Case 23-10831-MFW Doc 1409 Filed 11/15/24

Page 1 of 30 Docket #1409 Date Filed: 11/15/2024

Notice of Response to Objection

Addressed to the:

(i) Office of the Clerk of the United States Bankruptcy Court for the District of Delaware, 824 North Market Street, 3rd Floor, Wilmington, DE 19801;

(ii) counsel for the Post-Effective Date Debtors: (a) Brown Rudnick LLP, One Financial Center, Boston, MA 02111 (Attn: Sharon I. Dwoskin (sdwoskin@brownrudnick.com); and (b) Morris James LLP, 500 Delaware Avenue, Suite 1500, Wilmington, DE 19801 (Attn: Eric J. Monzo (emonzo@morrisjames.com) and Brya M. Keilson (bkeilson@morrisjames.com); and

(iii) counsel for the Claims Ombudsman: (a) Halperin Battaglia & Benzija LLP, 40 Wall Street, New York, NY 10005 (Attn: Walter Benzija (wbenzija@halperinlaw.net and Keara Waldron (kwaldron@halperinlaw.net)), and (iv) (b) Bielli Klauder, LLC, 1204 N. King Street, Wilmington, DE 19801 (Attn: David M. Klauder (dklauder@bk-legal.com)).

Re: Case 23-10831-MFW Doc 1366 Filed 10/14/24 in relation to Debtor NU RIDE INC et all as recognized debtors for Chapter 11.

We are writing to object to the notice received for Case 23-10831-MFW whereby Nu Ride Inc and its affiliates are seeking to disallow the claim filled on account of equity interest are therefore looking to be granted relief. **We object to this.**

We have incurred significant damages as an equity holder through our investments in DPHC/RIDE. We are including excerpts of our brokerage statements which show proof of purchase (twice), conversion from DPHC to RIDE, reverse stock split and eventual sale which resulted in damages of \$4,136.07 USD.

We have submitted a valid claim as an equity holder to "Lordstown Ohio Securities Litigation Settlement" (claim number 224 and 1532).

We have also submitted a valid claim in the Chapter 11 case form 410 (submitted September 25, 2023).

We are refuting the objection filed by the debtor. We have incurred significant damages of \$4,133.16 USD due to gross mismanagement of the company by the debtor. Furthermore, we believe debtor knows about our status as a valid claimant and therefore should be disallowed to move towards objecting the validity of our claim. The debtor has copies of all our brokerage statement which clearly show all transaction history including purchase, conversion, reverse split and eventual sale at a significant loss leading to damages. Paragraph 23 of the document received stated that the equity claims on schedule 1 (of which I am on page 24), "assert claims based solely on ownership of an equity interest and do not assert any claims for damages based on purchase or sale of such equity security". This paragraph does not apply to us and therefore we are refuting this as a valid reason to allow an objection to our claim as it is grossly incorrect. We are asserting a

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claim of damages based on purchase of the shares (total purchase price \$4136.071 and sale (total sale price of \$2.912 on November 16, 2023; therefore we experienced total damages of \$4,133.16 USD.

Thank you for considering our response to the objection.

Sincerely,

Samhita Gera

Denish Bhavsar

- 1000 6,2024 = 11/06/24 23 Orleans Circle, Woodbridge, ON, L4H0V4, Canada

4166175059

gerasamhita@gmail.com

¹ Two separate occasions being 55 shares at 17.96 on September 10, 2020 of DPHC and 110 shares at 28.39 on November 25, 2020. Excerpts of brokerage statements included.

² Sale on November 16 2023 of 11 shares at 1.17. Note DPHC converted to RIDE on November 25, 2020 and then incurred a reverse split 1 for 15 on May 24, 2203. Excerpts of brokerage statements included.

Excerpt of Investment Statements

Purchase of DPHC 09/08/2020



Order Execution Only Cdn. Dollar Statement

SEPT 30 2020

Page 1 of 4

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4H 0V4

Date of Last Statement:

AUG. 31, 2020



Order Execution Only U.S. Dollar Statement

SEPT 30 2020

Your Account Number:

683-52178-1-8

Acco	unt Act	ivity				
				PRICE		
DATE	ACTIVITY	DESCRIPTION	QUANTITY	\RATE	DEBIT	CREDIT
SEPT 03	BOUGHT	NUANCE COMMUNICATIONS INC UNSOLICITED DA	19	30.688	593.02	
SEPT 03	BOUGHT	RACKSPACE TECHNOLOGY INC COMMON STOCK	30	22.30	678.95	
		UNSOLICITED DA				
SEPT 04	SOLD	FLYING EAGLE ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED CA	160-	13.022		2,073.55
SEPT 04	BOUGHT	TORTOISE ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED DA	38	54.50	2,080.95	
SEPT 08	DEPOSIT	FOREIGN EXCHANGE FROM C\$ 5,000 @ 1.336				3,742.51
SEPT 10	BOUGHT	DIAMONDPEAK HOLDINGS CORP CLASS A COMMON STOCK UNSOLICITED DA	55	17.96	997.75	

Conversion of DPHC to RIDE 10/26/2020



Order Execution Only Cdn. Dollar Statement

OCT. 30 2020

Page 1 of 4

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4H 0V4

Date of Last Statement:

