

UNITED STATES BANKRUPTCY COURT Northern District of Alabama

Name of Debtor:
Walter Energy, Inc.

Case Number:
15-02741

NOTE: This form should not be used to make a claim for an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) arising after the commencement of the case. A "request" for payment of an administrative expense (other than a claim asserted under 11 U.S.C. § 503(b)(9)) may be filed pursuant to 11 U.S.C § 503.

Name of Creditor (the person or other entity to whom the debtor owes money or property):
Ali Allahiari

Check this box if this claim amends a previously filed claim.

Name and address where notices should be sent:
Ali Allahiari
2923 Marsh Hawk Drive
Waldorf, MD 20603, Charles

Court Claim Number: _____
(If known)
Filed on: _____

Telephone number: 703-906-6774 email: ali.allahiari@yahoo.com

Check this box if you are aware that anyone else has filed a proof of claim relating to this claim. Attach copy of statement giving particulars.

Name and address where payment should be sent (if different from above):

Telephone number: _____ email: _____

5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any part of the claim falls into one of the following categories, check the box specifying the priority and state the amount.

1. Amount of Claim as of Date Case Filed: \$ 9389.39
If all or part of the claim is secured, complete item 4.
If all or part of the claim is entitled to priority, complete item 5.
 Check this box if the claim includes interest or other charges in addition to the principal amount of the claim. Attach a statement that itemizes interest or charges.

Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).
 Wages, salaries, or commissions (up to \$12,475*) earned within 180 days before the case was filed or the debtor's business ceased, whichever is earlier - 11 U.S.C. §507 (a)(4).

2. Basis for Claim: Bought Walter Energy stock (See instruction #2)

3. Last four digits of any number by which creditor identifies debtor:
9953

3a. Debtor may have scheduled account as:
(See instruction #3a)

3b. Uniform Claim Identifier (optional):
(See instruction #3b)

Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).

4. Secured Claim (See instruction #4)
Check the appropriate box if the claim is secured by a lien on property or a right of setoff, attach required redacted documents, and provide the requested information.
Nature of property or right of setoff: Real Estate Motor Vehicle Other
Describe:
Value of Property: \$ _____ Annual Interest Rate _____ % Fixed Variable
(when case was filed)
Amount of arrearage and other charges, as of the time case was filed, included in secured claim, if any: \$ _____ Basis for perfection: _____
Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____

Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).
 Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).
 Other - Specify applicable paragraph of 11 U.S.C. §507 (a)(_____).

6. Claim Pursuant to 11 U.S.C. § 503(b)(9): Indicate the amount of your claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim. \$ _____ (See instruction #6)

Amount entitled to priority: \$ _____

7. Credits. The amount of all payments on this claim has been credited for the purpose of making this proof of claim. (See instruction #7)

8. Documents: Attached are redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, security agreements, or, in the case of a claim based on an open-end or revolving consumer credit agreement, a statement providing the information required by FRBP 3001(c)(3)(A). If the claim is secured, box 4 has been completed, and redacted copies of documents providing evidence of perfection of a security interest are attached. If the claim is secured by the debtor's principal residence, the Mortgage Proof of Claim Attachment is being filed with this claim. (See instruction #8, and the definition of "redacted".) DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:

* Amounts are subject to adjustment on 4/01/16 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.

9. Signature: (See instruction #9) Check the appropriate box.
 I am the creditor. I am the creditor's authorized agent. I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) I am a guarantor, surety, indorser, or other codebtor. (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.
Print Name: Ali Allahiari /s/Ali Allahiari 10/10/2015
Title: Unemployed (Signature) (Date)
Company: _____ (Signature) (Date)
Address and telephone number (if different from notice address above):
Telephone number: _____ Email: _____

Electronically Filed
10-Oct-2015
05:23:56 a.m.
Pacific Time
KCC
COURT USE ONLY



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (866) 967-0679 | International (310) 751-2679

Debtor: 15-02741 - Walter Energy, Inc.		
District: Northern District of Alabama, Birmingham Division		
Creditor: Ali Allahiari 2923 Marsh Hawk Drive Waldorf, MD, 20603 Charles Phone: 703-906-6774 Phone 2: 301-932-8136 Fax: Email: ali.allahiari@yahoo.com	Has Supporting Documentation: Yes, please mail physical supporting documentation	
	Related Document Statement:	
	Has Related Claim: No	
	Related Claim Statement:	
	Filing Party: Creditor	
May Be Scheduled As:	Amends Claim: No	
Basis of Claim: Bought Walter Energy stock	Last 4 Digits: 9953	Uniform Claim Identifier:
Total Amount of Claim: 9389.39	Includes Interest or Charges: No	
Amount of Priority: No	Priority Under:	
Amount of Secured: No	Nature of Secured Amount:	
Amount of 503(b)(9):	Value of Property:	
	Annual Interest Rate:	
	Arrearage Amount:	
	Basis for Perfection:	
	Amount Unsecured:	
Submitted By: Ali Allahiari on 10-Oct-2015 5:23:56 a.m. Pacific Time		
Title: Unemployed		
Company:		

