

Fill in this information to identify the case:

Debtor 1 Lordstown Motor Corp., et al.

Debtor 2 _____
(Spouse, if filing)

United States Bankruptcy Court for the: June 27, 2023 District of Delaware

Case number 23-10831 (MFW)

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor? Alvin Rivera Charles Schwab & Co Inc Cust Roth Contributory IRA
Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor _____

2. Has this claim been acquired from someone else? No Yes. From whom? _____

3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	<u>Alvin Rivera Charles Schwab & Co Inc Cust Roth Contributory IRA</u> <u>5 Helios Novelty Online LLC</u>	<u>Alvin Rivera Charles Schwab & Co Inc Cust Roth Contributory IRA</u> <u>5 Helios Novelty Online LLC</u>
Name	Name	Name
<u>450 Lexington Ave Unit 422</u>	<u>450 Lexington Ave Unit 422</u>	<u>450 Lexington Ave Unit 422</u>
Number Street	Number Street	Number Street
<u>New York, New York 10163-0422</u>	<u>New York, New York 10163-0422</u>	<u>New York, New York 10163-0422</u>
City State ZIP Code	City State ZIP Code	City State ZIP Code
Contact phone <u>347-583-3420</u>	Contact phone <u>347-583-3420</u>	Contact phone <u>347-583-3420</u>
Contact email <u>alvin.rivera2011nyc@gmail.com</u>	Contact email <u>alvin.rivera2011nyc@gmail.com</u>	Contact email <u>alvin.rivera2011nyc@gmail.com</u>

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Uniform claim identifier for electronic payments in chapter 13 (if you use one):
Account #5483-1188

4. Does this claim amend one already filed? No Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim? No Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: XXXX-1188

7. How much is the claim? \$ 2,000.00 Does this amount include interest or other charges?
 No Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information.

11. U.S.C. § 507(a)(5)

9. Is all or part of the claim secured? No Yes. The claim is secured by a lien on property.

Nature of property:

- Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
- Motor vehicle
- Other. Describe: Cash Covered Option

Basis for perfection:

Brokerage statement attached.

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amounts should match the amount in line 7.)

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Amount necessary to cure any default as of the date of the petition: \$ \$2,000.00

Annual Interest Rate (when case was filed) 7.00 %

- Fixed
- Variable

10. Is this claim based on a lease? No Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check one:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

\$ _____

Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ 2,000.00

Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 07/20/2023

Alvin Rivera, CEO & President
 Signature

Print the name of the person who is completing and signing this claim:

Name Alvin Rivera
 First name Middle name Last name

Title CEO & President

Company 5 Helios Novelty Online LLC
 Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 450 Lexington Ave Unit 422

Number Street City State ZIP Code
New York, New York 10163-0422

Contact phone 347-583-3420 Email alvin.rivera2011nyc@gmail.com

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charles
SCHWAB

Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

DUPLICATE STATEMENT

Account Number
5483-1188

Statement Period
June 1-30, 2023

Case No.
23-10831-MFW
Dec. 98 Siled 07/11/23

Lordstown Motors Corp, et al.

Manage Your Account

Questions about this statement

1 (800) 435-4000 - 24/7 Customer service

For the most current records on your account visit us at
schwab.com/login Statements are archived up to 10 years online

Equity and Option
positions.

Equity = \$1,662.82

Option = \$100.00

Claim = \$2,000.00

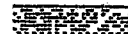
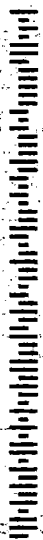
Commitment to Transparency

Client Relationship Summaries and Best Interest disclosures at schwab.com/transparency

Account Registration

ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA
5 HELIOS NOVELTY ONLINE LLC
PO BOX 422
NEW YORK NY 10163-0422

AB 02 025917 81171 H 81 A
5 HELIOS NOVELTY
450 LEXINGTON AVE UNIT 422
NEW YORK, NY 10163-9581





Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

DUPLICATE STATEMENT

Account Number
5483-1188

Statement Period
June 1-30, 2023

Terms and Conditions

GENERAL INFORMATION AND KEY TERMS:

This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage account(s). Deposit accounts held through bank sweep features constitute direct obligations of one of more FDIC insured banks ("Affiliated Banks") that are affiliated with Schwab and are not obligations of Schwab. Funds swept to Affiliated Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your account, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Affiliated Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the bank sweep feature(s), interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$0.05, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$0.05.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection.

Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include:

- You can lose more funds than you deposit in the margin account.
- Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you.
- You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.
- Schwab can increase both its "house" maintenance margin requirements and the maintenance margin requirements for your Account at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab Are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnership (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations: The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services

are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. **Yield to Maturity:** This is the actual average annual return on a note if held to maturity.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and Charles Schwab Bank are affiliates of each other and subsidiaries of the Charles Schwab Corporation.

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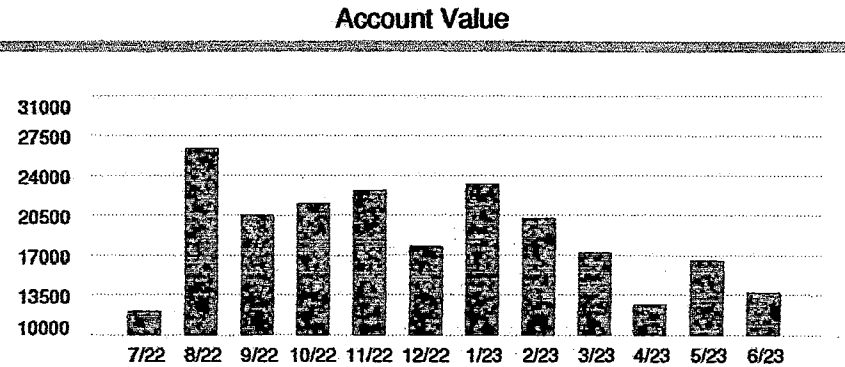
Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

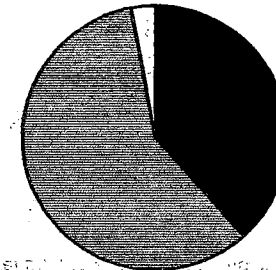
Statement Period
June 1-30, 2023

Account Value as of 06/30/2023: \$ 13,812.80^Δ

Change in Account Value	This Period	Year to Date
Starting Value	\$ 16,616.49	\$ 17,830.38
Credits	6.02	5,797.46
Debits	0.00	(240.46)
Transfer of Securities (In/Out)	(2,581.52)	(4,635.77)
Income Reinvested	0.00	0.00
Change in Value of Investments	(228.19)	(4,938.81)
Ending Value on 06/30/2023^Δ	\$ 13,812.80	\$ 13,812.80
Accrued Income ^d	1.55	
Ending Value with Accrued Income^{dΔ}	\$ 13,814.35	
Total Change in Account Value	\$ (2,803.69)	\$ (4,017.58)
	(16.87)%	(22.53)%
Total Change with Accrued Income^d	\$ (2,802.14)	



Asset Composition	Market Value	% of Account Assets
Bank Sweep ^{XZ}	\$ 7,606.28	38%
Equities	11,682.54	58%
Exchange Traded Funds	687.70	3%
Total Assets Long^Δ	\$ 19,976.52	
Options (Short)	(6,163.72)	
Total Assets Short^S	\$ (6,163.72)	
Settled Debit Balance	0.00	
Total Account Value^Δ	\$ 13,812.80	100%



- 38% Bank Sweep [X,Z]
- ▨ 58% Equities
- 3% Exchange Traded Funds

To explore the features of this statement visit [schwab.com/premiumstatement](https://www.schwab.com/premiumstatement)





Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Gain or (Loss) on Investments Sold

Unrealized Gain or (Loss)

Gain or (Loss) Summary

This Period

\$0.00

\$(90,304.18)

All Investments

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Income Summary

This Period

Year To Date

Bank Sweep Interest	4.57	19.84
Cash Dividends	1.45	3.54
Total Income	6.02	23.38

Margin Information

The opening settled debit balance in this limited margin account for the statement period was \$0.00. There were no margin debits during the margin period. **No interest was charged.**



Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Cash Transactions Summary

	This Period	Year to Date
Starting Cash*	\$ 13,600.26	\$ 10,000.00
Deposits and other Cash Credits	0.00	5,774.08
Investments Sold	0.00	4,616.08
Dividends and Interest	6.02	23.38
Withdrawals and other Debits	0.00	(240.46)
Investments Purchased	(6,000.00)	(12,566.80)
Fees and Charges	0.00	0.00
Total Cash Transaction Detail	(5,993.98)	(2,393.72)
Ending Cash*	\$ 7,606.28	\$ 7,606.28

