

Fill in this information to identify the case:

Debtor Novan, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-10937

Official Form 410
Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. **Who is the current creditor?** Duke Energy Carolinas
Name of the current creditor (the person or entity to be paid for this claim)
Other names the creditor used with the debtor _____

2. **Has this claim been acquired from someone else?** No
 Yes. From whom? _____

3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
	Duke Energy Carolinas c/o Mary M. Caskey, Esq. Haynsworth Sinkler Boyd, PA PO Box 11889 Columbia, SC 29211-1889 Contact phone <u>803-779-3080</u> Contact email <u>mcaskey@hsblawfirm.com</u> Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	Duke Energy Carolinas c/o Lynn Colombo 525 S Church St DEP-09A Charlotte, NC 28202 Contact phone _____ Contact email <u>lynn.colombo@duke-energy.com</u>

4. **Does this claim amend one already filed?** No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____
MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?** No
 Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 3716 ____

7. How much is the claim? \$ 26,483.52. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
utilities

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

- No
- Yes. Check all that apply:

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

- Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). Amount entitled to priority
\$ _____
- Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ _____
- Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ _____
- Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ _____
- Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ _____
- Other. Specify subsection of 11 U.S.C. § 507(a)() that applies. \$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

- No
- Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/16/2023
MM / DD / YYYY

/s/Mary M. Caskey
Signature

Print the name of the person who is completing and signing this claim:

Name Mary M. Caskey
First name Middle name Last name

Title Attorney

Company Haynsworth Sinkler Boyd, PA
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 251-2954 | International (310) 751-2614

Debtor: 23-10937 - Novan, Inc.		
District: District of Delaware		
Creditor: Duke Energy Carolinas c/o Mary M. Caskey, Esq. Haynsworth Sinkler Boyd, PA PO Box 11889 Columbia, SC, 29211-1889 Phone: 803-779-3080 Phone 2: Fax: Email: mcaskey@hsblawfirm.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Authorized agent	
Disbursement/Notice Parties: Duke Energy Carolinas c/o Lynn Colombo 525 S Church St DEP-09A Charlotte, NC, 28202 Phone: Phone 2: Fax: E-mail: lynn.colombo@duke-energy.com DISBURSEMENT ADDRESS		
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: utilities	Last 4 Digits: Yes - 3716	Uniform Claim Identifier:
Total Amount of Claim: 26,483.52	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Mary M. Caskey on 16-Oct-2023 4:53:10 p.m. Eastern Time Title: Attorney Company: Haynsworth Sinkler Boyd, PA		



duke-energy.com
800.653.5307

Your Energy Bill

Service address
NOVAN INC
4020 STIRRUP CREEK DR
DURHAM NC 27703

Bill date Jul 27, 2023
For service Jul 15 - Jul 17
3 days

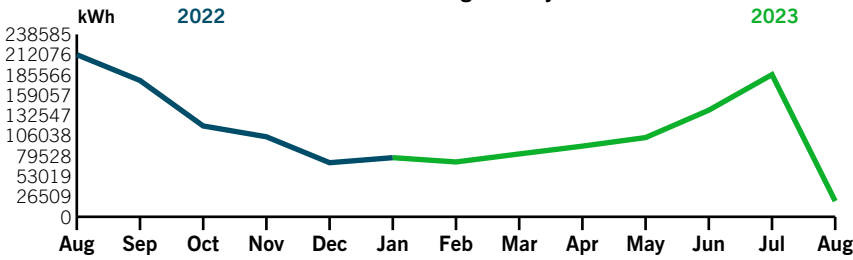
Account number **9100 9428 3716**

Billing summary - Final Bill

Previous Amount Due	\$26,800.19
<i>Payment Received</i>	0.00
Current Electric Charges	1,539.15
Deposit	-1,963.56
Taxes	107.74
Total Amount Due Aug 21	\$26,483.52

Your usage snapshot

Electric usage history



Average temperature in degrees

78° 71° 59° 54° 42° 48° 53° 54° 63° 66° 73° 80° 0°

	Current Month	Aug 2022	12-Month Usage	Avg Monthly Usage
Electric (kWh)	20,570	212,076	1,246,008	103,834
12-month usage based on most recent history				

Mail your payment at least 7 days before the due date or pay instantly at duke-energy.com/billing. Late payments are subject to a 1.0% late charge.

Please return this portion with your payment. Thank you for your business.



