

Fill in this information to identify the case:

Debtor Lordstown Motors Corp.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-10831

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Annie Chan</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? Annie Chan PO Box 1678 Alameda, CA 94501, USA Federal Rule of Bankruptcy Procedure (FRBP) 2002(g) Contact phone <u>5108466238</u> Contact email <u>annchan424@gmail.com</u>	Where should payments to the creditor be sent? (if different) Contact phone _____ Contact email _____ Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? ☐ No
☒ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 5554 ____

7. How much is the claim? \$ 1708.00 Does this amount include interest or other charges?
☒ No
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.

1708.00

9. Is all or part of the claim secured? ☒ No
☐ Yes. The claim is secured by a lien on property.
Nature or property:
☐ Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
☐ Motor vehicle
☐ Other. Describe: _____

Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %
☐ Fixed
☐ Variable

10. Is this claim based on a lease? ☒ No
☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? ☒ No
☐ Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☒ No

☐ Yes. Check all that apply:

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ _____

☐ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

☐ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 10/11/2023
MM / DD / YYYY

/s/Annie Chan
Signature

Print the name of the person who is completing and signing this claim:

Name Annie Chan
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (877) 709-4757 | International 424-236-7235

Debtor: 23-10831 - Lordstown Motors Corp.		
District: District of Delaware		
Creditor: Annie Chan PO Box 1678 Alameda, CA, 94501 USA Phone: 5108466238 Phone 2: Fax: Email: annchan424@gmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: 1708.00	Last 4 Digits: Yes - 5554	Uniform Claim Identifier:
Total Amount of Claim: 1708.00	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Annie Chan on 11-Oct-2023 2:10:05 p.m. Eastern Time Title: Company:		



March 1, 2021 - March 31, 2021

Account Number: 4087-5554

Account Type: INDIVIDUAL

Account Status: Pro Elite

E*TRADE Securities LLC

P.O. Box 484

Jersey City, NJ 07303-0484

1-800-ETRADE-1 (1-800-387-2331)

etrade.com Member FINRA/SIPC

Customer Update:**Extended federal tax deadline**

The IRS has extended the 2020 federal income tax filing and payment deadline from April 15, 2021 to May 17, 2021. Visit [irs.gov](https://www.irs.gov) for more details. Refer to your state's official website for guidance on any additional relief that may be available.

IMPORTANT INFORMATION**Want to get important documents faster?**

Get your statements, confirms, and tax forms online with paperless delivery. Enroll at etrade.com/paperless.

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603

Account At A Glance**\$30,496.03**

As of 02/28/21

\$33,036.43

As of 03/31/21

Net Change: \$2,540.40

DETACH HERE ▲

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603

DETACH HERE ▲

Use This Deposit Slip**Acct: 4087-5554****Please do not send cash**

Make checks payable to E*TRADE Securities LLC

Mail deposits to:

TOTAL DEPOSIT

Dollars	Cents

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

033120210001 111408755549



Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") at www.etrade.com/custagree for a complete discussion of the terms and conditions governing your account and the Relationship Summary at www.etrade.com/formers for information about ETS services. If you have questions regarding the Customer Agreement, your account, or positions and balances please contact us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU.

Securities products and services are offered by ETS, Member FINRA/SIPC. Your account is carried by ETS, Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you or your advisor firm. Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify us at 800-ETRADE1 within five (5) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing.

For E*TRADE Advisor Services clients, your advisor firm is separate from and not affiliated with ETS, and ETS is not responsible for the products, services, or recommendations provided by your advisor firm.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided by outside quotation services for the securities held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. Prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. Value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market. Bonds and/or fixed income securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over-the-counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of instruments prior to maturity may result in a loss of principal.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflects these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are considered securities rather than cash.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (and FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS posts SEC Rule 606 quarterly reports that include order routing disclosures including the material aspects of the firms relationships with outside market centers at www.etrade.com. In addition, on request, ETS may provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or nondirected orders, and the time of the transactions, if any, that resulted from such orders. ETS regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price dis-improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Margin Accounts. The amount of margin required will be the greater of the (1) amount required by applicable laws, regulations, rules of applicable self-regulatory organizations and clearinghouses, or (2) amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days' prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at: <https://us.etrade.com/options-uninvested-cash>, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options. For E*TRADE Advisor Services clients your uninvested cash will be automatically swept into the Advisor Sweep Deposit Account Program ("ASDA Program"). For current rates and other information, speak to your investment advisor or call 866-789-0755. We will provide you with a copy of the ASDA Program Customer Agreement which can also be found at <http://www.etrade.com/easconnectsweepagree> or requested by calling 866-789-0755.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

In case of errors or questions about your Electronic Fund Transfers please contact us at 800-ETRADE-1 immediately or in writing at E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484 or by visiting etrade.com, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

ETS is an indirect subsidiary of E*TRADE Financial Corporation. If you have a complaint, please call 800-ETRADE1, or write to: E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

Definitions:

Activity/Trade Date. Trade date or transaction date of other entries.

Total Portfolio Percent. Percentage of your holding by issue of security.

DIV/CPN% Yield. Annual dividend or bond % yield.

Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.

Symbol/CUSIP. The symbol or identification number for each security.

*** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place or settle after the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.



Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

Customer Update:

Visit the E*TRADE Tax Center to access tax forms, plus tips and tools to help with your tax preparation. Visit etrade.com/tax today.

