

Fill in this information to identify the case:

Debtor Proterra Inc

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-11120

Official Form 410
Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. **Who is the current creditor?** Andrew Worral
Name of the current creditor (the person or entity to be paid for this claim)
Other names the creditor used with the debtor _____

2. **Has this claim been acquired from someone else?** No
 Yes. From whom? _____

3. **Where should notices and payments to the creditor be sent?**

Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
<u>Andrew Worral</u> <u>5885 Woodlee Ct</u> <u>Orchard Park, NY 14127, United States</u>	
Contact phone <u>7167255845</u>	Contact phone _____
Contact email <u>andyworral@gmail.com</u>	Contact email _____
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	

4. **Does this claim amend one already filed?** No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____
MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?** No
 Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: PTRAQ ____

7. How much is the claim? \$ 15415. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Proterra Stock with Merrill

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

	Amount entitled to priority
<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
<input type="checkbox"/> Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
<input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
<input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ _____
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.	\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 11/08/2023
MM / DD / YYYY

/s/Andrew Worral
Signature

Print the name of the person who is completing and signing this claim:

Name Andrew Worral
First name Middle name Last name

Title Owner of Stock

Company n/a
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 251-3076 | International (310) 751-2617

Debtor: 23-11120 - Proterra Inc District: District of Delaware		
Creditor: Andrew Worrall 5885 Woodlee Ct Orchard Park, NY, 14127 United States Phone: 7167255845 Phone 2: Fax: Email: andyworrall@gmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: Yes Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: Proterra Stock with Merrill	Last 4 Digits: Yes - PTRAQ	Uniform Claim Identifier:
Total Amount of Claim: 15415	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Andrew Worrall on 08-Nov-2023 4:19:25 p.m. Eastern Time Title: Owner of Stock Company: n/a		

MIR ANDREW D WORRAL AND

Account Number: 54X-83990

YOUR CMA EQUITY COST BASIS

September 30, 2023 - October 31, 2023

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
AFLAC INC COM CURRENT YIELD 2.150%	AFL	03/06/20	25.0000	40.0400	1,001.00	78.1100	1,952.75	951.75	42
AIRBNB INC CL A	ABNB	02/23/21	100.0000	189.0000	18,900.00	118.2900	11,829.00	(7,071.00)	
ALASKA AIR GROUP INC COM	ALK	01/08/21	200.0000	51.7900	10,358.00	31.6300	6,326.00	(4,032.00)	
APPLE INC CURRENT YIELD 0.562%	AAPL	11/20/20	210.0000	118.6300	24,912.30	170.7700	35,861.70	10,949.40	202
ARCIMOTO INC REG SHS	FUV	11/09/20	100.0000	128.2000	12,820.00	0.5840	58.40	(12,761.60)	
ATLASSIAN CORP	TEAM	01/08/21	25.0000	236.0000	5,900.00	180.6400	4,516.00	(1,384.00)	
AUTODESK INC DEL PV\$0.01 DEL CL B NEW	ADSK	01/08/21	10.0000	316.4100	3,164.10	197.6300	1,976.30	(1,187.80)	
BERKSHIRE HATHAWAY INC	BRKB	03/06/20	5.0000	203.8220	1,019.11	341.3300	1,706.65	687.54	
Subtotal							4,907.28	1,238.40	36
MARTIN MARIETTA MATLS CURRENT YIELD 0.723%	MLM	01/11/21	12.0000	305.7400	3,668.88	408.9400			
MICROSOFT CORP CURRENT YIELD 0.887%	MSFT	03/06/20	15.0000	159.9000	2,398.50	338.1100	5,071.65	2,673.15	45
Subtotal							15,553.06	8,272.07	138
NAUTILUS INC	NLS	02/23/21	500.0000	20.0000	10,000.00	0.8204	410.20	(9,589.80)	
PROTERRA INC	PTRAQ	02/08/21	500.0000	26.3614	13,180.72	0.0650	32.50	(13,148.22)	
Subtotal							36.66	(15,378.34)	
QUANTUMSCAPE CORP REG SHS CL A	QS	05/26/21	55.0000	30.0000	1,650.00	5.2200	287.10	(1,362.90)	

+

YOUR CMA EQUITY COST BASIS

September 30, 2023 - October 31, 2023

EQUITIES <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Annual Income</i>
RIVIAN AUTOMOTIVE INC	RIVN	12/22/21	500.0000	95.0000	47,500.00	16.2200	8,110.00	(39,390.00)	
<i>CL A</i>									
ROYAL CARIBBEAN GROUP	RCL	05/25/22	200.0000	49.1200	9,824.00	84.7300	16,946.00	7,122.00	
SOUTHWEST AIRLNS CO	LUV	01/08/21	200.0000	47.6400	9,528.00	22.2300	4,446.00	(5,082.00)	144
<i>CURRENT YIELD 3.238%</i>									
TESLA INC	TSLA	03/09/20	60.0000	40.5040	2,430.24	200.8400	12,050.40	9,620.16	
		09/25/20	153.0000	131.1033	20,058.81	200.8400	30,728.52	10,669.71	
		10/23/20	222.0000	136.7449	30,357.38	200.8400	44,586.48	14,229.10	
		03/04/21	120.0000	217.9033	26,148.40	200.8400	24,100.80	(2,047.60)	
		03/08/21	60.0000	200.1633	12,009.80	200.8400	12,050.40	40.60	
		03/08/21	45.0000	191.0600	8,597.70	200.8400	9,037.80	440.10	
		03/25/21	60.0000	204.0200	12,241.20	200.8400	12,050.40	(190.80)	
		05/20/21	120.0000	191.6666	23,000.00	200.8400	24,100.80	1,100.80	
		01/19/22	117.0000	333.3333	39,000.00	200.8400	23,498.28	(15,501.72)	
		01/27/22	126.0000	311.1866	39,209.52	200.8400	25,305.84	(13,903.68)	
		03/16/22	15.0000	269.2666	4,039.00	200.8400	3,012.60	(1,026.40)	
<i>Subtotal</i>			1,098.0000		217,092.05		220,522.32	3,430.27	
TOTAL	YIELD .16%				403,988.43		342,272.02	(61,716.41)	562