Duke Energy Return Mail
PO Box 1090
Charlotte, NC 28201-1090

Account number
9100 9428 3716

Amount due

\$26,483.52
by Aug 21

Final Bill

This is your final bill.

\$ _____ \$ _____
Add here, to help others with a contribution to Share the Light **Amount enclosed**

NOVAN INC
DEC45A LEGAL BANKRUPTCY
PO BOX 1321
CHARLOTTE NC 28201-1321

Duke Energy Payment Processing
PO Box 1094
Charlotte, NC 28201-1094

889100942837160004400026800190000000000000026483527



We're here for you

Report an emergency

Electric outage duke-energy.com/outages
800.769.3766

Convenient ways to pay your bill

Online	duke-energy.com/billing
Automatically from your bank account	duke-energy.com/draft
Speedpay (fee may apply)	duke-energy.com/pay-now 800.777.9898
By mail payable to Duke Energy	P.O. Box 1094 Charlotte, NC 28201-1094
In person	duke-energy.com/location

Help managing your account (not applicable for all customers)

Register for free paperless billing	duke-energy.com/paperless
Home	duke-energy.com/manage-home
Business	duke-energy.com/manage-bus

General questions or concerns

Online	duke-energy.com
Home: Mon - Fri (7 a.m. to 7 p.m.)	800.777.9898
Business: Mon - Fri (7 a.m. to 6 p.m.)	800.653.5307
For hearing impaired TDD/TTY	888.762.2724 or 711
International	1.407.629.1010

Check utility rates

Check rates and charges duke-energy.com/rates

Correspond with Duke Energy

P.O. Box 70516
Charlotte, NC 28272

Important to know

Please be sure we can safely access your meter. Don't worry if your digital meter flashes eights from time to time. That's a normal part of the energy measuring process.

Your electric service may be disconnected if your payment is past due

If payment for your electric service is past due, we may begin disconnection procedures. If your service is disconnected because of a missed payment, you must pay your past-due balance in full, plus a reconnection fee, before your service will be reconnected. The reconnection fee is \$9.90 during normal business hours (Monday - Friday, 8:00 a.m. - 5:00 p.m.) and after normal business hours it is \$11.32. A security deposit may also be required.

Electric service does not depend on payment for other products or services

Non-payment for non-regulated products or services (such as surge protection or equipment service contracts) may result in removal from the program but will not result in disconnection of electric service.

When you pay by check

We may process the payment as a regular check or convert it into a one-time electronic check payment.

Late payment charges

A late payment charge of 1.0% will be added for any past due utility balance not paid by the due date.

Storm Recovery Charge

This bill charge was approved in a financing order issued to DEC by the NCUC to recover storm recovery property. As approved by the NCUC, a special purpose entity is the owner of the rights to collect the storm recovery charge and DEC acts as the agent collecting for the special purpose entity. Visit duke-energy.com/SRC to learn more.

Para nuestros clientes que hablan Español

Representantes bilingües están disponibles para asistirle de lunes a viernes de 7 a.m. - 7 p.m. Para obtener más información o reportar problemas con su servicio eléctrico, favor de llamar al 800.777.9898.



Your usage snapshot - Continued

Current Electric Usage		
<u>Meter Number</u>	<u>Usage Type</u>	<u>Billing Period</u>
339342855	Actual	Jul 15 - Jul 17
Usage Values		
Billed kWh		20,570.400 kWh
Actual Demand-kW		367.200 kW
Billed Demand kW		367.200 kW
Peak Registration		
Actual Demand-kW	Jul 15 at 01:30 PM	367.20

Billing details - Electric

Billing Period - Jul 15 23 to Jul 17 23	
Meter - 339342855	
Basic Facility Charge	\$2.39
Energy Charge	1,533.45
Renewable Energy Rider	0.55
Storm Recovery Charge	
20,570.400 kWh @ \$0.00013400	2.76
Total Current Charges	\$1,539.15

Your current rate is Large General Service (LGS).