SEPT 30, 2020

OCT. 26 MGR

DIAMONDPEAK HOLDINGS CORP CLASS A COMMON STOCK TO LORDSTOWN MOTORS CORP CL A 1 FOR 1 55-

- CONTINUED ON NEXT PAGE -

4220 (11/2019) 178-P7



Order Execution Only U.S. Dollar Statement

OCT. 30 2020

Your Account Number:

683-52178-1-8

5 of 6

Account Activity

DATE ACTIVITY

DESCRIPTION

PRICE QUANTITY \RATE

DEBIT

CREDIT

OCT. 26 MGR

LORDSTOWN MOTORS CORP CLASS A COMMON STOCK SHRS RECEIVED THRU MERGER 55

Purchase RIDE 11/25/2020



Direct Investing

Order Execution Only Cdn. Dollar Statement

NOV. 30 2020

Page 1 of

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4H 0V4

Date of Last Statement:

OCT. 30, 2020

NOV. 25 BOUGHT

LORDSTOWN MOTORS CORP CLASS A COMMON STOCK

UNSOLICITED

DA

110 28.439 3,138.32

Reverse Split 05/24/2023



Order Execution Only Cdn. Dollar Statement

MAY 31 2023

Page 1 of 5

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4H 0V4

Date of Last Statement:

APR. 28, 2023

NON-RES TAX WITHHELD

MAY 24 EXCHANGE LORDSTOWN MOTORS CORP

165-

CLASS A COMMON STOCK REV SPLIT TO LORDSTOWN MTRS

CORP; 1 FOR 15

MAY 24 EXCHANGE LORDSTOWN MTRS CORP

11

CL A NEW RESULT OF REVERSE SPLIT

Sold on 11/216/2023



Order Execution Only Cdn. Dollar Statement

NOV. 30 2023

Page 1 of 4

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4 L4H 0V4

Date of Last Statement:

OCT. 31, 2023

NOV. 16 SOLD

LORDSTOWN MTRS CORP

CL A NEW UNSOLICITED CA

11-1.17 2.91





>MRS SAMHITA GERA
MR DENISH BHAVSAR
23 ORLEANS CIRCLE
WOODBRIDGE ON L4H 0V4

Order Execution Only U.S. Dollar Statement

SEPT 30 2020

Page 1 of 7

Your Account Number: 683-52178-1-8

Date of Last Statement: AUG. 31, 2020

RBC Direct Investing Inc.

Local Calls:

416-313-8613

Toll Free Calls:

1-877-722-2372 1-877-722-2372

Postal Address:

200 Bay Street P.O. Box 75 Toronto, ON M5J 2Z5

For Your Information

Switch to online documents and receive your statements quickly and securely. To get your documents for your RBC Direct Investing accounts issued electronically, simply go to "View and Manage Documents" under My Portfolio and select "All Electronic" under the "Manage Documents" tab

Asset Summary

	MARKET VALUE		
	AT SEPT 30	%	
Cash	\$110.48	0.09	%
Fixed Income	\$0.00	0.00	%
Preferred Shares	\$0.00	0.00	%
Common Shares	\$114,934.21	93.37	%
Mutual Funds	\$0.00	0.00	%
Foreign Securities	\$0.00	0.00	%
Other	\$8,055.94	6.54	%
Total on SEPT 30	\$123,100.63	100.00	%
Total on AUG. 31	\$120,536.54		

Income Summary

	THIS MONTH	YEAR-TO-DATE
Dividends	\$120.89	\$458.88
Interest	\$0.00	\$0.00
Total Income	\$120.89	\$458.88

Cash Balance

ACCOUNT	OPENING BALANCE	CLOSING BALANCE
TYPE	AT AUG. 31	AT SEPT 30
Cash	\$1,260.40	\$110.48





SEPT 30 2020

Your Account Number:

