

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE

In re: PROSOMNUS, INC., <i>et al.</i> , ¹ Debtors.	Chapter 11 Case No. 24-10972 (JTD) (Jointly Administered)
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CERTIFICATE OF SERVICE

I, Alejandro Guerra, depose and say that I am employed by Kurtzman Carson Consultants LLC (KCC), the claims and noticing agent for the Debtors in the above-captioned case.

On May 28, 2024, at my direction and under my supervision, employees of KCC caused to be served the following documents via Electronic Mail upon the service lists attached hereto as **Exhibit A** and **Exhibit B**; and via First Class Mail upon the service lists attached hereto as **Exhibit C** and **Exhibit D**:

- **Motion of Debtors for Entry of Order (I) Approving Disclosure Statement and Form and Manner of Notice of Disclosure Statement Hearing, (II) Establishing Solicitation and Voting Procedures, (III) Scheduling Confirmation Hearing, (IV) Establishing Notice and Objection Procedures for Confirmation of Plan, and (V) Granting Related Relief** [Docket No. 88]
- **Order (I) Shortening Notice of Hearing on Motion for an Order Approving Disclosure Statement and (II) Granting Related Relief** [Docket No. 94]

Furthermore, on May 28, 2024, at my direction and under my supervision, employees of KCC caused to be served the following document via Electronic Mail upon the service list attached hereto as **Exhibit A**; and, on May 29, 2024, via First Class Mail upon the service list attached hereto as **Exhibit C**:

[Continued on Next Page]

¹ The Debtors in these chapter 11 cases, along with the last four digits of each Debtor’s federal tax identification number are: ProSomnus, Inc. (8216), ProSomnus Holdings, Inc. (3855), and ProSomnus Sleep Technologies, Inc. (0766). The location of the Debtors’ principal place of business and the Debtors’ mailing address is 5675 Gibraltar Dr., Pleasanton, California 94588.



- **Disclosure Statement for Joint Chapter 11 Plan of Reorganization of ProSomnus, Inc. and its Debtor Affiliates** [Docket No. 87]

Dated: June 5, 2024

/s/ Alejandro Guerra
Alejandro Guerra
KCC
222 N Pacific Coast Highway,
3rd Floor
El Segundo, CA 90245
Tel 310.823.9000