Online at: www.merrilledge.com

Account Number: [REDACTED]1F94

24-Hour Assistance: (877) 653-4732

Access Code: [REDACTED]1394

MLPF& S CUST FPO
MR ALI ALLAHYARI IRRRA
FBO MR ALI ALLAHYARI
2923 MARSH HAWK DR
WALDORF MD 20603-3904

Net Portfolio Value: [REDACTED]

Your Merrill Lynch Office:

Merrill EDGE
FL9-802-03-05
P.O. BOX 40486
JACKSONVILLE, FL 32203

RETIREMENT ACCOUNT

This account is enrolled in the Preferred Rewards Platinum Honors tier

July 01, 2015 - September 30, 2015

ASSETS	September 30	June 30
Cash/ Money Accounts	884.93	18,908.68
Fixed Income	-	-
Equities	80,467.45	86,686.09
Mutual Funds	2,326.05	2,154.10
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	83,678.43	107,748.87
TOTAL ASSETS	\$83,678.43	\$107,748.87
LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$83,678.43	\$107,748.87

CASH FLOW	This Statement	Year to Date
Opening Cash/ Money Accounts	\$18,908.68	
CREDITS		
Funds Received	750.00	750.00
Electronic Transfers	-	-
Other Credits	-	-
<i>Subtotal</i>	750.00	750.00
DEBITS		
Electronic Transfers	-	-
Other Debits	(4.33)	(12.95)
<i>Subtotal</i>	(4.33)	(12.95)
Net Cash Flow	\$745.67	\$737.05
Dividends/ Interest Income	343.67	867.04
Dividend Reinvestments	(229.59)	(326.86)
Security Purchases/ Debits	(32,058.64)	(98,599.97)
Security Sales/ Credits	13,175.14	72,373.09
Closing Cash/ Money Accounts	\$884.93	
Securities You Transferred In/ Out	-	-

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YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)				Unit	Total	Estimated	Estimated	Unrealized	Estimated Current	
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%
TRANSOCEAN LTD	RIG	08/22/14	101	38.0600	3,844.06	12.9200	1,304.92	(2,539.14)	61	4.64
		12/08/14	10	17.6300	176.30	12.9200	129.20	(47.10)	6	4.64
		02/23/15	1	16.1000	16.10	12.9200	12.92	(3.18)	1	4.64
		06/12/15	100	17.7162	1,771.62	12.9200	1,292.00	(479.62)	60	4.64
		08/04/15	3	12.3800	37.14	12.9200	38.76	1.62	2	4.64
		08/06/15	1	13.4600	13.46	12.9200	12.92	(0.54)	1	4.64
		08/11/15	1	14.1800	14.18	12.9200	12.92	(1.26)	1	4.64
		08/17/15	13	13.6500	177.45	12.9200	167.96	(9.49)	8	4.64
		08/19/15	1	13.6700	13.67	12.9200	12.92	(0.75)	1	4.64
		09/25/15	2	13.0900	26.18	12.9200	25.84	(0.34)	2	4.64
(.6471 FRACTIONAL SHARE)		09/25/15		13.0891	8.47	12.9200	8.36	(0.11)	1	4.64
<i>Subtotal</i>			233.6471		6,098.63		3,018.72	(3,079.91)	144	4.64
TWITTER INC	TWTR	05/20/15	101	36.8199	3,718.81	26.9400	2,720.94	(997.87)		
VALE SA	VALE	08/08/13	50	14.2598	712.99	4.2000	210.00	(502.99)	9	4.26
		09/05/13	50	15.5300	776.50	4.2000	210.00	(566.50)	9	4.26
		06/30/15	100	5.8899	588.99	4.2000	420.00	(168.99)	18	4.26
<i>Subtotal</i>			200		2,078.48		840.00	(1,238.48)	36	4.26
VOXELJET AG SHS ADR	VJET	02/18/14	101	34.3200	3,466.32	4.5200	456.52	(3,009.80)		
		04/09/14	101	21.3800	2,159.38	4.5200	456.52	(1,702.86)		
<i>Subtotal</i>			202		5,625.70		913.04	(4,712.66)		
WALTER ENERGY INC	WLTGQ	02/20/13	10	37.7500	377.50	0.0798	.80	(376.70)		
		02/20/13	15	37.4000	561.00	0.0798	1.20	(559.80)		
		02/26/13	25	31.1000	777.50	0.0798	2.00	(775.50)		
		03/14/13	25	28.6400	716.00	0.0798	2.00	(714.00)		
		03/28/13	25	28.4000	710.00	0.0798	2.00	(708.00)		
		04/25/13	25	18.6000	465.00	0.0798	2.00	(463.00)		
		05/02/13	25	17.2000	430.00	0.0798	2.00	(428.00)		
		05/02/13	25	16.3500	408.75	0.0798	2.00	(406.75)		
		06/04/13	25	16.7200	418.00	0.0798	2.00	(416.00)		
		06/11/13	25	14.6800	367.00	0.0798	2.00	(365.00)		