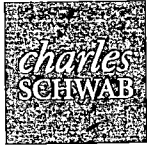
*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Investment Detail - Cash and Bank Sweep

	Starting Balance	Ending Balance	% of Account Assets
Cash			
Cash	2,500.00	0.00	
Total Cash	2,500.00	0.00	
Bank Sweep			
CHARLES SCHWAB BANK	11,100.26	7,606.28	38%
Total Bank Sweep	11,100.26	7,606.28	38%
Total Cash and Bank Sweep		7,606.28	38%

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.





Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Investment Detail - Equities

	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
Equities			<i>Cost Basis</i>				
FUELCELL ENERGY INC ◊ SYMBOL: FCGL	4,000.0000	2.16000	8,640.00 1,614.38	43%	7,025.62	N/A	N/A
GLOBALSTAR INC ◊ SYMBOL: GSAT	2,200.0000	1.08000	2,376.00 2,926.00	12%	(550.00)	N/A	N/A
INOVIO PHARMA SYMBOL: INO	100.0000	0.44650	44.65 156.00	<1%	(111.35)	N/A	N/A
LORDSTOWN MTRS CORP CLASS A SYMBOL: RIDE	6.0000	2.13000	12.78 1,662.82	<1%	(1,650.04)	N/A	N/A
MULLEN AUTOMOTIVE INC ◊ SYMBOL: MULN	40.0000	0.10770	4.31 93,374.47	<1%	(92,543.03)	N/A	N/A
QUANTUM CP DLT & STO ◊ SYMBOL: QMCO	400.0000	1.08000	432.00 3,600.00	2%	(3,168.00)	N/A	N/A
SNDL INC F SYMBOL: SNDL	100.0000	1.37000	137.00 151.00	<1%	(14.00)	N/A	N/A
SORRENTO THERAPEUTCS SYMBOL: SRNEQ	100.0000	0.35800	35.80 211.08	<1%	(175.28)	N/A	N/A
Total Equities	6,946.0000		11,682.54	58%	(91,186.08)		N/A
			Total Cost Basis: 103,695.75				

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Investment Detail - Exchange Traded Funds

	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
Exchange Traded Funds							
			<i>Cost Basis</i>				
SPDR DOW JONES	2.0000	343.85000	687.70	3%	31.60	2.53%	17.42
INDUSTRIAL AVRG ETF			656.10				
							<i>Accrued Dividend: 1.55</i>
Total Exchange Traded Funds	2.0000		687.70	3%	31.60		17.42
			<i>Total Cost Basis:</i>				
			656.10				

Total Accrued Dividend for Exchange Traded Funds: 1.55

Investment Detail - Options

	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)
Options					
			<i>Cost Basis</i>		
CALL FUELCELL ENERGY INC	20.0000 ^S	0.03000	(60.00)		426.82
\$12 EXP 01/19/24			(486.82)		
SYMBOL: FCCEL 01/19/2024 12.00 C					
CALL FUELCELL ENERGY INC	20.0000 ^S	0.01810	(36.20)		50.60
\$22 EXP 01/19/24			(86.80)		
SYMBOL: FCCEL 01/19/2024 22.00 C					
CALL GLOBALSTAR INC	22.0000 ^S	0.02540	(55.88)		309.62
\$5 EXP 01/19/24			(365.50)		
SYMBOL: GSAT 01/19/2024 5.00 C					
CALL LORDSTOWN MTRS CORP	1.0000 ^S	0.00580	(0.58)		3.77
\$15 ADJ EXP 01/19/24			(4.35)		
REPS 6 RIDE1 \$2.84 CASH					
SYMBOL: RIDE1 01/19/2024 15.00 C					

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.





Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Investment Detail - Options (continued)

	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)
Options (continued)					
CALL MULLEN AUTOMOTIVE I	10.0000^S	0.00010	(0.10)		223.31
\$7 ADJ EXP 01/19/24			(223.41)		
REPS 4 MULN					
SYMBOL: MULN1 01/19/2024 7.00 C					
CALL SNDL INC	1.0000^S	0.13060	(13.06)		36.29
\$7 EXP 01/17/25			(49.35)		
SYMBOL: SNDL 01/17/2025 7.00 C					
CALL SORRENTO THERAPEUTC	1.0000^S	0.13180	(13.18)		26.17
\$5 EXP 01/17/25			(39.35)		
** CLOSING ONLY **					
SYMBOL: SRNEQ 01/17/2025 5.00 C					
PUT MULLEN AUTOMOTIVE IN	120.0000^S	0.49570	(5,948.40)		(209.30)
\$0.5 ADJ EXP 01/19/24			(1,773.50)		
REPS 4 MULN					
SYMBOL: MULN1 01/19/2024 0.50 P					
PUT SNDL INC	1.0000^S	0.36320	(36.32)		(16.98)
\$0.5 ADJ EXP 01/19/24			(19.34)		
REPS 10 SNDL					
SYMBOL: SNDL1 01/19/2024 0.50 P					
Total Options	(196.0000)		(6,163.72)		850.30
			Total Cost Basis:		(3,048.42)

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.



Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Investment Detail - Unpriced Securities

	Quantity	Market Price	Market Value	Unrealized Gain or (Loss)
Unpriced Securities			<i>Cost Basis</i>	
IMARA INC BE+	100.0000	N/A	N/A	N/A
			0.00	
Total Unpriced Securities	100.0000		N/A	N/A
		Total Cost Basis:	0.00	

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only and are derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon exclusively for making investment decisions. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Total Investment Detail	13,812.80
Total Account Value (excl. Unpriced Securities)	13,812.80
Total Cost Basis	101,303.43

Transaction Detail - Purchases & Sales

Fixed Income Activity

Settle Date	Trade Date	Transaction	Description	Par	Unit Price	Charges and Interest	Total Amount
06/14/23	06/01/23	Bought	BANK OF HOPE 5.3%24	1,000.0000	100.0000	0.00	(1,000.00)
			CD FDIC INS DUE 06/13/24: 062683JC2				
			With accrued interest of \$0.00				

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Roth Contributory IRA of
ALVIN RIVERA
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Account Number
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Statement Period
June 1-30, 2023

Transaction Detail - Purchases & Sales (continued)

Fixed Income Activity (continued)

Settle Date	Trade Date	Transaction	Description	Par	Unit Price	Charges and Interest	Total Amount
06/16/23	06/01/23	Bought	S&T BANK 5.3%24 CD FDIC INS DUE 06/14/24: 783861CQ8 With accrued interest of \$0.00	1,000.0000	100.0000	0.00	(1,000.00)
Total Fixed Income Activity							(2,000.00)

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Charges and Interest	Total Amount
06/28/23	06/26/23	Bought	MULLEN AUTOMOTIVE INC: MULN	276.0000	0.5000	0.00	(3,450.00)
06/30/23	06/28/23	Bought	MULLEN AUTOMOTIVE INC: MULN	44.0000	0.5000	0.00	(550.00)
Total Equities Activity							(4,000.00)

Options Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Charges and Interest	Total Amount
06/26/23	06/26/23	Assigned	PUT MULLEN AUTOMOTIVE IN \$0.5 ADJ EXP 01/19/24REPS 4 MULN: MULN1 01/19/2024 0.50 P	69.0000		0.00	



Roth Contributory IRA of
ALVIN RIVERA
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Account Number
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Transaction Detail - Purchases & Sales (continued)

Options Activity (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Charges and Interest	Total Amount
06/28/23	06/28/23	Assigned	PUT MULLEN AUTOMOTIVE IN \$0.5 ADJ EXP 01/19/24REPS 4 MULN: MULN1 01/19/2024 0.50 P	11.0000		0.00	
Total Options Activity							0.00
Total Purchases & Sales							(6,000.00)

Transaction Detail - Transfers

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
06/05/23	06/05/23	Journal Shares	ENLIVEN THERAPEUTICS INC: ELVN	(25.0000)	21.5500	(538.75)
06/14/23	06/14/23	Journal Shares	BANK OF HOPE 5.3%24CD FDIC INS DUE 06/13/24US: 062683JC2	(1,000.0000)	99.9905	(999.91)
06/20/23	06/20/23	Journal Shares	S&T BANK 5.3%24CD FDIC INS DUE 06/14/24US: 783861CQ8	(1,000.0000)	100.0000	(1,000.00)
06/28/23	06/28/23	Journal Shares	MULLEN AUTOMOTIVE INC: MULN	(276.0000)	0.1368	(37.76)
06/30/23	06/30/23	Journal Shares	MULLEN AUTOMOTIVE INC: MULN	(44.0000)	0.1160	(5.10)
Total Transfers						(2,581.52)