Exhibit A

Core/2002 Service List
Served via Electronic Mail

Description	CreditorName	CreditorNoticeName	Email
Top 20 Creditor	Act & Paradigm	Paradigm Dental Models	info@paradigmmodels.com
Counsel to Balboa Capital, a division of Ameris Bank	Bayard, P.A.	Ericka F. Johnson, Steven D. Adler	ejohnson@bayardlaw.com; sadler@bayardlaw.com
Top 20 Creditor	CadBlu		info@cadblu.com
Counsel to Wilmington Trust, National Association, in its capacity as trustee	Cross & Simon, LLC	Christoper Simon, Kevin Mann	csimon@crosslaw.com; kmann@crosslaw.com
Top 20 Creditor	Definitive Healthcare	Matt Ruderman	billing@definitivehc.com
Delaware State AG and DOJ	Delaware Dept of Justice	Attorney General	attorney.general@state.de.us; attorney.general@delaware.gov
DE Secretary of State	Delaware Secretary of State	Division of Corporations	dosdoc_bankruptcy@state.de.us
DE State Treasury	Delaware State Treasury		statetreasurer@state.de.us
Counsel to Alliance Funding Group	Dressler & Peters, LLC	Kenneth D. Peters	kpeters@dresslerpeters.com
Counsel to Alliance Funding Group	Elliott Greenleaf, P.C.	Deirdre M. Richards	dmr@elliottgreenleaf.com
Top 20 Creditor	FedEx		Bankruptcy@FedEx.com; gazel.rabonza.osv@fedex.com
Counsel to Balboa Capital, a division of Ameris Bank	Glass & Goldberg	Marshall Goldberg	mgoldberg@glassgoldberg.com
Top 20 Creditor	Growth Diagnostics, LLC		chrish@live.com
IRS	Internal Revenue Service	Attn Susanne Larson	SBSE.Insolvency.Balt@irs.gov
Top 20 Creditor	Interstate Advanced materials		ar@interstateplastics.com; katherine.e@interstateplastics.com
Counsel to the Sponsoring Noteholders, proposed DIP Lenders, and the Ad Hoc Crossover Group of Convertible Noteholders of ProSomnus, Inc.	Kilpatrick Townsend & Stockton LLP	David M. Posner, Gianfranco Finizio & Kelly E. Moynihan	dposner@ktslaw.com; gfinizio@ktslaw.com; KMoynihan@ktslaw.com
Top 20 Creditor	LeoneAmerica		cgomez@leoneamerica.com
Top 20 Creditor	Marcum LLP		kristin.barishian@marcumllp.com
Counsel to the Sponsoring Noteholders, proposed DIP Lenders, the Ad Hoc Crossover Group of Convertible Noteholders of ProSomnus, Inc., and DIP Agent	Morris James LLP	Attn Eric J. Monzo and Brya M. Keilson	emonzo@morrisjames.com; bkeilson@morrisjames.com
Top 20 Creditor	Moss Adams LLP		administrative@mossadams.com
Top 20 Creditor	NASDAQ INC.		bchen65@126.com
US Trustee for District of DE	Office of the United States Trustee Delaware	Attn Jon Lipshie	jon.lipshie@usdoj.gov
Top 20 Creditor	Parachute Technology, Inc.		accountant@parachutetechs.com
Counsel to the Prepetition Agents	Pryor Cashman LLP	Seth Lieberman	slieberman@pryorcashman.com
Counsel to Wilmington Trust, National Association, in its capacity as trustee	Pryor Cashman LLP	Seth Lieberman, Patrick Sibley, Andrew Richmond	slieberman@pryorcashman.com; psibley@pryorcashman.com; arichmond@pryorcashman.com
SEC Regional Office	Securities & Exchange Commission	NY Regional Office	bankruptcynoticeschr@sec.gov; nyrobankruptcy@sec.gov
SEC Regional Office	Securities & Exchange Commission	PA Regional Office	philadelphia@sec.gov
SEC Headquarters	Securities & Exchange Commission	Secretary of the Treasury	SECBankruptcy-OGC-ADO@SEC.GOV; secbankruptcy@sec.gov
Counsel to DIP Agent	Seward & Kissel LLP	John R. Ashmead, Catherine V. LoTempio & Gregg Bateman	ashmead@sewkis.com; lotempio@sewkis.com; bateman@sewkis.com
Top 20 Creditor	University of Minnesota		controller@umn.edu
US Attorney for District of Delaware	US Attorney for District of Delaware	US Attorney for Delaware	usade.ecfbankruptcy@usdoj.gov
Top 20 Creditor	Utah Paper Box		info@upbslc.com
Top 20 Creditor	UZA	Antwerp University Hospital	boekhouding-studies@uza.be
Top 20 Creditor	VHF Inc	Attention AP Dept.	info@vhf.com
DIP Agent	Wilmington Savings Fund Society, FSB	Attn Raye Goldsborough	rgoldsborough@wsfsbank.com
Top 20 Creditor	Wilson Sonsini Goodrich & Rosati PC	General Counsel	rstrickland@wsgr.com
Counsel to The Huntington National Bank	Womble Bond Dickinson (US) LLP	James S. Livermon, III	Charlie.Livermon@wbd-us.com