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FBO MR ALI ALLAHIARI

Account Number: [REDACTED] F94

YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued) <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Yield%</i>
WALTER ENERGY INC	WLTGQ	06/18/13	10	13.6500	136.50	0.0798	.80	(135.70)		
		07/25/13	15	11.8000	177.00	0.0798	1.20	(175.80)		
		09/03/13	10	13.6300	136.30	0.0798	.80	(135.50)		
		09/05/13	10	14.3000	143.00	0.0798	.80	(142.20)		
		09/12/13	10	14.7100	147.10	0.0798	.80	(146.30)		
		09/12/13	10	14.5600	145.60	0.0798	.80	(144.80)		
		11/21/13	110	15.7900	1,736.90	0.0798	8.78	(1,728.12)		
		05/23/14	200	5.7056	1,141.12	0.0798	15.96	(1,125.16)		
		12/02/14	200	1.9756	395.12	0.0798	15.96	(379.16)		
<i>Subtotal</i>			800		9,389.39		63.90	(9,325.49)		
WHITING PETROLEUM CORP	WLL	08/11/15	100	18.3699	1,836.99	15.2700	1,527.00	(309.99)		
		08/19/15	1	17.7600	17.76	15.2700	15.27	(2.49)		
<i>Subtotal</i>			101		1,854.75		1,542.27	(312.48)		
YELP INC CL A	YELP	10/23/14	10	58.8700	588.70	21.6600	216.60	(372.10)		
		10/24/14	5	58.8400	294.20	21.6600	108.30	(185.90)		
		10/27/14	10	58.9100	589.10	21.6600	216.60	(372.50)		
		11/07/14	25	58.2400	1,456.00	21.6600	541.50	(914.50)		
		11/13/14	25	57.9200	1,448.00	21.6600	541.50	(906.50)		
		04/01/15	25	45.8600	1,146.50	21.6600	541.50	(605.00)		
		07/28/15	1	33.4900	33.49	21.6600	21.66	(11.83)		
		07/30/15	1	25.9800	25.98	21.6600	21.66	(4.32)		
		08/18/15	1	25.4800	25.48	21.6600	21.66	(3.82)		
<i>Subtotal</i>			103		5,607.45		2,230.98	(3,376.47)		
ZILLOW GROUP INC CL A	ZG	04/15/15	10	29.8860	298.86	28.7300	287.30	(11.56)		
		08/04/15	5	23.5860	117.93	28.7300	143.65	25.72		
<i>Subtotal</i>			15		416.79		430.95	14.16		
ZILLOW GROUP INC SHS CL C	Z04	15/15	20	31.9055	638.11	27.0000	540.00	(98.11)		
		08/04/15	10	25.1810	251.81	27.0000	270.00	18.19		
<i>Subtotal</i>			30		889.92		810.00	(79.92)		
TOTAL					151,060.36		80,467.45	(70,592.91)	1,487	1.85

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YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

CASH/ MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
+BANA RASP II		884.00	884.00	1.0000	884.00		.01
+FDIC INSURED NOT SIPC COVERED (.9300 FRACTIONAL SHARE)			.93	1.0000	.93		.01
TOTAL			884.93		884.93		.01

