

UNITED STATES BANKRUPTCY COURT Northern District of Alabama

Name of Debtor:
Walter Energy, Inc.

Case Number:
15-02741

NOTE: This form should not be used to make a claim for an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) arising after the commencement of the case. A "request" for payment of an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) may be filed pursuant to 11 U.S.C § 503.

Name of Creditor (the person or other entity to whom the debtor owes money or property):
Ali and Karen M. Allahiari

Check this box if this claim amends a previously filed claim.

Name and address where notices should be sent:
**Ali and Karen M. Allahiari
2923 Marsh Hawk Drive
Waldorf, MD 20603, Charles**

Court Claim Number: _____
(If known)
Filed on: _____

Telephone number: **703-906-6774** email: **ali.allahiari@yahoo.com**

Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach copy of statement giving particulars.

Name and address where payment should be sent (if different from above):

5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.

Telephone number: _____ email: _____

1. Amount of Claim as of Date Case Filed: \$ 8443.54
If all or part of the claim is secured, complete item 4.
If all or part of the claim is entitled to priority, complete item 5.
 Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.

Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).

Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier - 11 U.S.C. §507 (a)(4).

2. Basis for Claim: Bought Walter Energy stock (See instruction #2)

Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).

3. Last four digits of any number by which creditor identifies debtor:
9953

3a. Debtor may have scheduled account as:

(See instruction #3a)

3b. Uniform Claim Identifier (optional):

(See instruction #3b)

Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).

4. Secured Claim (See instruction #4)
Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information.

Nature of property or right of setoff: Real Estate Motor Vehicle Other
Describe:
Value of Property: \$ _____ **Annual Interest Rate** _____ % Fixed Variable
(when case was filed)
Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ **Basis for perfection:** _____
Amount of Secured Claim: \$ _____ **Amount Unsecured:** \$ _____

Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).

Other - Specify applicable paragraph of 11 U.S.C. §507 (a)(_____).

6. Claim Pursuant to 11 U.S.C. § 503(b)(9): Indicate the amount of your claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim. \$ _____ (See instruction #6)

Amount entitled to priority:
\$ _____

7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim. (See instruction #7)

* Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.

8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed, and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #8, and the definition of "redacted".) DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:

9. Signature: (See instruction #9) Check the appropriate box.
 I am the creditor. I am the creditor's authorized agent. I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.
Print Name: Ali and Karen M. Allahiari
Title: Unemployed/Disables /s/Ali and Karen M. Allahiari 10/10/2015
Company: _____ (Signature) (Date)
Address and telephone number (if different from notice address above): _____
Telephone number: _____ Email: _____

Electronically Filed
10-Oct-2015
06:11:13 a.m.
Pacific Time
KCC
COURT USE ONLY



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (866) 967-0679 | International (310) 751-2679

Debtor: 15-02741 - Walter Energy, Inc.		
District: Northern District of Alabama, Birmingham Division		
Creditor: Ali and Karen M. Allahiari 2923 Marsh Hawk Drive Waldorf, MD, 20603 Charles Phone: 703-906-6774 Phone 2: 301-932-8136 Fax: Email: ali.allahiari@yahoo.com	Has Supporting Documentation: Yes, please mail physical supporting documentation	
	Related Document Statement:	
	Has Related Claim: No	
	Related Claim Statement:	
Filing Party:		
May Be Scheduled As:	Amends Claim: No	
Basis of Claim: Bought Walter Energy stock	Last 4 Digits: 9953	Uniform Claim Identifier:
Total Amount of Claim: 8443.54	Includes Interest or Charges: No	
Amount of Priority: No	Priority Under:	
Amount of Secured: No	Nature of Secured Amount:	
Amount of 503(b)(9):	Value of Property:	
	Annual Interest Rate:	
	Arrearage Amount:	
	Basis for Perfection:	
	Amount Unsecured:	
Submitted By: Ali and Karen M. Allahiari on 10-Oct-2015 6:11:13 a.m. Pacific Time		
Title: Unemployed/Disables		
Company:		