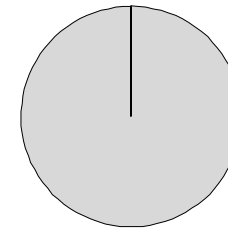
ACCOUNT OVERVIEW

Last Statement Date: February 28, 2021

Beginning Account Value (On 02/28/21): \$ 30,496.03
 Ending Account Value (On 03/31/21): \$ 33,036.43
 Net Change: \$ 2,540.40

For current rates, please visit etrade.com/rates**ASSET ALLOCATION (AS OF 03/31/21)**

0.03% - Cash & Equivalents



99.97% - Stocks, Options & ETF (Long)

ACCOUNT VALUE SUMMARY

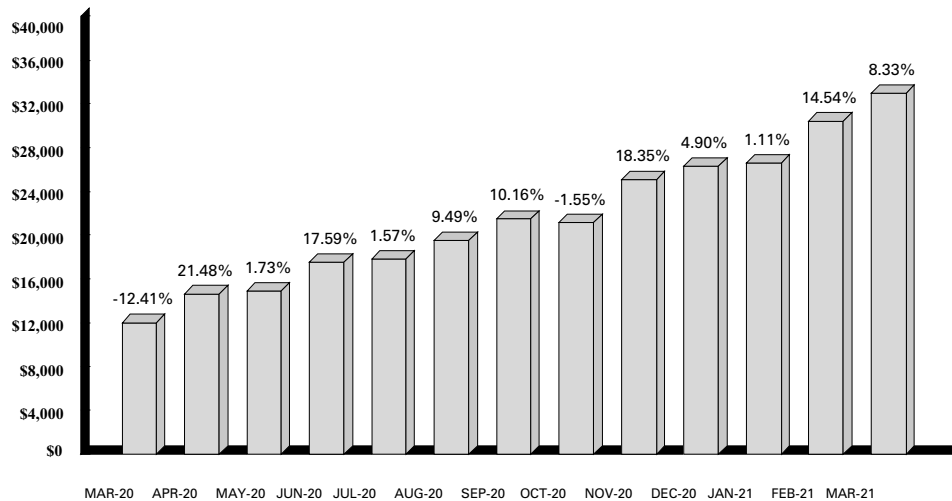
	AS OF 03/31/21	AS OF 02/28/21	% CHANGE
Cash & Equivalents	\$ 9.36	\$ 2,010.68	-99.53%
Total Cash/Margin Debt	\$ 9.36	\$ 2,010.68	-99.53%
Stocks, Options & ETF (Long)	\$ 33,027.07	\$ 28,485.35	15.94%
Total Value of Securities	\$ 33,027.07	\$ 28,485.35	15.94%
Net Account Value	\$ 33,036.43	\$ 30,496.03	8.33%

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

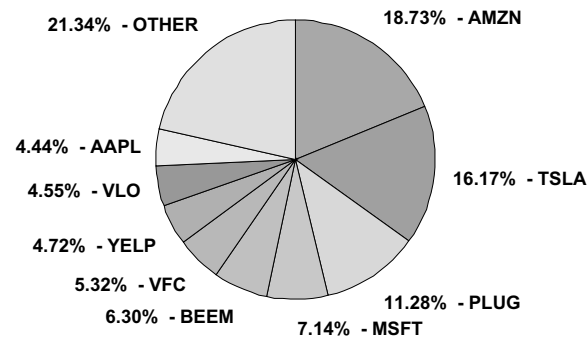
Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

NET ACCOUNT VALUE BY MONTH END

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ -8,849.35	\$ -11,912.24
Securities Sold	\$ 4,861.06	\$ 6,857.57
<u>Interest Received</u>		
Taxable	\$ 0.01	\$ 0.01
<u>Dividends Received</u>		
Taxable	\$ 36.96	\$ 59.28

TOP 10 ACCOUNT HOLDINGS (AS OF 03/31/21)


Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.03% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Extended Insurance Sweep Deposit Account		
Opening Balance		2,010.68
Closing Balance	0.03	9.36
Average Balance		1,328.63
Extended Insurance Sweep Deposit Account Balance by Bank as of March 31, 2021		
ETRADE BANK		9.36

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

TOTAL CASH & CASH EQUIVALENTS	0.03%	\$9.36
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STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.97% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ADVANCED MICRO DEVICES INC COM	AMD	Cash	4	78.5000	314.00	0.95		
AMAZON.COM INC	AMZN	Cash	2	3,094.0800	6,188.16	18.73		
AMERICAN AIRLINES GROUP INC	AAL	Cash	50	23.9000	1,195.00	3.62		
APPLE INC	AAPL	Cash	12	122.1500	1,465.80	4.44	10.00	0.68%
***AURORA CANNABIS INC COM NEW	ACB	Cash	4	9.3100	37.24	0.11		
***BANK OF MONTREAL MICROSECTORS U S BIG OIL INDEX 3X LEVERAGED ETNS	NRGU	Cash		134.1300	0.00	0.00		
BEAM GLOBAL COMMON STOCK	BEEM	Cash	48	43.3600	2,081.28	6.30		
DELTA AIR LINES INC DEL COM	DAL	Cash	3	48.2800	144.84	0.44		
FACEBOOK INC CL A	FB	Cash		294.5300	0.00	0.00		
GOPRO INC CL A	GPRO	Cash	82	11.6400	954.48	2.89		

Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
INVESTCO MORTGAGE CAPITAL INC	IVR	Cash	207	4.0100	830.07	2.51	66.00	7.95%
IRON MOUNTAIN INCORPORATED REIT NEW	IRM	Cash	6	37.0100	222.06	0.67	15.00	6.75%
***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS	JMIA	Cash	10	35.4600	354.60	1.07		
LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Cash	45	11.7700	529.65	1.60		
MATINAS BIOPHARMA HOLDINGS INC COMMON STOCK	MTNB	Cash	12	1.0500	12.60	0.04		
MICROSOFT CORP	MSFT	Cash	10	235.7700	2,357.70	7.14	22.00	0.93%
PLUG POWER INC	PLUG	Cash	104	35.8400	3,727.36	11.28		
TESLA INC COMMON STOCK	TSLA	Cash	8	667.9300	5,343.44	16.17		
***TRANSOCEAN LTD US LISTED	RIG	Cash	30	3.5500	106.50	0.32		
UNITED AIRLINES HOLDINGS INC COMMON STOCK	UAL	Cash		57.5400	0.00	0.00		
UNITED STATES OIL FUND LP SHARES OF UNITED STATES OIL FUND LP	USO	Cash	33	40.5300	1,337.49	4.05		
VALERO ENERGY CORP NEW	VLO	Cash	21	71.6000	1,503.60	4.55	82.00	5.45%
VF CORPORATION	VFC	Cash	22	79.9200	1,758.24	5.32	43.00	2.45%
WYNN RESORTS LTD	WYNN	Cash	8	125.3700	1,002.96	3.04		
YELP INC COMMON STOCK	YELP	Cash	40	39.0000	1,560.00	4.72		
TOTAL STOCKS, OPTIONS & ETF					\$33,027.07	99.97%	\$238.00	0.72%

Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

TOTAL PRICED PORTFOLIO HOLDINGS (ON 03/31/21)

\$33,036.43

TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME

\$238.00

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
02/25/21 19:10	03/01/21	ADVANCED MICRO DEVICES INC COM	AMD	Bought	2	81.9000	163.80	
02/25/21 15:38	03/01/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	42.0000	420.00	
02/26/21 19:00	03/02/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	41.0000	410.00	
03/01/21 19:05	03/03/21	UNITED STATES OIL FUND LP SHARES OF UNITED STATES OIL FUND LP PROSPECTUS ON INITIAL PURCHASE	USO	Bought	13	40.3500	524.55	
03/01/21 12:55	03/03/21	UNITED STATES OIL FUND LP SHARES OF UNITED STATES OIL FUND LP PROSPECTUS ON INITIAL PURCHASE	USO	Bought	20	40.9800	819.60	
03/03/21 16:02	03/05/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	5	36.9000	184.50	
03/03/21 14:10	03/05/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	1	19.0000	19.00	
03/03/21 19:00	03/05/21	TESLA INC COMMON STOCK	TSLA	Bought	1	649.5000	649.50	
03/03/21 15:55	03/05/21	UNITED AIRLINES HOLDINGS INC COMMON STOCK	UAL	Sold	-20	54.5800		1,091.59
03/04/21 12:04	03/08/21	***BANK OF MONTREAL MICROSECTORS U S BIG OIL INDEX 3X LEVERAGED ETNS	NRGU	Sold	-6	159.0000		953.99

Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
03/04/21 13:48	03/08/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	3	29.7500	89.25	
03/04/21 13:21	03/08/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	30.2000	302.00	
03/04/21 13:50	03/08/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	11	16.8500	185.35	
03/04/21 12:56	03/08/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	15	17.2000	258.00	
03/04/21 13:04	03/08/21	PLUG POWER INC	PLUG	Bought	1	38.9000	38.90	
03/04/21 13:50	03/08/21	PLUG POWER INC	PLUG	Bought	9	37.8000	340.20	
03/08/21 13:49	03/10/21	APPLE INC	AAPL	Bought	1	117.4000	117.40	
03/16/21 10:55	03/18/21	FACEBOOK INC CL A	FB	Sold	-10	281.5500		2,815.48
03/16/21 19:16	03/18/21	PLUG POWER INC	PLUG	Bought	60	37.7000	2,262.00	
03/18/21 15:44	03/22/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	5	13.0000	65.00	
03/18/21 14:34	03/22/21	PLUG POWER INC	PLUG	Bought	20	36.9000	738.00	
03/24/21 18:40	03/26/21	TESLA INC COMMON STOCK	TSLA	Bought	1	630.4000	630.40	
03/24/21 17:36	03/26/21	TESLA INC COMMON STOCK	TSLA	Bought	1	631.9000	631.90	
TOTAL SECURITIES ACTIVITY							\$8,849.35	\$4,861.06

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
03/04/21	Dividend	VALERO ENERGY CORP NEW CASH DIV ON 21 SHS REC 02/11/21 PAY 03/04/21	VLO		20.58
03/11/21	Dividend	MICROSOFT CORP CASH DIV ON 10 SHS REC 02/18/21 PAY 03/11/21	MSFT		5.60
03/22/21	Dividend	VF CORPORATION CASH DIV ON 22 SHS REC 03/10/21 PAY 03/22/21	VFC		10.78

Account Number: 4087-5554

Statement Period : March 1, 2021 - March 31, 2021

Account Type: INDIVIDUAL

DIVIDENDS & INTEREST ACTIVITY (Continued)

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
03/26/21	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			0.01
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$36.97
NET DIVIDENDS & INTEREST ACTIVITY					\$36.97

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
03/01/21	Deposit	ACH DEPOSIT REFID:17563175906;		350.00
03/04/21	Deposit	ACH DEPOSIT REFID:17962136906;		600.00
03/10/21	Deposit	ACH DEPOSIT REFID:18608487906;		1,000.00
NET WITHDRAWALS & DEPOSITS				\$1,950.00