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/ (Loss)	Estimated Current Annual Income	Estimated Current Yield%
ALIBABA GROUP HOLDING LT		BABA	12/09/14	5	103.0100	515.05	58.9700	294.85	(220.20)		
			01/27/15	2	100.9700	201.94	58.9700	117.94	(84.00)		
			02/12/15	4	86.1500	344.60	58.9700	235.88	(108.72)		
			02/20/15	4	86.5700	346.28	58.9700	235.88	(110.40)		
			02/23/15	2	85.7100	171.42	58.9700	117.94	(53.48)		
			03/05/15	3	84.9300	254.79	58.9700	176.91	(77.88)		
			03/09/15	5	82.4300	412.15	58.9700	294.85	(117.30)		
			08/11/15	1	76.6200	76.62	58.9700	58.97	(17.65)		
			08/27/15	1	70.8500	70.85	58.9700	58.97	(11.88)		
			08/28/15	1	70.0700	70.07	58.9700	58.97	(11.10)		
			09/01/15	2	65.0400	130.08	58.9700	117.94	(12.14)		
			09/08/15	1	61.0300	61.03	58.9700	58.97	(2.06)		
			09/14/15	1	61.8200	61.82	58.9700	58.97	(2.85)		
			09/18/15	1	65.0600	65.06	58.9700	58.97	(6.09)		
<i>Subtotal</i>				33		2,781.76		1,946.01	(835.75)		
ALPHA NATURAL RESOURCES INC		ANRZQ	08/07/13	10	4.8600	48.60	0.0330	.33	(48.27)		
			12/16/13	200	6.4000	1,280.00	0.0330	6.60	(1,273.40)		
			07/28/14	290	3.3075	959.18	0.0330	9.57	(949.61)		
<i>Subtotal</i>				500		2,287.78		16.50	(2,271.28)		

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FBO MR ALI ALLAHIARI

Account Number: ██████████ F94

YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)									
<i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income Yield%</i>
APACHE CORP	APA	01/05/15	10	60.5800	605.80	39.1600	391.60	(214.20)	10 2.55
		02/12/15	5	64.6800	323.40	39.1600	195.80	(127.60)	5 2.55
		03/09/15	5	61.4500	307.25	39.1600	195.80	(111.45)	5 2.55
		05/20/15	30	61.2900	1,838.70	39.1600	1,174.80	(663.90)	30 2.55
		09/18/15	20	39.7275	794.55	39.1600	783.20	(11.35)	20 2.55
<i>Subtotal</i>			<i>70</i>		<i>3,869.70</i>		<i>2,741.20</i>	<i>(1,128.50)</i>	<i>70 2.55</i>
APPLE INC	AAPL	04/01/15	10	123.8700	1,238.70	110.3000	1,103.00	(135.70)	21 1.88
		06/30/15	1	125.7600	125.76	110.3000	110.30	(15.46)	3 1.88
		07/22/15	1	124.4900	124.49	110.3000	110.30	(14.19)	3 1.88
		07/29/15	2	122.6050	245.21	110.3000	220.60	(24.61)	5 1.88
		08/03/15	1	120.9800	120.98	110.3000	110.30	(10.68)	3 1.88
		08/04/15	1	114.5200	114.52	110.3000	110.30	(4.22)	3 1.88
		08/04/15	4	113.7600	455.04	110.3000	441.20	(13.84)	9 1.88
		08/11/15	1	113.9700	113.97	110.3000	110.30	(3.67)	3 1.88
(.0911 FRACTIONAL SHARE)		08/14/15		114.2700	10.41	110.3000	10.05	(0.36)	1 1.88
<i>Subtotal</i>			<i>21.0911</i>		<i>2,549.08</i>		<i>2,326.35</i>	<i>(222.73)</i>	<i>51 1.88</i>
ARIAD P NEW COM\$0.001RTD	ARIA	05/07/14	100	6.6556	665.56	5.8400	584.00	(81.56)	
AVON PROD INC	AVP	05/14/15	150	7.9800	1,197.00	3.2500	487.50	(709.50)	36 7.38
BAIDU INC SPON ADR	BIDU	08/11/15	1	167.3300	167.33	137.4100	137.41	(29.92)	
		08/18/15	1	165.4000	165.40	137.4100	137.41	(27.99)	
<i>Subtotal</i>			<i>2</i>		<i>332.73</i>		<i>274.82</i>	<i>(57.91)</i>	
BARRACUDA NETWORKS INC	CJDA	07/10/15	50	31.5200	1,576.00	15.5800	779.00	(797.00)	
		07/14/15	10	31.0400	310.40	15.5800	155.80	(154.60)	
<i>Subtotal</i>			<i>60</i>		<i>1,886.40</i>		<i>934.80</i>	<i>(951.60)</i>	
BLACKBERRY LTD SHS	BBRY	07/30/13	50	8.8600	443.00	6.1300	306.50	(136.50)	
		07/01/14	50	10.6200	531.00	6.1300	306.50	(224.50)	
<i>Subtotal</i>			<i>100</i>		<i>974.00</i>		<i>613.00</i>	<i>(361.00)</i>	