Billing details - Deposit

Deposit Released	\$-1,830.00
Deposit Interest	-133.56
Total	\$-1,963.56

Billing details - Taxes

Sales Tax For Utility	\$107.74
Total Taxes	\$107.74



duke-energy.com
800.653.5307

Your Energy Bill

Service address
NOVAN INC
4020 STIRRUP CREEK DR
DURHAM NC 27703

Bill date Jul 18, 2023
For service Jun 15 - Jul 14
30 days

Account number **9100 9428 3716**

Billing summary

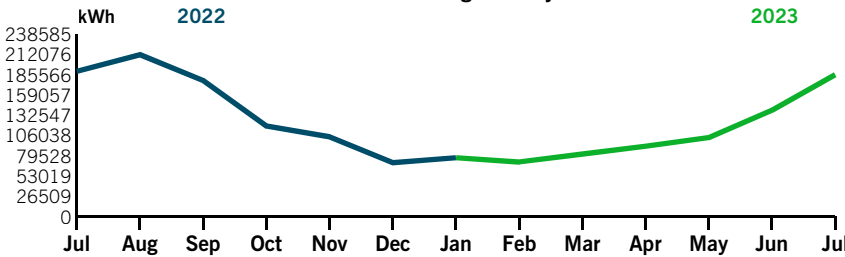
Previous Amount Due	\$20,211.34
<i>Payment Received Jun 27</i>	-8,782.13
Current Electric Charges	14,258.59
Other Charges and Credits	114.29
Taxes	998.10
Total Amount Due Aug 14	\$26,800.19



Thank you for your payment.

Your usage snapshot

Electric usage history



Average temperature in degrees

80° 78° 71° 59° 54° 42° 48° 53° 54° 63° 66° 73° 80°

	Current Month	Jul 2022	12-Month Usage	Avg Monthly Usage
Electric (kWh)	185,971	190,400	1,437,514	119,793
12-month usage based on most recent history				

Mail your payment at least 7 days before the due date or pay instantly at duke-energy.com/billing. Late payments are subject to a 1.0% late charge.

Please return this portion with your payment. Thank you for your business.



Duke Energy Return Mail
PO Box 1090
Charlotte, NC 28201-1090

Account number
9100 9428 3716

Amount due

\$26,800.19 <i>by Aug 14</i>	<i>After Aug 14, the amount due will increase to \$26,992.21.</i>
----------------------------------------	-------------------------------------------------------------------

\$ _____ \$ _____
Add here, to help others with a contribution to Share the Light **Amount enclosed**

NOVAN INC
DEC45A LEGAL BANKRUPTCY
PO BOX 1321
CHARLOTTE NC 28201-1321

Duke Energy Payment Processing
PO Box 1094
Charlotte, NC 28201-1094

88910094283716000440001142921000153709800026800190



We're here for you

Report an emergency

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800.769.3766

Convenient ways to pay your bill

Online duke-energy.com/billing
Automatically from your bank account duke-energy.com/draft
Speedpay (fee may apply) duke-energy.com/pay-now
800.777.9898
By mail payable to Duke Energy P.O. Box 1094
Charlotte, NC 28201-1094
In person duke-energy.com/location

Help managing your account (not applicable for all customers)

Register for free paperless billing duke-energy.com/paperless
Home duke-energy.com/manage-home
Business duke-energy.com/manage-bus

General questions or concerns

Online duke-energy.com
Home: Mon - Fri (7 a.m. to 7 p.m.) 800.777.9898
Business: Mon - Fri (7 a.m. to 6 p.m.) 800.653.5307
For hearing impaired TDD/TTY 888.762.2724 or 711
International 1.407.629.1010

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Check rates and charges duke-energy.com/rates

Correspond with Duke Energy

P.O. Box 70516
Charlotte, NC 28272

Important to know

Your next meter reading: Aug 14

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Your usage snapshot - Continued

Current Electric Usage		
<u>Meter Number</u>	<u>Usage Type</u>	<u>Billing Period</u>
339342855	Actual	Jun 15 - Jul 14
Usage Values		
Billed kWh		185,971.200 kWh
Actual Demand-kW		378.400 kW
Billed Demand kW		378.400 kW
Peak Registration		
Actual Demand-kW	Jul 03 at 01:30 PM	378.40

Billing details - Electric

Billing Period - Jun 15 23 to Jul 14 23	
Meter - 339342855	
Basic Facility Charge	\$23.91
Energy Charge	14,203.32
Renewable Energy Rider	5.54
Storm Recovery Charge	
185,971.200 kWh @ \$0.00014400	25.82
Total Current Charges	\$14,258.59

Your current rate is Large General Service (LGS).

Billing details - Other Charges and Credits

Late Fee	\$114.29
Total Other Charges and Credits	\$114.29

Billing details - Taxes

Sales Tax For Utility	\$998.10
Total Taxes	\$998.10