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0100%APY Earned as of 03/31/21)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
03/01/21		OPENING BALANCE	\$2,010.68
03/01/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-583.80
03/02/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-60.00
03/03/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-1,344.15
03/04/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	20.58
03/05/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	838.59
03/08/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-259.71
03/10/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-117.40
03/11/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	1,005.60
03/18/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	553.48
03/22/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-792.22
03/26/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-1,262.29
03/31/21		CLOSING BALANCE	\$9.36

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February 1, 2021 - February 28, 2021

Account Number: 4087-5554

Account Type: INDIVIDUAL

Account Status: Pro Elite

E*TRADE Securities LLC

P.O. Box 484

Jersey City, NJ 07303-0484

1-800-ETRADE-1 (1-800-387-2331)

etrade.com Member FINRA/SIPC

Customer Update:**All your tax info in one place**Forms 1099 for 2020, FAQs, key deadlines, cost basis info, and more—find them all in our Tax Center at etrade.com/tax.**E*TRADE Pro Elite**
Investment Account**IMPORTANT INFORMATION**

April 15 is Tax Day, but it's also the last day to make a 2020 contribution to your IRA.

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603-3175

Account At A Glance**\$26,623.89**

As of 01/31/21

\$30,496.03

As of 02/28/21

Net Change: \$3,872.14

DETACH HERE ▲

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603-3175

DETACH HERE ▲

Use This Deposit Slip**Acct: 4087-5554****Please do not send cash**

Make checks payable to E*TRADE Securities LLC

Mail deposits to:

TOTAL DEPOSIT

Dollars	Cents

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

022820210001 111408755549

E*TRADE



Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") at www.etrade.com/custagree for a complete discussion of the terms and conditions governing your account and the Relationship Summary at www.etrade.com/formers for information about ETS services. If you have questions regarding the Customer Agreement, your account, or positions and balances please contact us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU.

Securities products and services are offered by ETS, Member FINRA/SIPC. Your account is carried by ETS, Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you or your advisor firm. Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify us at 800-ETRADE1 within five (5) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing.

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Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided by outside quotation services for the securities held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. Prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. Value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market. Bonds and/or fixed income securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over-the-counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of instruments prior to maturity may result in a loss of principal.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflects these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are considered securities rather than cash.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (and FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS posts SEC Rule 606 quarterly reports that include order routing disclosures including the material aspects of the firms relationships with outside market centers at www.etrade.com. In addition, on request, ETS may provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or nondirected orders, and the time of the transactions, if any, that resulted from such orders. ETS regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price dis-improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Margin Accounts. The amount of margin required will be the greater of the (1) amount required by applicable laws, regulations, rules of applicable self-regulatory organizations and clearinghouses, or (2) amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days' prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at: <https://us.etrade.com/options-uninvested-cash>, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options. For E*TRADE Advisor Services clients your uninvested cash will be automatically swept into the Advisor Sweep Deposit Account Program ("ASDA Program"). For current rates and other information, speak to your investment advisor or call 866-789-0755. We will provide you with a copy of the ASDA Program Customer Agreement which can also be found at <http://www.etrade.com/easconnectsweepagree> or requested by calling 866-789-0755.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

In case of errors or questions about your Electronic Fund Transfers please contact us at 800-ETRADE-1 immediately or in writing at E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484 or by visiting etrade.com, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Definitions:

Activity/Trade Date. Trade date or transaction date of other entries.
Total Portfolio Percent. Percentage of your holding by issue of security.
DIV/CPN% Yield. Annual dividend or bond % yield.

Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.
Symbol/CUSIP. The symbol or identification number for each security.

*** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place or settle after the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.

Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

Customer Update:

Visit the E*TRADE Tax Center to access tax forms, plus tips and tools to help with your tax preparation. Bookmark etrade.com/tax today.

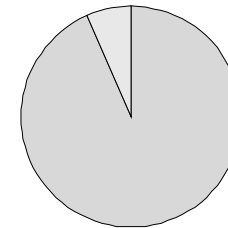
ACCOUNT OVERVIEW

Last Statement Date: January 31, 2021

Beginning Account Value (On 01/31/21): \$ 26,623.89
 Ending Account Value (On 02/28/21): \$ 30,496.03
 Net Change: \$ 3,872.14

For current rates, please visit etrade.com/rates**ASSET ALLOCATION (AS OF 02/28/21)**

6.59% - Cash & Equivalents



93.41% - Stocks, Options & ETF (Long)

ACCOUNT VALUE SUMMARY

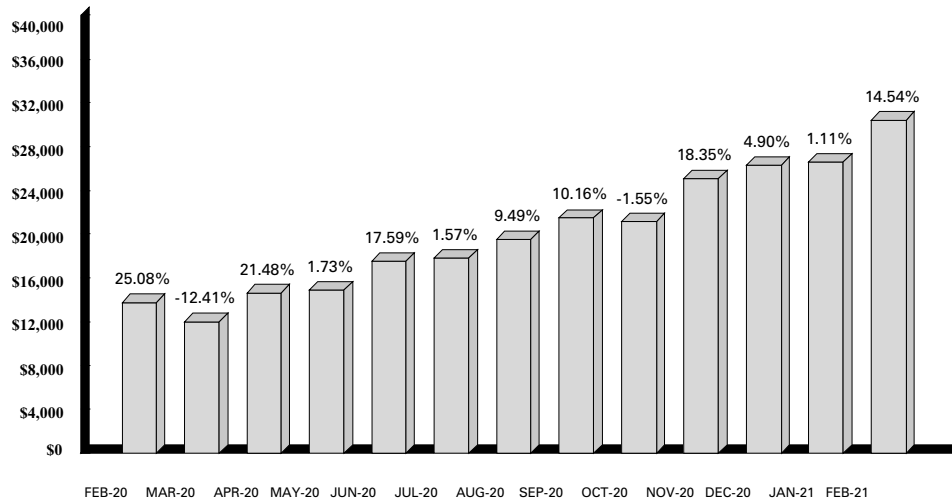
	AS OF 02/28/21	AS OF 01/31/21	% CHANGE
Cash & Equivalents	\$ 2,010.68	\$ 34.48	5,731.44%
Total Cash/Margin Debt	\$ 2,010.68	\$ 34.48	5,731.44%
Stocks, Options & ETF (Long)	\$ 28,485.35	\$ 26,589.41	7.13%
Total Value of Securities	\$ 28,485.35	\$ 26,589.41	7.13%
Net Account Value	\$ 30,496.03	\$ 26,623.89	14.54%