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YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)				Unit	Total	Estimated	Estimated	Unrealized	Estimated Current	
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/ (Loss)	Annual Income	Yield%
CHESAPEAKE ENERGY OKLA	CHK	03/09/15	125	14.4100	1,801.25	7.3300	916.25	(885.00)	44	4.77
		08/05/15	25	7.0276	175.69	7.3300	183.25	7.56	9	4.77
<i>Subtotal</i>			150		1,976.94		1,099.50	(877.44)	53	4.77
CHEVRON CORP	CVX	10/27/14	10	114.2900	1,142.90	78.8800	788.80	(354.10)	43	5.42
		11/28/14	10	109.0400	1,090.40	78.8800	788.80	(301.60)	43	5.42
		12/10/14	5	104.0400	520.20	78.8800	394.40	(125.80)	22	5.42
		12/12/14	5	102.4200	512.10	78.8800	394.40	(117.70)	22	5.42
		03/31/15	10	105.2700	1,052.70	78.8800	788.80	(263.90)	43	5.42
		06/08/15	10	100.1300	1,001.30	78.8800	788.80	(212.50)	43	5.42
		06/30/15	1	96.6600	96.66	78.8800	78.88	(17.78)	5	5.42
		07/31/15	4	89.2600	357.04	78.8800	315.52	(41.52)	18	5.42
		08/03/15	1	85.7400	85.74	78.8800	78.88	(6.86)	5	5.42
		08/04/15	1	85.5900	85.59	78.8800	78.88	(6.71)	5	5.42
		09/04/15	1	76.5300	76.53	78.8800	78.88	2.35	5	5.42
		09/09/15	2	76.0700	152.14	78.8800	157.76	5.62	9	5.42
		09/18/15	2	77.2600	154.52	78.8800	157.76	3.24	9	5.42
<i>Subtotal</i>			62		6,327.82		4,890.56	(1,437.26)	272	5.42
CISCO SYSTEMS INC COM	CSCO	04/24/14	1	23.6100	23.61	26.2500	26.25	2.64	1	3.20
		06/30/15	9	27.5200	247.68	26.2500	236.25	(11.43)	8	3.20
		09/04/15	1	25.5800	25.58	26.2500	26.25	.67	1	3.20
		09/11/15	1	25.9900	25.99	26.2500	26.25	.26	1	3.20
	(.6213 FRACTIONAL SHARE)		04/24/14		23.6117	14.67	26.2500	16.31	1.64	1
<i>Subtotal</i>			12.6213		337.53		331.31	(6.22)	12	3.20
CLIFFS NATURAL RESOURCES INC	CLF	03/05/14	100	19.0500	1,905.00	2.4400	244.00	(1,661.00)		
		09/05/14	100	14.0500	1,405.00	2.4400	244.00	(1,161.00)		
		11/19/14	100	8.8801	888.01	2.4400	244.00	(644.01)		
<i>Subtotal</i>			300		4,198.01		732.00	(3,466.01)		

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FBOMR ALI ALLAHIARI

Account Number: [REDACTED] F94

YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)										
<i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Annual Income</i>	<i>Current Yield%</i>
DIAMOND OFFSHORE DRLNG	DO	09/02/14	100	42.2349	4,223.49	17.3000	1,730.00	(2,493.49)	50	2.89
		02/23/15	1	33.4800	33.48	17.3000	17.30	(16.18)	1	2.89
		02/26/15	1	28.9100	28.91	17.3000	17.30	(11.61)	1	2.89
		03/11/15	3	27.3600	82.08	17.3000	51.90	(30.18)	2	2.89
		06/30/15	5	25.8200	129.10	17.3000	86.50	(42.60)	3	2.89
		07/15/15	10	24.0000	240.00	17.3000	173.00	(67.00)	5	2.89
(.6545 FRACTIONAL SHARE)		09/02/15		22.9182	15.00	17.3000	11.32	(3.68)	1	2.89
<i>Subtotal</i>			<i>120.6545</i>		<i>4,752.06</i>		<i>2,087.32</i>	<i>(2,664.74)</i>	<i>63</i>	<i>2.89</i>
DISNEY (WALT) CO COM STK	DIS	08/06/15	5	109.3900	546.95	102.2000	511.00	(35.95)	7	1.29
		09/01/15	5	99.6280	498.14	102.2000	511.00	12.86	7	1.29
		09/22/15	1	102.5000	102.50	102.2000	102.20	(0.30)	2	1.29
<i>Subtotal</i>			<i>11</i>		<i>1,147.59</i>		<i>1,124.20</i>	<i>(23.39)</i>	<i>16</i>	<i>1.29</i>
DRYSHIPS INC	DRYS	12/31/10	500	5.5500	2,775.00	0.1688	84.40	(2,690.60)		
		09/04/13	100	2.5050	250.50	0.1688	16.88	(233.62)		
<i>Subtotal</i>			<i>600</i>		<i>3,025.50</i>		<i>101.28</i>	<i>(2,924.22)</i>		
ENERGY XXI LTD SHARES	EXXI	03/04/15	150	4.5000	675.00	1.0500	157.50	(517.50)		
EOG RESOURCES INC	EOG	03/04/15	10	90.2200	902.20	72.8000	728.00	(174.20)	7	.92
		05/22/15	15	90.5800	1,358.70	72.8000	1,092.00	(266.70)	11	.92
		08/11/15	1	75.6400	75.64	72.8000	72.80	(2.84)	1	.92
<i>Subtotal</i>			<i>26</i>		<i>2,336.54</i>		<i>1,892.80</i>	<i>(443.74)</i>	<i>19</i>	<i>.92</i>
EXONE (THE) CO SHS	XONE	08/29/14	50	28.9000	1,445.00	6.7100	335.50	(1,109.50)		
FACEBOOK INC CLASS A COMMON STOCK	FB	07/29/15	2	95.6500	191.30	89.9000	179.80	(11.50)		
		09/01/15	2	86.9500	173.90	89.9000	179.80	5.90		
		09/04/15	1	87.5300	87.53	89.9000	89.90	2.37		
<i>Subtotal</i>			<i>5</i>		<i>452.73</i>		<i>449.50</i>	<i>(3.23)</i>		