INVESTMENT SERVICES COMMITMENT

OCT 20 2015

RECEIVED

Account Number: ██████████ 5242



Online at: www.merrilledge.com

MR ALI ALLAHIARI AND
MRS KAREN M ALLAHIARI JTWROS
2923 MARSH HAWK DR
WALDORF MD 20603-3904

24-Hour Assistance: (877) 653-4732

Access Code: ██████████ 5242

Net Portfolio Value: ██████████

Your Merrill Lynch Office:
Merrill EDGE
FL9-802-03-05
P.O. BOX 40486
JACKSONVILLE, FL 32203

■ CMA®ACCOUNT

This account is enrolled in the Preferred Rewards Platinum Honors tier

September 01, 2015 - September 30, 2015

ASSETS	September 30	August 31
Cash/ Money Accounts	27.10	27.10
Fixed Income	-	-
Equities	3,397.78	3,896.44
Mutual Funds	-	-
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	3,424.88	3,923.54
TOTAL ASSETS	\$3,424.88	\$3,923.54
LIABILITIES		
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$3,424.88	\$3,923.54
MARGIN AVAILABLE CREDIT	1,050.00	

CASH FLOW	This Statement	Year to Date
Opening Cash/ Money Accounts	\$27.10	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
<i>Subtotal</i>	-	-
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	-
Visa Purchases (debits)	-	-
ATM/ Cash Advances	-	-
Checks Written/ Bill Payment	-	-
<i>Subtotal</i>	-	-
Net Cash Flow	-	-
Dividends/ Interest Income	5.43	23.48
Dividend Reinvestments	(5.43)	(22.42)
Security Purchases/ Debits	-	(11,905.61)
Security Sales/ Credits	-	11,458.28
Closing Cash/ Money Accounts	\$27.10	
Securities You Transferred In/ Out	-	-

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YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

CASH/ MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	0.10	0.10		.10		
+ML DIRECT DEPOSIT PROGRAM + FDIC INSURED NOT SIPC COVERED	27.00	27.00	1.0000	27.00		.01
TOTAL		27.10		27.10		

EQUITIES		Unit	Total	Estimated	Estimated	Unrealized	Estimated Current
Description	Symbol Acquired	Quantity	Cost Basis	Market Price	Market Value	Gain/ (Loss)	Annual Income Yield%
BARRACUDA NETWORKS INC	CJDA 07/15/15	12	30.7700	369.24	15.5800	186.96	(182.28)
CLIFFS NATURAL RESOURCES INC	CLF 02/24/13	10	29.1750	291.75	2.4400	24.40	(267.35)
	02/25/13	10	28.5250	285.25	2.4400	24.40	(260.85)
	03/02/13	10	27.9450	279.45	2.4400	24.40	(255.05)
	03/02/13	25	27.9448	698.62	2.4400	61.00	(637.62)
	11/12/13	45	26.3688	1,186.60	2.4400	109.80	(1,076.80)
<i>Subtotal</i>		<i>100</i>		<i>2,741.67</i>		<i>244.00</i>	<i>(2,497.67)</i>
ENERGY XXI LTD SHARES	EXX 03/04/15	100	4.4762	447.62	1.0500	105.00	(342.62)
LINN ENERGY LLC PARTNER	LINE 07/05/13	10	22.0100	220.10	2.6900	26.90	(193.20)
	12/17/14	1	28.1500	28.15	2.6900	2.69	(25.46)
	03/30/15	8	11.2100	89.68	2.6900	21.52	(68.16)
	06/18/15	1	11.6300	11.63	2.6900	2.69	(8.94)
	07/15/15	30	7.9900	239.70	2.6900	80.70	(159.00)
	08/19/15	1	4.2300	4.23	2.6900	2.69	(1.54)
	08/19/15	1	2.8300	2.83	2.6900	2.69	(0.14)
	09/17/15	1	3.3500	3.35	2.6900	2.69	(0.66)
(.7744 FRACTIONAL SHARE)	09/17/15		3.2412	2.51	2.6900	2.08	(0.43)
<i>Subtotal</i>		<i>53.7744</i>		<i>602.18</i>		<i>144.65</i>	<i>(457.53)</i>
TWITTER INC	TWTR 05/05/15	100	37.5650	3,756.50	26.9400	2,694.00	(1,062.50)