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

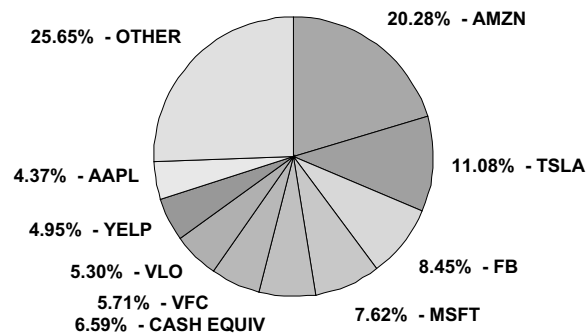
Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

NET ACCOUNT VALUE BY MONTH END

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ -3,062.89	\$ -3,062.89
Securities Sold	\$ 1,987.04	\$ 1,996.51
<u>Dividends Received</u>		
Taxable	\$ 2.05	\$ 22.32

TOP 10 ACCOUNT HOLDINGS (AS OF 02/28/21)


Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (6.59% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Extended Insurance Sweep Deposit Account		
Opening Balance		34.48
Closing Balance	6.59	2,010.68
Average Balance		162.60
Extended Insurance Sweep Deposit Account Balance by Bank as of February 28, 2021		
ETRADE BANK		2,010.68
Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).		
TOTAL CASH & CASH EQUIVALENTS	6.59%	\$2,010.68

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (93.41% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ADVANCED MICRO DEVICES INC COM	AMD	Cash	2	84.5100	169.02	0.55		
AMAZON.COM INC	AMZN	Cash	2	3,092.9300	6,185.86	20.28		
AMERICAN AIRLINES GROUP INC	AAL	Cash	50	20.9400	1,047.00	3.43		
APPLE INC	AAPL	Cash	11	121.2600	1,333.86	4.37	9.00	0.67%
***AURORA CANNABIS INC COM NEW	ACB	Cash	4	10.5200	42.08	0.14		
***BANK OF MONTREAL MICROSECTORS U S BIG OIL INDEX 3X LEVERAGED ETNS	NRGU	Cash	6	128.4100	770.46	2.53		
BEAM GLOBAL COMMON STOCK	BEEM	Cash	10	42.1400	421.40	1.38		
DELTA AIR LINES INC DEL COM	DAL	Cash	3	47.9400	143.82	0.47		
FACEBOOK INC CL A	FB	Cash	10	257.6200	2,576.20	8.45		
GOPRO INC CL A	GPRO	Cash	82	7.5200	616.64	2.02		

Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
INVESCO MORTGAGE CAPITAL INC	IVR	Cash	207	3.8900	805.23	2.64	66.00	8.20%
IRON MOUNTAIN INCORPORATED REIT NEW	IRM	Cash	6	34.7900	208.74	0.68	15.00	7.19%
***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS	JMIA	Cash	10	44.3100	443.10	1.45		
LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Cash	13	19.3400	251.42	0.82		
MATINAS BIOPHARMA HOLDINGS INC COMMON STOCK	MTNB	Cash	12	1.2100	14.52	0.05		
MICROSOFT CORP	MSFT	Cash	10	232.3800	2,323.80	7.62	22.00	0.95%
PLUG POWER INC	PLUG	Cash	14	48.3800	677.32	2.22		
***ROYAL CARIBBEAN GROUP COMMON STOCK	RCL	Cash		93.2700	0.00	0.00		
SERITAGE GROWTH PROPERTIES CLASS A COMMON SHARES OF BENEFICIAL INT PAR VALUE \$0.01	SRG	Cash		20.1900	0.00	0.00		
TESLA INC COMMON STOCK	TSLA	Cash	5	675.5000	3,377.50	11.08		
***TRANSOCEAN LTD US LISTED	RIG	Cash	30	3.4700	104.10	0.34		
UNITED AIRLINES HOLDINGS INC COMMON STOCK	UAL	Cash	20	52.6800	1,053.60	3.45		
VALERO ENERGY CORP NEW	VLO	Cash	21	76.9800	1,616.58	5.30	82.00	5.07%
VF CORPORATION	VFC	Cash	22	79.1300	1,740.86	5.71	43.00	2.47%
WYNN RESORTS LTD	WYNN	Cash	8	131.7300	1,053.84	3.46		

Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
YELP INC COMMON STOCK	YELP	Cash	40	37.7100	1,508.40	4.95		
TOTAL STOCKS, OPTIONS & ETF					\$28,485.35	93.41%	\$237.00	0.83%
TOTAL PRICED PORTFOLIO HOLDINGS (ON 02/28/21)					\$30,496.03			
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME					\$237.00			