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YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)										
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/ (Loss)	Estimated Current Annual Income	Yield%
FIREEYE INC	FEYE	04/08/15	15	40.6600	609.90	31.8200	477.30	(132.60)		
		04/09/15	25	40.8500	1,021.25	31.8200	795.50	(225.75)		
		07/28/15	1	45.6800	45.68	31.8200	31.82	(13.86)		
		07/31/15	9	43.6000	392.40	31.8200	286.38	(106.02)		
		08/03/15	10	43.8280	438.28	31.8200	318.20	(120.08)		
		08/26/15	50	36.5600	1,828.00	31.8200	1,591.00	(237.00)		
		09/04/15	1	36.3500	36.35	31.8200	31.82	(4.53)		
		09/11/15	10	37.1200	371.20	31.8200	318.20	(53.00)		
<i>Subtotal</i>			121		4,743.06		3,850.22	(892.84)		
FUELCELL ENERGY INC	FCEL	03/12/14	100	2.9212	292.12	0.7348	73.48	(218.64)		
GENERAL MOTORS CO COMMON SHARES	GM	07/28/14	100	34.9256	3,492.56	30.0200	3,002.00	(490.56)	144	4.79
		06/29/15	100	33.2660	3,326.60	30.0200	3,002.00	(324.60)	144	4.79
		07/16/15	1	30.6600	30.66	30.0200	30.02	(0.64)	2	4.79
		09/30/15	2	29.6150	59.23	30.0200	60.04	.81	3	4.79
(.4435 FRACTIONAL SHARE)		09/30/15		29.6054	13.13	30.0200	13.31	.18	1	4.79
<i>Subtotal</i>			203.4435		6,922.18		6,107.37	(814.81)	294	4.79
GOLDCORP INC	GG	03/31/15	100	18.1000	1,810.00	12.5200	1,252.00	(558.00)	24	1.91
		05/26/15	101	17.6900	1,786.69	12.5200	1,264.52	(522.17)	25	1.91
		06/30/15	4	16.3200	65.28	12.5200	50.08	(15.20)	1	1.91
		07/28/15	1	14.9200	14.92	12.5200	12.52	(2.40)	1	1.91
		09/29/15	1	13.0800	13.08	12.5200	12.52	(0.56)	1	1.91
(.0465 FRACTIONAL SHARE)		09/30/15		12.4731	0.58	12.5200	.58		1	1.91
<i>Subtotal</i>			207.0465		3,690.55		2,592.22	(1,098.33)	53	1.91
GOPRO INC	GPRO	09/14/15	10	34.0400	340.40	31.2200	312.20	(28.20)		
		09/21/15	10	32.2880	322.88	31.2200	312.20	(10.68)		
<i>Subtotal</i>			20		663.28		624.40	(38.88)		

