration & Production LLC (Case No. 15-02757) <input type="checkbox"/> Walter Home Improvement, Inc. (Case No. 15-02760) <input type="checkbox"/> Walter Land Company (Case No. 15-02761) <input type="checkbox"/> Walter Minerals, Inc. (Case No. 15-02763) <input type="checkbox"/> Walter Natural Gas, LLC (Case No. 15-02765)
NOTE: This form should not be used to make a claim for an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) arising after the commencement of the case. A "request" for payment of an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) may be filed pursuant to 11 U.S.C. § 503.		
Name of Creditor (the person or other entity to whom the debtor owes money or property): <b>ADOLF HAASEN</b>		<input type="checkbox"/> Check this box if this claim amends a previously filed claim.
Name and address where notices should be sent: <b>5957 N. HERMITAGE AVE CHICAGO IL 60660</b>		<b>Court Claim</b> Number: _____ (If known) Filed on: _____
Telephone number: <b>(773) 506-2317</b>	email: <b>ADOLF.HAASEN@GMAIL.COM</b>	<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach copy of statement giving particulars.
Name and address where payment should be sent (if different from above): <b>↑</b>		<b>5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.</b>
Telephone number: _____ email: _____		<input type="checkbox"/> Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).
1. Amount of Claim as of Date Case Filed: \$ <b>314,273.60</b> If all or part of the claim is secured, complete item 4. If all or part of the claim is entitled to priority, complete item 5. <input type="checkbox"/> Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.		<input type="checkbox"/> Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier - 11 U.S.C. §507 (a)(4).
2. Basis for Claim: <b>OWNERSHIP OF 50,000 SHARES OF WLT</b> (See instruction #2)		<input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).
3. Last four digits of any number by which creditor identifies debtor: <b>9953</b>	3a. Debtor may have scheduled account as: _____ (See instruction #3a)	<input type="checkbox"/> Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).
3b. Uniform Claim Identifier (optional): _____ (See instruction #3b)		<input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).
4. Secured Claim (See instruction #4) Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ % <input type="checkbox"/> Fixed <input type="checkbox"/> Variable (when case was filed) Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____		
6. Claim Pursuant to 11 U.S.C. § 503(b)(9): Indicate the amount of your claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim. \$ _____ (See instruction #6)		
7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim. (See instruction #7)		
8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed, and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #8, and the definition of "redacted".) DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:		
9. Signature: (See instruction #9) Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) <input type="checkbox"/> I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)		
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <b>ADOLF HAASEN</b> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ Telephone number: _____		* Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment
(Signature) <b>Adolf Haasen</b> (Date) <b>10/18/15</b>		<b>COURT USE ONLY</b> <b>RECEIVED</b> <b>OCT 26 2015</b>
Penalty for presenting fraudulent claim: Fine of up to \$500,000		<b>KURTZMAN CARSON CONSULTANTS</b>





charles SCHWAB

Individual Retirement Account of  
ADOLF HAASEN  
CHARLES SCHWAB & CO INC CUST  
IRA ROLLOVER

\*G00006820202\*

TOTAL  
\$ 314,273.60

Account Number  
3989-0735

Trade Confirmation

BOUGHT HALLIBURTON CO HLDG CO (Symbol: HAL)

Security No./CUSIP: 406216-10-1

Type: Cash Trade: 08/02/11 Settle: 08/05/11

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,000	53.88	53,880.00	Commission 8.95	53,888.95

For this security:

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

BOUGHT WALTER ENERGY INC (Symbol: WLT)

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 08/02/11 Settle: 08/05/11

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
500	118.67	59,335.00	Commission 8.95	59,343.95

For this security:

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

Please see "Terms and Conditions" for more information.

TRR DX WP  
L TRR <# 006552 0000526387 0004

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*charles SCHWAB*

Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT HALLIBURTON CO HLDG CO (Symbol: HAL)**

Security No./CUSIP: 406216-10-1

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,000	48.838	48,838.00	Commission 8.95	48,846.95

Type: Cash Trade: 08/04/11 Settle: 08/09/11

**For this security:**

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
100	94.01	9,401.00	Commission 1.79	9,402.79
200	94.01	18,802.00	Commission 3.58	18,805.58
200	94.009	18,801.80	Commission 3.58	18,805.38

Type: Cash Trade: 08/04/11 Settle: 08/09/11

500

\$ 47,004.80

\$8.95

**For this security:**

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

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*charles* SCHWAB

Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**SOLD TOLL BROTHERS INC (Symbol: TOL)**

Security No./CUSIP: 889478-10-3

Type: Cash Trade: 06/29/12 Settle: 07/05/12

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
2,000	29.461	58,922.00	Commission 8.95 Exch Process Fee 1.32	58,911.73
2,000		58,922.00		58,911.73

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Document for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Unless you have already instructed us differently, we will hold these proceeds in your account.
- Unsolicited trade
- Exch Process Fee: This fee offsets costs incurred by Schwab for the exchange of securities - including those relating to assessments on broker-dealers by an exchange or other SRO - for equity, option, or other covered security sell transactions and option security buy transactions.
- Schwab acted as your agent.

