

Your claim can be filed electronically on KCC's website at <https://www.kccllc.net/proterra>

United States Bankruptcy Court for the District of Delaware	
Indicate Debtor against which you assert a claim by checking the appropriate box below. (Check only one Debtor per claim form.)	
<input checked="" type="checkbox"/> Proterra Inc (Case No. 23-11120)	<input type="checkbox"/> Proterra Operating Company, Inc. (Case No. 23-11121)

**Official Form 410
Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Other than a claim under 11 U.S.C. § 503(b)(9), this form should not be used to make a claim for an administrative expense arising after the commencement of the case.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed.

Part 1: Identify the Claim			
1. Who is the current creditor?	<u>Andrew Salzwedel</u> Name of the current creditor (the person or entity to be paid for this claim) Other names the creditor used with the debtor _____		
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____		
3. Where should notices and payments to the creditor be sent?	<table style="width:100%; border: none;"> <tr> <td style="width:50%; border: none;"> Where should notices to the creditor be sent? <u>Andrew Salzwedel</u> Name <u>427 Vista Creek Circle</u> Number Street <u>Simi Valley CA 93065</u> City State ZIP Code <u>Ventura</u> Country Contact phone <u>805 366 3800</u> Contact email <u>salzwedelbas@gmail.com</u> </td> <td style="width:50%; border: none;"> Where should payments to the creditor be sent? (if different) <u>Same</u> Name _____ Number Street _____ City State ZIP Code _____ Country Contact phone _____ Contact email _____ </td> </tr> </table>	Where should notices to the creditor be sent? <u>Andrew Salzwedel</u> Name <u>427 Vista Creek Circle</u> Number Street <u>Simi Valley CA 93065</u> City State ZIP Code <u>Ventura</u> Country Contact phone <u>805 366 3800</u> Contact email <u>salzwedelbas@gmail.com</u>	Where should payments to the creditor be sent? (if different) <u>Same</u> Name _____ Number Street _____ City State ZIP Code _____ Country Contact phone _____ Contact email _____
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Federal Rule of Bankruptcy Procedure (FRBP) 2002(g) RECEIVED NOV 02 2023 KURTZMAN CARSON CONSULTANTS			
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY		
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____		
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____			



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 1 7 8 3

7. How much is the claim? \$ 1,785.60 Does this amount include interest or other charges? No Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information. Stock loss

9. Is all or part of the claim secured? No Yes. The claim is secured by a lien on property. Nature of property: Real estate: If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*. Motor vehicle Other. Describe: _____

Basis for perfection: _____ Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

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Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____% Fixed Variable

10. Is this claim based on a lease? No Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). \$ _____

Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ _____

Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ _____

Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ _____

Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ _____

Other. Specify subsection of 11 U.S.C. § 507(a)() that applies. \$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/30/2023
MM / DD / YYYY


Signature

Print the name of the person who is completing and signing this claim:

Name Andrew Douglas Salawedel
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____
Number Street

City State ZIP Code Country

Contact phone _____ Email _____

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(A22)*****4V

Total Value As of 10/30/2023 12:31 PM ET	Market Price As of 10/30/2023 12:31 PM ET	Held Since	Quantity	Unrealized Gain/(Loss) As of 10/30/2023 12:31 PM ET
\$11.61	\$0.0645 (0.0015)	01/18/2022	180	0.00 Short Term (\$1,784.79) Long Term

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As of 10/30/2023 12:31 PM ET

Account	Quantity	Unit Cost (\$)	Cost Basis (\$)	Accrued Interest(\$)	Price(\$)	Value(\$)	Gain/Loss (\$)	Gain/Loss (%)
IRA-Edge 53Z-61K20	180	9.86	1,796.40	-	0.0645	11.61	(1,784.79)	(99.35)

[Ratings](#) | [Fundamentals](#) | [Margin Details](#)

News
Protera Inc - On October 10, 2023, Bankruptcy Court Entered An Order To Revoke Protera's Chapter 11 Protection.
Reuters: 10/10/2023 04:17 PM EST

[Learn more about this security](#)

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Asset Class	Equities
Sector	Industrials
Industry	Construction Machinery & Transportation Equipment
Size & Style	Equities Blend
CUSIP	74374T109
Exchange	OTC

Previous Close (\$)	0.0660
52 Week Range (\$)	0.0460 - 7.7050
Price / Earnings	(0.03)

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Prices, quotes, market values and volumes are based on NASDAQ Basic or consolidated market quote, unless otherwise indicated. Acquisition Costs are not adjusted for stock splits, etc. Positions are net and cost basis excludes fractional shares. Sector Classifications based on Global Industry Classification Standard ("GICS").

Third-party stock research may be available, select this link to access.

The Gain/Loss percentages is provided to help you monitor the performance of specific holdings; because the figure is affected by a particular holding period and transaction history, it should not be used to compare mutual fund performance. Please refer to the fund's prospectus for standardized performance information on each mutual fund in the account. Past performance is no guarantee of future returns.

When there is a Short Position in a classification bucket for a Managed Account or Pooled Investment Product, the market value of this position will show in the Managed Assets Short Allocations balance. This negative balance is not included as an Analyzable Asset.