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/29/21 09:39	02/02/21	MATINAS BIOPHARMA HOLDINGS INC COMMON STOCK	MTNB	Bought	12	1.4200	17.04	
02/18/21 15:49	02/22/21	***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS	JMIA	Bought	5	54.2000	271.00	
02/18/21 14:51	02/22/21	***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS	JMIA	Bought	5	55.5000	277.50	
02/18/21 16:42	02/22/21	PLUG POWER INC	PLUG	Bought	3	49.9500	149.85	
02/18/21 15:54	02/22/21	PLUG POWER INC	PLUG	Bought	5	50.9500	254.75	
02/18/21 17:20	02/22/21	PLUG POWER INC	PLUG	Bought	6	48.6000	291.60	

Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
02/18/21 15:06	02/22/21	SERITAGE GROWTH PROPERTIES CLASS A COMMON SHARES OF BENEFICIAL INT PAR VALUE \$0.01	SRG	Sold	-60	18.3600		1,101.56
02/22/21 14:12	02/24/21	ADVANCED MICRO DEVICES INC COM	AMD	Bought	2	86.5000	173.00	
02/22/21 12:24	02/24/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	49.2500	492.50	
02/22/21 16:15	02/24/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	11	22.7500	250.25	
02/22/21 13:39	02/24/21	***ROYAL CARIBBEAN GROUP COMMON STOCK	RCL	Sold	-10	88.5500		885.48
02/22/21 15:26	02/24/21	TESLA INC COMMON STOCK	TSLA	Bought	1	725.0000	725.00	
02/23/21 09:46	02/25/21	APPLE INC	AAPL	Bought	1	120.0000	120.00	
02/23/21 15:08	02/25/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	2	20.2000	40.40	
TOTAL SECURITIES ACTIVITY							\$3,062.89	\$1,987.04

UNSETTLED TRADES

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
02/25/21		ADVANCED MICRO DEVICES INC COM	AMDWI	Bought	2	81.9000	163.80	
02/25/21		BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	42.0000	420.00	
02/26/21		BEAM GLOBAL COMMON STOCK	BEEM	Bought	10	41.0000	410.00	

Account Number: 4087-5554

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
02/11/21	Dividend	APPLE INC CASH DIV ON 10 SHS REC 02/08/21 PAY 02/11/21	AAPL		2.05
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$2.05
NET DIVIDENDS & INTEREST ACTIVITY					\$2.05

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
02/18/21	Deposit	ACH DEPOSIT REFID:16349979906;		900.00
02/23/21	Deposit	ACH DEPOSIT REFID:16756313906;		150.00
02/25/21	Deposit	ACH DEPOSIT REFID:17147393906;		2,000.00
NET WITHDRAWALS & DEPOSITS				\$3,050.00

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0000%APY Earned as of 02/28/21)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
02/01/21		OPENING BALANCE	\$34.48
02/02/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-17.04
02/11/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	2.05
02/19/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	900.00
02/22/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-143.14
02/24/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-605.27
02/25/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-160.40
02/26/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	2,000.00
02/28/21		CLOSING BALANCE	\$2,010.68

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February 1, 2021 - February 28, 2021

Account Number: 4028-0723

Account Type: INDIVIDUAL

Account Status: Pro Elite

E*TRADE Securities LLC

P.O. Box 484

Jersey City, NJ 07303-0484

1-800-ETRADE-1 (1-800-387-2331)

etrade.com Member FINRA/SIPC

Customer Update:**All your tax info in one place**Forms 1099 for 2020, FAQs, key deadlines, cost basis info, and more—find them all in our Tax Center at etrade.com/tax.**IMPORTANT INFORMATION**

April 15 is Tax Day, but it's also the last day to make a 2020 contribution to your IRA.

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603-3175

Account At A Glance**\$34,766.01**

As of 01/31/21

\$32,276.66

As of 02/28/21

Net Change: \$-2,489.35

DETACH HERE ▲

ANNIE CHAN
18 TOWN SQUARE PL
OAKLAND CA 94603-3175

DETACH HERE ▲

Use This Deposit Slip**Acct: 4028-0723****Please do not send cash**

Make checks payable to E*TRADE Securities LLC

Mail deposits to:

TOTAL DEPOSIT

Dollars	Cents

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

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Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") at www.etrade.com/custagree for a complete discussion of the terms and conditions governing your account and the Relationship Summary at www.etrade.com/formers for information about ETS services. If you have questions regarding the Customer Agreement, your account, or positions and balances please contact us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU.

Securities products and services are offered by ETS, Member FINRA/SIPC. Your account is carried by ETS, Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you or your advisor firm. Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify us at 800-ETRADE1 within five (5) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing.

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Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided by outside quotation services for the securities held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. Prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. Value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market. Bonds and/or fixed income securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over-the-counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of instruments prior to maturity may result in a loss of principal.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflects these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are considered securities rather than cash.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (and FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS posts SEC Rule 606 quarterly reports that include order routing disclosures including the material aspects of the firms relationships with outside market centers at www.etrade.com. In addition, on request, ETS may provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or nondirected orders, and the time of the transactions, if any, that resulted from such orders. ETS regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price dis-improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Margin Accounts. The amount of margin required will be the greater of the (1) amount required by applicable laws, regulations, rules of applicable self-regulatory organizations and clearinghouses, or (2) amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days' prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at: <https://us.etrade.com/options-uninvested-cash>, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options. For E*TRADE Advisor Services clients your uninvested cash will be automatically swept into the Advisor Sweep Deposit Account Program ("ASDA Program"). For current rates and other information, speak to your investment advisor or call 866-789-0755. We will provide you with a copy of the ASDA Program Customer Agreement which can also be found at <http://www.etrade.com/easconnectsweepagree> or requested by calling 866-789-0755.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

In case of errors or questions about your Electronic Fund Transfers please contact us at 800-ETRADE-1 immediately or in writing at E*TRADE Securities LLC, PO Box 484, Jersey City, NJ 07303-0484 or by visiting etrade.com, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

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Definitions:

Activity/Trade Date. Trade date or transaction date of other entries.