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FBO MR ALI ALLAHIARI

Account Number: [REDACTED] F94

YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)										
<i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Annual Income</i>	<i>Current Yield%</i>
INTEL CORP	INTC	08/13/13	5	22.5300	112.65	30.1400	150.70	38.05	5	3.18
		12/04/13	1	23.7000	23.70	30.1400	30.14	6.44	1	3.18
		03/04/14	1	24.6900	24.69	30.1400	30.14	5.45	1	3.18
		10/15/14	9	30.5800	275.22	30.1400	271.26	(3.96)	9	3.18
		03/12/15	84	30.6900	2,577.96	30.1400	2,531.76	(46.20)	81	3.18
		06/02/15	1	30.5000	30.50	30.1400	30.14	(0.36)	1	3.18
		06/12/15	100	31.3050	3,130.50	30.1400	3,014.00	(116.50)	96	3.18
		09/02/15	1	30.3000	30.30	30.1400	30.14	(0.16)	1	3.18
		09/02/15	1	28.2800	28.28	30.1400	30.14	1.86	1	3.18
(.0892 FRACTIONAL SHARE)		09/02/15		28.2511	2.52	30.1400	2.69	.17	1	3.18
<i>Subtotal</i>			<i>203.0892</i>		<i>6,236.32</i>		<i>6,121.11</i>	<i>(115.21)</i>	<i>197</i>	<i>3.18</i>
J.C. PENNEY CO. COM	JCP	08/08/13	25	13.5000	337.50	9.2900	232.25	(105.25)		
		12/10/13	75	8.7400	655.50	9.2900	696.75	41.25		
<i>Subtotal</i>			<i>100</i>		<i>993.00</i>		<i>929.00</i>	<i>(64.00)</i>		
JOY GLOBAL INC DEL. COM	JOY	03/20/12	100	75.8295	7,582.95	14.9300	1,493.00	(6,089.95)	80	5.35
		07/25/13	10	48.9200	489.20	14.9300	149.30	(339.90)	8	5.35
		09/03/13	15	49.6900	745.35	14.9300	223.95	(521.40)	12	5.35
		06/19/14	1	56.5100	56.51	14.9300	14.93	(41.58)	1	5.35
		09/12/14	10	58.6900	586.90	14.9300	149.30	(437.60)	8	5.35
		12/19/14	1	55.0400	55.04	14.9300	14.93	(40.11)	1	5.35
		06/19/15	1	41.2800	41.28	14.9300	14.93	(26.35)	1	5.35
		06/25/15	10	37.7500	377.50	14.9300	149.30	(228.20)	8	5.35
		08/03/15	1	26.0600	26.06	14.9300	14.93	(11.13)	1	5.35
		09/01/15	2	22.2800	44.56	14.9300	29.86	(14.70)	2	5.35
		09/03/15	9	18.5900	167.31	14.9300	134.37	(32.94)	8	5.35
		09/18/15	10	17.8800	178.80	14.9300	149.30	(29.50)	8	5.35
		09/21/15	1	30.4700	30.47	14.9300	14.93	(15.54)	1	5.35
		09/21/15	1	17.3500	17.35	14.9300	14.93	(2.42)	1	5.35
(.3605 FRACTIONAL SHARE)		09/21/15		17.3370	6.25	14.9300	5.38	(0.87)	1	5.35
<i>Subtotal</i>			<i>172.3605</i>		<i>10,405.53</i>		<i>2,573.34</i>	<i>(7,832.19)</i>	<i>141</i>	<i>5.35</i>

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YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued)				Unit	Total	Estimated	Estimated	Unrealized	Estimated Current
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/ (Loss)	Annual Income Yield%
LINN ENERGY LLC PARTNER	LINE	07/31/15	100	4.0599	405.99	2.6900	269.00	(136.99)	
MICHAEL KORS HLDGS LTD	KORS	04/02/15	100	63.5462	6,354.62	42.2400	4,224.00	(2,130.62)	
SHS		06/03/15	100	48.7999	4,879.99	42.2400	4,224.00	(655.99)	
		07/16/15	1	41.3200	41.32	42.2400	42.24	.92	
		09/14/15	1	43.0400	43.04	42.2400	42.24	(0.80)	
<i>Subtotal</i>			202		11,318.97		8,532.48	(2,786.49)	
NEWMONT MINING CORP	NEM	01/25/13	100	43.0395	4,303.95	16.0700	1,607.00	(2,696.95)	10 .62
		05/02/13	25	32.3000	807.50	16.0700	401.75	(405.75)	3 .62
		09/03/13	10	31.4200	314.20	16.0700	160.70	(153.50)	1 .62
		09/10/13	15	29.5400	443.10	16.0700	241.05	(202.05)	2 .62
		09/12/13	10	28.6650	286.65	16.0700	160.70	(125.95)	1 .62
		12/30/13	1	23.4200	23.42	16.0700	16.07	(7.35)	1 .62
		03/28/14	1	23.0200	23.02	16.0700	16.07	(6.95)	1 .62
		03/27/15	1	22.6800	22.68	16.0700	16.07	(6.61)	1 .62
		07/28/15	1	17.5400	17.54	16.0700	16.07	(1.47)	1 .62
		08/03/15	1	16.8400	16.84	16.0700	16.07	(0.77)	1 .62
		08/31/15	4	16.7675	67.07	16.0700	64.28	(2.79)	1 .62
(.1504 FRACTIONAL SHARE)		03/27/15		22.2074	3.34	16.0700	2.42	(0.92)	1 .62
<i>Subtotal</i>			169.1504		6,329.31		2,718.25	(3,611.06)	24 .62
NQ MOBILE INC	NQ	05/14/14	150	10.3651	1,554.77	3.3100	496.50	(1,058.27)	
ADR REPSTG CL A									
NUANCE COMMUNICATIONS IN	NUAN	02/15/13	50	19.2500	962.50	16.3700	818.50	(144.00)	
OASIS PETE INC NEW	OAS	08/11/15	50	10.0404	502.02	8.6800	434.00	(68.02)	
		08/17/15	25	10.4276	260.69	8.6800	217.00	(43.69)	
		08/19/15	25	10.1276	253.19	8.6800	217.00	(36.19)	
<i>Subtotal</i>			100		1,015.90		868.00	(147.90)	
ORACLE CORP \$0.01 DEL	ORCL	09/16/15	10	38.3900	383.90	36.1200	361.20	(22.70)	6 1.66
PLUG PWR INC.	PLUG	03/12/14	100	5.8401	584.01	1.8300	183.00	(401.01)	