683-52178-1-8

Asset Review	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	BOOK COST	MARKET VALUE
Common Shares					
				2 005 (5	¢5 194 20
BMO S&P 500 INDEX ETF	ZSP.U	140 140	37.030	3,095.65	\$5,184.20
USD UNIT	DAT	96	30.580	2,521.30	\$2,935.68
DELTA AIR LINES INC DEL	DAL	96	30.360	2, 721.50	Ψ2,757.00
COM	DPHC	55	25.750	997.75	\$1,416.25
DIAMONDPEAK HOLDINGS CORP	DPHC	55	27.750	771.13	71,110
CLASS A COMMON STOCK	DIS	36	124.080	4,209.76	\$4,466.88
WALT DISNEY CO	DIS	36	121.000	1,20,170	, , , , , , , , , , , , , , , , , , , ,
TYPEDIA CROLINING	EXPE	40	91.690	3,126.47	\$3,667.60
EXPEDIA GROUP INC	EAFE	40	71.070	5,120.17	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
COMMON STOCK	FDN	90	188.330	11,795.06	\$16,949.70
FIRST TRUST ETF	FDN	90	100.550	11,777.00	(,)
DOW JONES INTERNET INDEX FUND	GMHI	89	11.420	1,075.27	\$1,016.38
GORES METROPOULOS INC	GWIII	89	11.120	2,0727	, - ,
CLASS A COMMON STOCK	IVV	60	336.060	10,047.34	\$20,163.60
ISHARES CORE S&P 500 ETF	14 4	60	330.000	20,027.02	,,
ICHARES MCCI DACIEIC EV IADAN	EPP	60	40.580	3,093.94	\$2,434.80
ISHARES MSCI PACIFIC EX JAPAN	LIT	60	, 10.500	5,0,5.,-	
ETF ISHARES S&P GLOBAL CLEAN	ICLN	150	18.490	2,361.50	\$2,773.50
ENERGY INDEX FUND	ICLIV	150	20.270		
IOVANCE BIOTHERAPEUTICS INC	IOVA	22	32.920	638.04	\$724.24
COMMON STOCK	10 111	22	3-17-1		
LIVONGO HEALTH INC	LVGO	30	140.050	2,115.34	\$4,201.50
COMMON STOCK	2.00	30			
MODERNA INC	MRNA	200	70.750	6,492.76	\$14,150.00
COMMON STOCK		200			
MICROSOFT CORP	MSFT	25	210.330	3,533.94	\$5,258.25
Monosor Food		25			
NUANCE COMMUNICATIONS INC	NUAN	19	33.190	593.02	\$630.63
THOMPTON CONTROLLED TO THE		19			
PLUG POWER INC	PLUG	75	13.410	999.19	\$1,005.75
TEOG TO WEARING		75			
OPES ACQUISITION CORP	OPES	130	12.270	2,218.65	\$1,595.10
COMMON STOCK		130			
PING IDENTITY HOLDING CORP	PING	50	31.210	1,859.44	\$1,560.5
COMMON STOCK PAR VALUE \$0.001 PER SHARE		50			
PERSHING SQUARE TONTINE	PSTH	200	22.690	4,351.95	\$4,538.0
HOLDINGS LTD CLASS A COMMON STOCK		200			
RESTAURANT BRANDS	QSR	95	57.510	4,313.85	\$5,463.4
INTERNATIONAL INC COM		95			

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SEPT 30 2020

Your Account Number:

683-52178-1-8

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SECUDITY	QUANTITY/	MKT	BOOK	MARKET
SYMBOL	SEGREGATED	PRICE	COST	VALUE
C RXT	30	19.290	678.95	\$578.70
		14.420	684.04	\$648.90
		(0.000	2 000 05	¢1 002 5
SHLL		49.830	2,080.95	\$1,893.54
		10.000	002.54	¢010_0
TRNE		10.800	992.54	\$918.00
				to //1 00
		28.290	3,299.72	\$2,461.23
OIL .	87			
S VFH	50	58.500	3,841.40	\$2,925.00
		18.690	3.871.95	\$3,457.65
, 22.1		20.0,0	0,	10,
ZNGA		9.120	2.031.85	\$1,915.20
		,	_,	, ,,
ares			86,921.62	\$114,934.21
BUD	45	53.880	2,336.89	\$2,424.60
****		40.040	2 (50 45	\$4,006,00
HDB		49.960	5,050.45	\$4,996.00
DOME TIME		7 170	122 70 2	¢157 7
		7.170	133./8 2	\$157.74
L FOR	22			
		44.0/0	52/ 05	¢/== ((
_		11.940	524.95	\$477.60
RES	40		6 646 07	\$8,055.94
			0,040.07	φο, 0 <i>33</i> . 9 ⁴
es			93,567.69	\$122,990.15
IPTION	QUAN'			CREDIT
	220)			\$1,260.40
ing Kalance (Alile 5)				
ing Balance (AUG. 31, 20 GN EXCHANGE FROM	020)			32.03
	C RXT DN SPAQ DCK SHLL TRNE USO DIL S VFH VLDR ZNGA TATES BUD HDB TINE PSTHWS EL FOR QFIN RES CIPTION	SYMBOL SEGREGATED C RXT 30 30 30 30 SPAQ 45 OCK 45 SHLL 38 TRNE 85 85 USO 87 OIL 87 VLDR 185 185 ZNGA 210 210 Arres BUD 45 45 HDB 100 100 TINE PSTHWS 22 LEFOR 22 OFIN 40 CRES 40	SYMBOL SEGREGATED PRICE C RXT 30 19.290 30 30 30 30 30 30 30 30 30 30 30 30 30	SYMBOL SEGREGATED PRICE COST C. RXT 30 19.290 678.95 30 14.420 684.04 DCK 45 D

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SEPT 30 2020

Your Account Number:

683-52178-1-8

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Acco	unt Acti	vity				
DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE \RATE	DEBIT	CREDIT
SEPT 03	BOUGHT	NUANCE COMMUNICATIONS INC UNSOLICITED DA	19	30.688	593.02	
SEPT 03	BOUGHT	RACKSPACE TECHNOLOGY INC COMMON STOCK UNSOLICITED DA	30	22.30	678.95	
SEPT 04	SOLD	FLYING EAGLE ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED CA	160-	13.022		2,073.55
SEPT 04	BOUGHT	TORTOISE ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED DA	38	54.50	2,080.95	
SEPT 08	DEPOSIT	FOREIGN EXCHANGE FROM C\$ 5,000 @ 1.336				3,742.51
SEPT 10	BOUGHT	DIAMONDPEAK HOLDINGS CORP CLASS A COMMON STOCK UNSOLICITED DA	55	17.96	997 . 75	
SEPT 10	BOUGHT	GORES METROPOULOS INC CLASS A COMMON STOCK UNSOLICITED DA	89	11.969	1,075.27	
SEPT 10	DIVIDEND	MICROSOFT CORP CASH DIV ON 25 SHS REC 08/20/20 PAY 09/10/20 NON-RES TAX WITHHELD		0.51	1.91 NRT	12.75
SEPT 10	BOUGHT	SPARTAN ENERGY ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED DA	45	14.979	684 .04	
SEPT 10	BOUGHT	TRINE ACQUISITION CORP CLASS A COMMON STOCK UNSOLICITED	85	11.559	992.54	

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Order Execution Only U.S. Dollar Statement

OCT. 30 2020

Page 1 of 6

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA
MR DENISH BHAVSAR
23 ORLEANS CIRCLE
WOODBRIDGE ON L4H 0V4

Date of Last Statement:

SEPT 30, 2020

RBC Direct Investing Inc.

Local Calls:

416-313-8613

Toll Free Calls:

1-877-722-2372 1-877-722-2372

Postal Address:

200 Bay Street P.O. Box 75 Toronto, ON M5J 2Z5

For Your Information

The annual audit of our accounts is being conducted as of October 31, 2020. Please review this statement and directly advise our auditors PricewaterhouseCoopers LLP of any errors or omissions: RBC Confirmation Centre, PwC LLP, PwC Tower, 18 York Street, Suite 2600, Toronto, Ontario M5J 0B2.

Asset Summary

	MARKET VALUE AT OCT. 30	%
Cash	\$0.00	0.00 %
Fixed Income	\$0.00	0.00 %
Preferred Shares	\$0.00	0.00 %
Common Shares	\$111,732.75	90.37 %
Mutual Funds	\$0.00	0.00 %
Foreign Securities	\$0.00	0.00 %
Other	\$11,906.11	9.63 %
Total on OCT. 30	\$123,638.86	100.00 %
Total on SEPT 30	\$123,100.63	

Income Summary

	THIS MONTH	YEAR-TO-DATE
Dividends	\$49.40	\$508.28
Interest	\$0.00	\$0.00
Total Income	\$49.40	\$508.28

Cash Balance

ACCOUNT	OPENING BALANCE	CLOSING BALANCE	
TYPE	AT SEPT 30	AT OCT. 30	
Cash	\$110.48	\$0.00	



- CONTINUED ON NEXT PAGE - 0034894 -DIC31



OCT. 30 2020

Your Account Number:

683-52178-1-8

Asset Review					
	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	BOOK COST	MARKET VALUE
Common Shares					
BMO S&P 500 INDEX ETF	ZSP.U	140	35 .860	3,095.65	\$5,020.40
USD UNIT		140	20 6/0	2 521 22	40.0/1.//
DELTA AIR LINES INC DEL	DAL	96	30.640	2,521.30	\$2,941.44
COM	DIC	96	121.250	4 200 76	\$4 365 00
WALT DISNEY CO	DIS	36	121.250	4,209.76	\$4,365.00
EXPEDIA GROUP INC	EXPE	36 40	94.150	3,126.47	\$3,766.00
COMMON STOCK	LAIL	40	94.190	5,120.47	ψ3,700.00
FIRST TRUST ETF	FDN	90	187.010	11,795.06	\$16,830.90
DOW JONES INTERNET INDEX FUND	IDIN	90	107 .010	11,777.00	Ψ10,030.70
GORES METROPOULOS INC	GMHI	89	10.200	1,075.27	\$907.80
CLASS A COMMON STOCK	0	89	20.200	-,0/21-/	1,707,100
ISHARES CORE S&P 500 ETF	IVV	60	327.620	10,047.34	\$19,657.20
		60			
ISHARES MSCI PACIFIC EX JAPAN	EPP	60	40.250	3,093.94	\$2,415.00
ETF		60			
ISHARES S&P GLOBAL CLEAN	ICLN	240	19.560	4,286.61	\$4,694.40
ENERGY INDEX FUND		240			
IOVANCE BIOTHERAPEUTICS INC	IOVA	22	35.680	638.04	\$784.96
COMMON STOCK		22			
HYLIION HOLDINGS CORP	HYLN	38	18.920	2,080.95	\$718.96
COMMON STOCK		38		1110 177 13	
LIVONGO HEALTH INC	LVGO	30	139.770	2,115.34	\$4,193.10
COMMON STOCK		30	40.050		^
LORDSTOWN MOTORS CORP	RIDE	55	13.050	997.75	\$717.75
CLASS A COMMON STOCK	AMNIA	55	(7, 470	(402 7(612 404 00
MODERNA INC	MRNA	200	67.470	6,492.76	\$13,494.00
COMMON STOCK MICROSOFT CORP	MSFT	200 25	202.470	3,533.94	\$5,061.75
MICROSOFT CORP	NISF I	25	202.4/0	3,333.74	\$5,001.75
NUANCE COMMUNICATIONS INC	NUAN	19	31.910	593.02	\$606.29
TOATICE COMMUNICATIONS INC	NOM	19	31.710	373.02	φοσο.2)
PLUG POWER INC	PLUG	197	14.000	2,986.60	\$2,758.00
	1200	197		_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1-,72-1
OPES ACQUISITION CORP	OPES	130	10.720	2,218.65	\$1,393.60
COMMON STOCK		130			
PING IDENTITY HOLDING CORP	PING	50	27.690	1,859.44	\$1,384.50
COMMON STOCK PAR VALUE \$0.001 PER SHARE		50			
PERSHING SQUARE TONTINE	PSTH	200	22.240	4,351.95	\$4,448.00
HOLDINGS LTD CLASS A COMMON STOCK		200			