Classification of Securities

For Asset Class, Sector or Size and Style Analysis, securities are generally classified by asset class, sector, size and style and, for Fixed Income, maturity.

Domestic equities are generally classified by size/style category and fixed income (domestic and foreign) classified by maturity. Foreign equities are classified as International.

For Size and Style, security classification is as follows: a capitalization breakpoint of \$18.50 billion defines the size (Large Cap or Small/Mid Cap) classification for domestic equity securities. The style (Growth or Value) for these securities is defined by a proprietary procedure, which utilizes a series of quantitative and qualitative metrics (e.g. expected earnings growth, analyst coverage, etc.).

Sector classifications are based on Global Industry Classification Standard ("GICS"). Source: Morgan Stanley Capital International ("MSCI") and Standard & Poor's ("S&P"), a division of the McGraw Hill Companies, Inc.

Important Note about Alternative Investments: Alternative investments can provide diversification benefits not obtained from more traditional investments, but should be carefully considered based on your investment objectives, risk tolerance, liquidity needs and time horizon. Certain types of products that implement alternative investment strategies (such as hedge funds and private equity) are often long-term, illiquid investments that are not easily valued, while other types of products that implement alternative investment strategies, such as Non-Traditional Funds (NTFs) (mutual funds and exchange traded funds that pursue alternative strategies or provide alternative asset exposure) are generally liquid. NTFs, hedge funds, private equity funds, and other products that implement alternative investment strategies may be subject to higher alternative investment investment-related allocations.

PROTERRA INC, PTRAQ

IRA

Account Holdings for

Dow 32,788.10 +370.51 +1.14%

Group by Account Product Class

Cash available to invest
\$4,945.41

Settle

IRA-Edge 53Z-81K20

Select view: Standard Customized View

Symbol	Description	Quantity
DIS	DISNEY (WALT) CO COM STK	11
PTRAQ	PROTERRA INC	180
TSLA	TESLA INC	100
UPS	UNITED PARCEL SVC CL B	86
XOM	EXXON MOBIL CORP COM	151
Balances		
Money accounts	BANK OF AMERICA, NA RASP	4,945.4100
Cash balance		
Pending activity		
Total		

EMERGENCY INVESTMENT PLAN

- For Alternative Investments, Exchange Funds, Hedge Funds, Private Equity, Managed Futures, Precious Metals, NTFs and select Market-Linked Investments may be included.
- For "Other" and "Hard" Assets, items that are not easily classified in to the asset classes above (such as business interests, investment real estate, options, and life insurance) are shown for informational purposes only and are not part of your analysis.

Alternative Investment Risks

For investors who may want to consider alternative investments as part of a diversified portfolio, careful consideration should be given to the associated risks of these investments. The investor's investment objectives, time horizon, risk tolerance, liquidity needs and net worth should be appropriate as certain types of products that implement alternative investment strategies (such as hedge funds and private equity) are often long-term, illiquid investments that are not easily valued. Often specific levels of net worth and liquidity are required in making certain alternative investments available (e.g., for some alternative investments, such as hedge funds and private equity, net worth of \$5 million or more is required). In addition, the timing of capital calls and distributions may not be predictable; periodic pricing or valuation information may not be available; and complex tax structures may be utilized and there may be delays in distributing important tax information. Certain alternative investment products (such as hedge funds and private equity) are sold pursuant to exemptions from registration with the SEC and may not be subject to the same regulatory requirements as other investment products. Certain alternative investments require tax reports on Schedule K-1 to be prepared and filed. As a result, investors will likely be required to obtain extensions for filing federal, state, and local income tax returns each year. Certain other investments in your portfolio may also be classified as alternative investments. Non-Traditional funds ("NTFs") are mutual funds and exchange-traded funds that are classified as alternative investments because their principal investment strategies utilize alternative investment strategies or provide for alternative asset exposure as the means to meet their investment objectives. Though the portfolio holdings of NTFs are generally made up of stocks and bonds, NTFs may also hold other asset classes and may use short selling, leverage and derivatives. While the strategies employed by NTFs are often used by hedge funds and other alternative investment vehicles, unlike hedge funds, NTFs are registered with the SEC and thus subject to a more structured regulatory regime and offer lower initial and subsequent investment minimums, along with daily pricing and liquidity. While these investment vehicles can offer diversification within a relatively liquid and accessible structure, it is absolutely essential to understand that because of this structure, NTFs may not have the same type of non-market returns as other investments classified as alternative investments (such as hedge funds) and thus may serve as an imperfect substitute for such other investment vehicles. The risk characteristics of NTFs can be similar to those generally associated with traditional alternative investment products (such as hedge funds). No assurance can be given that the investment objectives of any particular alternative investment vehicle will be achieved. Like any investment, an investor can lose all or a substantial amount of this or her investment. In addition to the foregoing risks, each alternative investment vehicle is subject to its own varying degrees of strategy-specific or other risks. Whether a particular investment meets the investment objectives and risk parameters of any particular client must be determined case by case. You must carefully review the prospectus or offering materials for any particular fund/pooled vehicle and consider your ability to bear these risks before any decision to invest.

Prices, quotes, market values and volumes are based on NASDAQ Basic or consolidated market quote, unless otherwise indicated.