Exhibit B

Exhibit B

**Securities Email Service List
Served via Electronic Mail**

CreditorName	Email
AEIS	penny.i.zalesky@ampf.com
Baird Robert W & Co Incorporated	reorg@rwbaird.com
Bank of America	bascorporateactions@bofasecurities.com
Bank of America	cpactionslitigation@bofa.com
Bank of America	earl.weeks@bofa.com
Bank of America	tss.corporate.actions@bankofamerica.com
Barclays Bank PLC	anthony.sciaraffo@barclays.com
Barclays Bank PLC	nyvoluntary@barclays.com
Bloomberg	release@bloomberg.net
BMO Nesbitt Burns Inc	operationscontrol@bmo.com
BMO Nesbitt Burns Inc.	wmpoclass.actions@bmo.com
BNP Paribas	gc_us_corporate_actions@us.bnpparibas.com
BNP Paribas	us.pb.proxy@us.bnpparibas.com
BNP Paribas NY Branch	pb.mand.corp.actions.dtc@us.bnpparibas.com
BNY Mellon	enis.suljic@bnymellon.com
BNY Mellon	theresa.stanton@bnymellon.com
Broadridge	specialprocessing@broadridge.com
Brown Brothers	ca.voluntary@bbh.com
Brown Brothers	nj.mandatory.inbox@bbh.com
Cetera Investment Services LLC	dawn.malard@ceterafi.com
Charles Schwab & Co Inc	benjamin.gibson@schwab.com
Charles Schwab & Co Inc	phxmcb@schwab.com
Charles Schwab & Co Inc	voluntarysetup@schwab.com
CIBC World Markets Inc	mailbox.caeventscibc@cibc.ca
Citibank NA	gts.caec.tpa@citi.com
Citibank NA	sandra.hernandez@citi.com
Clearstream International SA	ca_general.events@clearstream.com
Clearstream International SA	ca_mandatory.events@clearstream.com
Credit Agricole Secs USA Inc.	csicorpactions@ca-cib.com
Credit Suisse Securities (USA) LLC	asset.servnotification@credit-suisse.com
Credit Suisse Securities (USA) LLC	list.nyevtintgrp@credit-suisse.com
Desjardins Securities Inc	veronique.lemieux@vmd.desjardins.com
Deutsche Bank Securities Inc	jaxca.notifications@db.com
Edward D Jones & Co	kennique.meals@edwardjones.com
Euroclear Bank S.A./N.V.	ca.omk@euroclear.com
Euroclear Bank S.A./N.V.	eb.ca@euroclear.com
Financial Industry Regulatory Authority	otc.bankruptcies@finra.org
Financial Information Inc.	reorgnotificationlist@finet.com
Foliofn Investments	wade.lynch@gs.com
FUTU Clearing	corporateactions@futuclearing.com
Goldman Sachs & Co	gs-as-ny-proxy@gs.com
Goldman Sachs & Co	gs-as-ny-proxy@ny.email.gs.com
Goldman Sachs & Co	newyorkannchub@gs.com
HRT Financial LLC	will@hudson-trading.com
HSBC Securities & Markets	custodyus@us.hsbc.com
Interactive Broker Retail Equity Clearing	kmccarthy@interactivebrokers.com
Interactive Brokers	bankruptcy@ibkr.com
J P Morgan Clearing Corp	desiree.avinger-bradley@jpmchase.com
Jefferies	corporate_actions_reorg@jefferies.com
Jefferies	mhardiman@jefferies.com
JPMorgan Chase Bank	ibdvr.materials@jpmchase.com