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FBO MR ALI ALLAHIARI

Account Number: [REDACTED] F94

YOUR RETIREMENT ACCOUNT ASSETS

July 01, 2015 - September 30, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/ (Loss)	Estimated Current Annual Income	Yield%
POTBELLY CORP	PBPB	02/19/14	101	20.5500	2,075.55	11.0100	1,112.01	(963.54)		
RS LEGACY CORP	RSHCQ	08/29/14	100	1.6056	160.56	0.0540	5.40	(155.16)		
		09/02/14	100	1.3101	131.01	0.0540	5.40	(125.61)		
<i>Subtotal</i>			<i>200</i>		<i>291.57</i>		10.80	<i>(280.77)</i>		
SANDRIDGE ENERGY INC	SD	01/07/14	200	5.7000	1,140.00	0.2700	54.00	(1,086.00)		
		11/28/14	200	3.0900	618.00	0.2700	54.00	(564.00)		
<i>Subtotal</i>			<i>400</i>		<i>1,758.00</i>		108.00	<i>(1,650.00)</i>		
SINA CORPORATION	SINA	06/25/15	10	56.3700	563.70	40.1200	401.20	(162.50)		
		06/30/15	10	53.6200	536.20	40.1200	401.20	(135.00)		
<i>Subtotal</i>			<i>20</i>		<i>1,099.90</i>		802.40	<i>(297.50)</i>		
SODASTREAM INTERNATIONAL LTD	SODA	02/26/14	1	39.1300	39.13	13.7600	13.76	(25.37)		
		06/25/14	100	34.9900	3,499.00	13.7600	1,376.00	(2,123.00)		
		06/30/15	1	21.0600	21.06	13.7600	13.76	(7.30)		
<i>Subtotal</i>			<i>102</i>		<i>3,559.19</i>		1,403.52	<i>(2,155.67)</i>		
SPRINT CORP SHS SERIES - SER -1-	S	12/23/13	100	9.7270	972.70	3.8400	384.00	(588.70)		
		09/12/14	100	7.0400	704.00	3.8400	384.00	(320.00)		
		09/12/14	100	6.9256	692.56	3.8400	384.00	(308.56)		
<i>Subtotal</i>			<i>300</i>		<i>2,369.26</i>		1,152.00	<i>(1,217.26)</i>		
SUNEDISON INC	SUNE	08/06/15	100	18.0201	1,802.01	7.1800	718.00	(1,084.01)		
		08/07/15	10	16.0000	160.00	7.1800	71.80	(88.20)		
		08/07/15	10	15.7880	157.88	7.1800	71.80	(86.08)		
		08/10/15	30	15.1100	453.30	7.1800	215.40	(237.90)		
<i>Subtotal</i>			<i>150</i>		<i>2,573.19</i>		1,077.00	<i>(1,496.19)</i>		
TESLA MTRS INC	TSLA	09/16/14	5	253.1000	1,265.50	248.4000	1,242.00	(23.50)		
		03/31/15	1	188.9200	188.92	248.4000	248.40	59.48		
		08/10/15	1	240.3800	240.38	248.4000	248.40	8.02		
		09/01/15	1	238.8600	238.86	248.4000	248.40	9.54		
<i>Subtotal</i>			<i>8</i>		<i>1,933.66</i>		1,987.20	<i>53.54</i>		

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