Total Portfolio Percent. Percentage of your holding by issue of security.

DIV/CPN% Yield. Annual dividend or bond % yield.

Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.

Symbol/CUSIP. The symbol or identification number for each security.

*** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place or settle after the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.

Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

Customer Update:

Visit the E*TRADE Tax Center to access tax forms, plus tips and tools to help with your tax preparation. Bookmark etrade.com/tax today.

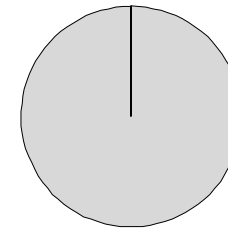
ACCOUNT OVERVIEW

Last Statement Date: January 31, 2021

Beginning Account Value (On 01/31/21): \$ 34,766.01
 Ending Account Value (On 02/28/21): \$ 32,276.66
 Net Change: \$ -2,489.35

For current rates, please visit etrade.com/rates**ASSET ALLOCATION (AS OF 02/28/21)**

0.06% - Cash & Equivalents



99.94% - Stocks, Options & ETF (Long)

ACCOUNT VALUE SUMMARY

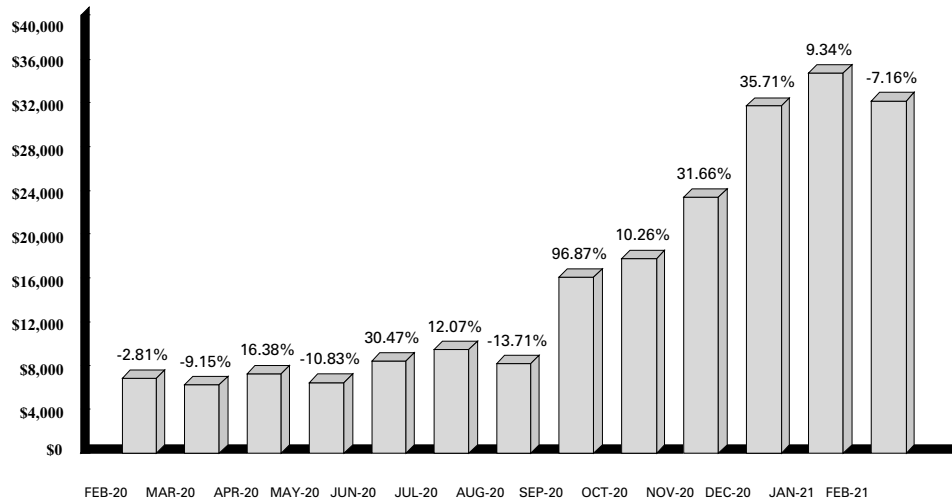
	AS OF 02/28/21	AS OF 01/31/21	% CHANGE
Cash & Equivalents	\$ 20.91	\$ 0.00	--
Total Cash/Margin Debt	\$ 20.91	\$ 0.00	--
Stocks, Options & ETF (Long)	\$ 32,255.75	\$ 34,766.01	-7.22%
Total Value of Securities	\$ 32,255.75	\$ 34,766.01	-7.22%
Net Account Value	\$ 32,276.66	\$ 34,766.01	-7.16%

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

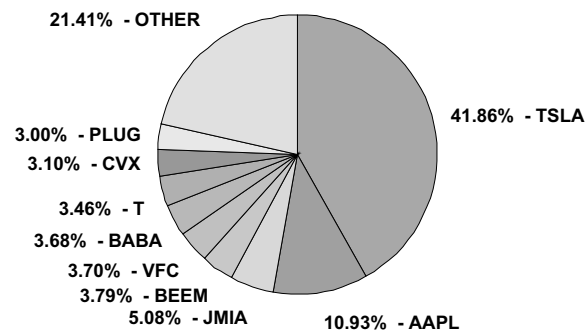
Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

NET ACCOUNT VALUE BY MONTH END

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ -2,601.98	\$ -2,690.98
Securities Sold	\$ 2,622.89	\$ 2,622.89
<u>Dividends Received</u>		
Taxable	\$ 26.76	\$ 28.00

TOP 10 ACCOUNT HOLDINGS (AS OF 02/28/21)


Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.06% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Extended Insurance Sweep Deposit Account		
Opening Balance		0.00
Closing Balance	0.06	20.91
Average Balance		1.75
Extended Insurance Sweep Deposit Account Balance by Bank as of February 28, 2021		
ETRADE BANK		20.91