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2 of 7

MR ALI ALLAHIARI AND

Account Number: ██████████5242

YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/ (Loss)	Estimated Current Annual Income	Yield%
WALTER ENERGY INC	WLTGQ	02/26/13	10	31.4500	314.50	0.0798	.80	(313.70)		
		03/14/13	15	28.6500	429.75	0.0798	1.20	(428.55)		
		03/21/13	25	28.4000	710.00	0.0798	2.00	(708.00)		
		04/19/13	50	18.0000	900.00	0.0798	3.99	(896.01)		
		06/24/13	10	11.0000	110.00	0.0798	.80	(109.20)		
		07/25/13	10	11.1800	111.80	0.0798	.80	(111.00)		
		08/13/13	10	12.7000	127.00	0.0798	.80	(126.20)		
		09/04/13	20	13.6300	272.60	0.0798	1.60	(271.00)		
		09/12/13	15	14.7400	221.10	0.0798	1.20	(219.90)		
		03/10/14	125	9.9100	1,238.75	0.0798	9.98	(1,228.77)		
<i>Subtotal</i>			290		4,435.50		23.17	(4,412.33)		
TOTAL					12,352.71		3,397.78	(8,954.93)		

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
CLIFFS NATURAL RESOURCES	CLF	Underperform (C39)	No Coverage	Sell
TWITTER INC	TWTR	Neutral (C29)	Hold	Buy
WALTER ENERGY INC	WLT	N/A	No Coverage	No Coverage

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

LONG PORTFOLIO

	Adjusted/ Total Cost Basis	Estimated Market Value	Unrealized Gain/ (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	12,379.81	3,424.88	(8,954.93)			

Notes

◆ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

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Online at: www.merrilledge.com

Account Number: [REDACTED] 1715

24-Hour Assistance: (877) 653-4732

Access Code: [REDACTED] 1715

MR ALI ALLAHIARI AND
MRS KAREN M ALLAHIARI JTWR0S
2923 MARSH HAWK DR
WALDORF MD 20603-3904

Net Portfolio Value: [REDACTED]

Your Merrill Lynch Office:

Merrill EDGE
FL9-802-03-05
P.O. BOX 40486
JACKSONVILLE, FL 32203

CMA®ACCOUNT

This account is enrolled in the Preferred Rewards Platinum Honors tier

September 01, 2015 - September 30, 2015

ASSETS	September 30	August 31
Cash/ Money Accounts	33.40	377.60
Fixed Income	-	-
Equities	10,121.98	10,367.45
Mutual Funds	433.50	166.00
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	10,588.88	10,911.05
TOTAL ASSETS	\$10,588.88	\$10,911.05
LIABILITIES		
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$10,588.88	\$10,911.05

CASH FLOW	This Statement	Year to Date
Opening Cash/ Money Accounts	\$377.60	
CREDITS		
Funds Received	-	-
Electronic Transfers	1,400.00	2,400.00
Other Credits	-	-
<i>Subtotal</i>	1,400.00	2,400.00
DEBITS		
Electronic Transfers	-	(3,000.00)
Margin Interest Charged	-	-
Other Debits	-	(3.98)
Visa Purchases (debits)	-	-
ATM/ Cash Advances	-	-
Checks Written/ Bill Payment	-	-
<i>Subtotal</i>	-	(3,003.98)
Net Cash Flow	\$1,400.00	(\$603.98)
Dividends/ Interest Income	-	62.50
Security Purchases/ Debits	(1,744.20)	(6,216.17)
Security Sales/ Credits	-	6,742.11
Closing Cash/ Money Accounts	\$33.40	
Securities You Transferred In/ Out	-	-