Exhibit B

**Securities Email Service List
Served via Electronic Mail**

CreditorName	Email
JPMorgan Chase Bank	jpmorganinformation.services@jpmchase.com
JPMorgan Chase Bank	pb.announcement.capture@jpmchase.com
JPMorgan Chase Bank NA	jpm_dallas_voluntary_reorg@jpmchase.com
JPMorgan Clearing	ib_domestic_voluntary_corporate_actions@jpmorgan.com
Knight Clearing Services LLC	kcscorporateactions@knight.com
LPL Financial Corporation	christine.stawinsky@lpl.com
LPL Financial Corporation	corporateaction.mailbox@lplfinancial.com
Manufacturers and Traders Trust Co	reorggrp@mtb.com
Mediant Communications	corporateactions@betanxt.com
Mediant Communications	maya.hamdan@betanxt.com
Mediant Communications	michele.kass@betanxt.com
Mediant Communications	noel.seguin@betanxt.com
Mirae Asset Securities (USA) Inc.	gerard.novello@miraeasset.us.com
Mitsubishi UFJ Trust & Banking Corp	corporateactions-dl@us.tr.mufg.jp
Morgan Stanley Smith Barney	carol.sorhaindo-charlemagne@morganstanley.com
Morgan Stanley Smith Barney	cavsdm@morganstanley.com
Morgan Stanley Smith Barney	dealsetup@morganstanley.com
Morgan Stanley Smith Barney	im-classact@morganstanley.com
Morgan Stanley Smith Barney	jodancy.mackensy@morganstanley.com
Morgan Stanley Smith Barney	john.dimartinis@morganstanley.com
Morgan Stanley Smith Barney	john.falco@morganstanley.com
Morgan Stanley Smith Barney	john.rogan@morganstanley.com
Morgan Stanley Smith Barney	proxy.balt@morganstanley.com
Morgan Stanley Smith Barney	raquel.del.monte@morganstanley.com
Morgan Stanley Smith Barney	usproxies@morganstanley.com
Morgan Stanley Smith Barney	voluntary.processing@morganstanley.com
National Financial Services	reorganization@fmr.com
NBCN Inc	anna.medeiros@nbcn.ca
Northern Trust Company	cs_notifications@ntrs.com
Pershing LLC Securities Corporation	sreifer@pershing.com
Pershing LLC Securities Corporation	voluntaryprocessing@pershing.com
PNC Bank NA	caspr@pnc.com
Raymond James & Associates Inc	corporateactions@raymondjames.com
Raymond James & Associates Inc	tracey.goodwin@raymondjames.com
RBC Capital Markets Corporation	mn_reorg_liaison@rbc.com
RBC Capital Markets Corporation	rbcwmreorganization@rbc.com
RBC Capital Markets Corporation	steve.schafer@rbc.com
RBC Dominion Securities Inc	deborah.nicholas@rbc.com
Robinhood Securities LLC	jennifer.ditzel@robinhood.com
Robinhood Securities LLC	proxy@saytechnologies.com
Royal Bank of Canada	donald.garcia@rbc.com
Scotia Bank	iss.reorg@scotiabank.com
SEI PV/GWP	jhess@seic.com
SEI PV/GWP	platformca@seic.com
SEI PV/GWP	sptccorporateactions@seic.com
SIS SegalInterSettle AG	ca.notices@six-securities-services.com
Southwest Securities	proxy@swst.com
Southwest Securities	vallwardt@swst.com
State Street Bank and Trust Co	rjray@statestreet.com
State Street Bank and Trust Co	uscaresearch@statestreet.com
Stifel Nicolaus & Co Inc	snipesm@stifel.com

Exhibit B

**Securities Email Service List
Served via Electronic Mail**

CreditorName	Email
TD Ameritrade Clearing Inc	zreorganizationdept@schwab.com
TD Waterhouse Canada Inc	tdnotice@td.com
The Bank of New York Mellon	justin.whitehouse@bnymellon.com
The Bank of New York Mellon	pgheventcreation@bnymellon.com
The Canadian Depository	sies-cainfo@cds.ca
The Depository Trust Co	consentannouncements@dtcc.com
The Depository Trust Co	cscotto@dtcc.com
The Depository Trust Co	david.boggs@markit.com
The Depository Trust Co	joseph.pozolante@markit.com
The Depository Trust Co	kevin.jefferson@markit.com
The Depository Trust Co	legalandtaxnotices@dtcc.com
The Depository Trust Co	lensnotices@dtcc.com
The Depository Trust Co	mandatoryreorgannouncements@dtcc.com
The Depository Trust Co	mk-corporateactionsannouncements@markit.com
TradeStation Group Inc	ccanning@tradestation.com
UBS Financial Services LLC	jane.flood@ubs.com
UBS Financial Services LLC	ol-stamfordcorpactions@ubs.com
UBS Financial Services LLC	ol-wma-ca-proxy@ubs.com
UBS Financial Services LLC	ol-wma-vol-caip@ubs.com
UBS Financial Services LLC	ol-wma-volcorpactions@ubs.com
UBS Financial Services LLC	sh-ca-proxy@ubs.com
UBS Financial Services LLC	sh-proxyvoting@ubs.com
UBS Financial Services LLC	sh-vol-caip-na@ubs.com
UBS Financial Services LLC	sh-wma-caproxyclassactions@ubs.com
UBS Securities LLC	michael.marciano@ubs.com
UBS Securities LLC	ol-eventmanagement@ubs.com
UMB Bank National Association	raymond.coop@umb.com
US Bank NA	andy.becker@usbank.com
US Bank NA	trustcorporateactions@usbank.com
Vanguard Marketing Corporation	vbs_corporate_actions@vanguard.com
Velocity Clearing LLC	corporateactions@velocityclearingllc.com
Velox Clearing LLC	dl-operations@velox-global.com
Vision Financial Markets	reorgs@visionfinancialmarkets.com
Vision Financial Markets LLC	amartinez@visionfinancialmarkets.com
Wells Fargo Advisors	prospectusservicing1@firstclearing.com
Wells Fargo Bank NA/Sig	corporate.actiongroup@wellsfargo.com