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

Transaction Process Date	Date	Activity	Description	Credit/(Debit)
06/12/23	06/12/23	Cash Dividend	SPDR DOW JONES: DIA	1.45

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



DUPLICATE STATEMENT



Roth Contributory IRA of
ALVIN RIVERA
CHARLES SCHWAB & CO INC CUST
ROTH CONTRIBUTORY IRA

Account Number
5483-1188

Statement Period
June 1-30, 2023

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested) (continued)

Transaction Process	Date	Activity	Description	Credit/(Debit)	
	06/15/23	06/16/23	Bank Interest ^{X,Z}	BANK INT 051623-061523: SCHWAB BANK	4.57
Total Dividends & Interest				6.02	
Total Transaction Detail				(8,575.50)	

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{X,Z}
Opening Balance ^{X,Z}					11,100.26
06/01/23	Auto Transfer	BANK CREDIT FROM BROKERAGE ^X		2,500.00	13,600.26
06/14/23	Auto Transfer	BANK TRANSFER TO BROKERAGE	998.55		12,601.71
06/15/23	Interest Paid ^{X,Z}	BANK INTEREST - CHARLES SCHWAB BANK		4.57	12,606.28
06/15/23	Auto Transfer	BANK TRANSFER TO BROKERAGE	4.57		12,601.71
06/16/23	Auto Transfer	BANK TRANSFER TO BROKERAGE	1,000.00		11,601.71
06/20/23	Auto Transfer	BANK CREDIT FROM BROKERAGE ^X		4.57	11,606.28
06/28/23	Auto Transfer	BANK TRANSFER TO BROKERAGE	3,450.00		8,156.28
06/30/23	Auto Transfer	BANK TRANSFER TO BROKERAGE	550.00		7,606.28
Total Activity			6,003.12	2,509.14	
Ending Balance ^{X,Z}					7,606.28

Bank Sweep: Interest Rate as of 06/30/23 was 0.45%. ^Z



Roth Contributory IRA of
ALVIN RIVERA
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June 1-30, 2023

Pending Corporate Actions

Transaction	Quantity	Payable Date	Rate per Share	Share Distribution	Cash Distribution
SPDR DOW JONES Cash Dividend	2.0000	07/17/23	0.7757		1.55
Total Pending Corporate Actions					1.55

Pending transactions are not included in account value.

Open Orders

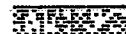
You can change or cancel any open order by calling us.

Transaction	Quantity	Order Date	Market Price	Limit Price
SPDR DOW JONES INDUSTRIAL AVRG ETF Sell	2.0000	03/17/23	343.8500	360.8600
CALL QUANTUM CP DLT & ST\$7.5 EXP 11/17/23 Sell	4.0000	03/28/23	0.0026	0.4000
PUT WEWORK INC \$0.5 EXP 01/19/24 Sell	100.0000	05/01/23	0.3027	0.4500
CALL INOVIO PHARMA \$10 EXP 01/19/24 Sell	1.0000	06/03/23	0.0065	1.0000

Contribution Summary

	2022	2023
Roth IRA	574.67	0.00
Conversion	1,063.76	1,530.03
Year To Date Total	1,638.43	1,530.03

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Roth Contributory IRA of
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ROTH CONTRIBUTORY IRA

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Statement Period
June 1-30, 2023

Endnotes For Your Account

Symbol Endnote Legend

- Δ** Excluding unpriced securities (see Investment Detail).
- ◇** Dividends paid on this security will be automatically reinvested.
- d** Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your brokerage account, but the income and/or dividends have not been received into your account and Schwab makes no representation that they will. Accrued amounts are not covered by SIPC account protection until actually received and held in the account.
- S** Short sale. Possible tax modifications of the holding period may be required for covered short positions. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.
- X** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

For information on how Schwab pays its representatives, go to <http://www.schwab.com/transparency>.