Merrill Edge is the marketing name for two businesses: Merrill Edge Advisory Center, which offers team-based advice and guidance brokerage services; and a self-directed online investing platform. Both are made available through Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

CASH/ MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description							
CASH		0.40	0.40		.40		
+ML DIRECT DEPOSIT PROGRAM		33.00	33.00	1.0000	33.00		.01
+FDIC INSURED NOT SIPC COVERED							
TOTAL			33.40		33.40		

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Est. Annual Yield%
Description											
AEROPOSTALE INC		ARO	11/20/13	100	10.0100	1,001.00	0.6200	62.00	(939.00)		
ALIBABA GROUP HOLDING LT		BABA	08/17/15	10	74.9300	749.30	58.9700	589.70	(159.60)		
CLIFFS NATURAL RESOURCES INC		CLF	01/09/14	125	23.0056	2,875.70	2.4400	305.00	(2,570.70)		
FIREEYE INC		FEYE	03/24/14	50	64.8990	3,244.95	31.8200	1,591.00	(1,653.95)		
			04/03/14	50	57.1200	2,856.00	31.8200	1,591.00	(1,265.00)		
			08/06/14	30	31.8600	955.80	31.8200	954.60	(1.20)		
			<i>Subtotal</i>	130		7,056.75		4,136.60	(2,920.15)		
MOLYCORP INC DEL		MCPIQ	06/04/14	240	2.6899	645.58	0.0726	17.42	(628.16)		
POTBELLY CORP		PBPB	02/20/14	102	19.3699	1,975.73	11.0100	1,123.02	(852.71)		
SINA CORPORATION		SINA	07/10/15	18	45.9100	826.38	40.1200	722.16	(104.22)		
			08/04/15	2	40.4200	80.84	40.1200	80.24	(0.60)		
			<i>Subtotal</i>	20		907.22		802.40	(104.82)		
THREE D SYSTEMS NEW		DDD	07/22/14	25	53.9600	1,349.00	11.5500	288.75	(1,060.25)		
			08/01/14	50	48.3500	2,417.50	11.5500	577.50	(1,840.00)		
			<i>Subtotal</i>	75		3,766.50		866.25	(2,900.25)		
VOXELJET AG SHS ADR		VJET	01/14/14	100	41.8700	4,187.00	4.5200	452.00	(3,735.00)		
			05/30/14	100	14.1899	1,418.99	4.5200	452.00	(966.99)		
			<i>Subtotal</i>	200		5,605.99		904.00	(4,701.99)		

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MR ALI ALLAHIARI AND

Account Number: ██████████ 1715

YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

EQUITIES (continued)				Unit	Total	Estimated	Estimated	Unrealized	Estimated Current	
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/ (Loss)	Annual Income	Yield%
WALTER ENERGY INC	WLTGQ	11/20/13	100	16.0000	1,600.00	0.0798	7.98	(1,592.02)		
		02/20/14	100	10.9750	1,097.50	0.0798	7.98	(1,089.52)		
		11/25/14	21	3.3000	69.30	0.0798	1.68	(67.62)		
Subtotal			221		2,766.80		17.64	(2,749.16)		
WHITING PETROLEUM CORP	WLL	09/09/15	85	16.9198	1,438.19	15.2700	1,297.95	(140.24)		
TOTAL					28,788.76		10,121.98	(18,666.78)		