Exhibit C

Exhibit C

Core/2002 Service List
Served via First Class Mail

Description	CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
Top 20 Creditor	Act & Paradigm	Paradigm Dental Models	920 S Andreasen Dr Ste 106			Escondido	CA	92029-1936	
Top 20 Creditor	Alston & Bird		90 Park Ave			New York	NY	10016	
Top 20 Creditor	CadBlu		250 West 39th St	Ground Floor		New York	NY	10018	
Top 20 Creditor	Definitive Healthcare	Matt Ruderman	492 Old Connecticut Path			Framingham	MA	01701	
Delaware State AG and DOJ	Delaware Dept of Justice	Attorney General	Attn Bankruptcy Department	Carvel State Building	820 N French St	Wilmington	DE	19801	
DE Secretary of State	Delaware Secretary of State	Division of Corporations	Franchise Tax	PO Box 898		Dover	DE	19903	
DE State Treasury	Delaware State Treasury		820 Silver Lake Blvd., Suite 100			Dover	DE	19904	
Top 20 Creditor	FedEx		P.O. Box 7221			Pasadena	CA	91109-7321	
Counsel to Balboa Capital, a division of Ameris Bank	Glass & Goldberg	Marshall Goldberg	22917 Burbank Blvd			Woodland Hills	CA	91367-4203	
Top 20 Creditor	Growth Diagnostics, LLC		12675 58th St			Mayer	MN	55360	
Top 20 Creditor	Icahn School of Medicine at Mount Sinai		1 Gustave L. Levy Place			New York	NY	10029	
IRS	Internal Revenue Service	Attn Susanne Larson	31 Hopkins Plz Rm 1150			Baltimore	MD	21201	
IRS	Internal Revenue Service	Centralized Insolvency Operation	PO Box 7346			Philadelphia	PA	19101-7346	
Top 20 Creditor	Interstate Advanced materials		P.O. Box 888094			Los Angeles	CA	90088-8094	
Top 20 Creditor	LeoneAmerica		1641 S. Rose Ave			Oxnard	CA	93033-2404	
Top 20 Creditor	Marcum LLP		1 Canal Plaza 4th Floor			Portland	ME	04101	
Top 20 Creditor	Moss Adams LLP		999 3rd Avenue	Suite 3300		Seattle	WA	98104	
Top 20 Creditor	NASDAQ INC.		151 West 42nd Street			New York	NY	10036	
US Trustee for District of DE	Office of the United States Trustee Delaware	Attn Jon Lipshie	844 King St Ste 2207	Lockbox 35		Wilmington	DE	19801	
Top 20 Creditor	Parachute Technology, Inc.		2010 Crow Canyon Place Suite 260			San Ramon	CA	94583	
Counsel to the Prepetition Agents	Pryor Cashman LLP	Seth Lieberman	7 Times Square	40th Fl		New York	NY	10036	
Top 20 Creditor	Resorts World Las Vegas LLC		3000 Las Vegas Blvd South			Las Vegas	NV	89109	
SEC Regional Office	Securities & Exchange Commission	NY Regional Office	Regional Director	100 Pearl St., Suite 20-100		New York	NY	10004-2616	
SEC Regional Office	Securities & Exchange Commission	PA Regional Office	Regional Director	One Penn Center	1617 JFK Boulevard Ste 520	Philadelphia	PA	19103	
SEC Headquarters	Securities & Exchange Commission	Secretary of the Treasury	100 F St NE			Washington	DC	20549	
Counsel to DIP Agent	Seward & Kissel LLP	John R. Ashmead, Catherine V. LoTempio & Gregg Bateman	One Battery Park Plaza			New York	NY	10004	
Top 20 Creditor	Stanford University		459 Lagunita Dr	Suite 7		Stanford	CA	94305	
Top 20 Creditor	University of Minnesota		NW 5960	PO Box 1450		Minneapolis	MN	55485-5960	
US Attorney for District of Delaware	US Attorney for District of Delaware	US Attorney for Delaware	1313 N Market Street	Hercules Building		Wilmington	DE	19801	
Top 20 Creditor	Utah Paper Box		920 S 700 W			Salt Lake City	UT	84104	
Top 20 Creditor	UZA	Antwerp University Hospital	Drie Eikenstraat 655			Edegem		2650	Belgium
Top 20 Creditor	VHF Inc	Attention AP Dept.	80 Davids Drive Ste. 5			Hauppauge	NY	11788	
DIP Agent	Wilmington Savings Fund Society, FSB	Attn Raye Goldsborough	500 Delaware Avenue			Wilmington	DE	19801	
Prepetition Agents	Wilmington Trust	Sarah Vilhauer	50 South Sixth St, Suite 1290			Minneapolis	MN	55402	
Top 20 Creditor	Wilson Sonsini Goodrich & Rosati PC	General Counsel	650 Page Mill Road			Palo Alto	CA	94304	

