

Fill in this information to identify the case:

Debtor Proterra Inc

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-11120

**Official Form 410
Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

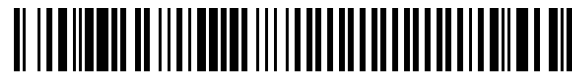
Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Andrew Siongco</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? See summary page	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Contact phone <u>5624802790</u>	Contact phone _____
	Contact email <u>andrew.siongco@gmail.com</u>	Contact email _____
	Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 0940 _____

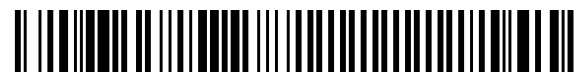
7. How much is the claim? \$ 1465.25. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Common Stock

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

	Amount entitled to priority
<input type="checkbox"/> No	
<input checked="" type="checkbox"/> Yes. Check all that apply:	
<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
<input type="checkbox"/> Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
<input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
<input checked="" type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ 1465.25
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)(____) that applies.	\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 11/05/2023
MM / DD / YYYY

/s/Andrew Siongco
Signature

Print the name of the person who is completing and signing this claim:

Name Andrew Siongco
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 251-3076 | International (310) 751-2617

Debtor: 23-11120 - Proterra Inc District: District of Delaware		
Creditor: Andrew Siongco 13132 Palm Place Cerritos, California, 90703 United States Phone: 5624802790 Phone 2: Fax: Email: andrew.siongco@gmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: Common Stock	Last 4 Digits: Yes - 0940	Uniform Claim Identifier:
Total Amount of Claim: 1465.25	Includes Interest or Charges: No	
Has Priority Claim: Yes	Priority Under: 11 U.S.C. §507(a)(5): 1465.25	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Andrew Siongco on 05-Nov-2023 11:47:59 p.m. Eastern Time Title: Company:		

TIME CRITICAL - MUST RESPOND
IMPORTANT INFORMATION PERTAINING TO ONE OF YOUR SECURITIES



10/12/23

NATIONAL FINANCIAL SERVICES LLC
PO BOX 28017
ALBUQUERQUE, NM 87125-8017

A BANKRUPTCY W/ PROOF OF CLAIM
SECURITY DESCRIPTION: PROTERRA INC

*****AUTO**5-DIGIT 90703
FMTC CUSTODIAN - ROTH IRA
FBO ANDREW ARCEO SIONGCO
13132 PALM PL
CERRITOS CA 90703-1344

CUSIP#: 74374T109
ACCOUNT#: 0940
QUANTITY: 250
OFFER EXPIRES: NOVEMBER 13, 2023 04:00 PM ET
REPLY BY: NOVEMBER 10, 2023 04:00 PM ET

Dear Customer,

Your position in the above security is currently being held at our brokerage firm. Our firm will need instructions from you if you wish to participate in this offer. The terms and conditions are outlined in the enclosed material, which you should study carefully. As the holder of your securities, we will act on your instructions should you choose to participate, without instructions we cannot act on your behalf. If you wish to give instructions, please call the office with which you do business.

It is imperative that you notify us no later than the reply by date above in order to allow time to process your instruction. The reply by date is prior to the expiration date because we need to know your response in order to fulfill it prior to the expiration. Any reply that we receive after that date will be processed as a "best efforts" only. We will not guarantee that your instruction will be processed and will not be liable if we are unable to fulfill your instruction.

Any information given by us, either verbally or in writing, is intended as a guide. Although it is believed to be correct, it is not guaranteed. In the event of a discrepancy between this information and the terms of the offer, the offer terms will prevail. Please be aware that if you sell your holdings prior to the "X" date of a distribution, you will forfeit the distribution.

DO NOT MAIL this form, if you have any questions or wish to give instructions, please call the office with which you do business.

FOR INFORMATION CALL: YOUR LOCAL BRANCH

JOB NUMBER: E39108 808

CONTROL#: 316371374862

--- DO NOT MAIL - PLEASE FOLLOW THE INSTRUCTIONS STATED ABOVE - DO NOT MAIL ---

NATIONAL FINANCIAL SERVICES LLC
PO BOX 28017
ALBUQUERQUE, NM 87125-8017

000010962



DO NOT MAIL

*****AUTO**5-DIGIT 90703
FMTC CUSTODIAN - ROTH IRA
FBO ANDREW ARCEO SIONGCO
13132 PALM PL
CERRITOS CA 90703-1344

REVOLV



INVESTMENT REPORT
September 1, 2023 - September 30, 2023

Holdings

Account # 224-880940
ANDREW ARCEO SIONGCO - ROTH IRA

Core Account

Description	Beginning Market Value Sep 1, 2023	Quantity Sep 30, 2023	Price Per Unit Sep 30, 2023	Ending Market Value Sep 30, 2023	Cost	Unrealized Gain/Loss Sep 30, 2023	EAI(\$)/EY(%)
FIDC INSURED DEPOSIT AT BK OF OK NA IRA ⁹	\$247.36	247.970	\$1.0000	\$247.97	not applicable	not applicable	-
NOT COVERED BY SIPC (QOQIC)							
Interest rate 2.69% ¹¹							
FIDC INSURED DEPOSIT AT JP MORGAN IRA ⁹	25.92	25.920	1.0000	25.92	not applicable	not applicable	-
NOT COVERED BY SIPC (QHZIQ)							
Interest rate 2.69% ¹¹							
Total Core Account (1% of account holdings)	\$273.28			\$273.89			

STOCKS

Description	Beginning Market Value Sep 1, 2023	Quantity Sep 30, 2023	Price Per Unit Sep 30, 2023	Ending Market Value Sep 30, 2023	Cost	Unrealized Gain/Loss Sep 30, 2023	EAI(\$)/EY(%)
Common Stock							
T&T INC COM USD1 (T)	\$3,697.50	250.000	\$15.0200	\$3,755.00	\$5,066.37	-\$1,311.37	\$277.50 / 7.390%
APPLE INC(AAPL)	9,393.50	50.000	171.2100	8,560.50	7,297.50	1,323.00	48.00 / 0.560
BOEING CO(BA)	5,600.75	25.000	191.6800	4,792.00	4,467.98	324.02	-
PROTERRA INC COM (PTRAC)	21.75	250.000	0.0540	13.50	1,478.75	-1,465.25	-
RIVIAN AUTOMOTIVE INC COM CL A (RIVN)	1,136.50	50.000	24.2800	1,214.00	1,391.50	-177.50	-
Total Common Stock (99% of account holdings)	\$19,850.00			\$18,335.00	\$19,642.10	-\$1,307.10	\$325.50
Total Stocks (99% of account holdings)	\$19,850.00			\$18,335.00	\$19,642.10	-\$1,307.10	\$325.50

Total Holdings

\$18,608.89 \$19,642.10 -\$1,307.10 \$325.50