Internal Use Only: 2

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 06/29/12 Settle: 07/05/12

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
200	44.059	8,811.80	Commission 1.79	8,813.59
100	44.0562	4,405.62	Commission 0.90	4,406.52
700	44.054	30,837.80	Commission 6.26	30,844.06
1,000		44,065.22		44,065.22

Please see "Terms and Conditions" for more information.

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L TRR 09 005765 0000418959 0004

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\*G000042970202\*

**charles SCHWAB**

Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)	Type: Cash	Trade: 07/13/12	Settle: 07/18/12
1,000	37.03	37,030.00	Commission 8.95	37,038.95			

**For this security:**

- Unless you have already instructed us differently, we will hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

**SOLD SCHWAB VALUE ADVANTAGE MONEY FUND Reinv Divs/Reinv Cap Gns (Symbol: SWVXX)**

Security No./CUSIP: 808515-60-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)	Type: Cash	Trade: 07/13/12	Settle: 07/16/12
37,000	1.00	37,000.00	N/A	37,000.00			

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab acted as agent for the issuer of these securities.

Internal Use Only: 2

Please see "Terms and Conditions" for more information.

TRR DX WP  
L TRR <9 004297 0000354929 0003

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Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 02/27/13 Settle: 03/04/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,000	32.077	32,077.00	Commission 8.95	32,085.95

**For this security:**

- Unless you have already instructed us differently, we will hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

**SOLD SCHWAB VALUE ADVANTAGE MONEY FUND Reinv Divs/Reinv Cap Gns (Symbol: SWVXX)**

Security No./CUSIP: 808515-60-5

Type: Cash Trade: 02/27/13 Settle: 02/28/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
32,000	1.00	32,000.00	N/A	32,000.00

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab acted as agent for the issuer of these securities.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.

Internal Use Only: 2

*charles SCHWAB*

Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 03/18/13 Settle: 03/21/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
500	30.10	15,050.00	Commission 4.48	15,054.48
500	30.096	15,048.00	Commission 4.47	15,052.47
<b>1,000</b>		<b>\$ 30,098.00</b>	<b>\$ 8.95</b>	<b>\$ 30,106.95</b>

**For this security:**

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

**SOLD SCHWAB VALUE ADVANTAGE MONEY FUND Reinv Divs/Reinv Cap Gns (Symbol: SWVXX)**

Security No./CUSIP: 808515-60-5

Type: Cash Trade: 03/18/13 Settle: 03/19/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
22,200	1.00	22,200.00	N/A	22,200.00

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

Disclosures Continued on Next Page

*charles* SCHWAB

Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 06/25/13 Settle: 06/28/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
3,000	10.749	32,247.00	Commission 8.95	32,255.95

**For this security:**

- Unless you have already instructed us differently, we will hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2

**SOLD SCHWAB VALUE ADVANTAGE MONEY FUND Reinv Divs/Reinv Cap Gns (Symbol: SWVXX)**

Security No./CUSIP: 808515-60-5

Type: Cash Trade: 06/25/13 Settle: 06/26/13

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
32,300	1.00	32,300.00	N/A	32,300.00

**For this security:**

- The cost basis method requested was FIFO. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab acted as agent for the issuer of these securities.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.

Internal Use Only: 2





Individual Retirement Account of  
**ADOLF HAASEN**  
**CHARLES SCHWAB & CO INC CUST**  
**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 01/26/15 Settle: 01/29/15

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
20,000	0.99	19,800.00	Commission 8.95	19,808.95

**For this security:**

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Internal Use Only: 2



Individual Retirement Account of  
**ADOLF HAASEN**  
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**IRA ROLLOVER**

Account Number  
**3989-0735**

**Trade Confirmation**

**BOUGHT WALTER ENERGY INC (Symbol: WLT)**

Security No./CUSIP: 93317Q-10-5

Type: Cash Trade: 03/11/15 Settle: 03/18/15

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
17,400	0.5703	9,923.22	Commission 7.08	9,930.30
754	0.5702	429.93	Commission 0.31	430.24
2,800	0.5702	1,596.56	Commission 1.14	1,597.70
200	0.5701	114.02	Commission 0.08	114.10
846	0.5701	482.30	Commission 0.34	482.64
<b>22,000</b>		<b>\$ 12,546.03</b>	<b>\$8.95</b>	<b>\$ 12,554.98</b>

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