Exhibit D

Nominee Service List
Served via First Class Mail

CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
AEIS	Penny Zalesky	2178 Ameriprise Financial Center	Routing S6/2178		Minneapolis	MN	55474	
Alpaca Securities LLC	Proxy Department	42881 Lake Babcock Dr.	Suite 200		Babcock Ranch	FL	33982	
Apex Clearing	Matt Freifeld	1981 Marcus Ave	Ste 100		Lake Success	NY	11042	
Baird Robert W & Co Incorporated	Actions Corporate	777 E Wisconsin Ave			Milwaukee	WI	53202	
Bank of America Merrill Lynch	Earl V. Weeks	C/O Merrill Lynch Corporate Actions	4804 Deer Lake Drive East		Jacksonville	FL	32246	
Barclays Bank Inc LE	Giovanna Laurella	70 Hudson St	7th Fl		Jersey City	NJ	07302-0000	
Barclays Bank PLC	Anthony Sciaraffo	1301 Sixth Avenue			New York	NY	10019	
BMO Nesbitt Burns Inc	Louise Torangeau	1 First Canadian Place 13th Fl	PO Box 150		Toronto	ON	M5X 1H3	Canada
BNP Paribas NY Branch	Richard Wenskoski	735 Chesterbrook Blvd.			Wayne	PA	19087	
BOFA Securities Inc.	Earl V. Weeks	C/O Merrill Lynch Corporate Actions	4804 Deer Lake Drive East		Jacksonville	FL	32246	
Brown Brothers Harriman & Co	Jerry Travers	525 Washington Blvd	Newport Towers		Jersey City	NJ	07310	
Cetera Investment Services LLC	Dawn Mallard	400 First Street South	Ste 300		St Cloud	MN	56301	
Charles Schwab & Co Inc	Benjamin Gibson	2423 E Lincoln Dr	Corp Actions Dept 01-1B572		Phoenix	AZ	85016	
CIBC World Markets Inc	Roderick Roopsingh	22 Front St West			Toronto	ON	M5J 2W5	Canada
Citibank NA	Sandra Hernandez	3800 Citibank Center B3 12			Tampa	FL	33610	
Desjardins Securities Inc	Veronique Lemieux	1060 University Street	Suite 101		Montreal	PQ	H5B 5L7	Canada
Drivewealth LLC	Drivewealth LLC	15 Exchange Place			Jersey City	NJ	07302	
Edward D Jones & Co	Kennique Meals	12555 Manchester Rd	Corp Actions Dept		St Louis	MO	63131	
FUTU Clearing	Colette Rex	12750 Merit Dr	Ste 475		Dallas	TX	75251	
Goldman Sachs & Co	Proxy Department	30 Hudson St			Jersey City	NJ	07302-4699	
HRT Financial LLC	William Krinsky	32 Old Slip 30th Floor			New York	NY	10005	
Interactive Broker Retail Equity Clearing	Karin McCarthy	2 Pickwick Plz	2nd Fl		Greenwich	CT	06830	
J P Morgan Clearing Corp	Desiree Avinger-Bradley	4 Chase Metrotech Center	3rd Floor		Brooklyn	NY	11245	
JPMorgan Chase Bank NA	Reorg Dept	14201 Dallas Parkway			Dallas	TX	75254	
Knight Clearing Services LLC	Anna Rossi	545 Washington Blvd			Jersey City	NJ	07310	
LPL Financial Corporation	Christine Stawinsky	Corporate Actions	1055 LPL Financial Way		Fort Mill	SC	29715	
Manufacturers and Traders Trust Co	Stephen Schmidt	1 M & T Plaza	8th Fl		Buffalo	NY	14203	