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
ALIBABA GROUP HOLDING LT	BABA	Buy (C19)	Buy	Buy
AEROPOSTALE INC	ARO	Underperform (C39)	No Coverage	Hold
CLIFFS NATURAL RESOURCES	CLF	Underperform (C39)	No Coverage	Sell
FIREEYE INC	FEYE	Buy (C19)	Hold	No Coverage
SINA CORPORATION	SINA	Buy (C19)	Hold	No Coverage
THREE D SYSTEMS NEW	DDD	Underperform (C39)	Buy	Hold
WALTER ENERGY INC	WLT	N/A	No Coverage	No Coverage
WHITING PETROLEUM CORP	WLL	Neutral (C29)	Buy	No Coverage

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/ CLOSED END FUNDS/ UIT			Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated	
Description	Quantity		Cost Basis	Market Price	Market Value	Gain/ (Loss)	Investment	Investment	Annual Current	
								Return (\$)	Income	Yield%
DIREXION DAILY GOLD	150		818.51	2.8900	433.50	(385.01)	818	(385)		
MINERS INDX BULL 3X SHARES										
SYMBOL: NUGT Initial Purchase: 06/04/15										
Alternative Investments 100%										
Subtotal (Alternative Investments)					433.50					

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Online at: www.merrilledge.com

Account Number: ██████████3838

24-Hour Assistance: (877) 653-4732

Access Code: ██████████3838

MR ALI ALLAHYARI AND
MRS KAREN M ALLAHYARI JTWCOS
2923 MARSH HAWK DR
WALDORF MD 20603-3904

Net Portfolio Value: ██████████

Your Merrill Lynch Office:
Merrill EDGE
FL9-802-03-05
P.O. BOX 40486
JACKSONVILLE, FL 32203

CMA®ACCOUNT

This account is enrolled in the Preferred Rewards Platinum Honors tier

September 01, 2015 - September 30, 2015

ASSETS	September 30	August 31
Cash/ Money Accounts	30.22	9.52
Fixed Income	-	-
Equities	13,432.34	14,764.92
Mutual Funds	578.00	664.00
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	14,040.56	15,438.44
TOTAL ASSETS	\$14,040.56	\$15,438.44
LIABILITIES		
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$14,040.56	\$15,438.44

CASH FLOW	This Statement	Year to Date
Opening Cash/ Money Accounts	\$9.52	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	4,000.00
Other Credits	-	-
<i>Subtotal</i>	-	4,000.00
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(0.30)	(9.99)
Visa Purchases (debits)	-	-
ATM/ Cash Advances	-	-
Checks Written/ Bill Payment	-	-
<i>Subtotal</i>	(0.30)	(9.99)
Net Cash Flow	(\$0.30)	\$3,990.01
Dividends/ Interest Income	46.65	207.02
Dividend Reinvestments	(25.65)	(25.65)
Security Purchases/ Debits	-	(20,817.26)
Security Sales/ Credits	-	13,852.36
Closing Cash/ Money Accounts	\$30.22	
Securities You Transferred In/ Out	-	-

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YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

CASH/ MONEY ACCOUNTS			Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity		Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	0.22		0.22		.22		
+ML DIRECT DEPOSIT PROGRAM	30.00		30.00	1.0000	30.00		.01
+FDIC INSURED NOT SIPC COVERED							
TOTAL			30.22		30.22		

