

Your claim can be filed electronically on KCC's website at <https://www.kccilc.net/proterra>

United States Bankruptcy Court for the District of Delaware	
Indicate Debtor against which you assert a claim by checking the appropriate box below. (Check only one Debtor per claim form.)	
<input checked="" type="checkbox"/> Proterra Inc (Case No. 23-11120)	<input type="checkbox"/> Proterra Operating Company, Inc. (Case No. 23-11121)

☒ Date Stamped Copy Returned
☐ No self addressed stamped envelope
☐ No copy to return

Official Form 410 Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Other than a claim under 11 U.S.C. § 503(b)(9), this form should not be used to make a claim for an administrative expense arising after the commencement of the case.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Anthony Gomez</u> Name of the current creditor (the person or entity to be paid for this claim) Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? <u>Anthony Gomez</u> Name <u>514 E MORRIS</u> Number Street <u>Yokum TX 72995</u> City State ZIP Code <u>USA</u> Country Contact phone <u>(361) 550-3682</u> Contact email _____	Where should payments to the creditor be sent? (if different) Name _____ Number Street _____ City State ZIP Code _____ Country _____ Contact phone _____ Contact email _____
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g) RECEIVED OCT 31 2023 KURTZMAN CARSON CONSULTANTS		
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____		
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on ____/____/____	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



231112023103100000000012

Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?

☐ No

☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 1 1 2 0

7. How much is the claim?

\$ 2645.41 Does this amount include interest or other charges?

☐ No

☒ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim?

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

Bankruptcy

9. Is all or part of the claim secured?

☒ No

☐ Yes. The claim is secured by a lien on property.

Nature of property:

☐ Real estate: If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe: _____

Basis for perfection: _____

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %

☐ Fixed

☐ Variable

10. Is this claim based on a lease?

☒ No

☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff?

☒ No

☐ Yes. Identify the property: _____

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12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

☒ No

☐ Yes. Check all that apply:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

\$ _____

☐ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

☐ Wages, salaries, or commissions (up to \$15,150* earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10 27 2023
MM DD / YYYY

Signature

Anthony Gomez

Print the name of the person who is completing and signing this claim:

Name

Anthony

First name

A.

Middle name

Gomez

Last name

Title

Company

Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

514 E. Morris

Number

Street

City

Yokum

TX

State

77995

ZIP Code

USA

Country

Contact phone

361 550 3682

Email

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OCT 31 2023

KURTZMAN CARSON CONSULTANTS

EDWARD JONES
201 PROGRESS PARKWAY
ST. LOUIS, MO. 63043-3042
TEL 314-515-2000

Edward Jones

TRADE CONFIRMATION

RETAIN FOR YOUR PERMANENT TAX RECORDS

**ANTHONY A GOMEZ
514 E MORRIS
YOAKUM TX 77995-3356**

YOUR FINANCIAL ADVISOR:

**JOHN MARK BROWN
311 LOTT
YOAKUM, TX 77995**

BRANCH NUMBER : 01489
FINANCIAL ADVISOR #: 148939
ANY QUESTIONS CALL (361) 293-6071

WE ARE PLEASED TO CONFIRM THE FOLLOWING TRANSACTION SUBJECT TO THE INFORMATION,
DISCLOSURES, AND TERMS ON THE FRONT AND REVERSE SIDES OF THIS DOCUMENT:

ON TRADE DATE	IN YOUR CASH ACCOUNT	80-1-1	FOR SETTLEMENT DATE	03/23/2023
	03/21/2023			
YOU BOUGHT	791 SHARES	PRICE	\$	1.3800
DESCRIPTION: PROTERRA INC SOLICITED		PRINCIPAL AMOUNT	\$	1,091.58
		COMMISSION		50.00
		TRANSACTION FEE		4.95
		TOTAL	\$	1,146.53

ORDER 471195088

CUSIP 74374T109 (PTRR)

We executed this transaction as your agent.

THANK YOU FOR ALLOWING EDWARD JONES THE OPPORTUNITY TO SERVE YOU.

TRADE CONFIRMATION

RETAIN FOR YOUR PERMANENT TAX RECORDS

ANTHONY A GOMEZ
514 E MORRIS
YOAKUM TX 77995-3356

YOUR FINANCIAL ADVISOR:

JOHN MARK BROWN
311 LOTT
YOAKUM, TX 77995

BRANCH NUMBER : 01489
FINANCIAL ADVISOR #: 148939
ANY QUESTIONS CALL (361) 293-6071

WE ARE PLEASED TO CONFIRM THE FOLLOWING TRANSACTION SUBJECT TO THE INFORMATION,
DISCLOSURES, AND TERMS ON THE FRONT AND REVERSE SIDES OF THIS DOCUMENT:

ON TRADE DATE	IN YOUR CASH ACCOUNT	80-1-1	FOR SETTLEMENT DATE	08/06/2021
YOU BOUGHT	139 SHARES	PRICE	\$	10.3880
DESCRIPTION: PROTERRA INC UNSOLICITED		PRINCIPAL AMOUNT	\$	1,443.93
		COMMISSION		50.00
		TRANSACTION FEE		4.95
		TOTAL	\$	1,498.88

ORDER 039109305

CUSIP 74374T109 (PTR)

We executed this transaction as your agent.

▪ If the phrase "average price" appears on this confirmation, details regarding the actual execution prices are available on request.

THANK YOU FOR ALLOWING EDWARD JONES THE OPPORTUNITY TO SERVE YOU.