Merrill Lynch, Pierce, Fenner & Smith	Earl Weeks	4804 Deerlake Dr. East			Jacksonville	FL	32246	
Mirae Asset Securities (USA) Inc.	Gerard Novello	810 7th Ave	37th Floor		New York	NY	10019	
Morgan Stanley Smith Barney	John Rogan	Corporate Actions Dept	One New York Plaza		New York	NY	10004	
National Financial Services	Lou Trezza	200 Liberty St			New York	NY	10281	
NBCN Inc	Anna Medeiros	1010 de la Gauchetiere			Montreal	BC	H3B 5J2	Canada
Pershing LLC Securities Corporation	Scott Reifer	1 Pershing Plaza			Jersey City	NJ	07399-0000	
PNC Bank NA	Eileen Blake	8800 Tinicum Blvd	MS F6 F266 02 2		Philadelphia	PA	19153	
Questrade Inc	Questrade Inc	5700 Yonge St			North York	ON	M2M 4K2	Canada
Raymond James & Associates Inc	Tracey Goodwin	880 Carillion Pkwy			St Petersburg	FL	33733	
RBC Capital Markets Corporation	Steve Schafer Jr	60 South Sixth St	9th Fl		Minneapolis	MN	55402-4400	
RBC Dominion Securities Inc	Shareholder Services	180 Wellington Street West	9th Floor		Toronto	ON	M5J 0C2	Canada
Robinhood Securities LLC	Dawn Pagliaro	500 Colonial Center Parkway, #100			Lake Mary	FL	32746	
SEI PV/GWP	Jeff Hess	One Freedom Valley Drive			Oaks	PA	19456	
Stifel Nicolaus & Co Inc	Michelle Snipes	501 N Broadway	7th Fl		St. Louis	MO	63102	
TD Ameritrade Clearing Inc	Mandi Foster	PO Box 2155			Omaha	NE	68103-2155	
TD Prime Services	Alfred Scarangello	45 Broadway	24th Floor		New York	NY	10006	
TD Waterhouse Canada Inc	Beverly Adams	60 North Windplace			Scarborough	ON	M1S 3A7	Canada
TradeStation Group Inc	Cindy Canning	8050 SW 10th St	Ste 2000		Plantation	FL	33324	
UBS Financial Services LLC	Jane Flood	1000 Harbor Blvd			Weehawken	NJ	07086-0000	
UMB Bank National Association	Corporate Actions	928 Grand Blvd			Kansas City	MO	64106	

Exhibit D

**Nominee Service List
Served via First Class Mail**

CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
US Bank NA	Andy Becker	1555 N Rivercenter Dr Ste 302	Attn Securities Control		Milwaukee	WI	53212	
Vanguard Marketing Corporation	Corporate Actions	455 Devon Park Dr	Attn Corporate Actions	Mailstop 924	Wayne	PA	19087-1815	
Velocity Clearing LLC	Paul Fioribello	1301 Route 36	Suite 109		Hazlet	NJ	07730	
Velox Clearing LLC	Velox Clearing LLC	600 Brickell Ave	Suite 2800		Miami	FL	33131	
Vision Financial Markets LLC	Anna Martinez	120 Long Ride Road 3			North Stamford	CT	06902	
Wedbush Securities Inc	Alan Ferreira	1000 Wilshire Blvd	Ste 850		Los Angeles	CA	90017	
Wells Fargo Advisors	ATTN: Proxy Department	One North Jefferson Avenue			St Louis	MO	63103	
Wilson-Davis & Co, Inc	Bill Walker	236 South Main St			Salt Lake City	UT	84101	