EQUITIES			Unit	Total	Estimated	Estimated	Unrealized	Estimated Current	
Description	Symbol Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%
AEROPOSTALE INC	APO 05/22/14	100	4.4476	444.76	0.6200	62.00	(382.76)		
ALIBABA GROUP HOLDING LT	BABA 02/23/15	5	85.6700	428.35	58.9700	294.85	(133.50)		
	02/25/15	5	86.0000	430.00	58.9700	294.85	(135.15)		
	03/04/15	2	82.5600	165.12	58.9700	117.94	(47.18)		
	03/11/15	3	81.6600	244.98	58.9700	176.91	(68.07)		
	04/10/15	5	84.9900	424.95	58.9700	294.85	(130.10)		
<i>Subtotal</i>		20		1,693.40		1,179.40	(514.00)		
CHESAPEAKE ENERGY OKLA	CHK 06/12/15	100	12.2399	1,223.99	7.3300	733.00	(490.99)	35	4.77
	07/27/15	10	8.4880	84.88	7.3300	73.30	(11.58)	4	4.77
	07/31/15	12	8.8900	106.68	7.3300	87.96	(18.72)	5	4.77
<i>Subtotal</i>		122		1,415.55		894.26	(521.29)	44	4.77
DU PONT E I DE NEMOURS	DD 05/14/15	25	67.3692	1,684.23	48.2000	1,205.00	(479.23)	38	3.15
	05/14/15	25	66.6364	1,665.91	48.2000	1,205.00	(460.91)	38	3.15
<i>Subtotal</i>		50		3,350.14		2,410.00	(940.14)	76	3.15
EXONE (THE) CO SHS	XONE 07/02/14	25	43.7000	1,092.50	6.7100	167.75	(924.75)		
	08/05/14	25	31.0200	775.50	6.7100	167.75	(607.75)		
<i>Subtotal</i>		50		1,868.00		335.50	(1,532.50)		
GOLDCORP INC	GG 05/26/15	100	17.8500	1,785.00	12.5200	1,252.00	(533.00)	24	1.91

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MR ALI ALLAHIARI AND

Account Number: ██████████838

YOUR CMA ASSETS

September 01, 2015 - September 30, 2015

EQUITIES (continued) <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/ (Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Yield%</i>
MICHAEL KORS HLDGS LTD SHS	KORS	06/03/15	50	48.7100	2,435.50	42.2400	2,112.00	(323.50)		
NQ MOBILE INC ADR REPSTG CL A	NQ	04/28/14	201	11.9800	2,407.98	3.3100	665.31	(1,742.67)		
ROCKET FUEL INC	FUEL	03/25/15	100	9.6838	968.38	4.6700	467.00	(501.38)		
SANDISK CORP INC	SNDK	04/09/15	25	70.8100	1,770.25	54.3300	1,358.25	(412.00)	30	2.20
TRANSOCEAN LTD	RIG	08/05/14	70	38.0500	2,663.50	12.9200	904.40	(1,759.10)	42	4.64
		09/12/14	101	35.8600	3,621.86	12.9200	1,304.92	(2,316.94)	61	4.64
		09/25/15	1	13.0900	13.09	12.9200	12.92	(0.17)	1	4.64
(.9595 FRACTIONAL SHARE)		09/25/15		13.0901	12.56	12.9200	12.40	(0.16)	1	4.64
<i>Subtotal</i>			172.9595		6,311.01		2,234.64	(4,076.37)	105	4.64
VOXELJET AG SHS ADR	VJET	03/10/14	100	32.6032	3,260.32	4.5200	452.00	(2,808.32)		
WALTER ENERGY INC	WLTGQ	02/25/14	125	9.9299	1,241.24	0.0798	9.98	(1,231.26)		
TOTAL					28,951.53		13,432.34	(15,519.19)	279	2.08

RESEARCH RATINGS

<i>Security</i>	<i>Symbol</i>	<i>BofAML Research</i>	<i>Morningstar</i>	<i>S&P</i>
ALIBABA GROUP HOLDING LT	BABA	Buy (C19)	Buy	Buy
AEROPOSTALE INC	ARO	Underperform (C39)	No Coverage	Hold
CHESAPEAKE ENERGY OKLA	CHK	Underperform (C39)	Buy	Buy
DU PONT E I DE NEMOURS	DD	Underperform (B37)	Buy	Hold
GOLDCORP INC	GG	Buy (C18)	Buy	No Coverage
MICHAEL KORS HLDGS LTD	KORS	Neutral (B29)	No Coverage	Buy
SANDISK CORP INC	SNDK	Underperform (B38)	Hold	Buy
TRANSOCEAN LTD	RIG	N/A	Under Review	Hold
WALTER ENERGY INC	WLT	N/A	No Coverage	No Coverage

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

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