⁻ CONTINUED ON NEXT PAGE -



OCT. 30 2020

Your Account Number:

683-52178-1-8

3 of 6

Asset Review	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	BOOK COST	MARKET VALUE
RESTAURANT BRANDS	QSR	95	52.000	4,313.85	\$4,940.00
INTERNATIONAL INC COM		95			
RACKSPACE TECHNOLOGY INC	RXT	30	15.750	678.95	\$472.50
COMMON STOCK		30			
TRINE ACQUISITION CORP	TRNE	85	10.200	992.54	\$867.0
CLASS A COMMON STOCK		85			
UNITED STATES OIL FUND LP SHARES OF UNITED STATES OIL FUND LP	USO	87 87	25 . 250	3,299.72	\$2,196.7
VANGUARD SECTOR INDEX FDS VANGUARD FINANCIALS ETF	VFH	50 50	58.940	3,841.40	\$2,947.00
VELODYNE LIDAR INC COMMON STOCK	VLDR	185 185	12.230	3,871.95	\$2,262.55
ZYNGA INC CLASS A	ZNGA	210 210	8.990	2,031.85	\$1,887.90
Total Value of Common Shares				90,150.10	\$111,732.75
Other					
ANHEUSER-BUSCH INBEV SA	BUD	45 45	51.910	2,336.89	\$2,335.95
SPONSORED ADR FISKER INC	FSR	45	10.140	684.04	\$456.30
CLASS A COMMON STOCK	TOK	45	10.110	001.01	Ψ190.5
HDFC BK LTD	HDB	100	57.440	3,650.45	\$5,744.0
ADR REPSTG 3 SHS	1100	100	37.110	3,030.12	12,7,
NIO INC	NIO	90	30.580	1,951.10	\$2,752.20
AMERICAN DEPOSITARY SHARES ECH		90			
RPRSNTNG ONE CL A ORD SHARE	DOME WATER	22	7 120	122 70 2	¢156 0
WTS PERSHING SQUARE TONTINE HOLDINGS LTD WTS EXCRSBL FOR EXP 07/24/2025	PSTHWS	22 22	7.130	133 .78 2	\$156 .8 6
360 DIGITECH INC	OFIN	40	11.520	524.95	\$460.80
AMERICAN DEPOSITARY SHARES		40			
Total Value of Other				9,281.21	\$11,906.1
Total Value of All Securities				99,431.31	\$123,638.8
Account Activity			PRIC	E	
DATE ACTIVITY DESCRIPTION		QUANT			CREDIT
	ce (SEPT 30, 20				\$110.48

- CONTINUED ON NEXT PAGE -

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-DIC31



OCT. 30 2020

Your Account Number:

683-52178-1-8

Acco	unt Acti	vity				
DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE \RATE	DEBIT	CREDIT
OCT. 02		BMO S&P 500 INDEX ETF USD UNIT DIST ON 140 SHS REC 09/29/20 PAY 10/02/20		0.14		19.60
OCT. 02	DIVIDEND	RESTAURANT BRANDS INTERNATIONAL INC COM CASH DIV ON 95 SHS REC 09/18/20 PAY 10/02/20		0.52		49 .40
OCT. 05	MGR	HYLIION HOLDINGS CORP COMMON STOCK AS OF 10/05/20 SHRS RECEIVED THRU MERGER	38			
OCT. 05	MGR	TORTOISE ACQUISITION CORP CLASS A COMMON STOCK AS OF 10/05/20 TO HYLLION HOLDINGS CORP 1 FOR 1	38-			
OCT. 06	DEPOSIT	FOREIGN EXCHANGE FROM C\$ 5,058.6 @ 1.3439				3,764.12
OCT. 08	DEPOSIT	FOREIGN EXCHANGE FROM C\$ 2,573.98 @ 1.3406				1,920.02
OCT. 08	BOUGHT	NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE UNSOLICITED DA	90	21.568	1,951.10	
OCT. 08	BOUGHT	PLUG POWER INC UNSOLICITED DA	122	16.208	1,987.41	
OCT. 13	BOUGHT	ISHARES S&P GLOBAL CLEAN ENERGY INDEX FUND UNSOLICITED DA	90	21.279	1,925.11	
OCT. 26	MGR	DIAMONDPEAK HOLDINGS CORP CLASS A COMMON STOCK TO LORDSTOWN MOTORS CORP CL A 1 FOR 1	55-			
		- CONTINUED ON NEX	T PAGE -			



