

Fill in this information to identify the case:

Debtor Proterra Inc

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-11120

Official Form 410
Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. **Who is the current creditor?** Andrew Merlin Maley
Name of the current creditor (the person or entity to be paid for this claim)
Other names the creditor used with the debtor Andrew Maley; Andrew M Maley

2. **Has this claim been acquired from someone else?** No
 Yes. From whom? _____

3. **Where should notices and payments to the creditor be sent?**

Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
<u>Andrew Merlin Maley</u> <u>Merlin Maley</u> <u>416 Pennsylvania</u> <u>Denver, CO 80203, United States</u>	
Contact phone <u>303-550-0752</u>	Contact phone _____
Contact email <u>merlin.maley@stantec.com</u>	Contact email _____
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	

4. **Does this claim amend one already filed?** No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____
MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?** No
 Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ____ _

7. How much is the claim? \$ 10,102.40. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Ownership of 590 shares of common stock of Proterra

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



<p>12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?</p>	<p><input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Check all that apply:</p>	<p>Amount entitled to priority</p>
<p>A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.</p>	<p><input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). <input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). <input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). <input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). <input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.</p>	<p>\$ _____ \$ _____ \$ _____ \$ _____ \$ _____</p>
<p>* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.</p>		
<p>13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?</p>	<p><input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.</p>	
<p>\$ _____</p>		

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.
 I am the creditor's attorney or authorized agent.
 I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
 I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/27/2023
MM / DD / YYYY

/s/Andrew Merlin Maley
Signature

Print the name of the person who is completing and signing this claim:

Name Andrew Merlin Maley
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 251-3076 | International (310) 751-2617

Debtor: 23-11120 - Proterra Inc				
District: District of Delaware				
Creditor: Andrew Merlin Maley Merlin Maley 416 Pennsylvania Denver, CO, 80203 United States Phone: 303-550-0752 Phone 2: 303-921-8708 Fax: Email: merlin.maley@stantec.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:			
	Has Related Claim: No Related Claim Filed By:			
	Filing Party: Creditor			
Other Names Used with Debtor: Andrew Maley; Andrew M Maley		Amends Claim: No Acquired Claim: No		
Basis of Claim: Ownership of 590 shares of common stock of Proterra		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Last 4 Digits: No</td> <td style="width: 50%;">Uniform Claim Identifier:</td> </tr> </table>	Last 4 Digits: No	Uniform Claim Identifier:
Last 4 Digits: No	Uniform Claim Identifier:			
Total Amount of Claim: 10,102.40		Includes Interest or Charges: No		
Has Priority Claim: No		Priority Under:		
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No		Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:		
Submitted By: Andrew Merlin Maley on 27-Oct-2023 12:46:16 p.m. Eastern Time Title: Company:				

Ameriprise Brokerage Account (continued)

Account #: [REDACTED]

Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X Market price	Ending value = this period ⁷	Ending value last period	Net change this period	Estimated	
							Annual income	Yield
Stocks and ADRs								
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
PROTERRA INC	PTRAQ	590.000	\$0.05	\$31.86	\$51.33	-\$19.47	\$0.00	0.00%
Total Stocks and ADRs				[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

[REDACTED]	Ending value this period ⁷	Ending value last period	Net change this period
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

² Ameriprise Insured Money Market Account (AIMMA) deposits are FDIC-insured with applicable limits when placed with program Banks, represent direct obligations of the program Banks, and are not covered by SIPC. AIMMA deposits placed in the Dreyfus money market mutual fund, if applicable, are not FDIC-insured, but rather are securities covered by SIPC. You may opt out of depositing your funds at listed program Banks as outlined in your Other Important Brokerage Disclosures.

⁵ Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.

⁷ Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.

⁹ To provide a view of your complete financial picture, information regarding non-RiverSource annuity and insurance contracts you own are displayed and included in the "Ending value" totals. Please note that while these values are displayed within this statement, these non-RiverSource annuity and insurance contracts are held directly with the issuing insurance company and are not held by Ameriprise or protected by SIPC. Information provided by non-RiverSource insurance companies and displayed on the consolidated statement is on a "best efforts" basis as a courtesy. The timing, frequency and level of information provided varies by carrier and some insurance companies may not provide any contract information to Ameriprise. Accuracy of these values is not guaranteed, and Ameriprise is not responsible for any information provided by the issuing companies. Please verify contract and rider information with the issuing insurance company prior to taking action on your contract, as values may have changed and surrender charges may apply. If you have any questions regarding your annuity/insurance contract please contact your financial advisor. The annuitants and/or designated beneficiaries listed on this account statement may be different than those listed on the annuity or insurance contract. For detailed information about your RiverSource annuity and insurance contracts, refer to the RiverSource detail pages. For non-RiverSource annuity and insurance contract information, refer to the issuing company's statement for contract details, or contact the issuing insurance company directly. See the Disclosures section of this statement for more information.