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

TOTAL CASH & CASH EQUIVALENTS	0.06%	\$20.91
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STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.94% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Cash	5	237.7600	1,188.80	3.68		
AMERICAN AIRLINES GROUP INC	AAL	Cash	35	20.9400	732.90	2.27		
APPLE INC	AAPL	Cash	29.0937	121.2600	3,527.90	10.93	24.00	0.68%
AT&T INC	T	Cash	40	27.8900	1,115.60	3.46	83.00	7.44%
BEAM GLOBAL COMMON STOCK	BEEM	Cash	29	42.1400	1,222.06	3.79		
***CARNIVAL CORP COMMON PAIRED STOCK	CCL	Cash	10	26.7500	267.50	0.83		
CHEVRON CORPORATION	CVX	Cash	10	100.0000	1,000.00	3.10	52.00	5.20%
CONOCOPHILLIPS COMMON STOCK	COP	Cash	10.0987	52.0100	525.23	1.63	17.00	3.24%
GOPRO INC CL A	GPRO	Cash	12	7.5200	90.24	0.28		
INTERNATIONAL BUSINESS MACHINES CORP	IBM	Cash	6.0774	118.9300	722.80	2.24	40.00	5.53%
IRON MOUNTAIN INCORPORATED REIT NEW	IRM	Cash	2.043	34.7900	71.08	0.22	5.00	7.03%

Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS	JMIA	Cash	37	44.3100	1,639.47	5.08		
LIMELIGHT NETWORKS INC	LLNW	Cash	223	3.2700	729.21	2.26		
LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Cash	43	19.3400	831.62	2.58		
MODERNA INC COMMON STOCK	MRNA	Cash	3	154.8100	464.43	1.44		
NEOLEUKIN THERAPEUTICS INC COMMON STOCK	NLTX	Cash	1	12.0400	12.04	0.04		
PFIZER INC	PFE	Cash	20	33.4900	669.80	2.08	31.00	4.63%
PLUG POWER INC	PLUG	Cash	20	48.3800	967.60	3.00		
***ROYAL CARIBBEAN GROUP COMMON STOCK	RCL	Cash	5	93.2700	466.35	1.44		
SERITAGE GROWTH PROPERTIES CLASS A COMMON SHARES OF BENEFICIAL INT PAR VALUE \$0.01	SRG	Cash	7	20.1900	141.33	0.44		
SIMON PROPERTY GROUP INC	SPG	Cash	5	112.9200	564.60	1.75	26.00	4.61%
STORE CAPITAL CORPORATION COM	STOR	Cash	3	33.4400	100.32	0.31	4.00	3.99%
TESLA INC COMMON STOCK	TSLA	Cash	20	675.5000	13,510.00	41.86		
VALERO ENERGY CORP NEW	VLO	Cash	0.1398	76.9800	10.77	0.03	1.00	9.29%
VF CORPORATION	VFC	Cash	15.0875	79.1300	1,193.87	3.70	30.00	2.51%
YELP INC COMMON STOCK	YELP	Cash	13	37.7100	490.23	1.52		
TOTAL STOCKS, OPTIONS & ETF					32,255.75	99.94%	\$313.00	0.97%



Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

TOTAL PRICED PORTFOLIO HOLDINGS (ON 02/28/21) 32,276.66

TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME 313.00

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
02/23/21 14:06	02/25/21	AT&T INC	T	Sold	-0.7235	29.3600		21.24
02/23/21 16:59	02/25/21	BEAM GLOBAL COMMON STOCK	BEEM	Bought	29	43.5000	1,261.50	
02/23/21 13:31	02/25/21	CHEVRON CORPORATION	CVX	Sold	-11	99.4000		1,093.39
02/23/21 15:49	02/25/21	CHEVRON CORPORATION	CVX	Sold	-0.1309	99.9000		13.08
02/23/21 15:43	02/25/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	1	19.5789	19.58	
02/23/21 15:10	02/25/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	6	19.9000	119.40	
02/23/21 13:41	02/25/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	11	21.0000	231.00	
02/23/21 15:01	02/25/21	LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	25	20.8000	520.00	
02/23/21 13:43	02/25/21	PLUG POWER INC	PLUG	Bought	10	45.0500	450.50	
02/23/21 13:39	02/25/21	STORE CAPITAL CORPORATION COM	STOR	Sold	-0.0355	33.5300		1.19
02/23/21 13:57	02/25/21	VALERO ENERGY CORP NEW	VLO	Sold	-20	74.7000		1,493.99
TOTAL SECURITIES ACTIVITY							\$2,601.98	\$2,622.89

UNSETTLED TRADES

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
02/25/21		LORDSTOWN MOTORS CORP CLASS A COMMON STOCK	RIDE	Bought	1	19.0000	19.00	

Account Number: 4028-0723

Statement Period : February 1, 2021 - February 28, 2021

Account Type: INDIVIDUAL

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
02/01/21	Dividend	AT&T INC CASH DIV ON 40 SHS REC 01/11/21 PAY 02/01/21	T		20.80
02/11/21	Dividend	APPLE INC CASH DIV ON 29.04974 SHS REC 02/08/21 PAY 02/11/21	AAPL		5.96
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$26.76
NET DIVIDENDS & INTEREST ACTIVITY					\$26.76

OTHER ACTIVITY

DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT DEBITED	AMOUNT CREDITED
02/01/21	AT&T INC REIN @ 28.7463 REC 01/11/21 PAY 02/01/21	T	Div Reinvest	0.7235		20.80	
02/11/21	APPLE INC REIN @ 135.5062 REC 02/08/21 PAY 02/11/21	AAPL	Div Reinvest	0.0439		5.96	
TOTAL OTHER ACTIVITY						\$26.76	
NET OTHER ACTIVITY						\$26.76	

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0000%APY Earned as of 02/28/21)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
02/01/21		OPENING BALANCE	\$0.00
02/01/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	20.80
02/02/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-20.80
02/11/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	5.96
02/12/21	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-5.96
02/25/21	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	20.91
02/28/21		CLOSING BALANCE	\$20.91