OCT. 30 2020

Your Account Number:

683-52178-1-8

Account Activity						
DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE \RATE	DEBIT	CREDIT
OCT. 26	MGR	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK SHRS RECEIVED THRU MERGER	55			
OCT. 30	MGR	FISKER INC CLASS A COMMON STOCK SHRS RECEIVED THRU MERGER	45			
OCT. 30	MGR	SPARTAN ENERGY ACQUISITION CORP CLASS A COMMON STOCK MERGER TO FISKER INC CL A COMM 1 FOR 1	45-			
		Closing Balance (OCT. 30, 2020)				\$0.00



OCT. 30

Your Account Number:

683-52178-1-8

6 of 6

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- Fully paid securities are segregated on the records of our custodian and cannot be used in the normal course of our business. Any free credit balance for non-registered accounts represents funds payable on demand, which although properly recorded in our books, are not segregated and may be used in the normal course of our business.
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200 Bay Street P.O. Box 75 Toronto, ON

M5J 2Z5

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NOV. 30 2020

Page 1 of 6

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA
MR DENISH BHAVSAR
23 ORLEANS CIRCLE
WOODBRIDGE ON L4H 0V4

Date of Last Statement:

OCT. 30, 2020

RBC Direct Investing Inc.

Local Calls:

416-313-8613

Toll Free Calls:

1-877-722-2372 1-877-722-2372

Postal Address:

200 Bay Street P.O. Box 75 Toronto, ON M5J 2Z5

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through RBC Online Banking.
From RBC Online Banking,
select Pay Bills & Transfer
Funds on your Account Summary
page. On the left menu,
select Transfer to
Direct Investing or
InvestEase and follow the
steps to fund your account.

Asset Summary

	MARKET VALUE AT NOV. 30	%
Cash	\$107.94	0.06 %
Fixed Income	\$0.00	0.00 %
Preferred Shares	\$0.00	0.00 %
Common Shares	\$151,757.20	89.24 %
Mutual Funds	\$0.00	0.00 %
Foreign Securities	\$0.00	0.00 %
Other	\$18,188.40	10.70 %
Total on NOV. 30	\$170,053.54	100.00 %
Total on OCT. 30	\$123,638.86	

Income Summary

	THIS MONTH	YEAR-TO-DATE
Dividends	\$212.70	\$720.98
Interest	\$0.00	\$0.00
Total Income	\$212.70	\$720.98

Cash Balance

ACCOUNT	OPENING BALANCE	CLOSING BALANCE
TYPE	AT NOV. 01	AT NOV. 30
Cash	\$0.00	\$107.94

Regulated by Investment Industry Regulatory Organization of Canada

- CONTINUED ON NEXT PAGE - 0007534 -DIC19



NOV. 30

Your Account Number:

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NOV. 30 2020

Your Account Number:

683-52178-1-8

Acco	unt Acti	vity		PRICE		
DATE	ACTIVITY	DESCRIPTION	QUANTITY	\RATE	DEBIT	CREDIT
NOV. 02	MERGER	LIVONGO HEALTH INC COMMON STOCK MERGER TO U\$4.24 CASH +.592 TELADOC HEALTH PER SHARE	30-			127.20
NOV. 02	2 MGR	TELADOC HEALTH INC COMMON STOCK SHRS RECEIVED THRU MERGER	17			
NOV. 03	3 DIVIDEND	LIVONGO HEALTH INC COMMON STOCK CASH DIV ON 30 SHS REC 10/29/20 PAY 11/03/20		7.09		212 .70
NOV. 18	3 SOLD	ISHARES MSCI PACIFIC EX JAPAN ETF UNSOLICITED CA	60 -	45 .69		2,731.38
NOV. 18	BOUGHT	ISHARES S&P GLOBAL CLEAN ENERGY INDEX FUND UNSOLICITED DA	140	21 .767	3,057.44	
NOV. 20) CASH-LIEU	TELADOC HEALTH INC COMMON STOCK CASH IN LIEU OF FRAC SHARES 87918A105000				144.02
NOV. 23	3 DEPOSIT	FOREIGN EXCHANGE FROM C\$ 12,531.79 @ 1.3251				9,457.24
NOV. 29	5 BOUGHT	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK UNSOLICITED DA	110	28.439	3,138.32	
NOV. 2	5 BOUGHT	NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE UNSOLICITED DA	60	52.359	3,151.54	
NOV. 2	5 BOUGHT	PLUG POWER INC UNSOLICITED DA	125	25 .658	3,217.30	



NOV. 30 2020

Your Account Number:

683-52178-1-8

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Account Activity

DATE ACTIVITY

DESCRIPTION

QUANTITY

PRICE \RATE

DEBIT

CREDIT

Closing Balance (NOV. 30, 2020)

\$107.94



MAY 31 2023

Page 1 of 5

Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA
MR DENISH BHAVSAR
23 ORLEANS CIRCLE
WOODBRIDGE ON L4H 0V4

Date of Last Statement:

APR. 28, 2023

RBC Direct Investing Inc.

Local Calls:

416-313-8613

Toll Free Calls:

1-877-722-2372 1-877-722-2372

Postal Address:

200 Bay Street P.O. Box 75 Toronto, ON M5J 2Z5

Asset S	Summary
---------	---------

	MARKET VALUE AT MAY 31	%
Cash	\$21,416.51	9.20 %
Fixed Income	\$0.00	0.00 %
Preferred Shares	\$0.00	0.00 %
Common Shares	\$197,498.14	84.83 %
Mutual Funds	\$0.00	0.00 %
Foreign Securities	\$0.00	0.00 %
Other	\$13,905.99	5.97 %
Total on MAY 31	\$232,820.64	100.00 %
Total on APR. 28	\$229,687.89	

Income Summary

	THIS MONTH	YEAR-TO-DATE
Dividends	\$33.60	\$309.95
Interest	\$0.00	\$0.00
Total Income	\$33.60	\$309.95

Cash Balance

ACCOUNT	OPENING BALANCE	CLOSING BALANCE AT MAY 31		
TYPE	AT APR. 28	\$21,416.51		
Cash	\$21,385.02	921,410.71		





MAY 31

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MAY 31 2023

Your Account Number:

683-52178-1-8

4 of 5

Accou	ınt Acti	vity				
DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE \RATE	DEBIT	CREDIT
DEC. 31	RTC	Opening Balance (APR. 28, 2023) BMO S&P 500 INDEX ETF USD UNIT 2022 RETURN OF CAPITAL ADJUSTMENT TO BOOK COST \$18.20				\$21,385.02
MAY 11	CASH-LIEU	LIGHTNING EMOTORS INC COMMON STOCK CASH IN LIEU OF FRAC SHARES 53228T200000				2.93
MAY 18	DIVIDEND	APPLE INC CASH DIV ON 140 SHS REC 05/15/23 PAY 05/18/23 NON-RES TAX WITHHELD		0.24	5.04 NRT	33 .60
MAY 24	EXCHANGE	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK REV SPLIT TO LORDSTOWN MTRS CORP; 1 FOR 15	165-			
MAY 24	EXCHANGE	LORDSTOWN MTRS CORP CL A NEW RESULT OF REVERSE SPLIT	11			
		Closing Balance (MAY 31, 2023)				\$21,416.51

Trades For Future Settlement

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE	AMOUNT
JUNE 02	2 BOUGHT	ISHARES S&P 500 GROWTH ETF	50	66.339	3,326.94-



Your Account Number:

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5 5 of

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Sale

Order Execution Only U.S. Dollar Statement

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Your Account Number:

683-52178-1-8

>MRS SAMHITA GERA MR DENISH BHAVSAR 23 ORLEANS CIRCLE WOODBRIDGE ON L4H 0V4

Date of Last Statement:

OCT. 31, 2023

RBC Direct Investing Inc.

Local Calls:

416-313-8613

Toll Free Calls:

1-877-722-2372 1-877-722-2372

Postal Address:

200 Bay Street P.O. Box 75 Toronto, ON

M5J 2Z5

Asset Summary

Fixed Income Preferred Shares Common Shares Mutual Funds Foreign Securities Other	MARKET VALUE AT NOV. 30	%
Cash	\$26,748.75	10.80 %
Fixed Income	\$0.00	0.00 %
Preferred Shares	\$0.00	0.00 %
Common Shares	\$209,000.51	84.39 %
Mutual Funds	\$0.00	0.00 %
Foreign Securities	\$0.00	0.00 %
Other	\$11,903.81	4.81 %
Total on NOV. 30	\$247,653.07	100.00 %
Total on OCT. 31	\$222,115.60	

Income Summary

	THIS MONTH	YEAR-TO-DATE	
Dividends	\$49.76	\$927.91	
Interest	\$0.00	\$0.00	
Total Income	\$49.76	\$927.91	

Cash Balance

ACCOUNT	OPENING BALANCE	CLOSING BALANCE	
TYPE	AT OCT. 31	AT NOV. 30	
Cash	\$5,753.72	\$26,748.75	





NOV. 30 2023

Your Account Number:

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Asset Review					
	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	BOOK COST	MARKET VALUE
Common Shares					
APPLE INC	AAPL	140 140	189.950	19,346.65	\$26,593.00
BMO S&P 500 INDEX ETF USD UNIT	BZSPF	270 270	49 .970	9,262.14	\$13,491.90
BURGERFI INTERNATIONAL INC COMMON STOCK	BFI	130 130	1.040	2,218.65	\$135.20
BUTTERFLY NETWORK INC CLASS A COMMON STOCK	BFLY	80 80	0.952	959.55	\$76.16
BAKKT HOLDINGS INC CLASS A COMMON STOCK	BKKT	110 110	1.500	1,921.20	\$165.00
DELTA AIR LINES INC DEL COM	DAL	96 96	36.930	2,521.30	\$3,545.28
DESKTOP METAL INC CLASS A COMMON STOCK	DM	85 85	0.699	992.54	\$59.42
WALT DISNEY CO	DIS	176 176	92.690	17,531.26	\$16,313.44
EXPEDIA GROUP INC COMMON STOCK	EXPE	100 100	136.180	8,708.09	\$13,618.00
FIRST TRUST ETF DOW JONES INTERNET INDEX FUND	FDN	174 174	171 .580	22,622.39	\$29,854.92
GOLDMAN SACHS ETF TR GOLDMAN SACHS FUTURE TECH LEADERS EQUITY ETF	GTEK	520 520	26.099	14,448.35	\$13,572.00
ISHARES S&P 500 GROWTH ETF	IVW	50 50	72.580	3,326.94	\$3,629.00
ISHARES CORE S&P 500 ETF	IVV	60 60	458.420	10,047.34	\$27,505.20
ISHARES S&P GLOBAL CLEAN ENERGY INDEX FUND	ICLN	660 660	14.210	13,272.19	\$9,378.60
IOVANCE BIOTHERAPEUTICS INC COMMON STOCK	IOVA	22 22	6.070	638.04	\$133.54
HYLIION HOLDINGS CORP COMMON STOCK	HYLN	38 38	0.568	2,080.95	\$21 .58
INDIE SEMICONDUCTOR INC CLASS A COMMON STOCK	INDI	140 140	7.460	2,022.32	\$1,044.40
KKR & CO INC COMMON STOCK	KKR	150 150	75 .840	7,234.94	\$11,376.00
LUMINAR TECHNOLOGIES INC CLASS A COMMON STOCK	LAZR	119 119	2.500	2,582.25	\$297.50
LION ELEC CO COM	LEV	60 60	1.590	1,110.00	\$95 .40
LIGHTNING EMOTORS INC COMMON STOCK	ZEVY	7 7	0.400	1,968.85	\$2.80

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Acco	unt Acti	vity		DD:45		
DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE \RATE	DEBIT	CREDIT
NOV. 02	2 DIVIDEND	DELTA AIR LINES INC DEL COM CASH DIV ON 96 SHS REC 10/12/23 PAY 11/02/23 NON-RES TAX WITHHELD		0.10	1.44 NRT	9.60
NOV. 14	4 DIVIDEND	SPDR DOW JONES INDL AVERAGE ETF TR UNIT SER 1 CASH DIV ON 35 SHS REC 10/23/23 PAY 11/13/23 NON-RES TAX WITHHELD		0.1873	0.98 NRT	6.56
NOV. 10	6 ADJUST	ACCOUNT ADJUSTMENT CAF - TRADE REBATES				9.95
NOV. 10	6 ADJUST	ACCOUNT ADJUSTMENT CAF - TRADE REBATES				9.95
NOV. 10	6 ADJUST	ACCOUNT ADJUSTMENT CAF - TRADE REBATES				9.95
NOV. 10	6 ADJUST	ACCOUNT ADJUSTMENT CAF - TRADE REBATES				9.95
NOV. 10	6 DIVIDEND	APPLE INC CASH DIV ON 140 SHS REC 11/13/23 PAY 11/16/23 NON-RES TAX WITHHELD		0.24	5.04 NRT	33.60
NOV. 1	6 SOLD	LORDSTOWN MTRS CORP CL A NEW UNSOLICITED CA	11-	1.17		2.9
NOV. 1	6 SOLD	MAGNA INTERNATIONAL INC COM UNSOLICITED CA	80 -	54.75		4,370.0
NOV. 1	6 SOLD	NORWEGIAN CRUISE LINE HOLDINGS LTD ORDINARY SHARES UNSOLICITED CA	300-	14 .452		4,325.6

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Asset Review					
	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	BOOK COST	MARKET VALUE
MICROSOFT CORP	MSFT	50 50	378.910	9,718.13	\$18,945.5
PLUG POWER INC	PLUG	567 567	4.040	14,027.51	\$2,290.68
PAYSAFE LIMITED COMMON SHARES	PSFE	5	10.110	894.35	\$50.55
OUSTER INC COMMON STOCK	OUST	16 16	5.120	3,871.15	\$81 .92
STEM INC COMMON STOCK	STEM	80 80	2.870	2,961.95	\$229.60
TESLA INC COMMON STOCK	TSLA	42 42	240.080	8,217.59	\$10,083.36
TELADOC HEALTH INC COMMON STOCK	TDOC	17 17	18.140	3,298.00	\$308.38
UNITED STATES OIL FUND LP SHARES OF UNITED STATES OIL FUND LP	USO	87 87	70.140	3,299.72	\$6,102.18
Total Value of Common Shares				191,104.34	\$209,000.51
Other					
BP P L C SPONSORED ADR (FRM BP AMOCO PLC)	BP	91 91	36.290	1,916.17	\$3,302.39
BILIBILI INC AMERICAN DEPOSITARY SHARES	BILI	200 200	11.330	3,452.31	\$2,266.00
DAQO NEW ENERGY CORP AMERICAN DEPOSITARY SHARES	DQ	100 100	23 .660	5,682.34	\$2,366.00
NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE	NIO	546 546	7.270	17,651.94	\$3,969.42
Total Value of Other				28,702.76	\$11,903.81
Total Value of All Securities				219,807.10	\$220,904.32
Account Activity		i de la companya de La companya de la co			
DATE ACTIVITY DESCRIPTION		QUAN	PRIC TITY \RAT		CREDIT
Opening Balan	ce (OCT. 31, 20	23)			\$5,753.72

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