

IN THE UNITED STATES BANKRUPTCY COURT  
 FOR THE NORTHERN DISTRICT OF ALABAMA  
 SOUTHERN DIVISION

-----X  
 :  
 In re: : Case No. 11-05736-TBB  
 :  
 JEFFERSON COUNTY, ALABAMA, a : Chapter 9  
 political subdivision of the State of Alabama, :  
 :  
 Debtor. :  
 :  
 -----X

**AFFIDAVIT OF SERVICE**

I, David Hartie, a non-attorney, depose and say under the penalty of perjury:

1. I am employed by Kurtzman Carson Consultants LLC (“KCC”), the balloting agent (the “Balloting Agent”) for Jefferson County, Alabama (the “County”), the debtor in the above-referenced case. My business address is 599 Lexington Avenue, 39<sup>th</sup> Floor, New York, NY 10022. I am over the age of 18 and not a party to this action. Except as otherwise noted, I could and would testify to the following based upon my personal knowledge. I submit this Affidavit in connection with the service of solicitation packages for the *Chapter 9 Plan of Adjustment for Jefferson County, Alabama (Dated July 29, 2013)* [**Docket No. 1911**] (the “Plan”).<sup>1</sup>
2. On August 7, 2013, the Court entered its *Order Approving: (A) the "Disclosure Statement Regarding Chapter 9 Plan of Adjustment for Jefferson County, Alabama (Dated July 29, 2013)"; and (B) Related Disclosure Statement Procedures, Deadlines, and Notices* [**Docket No. 1974**] (the “Disclosure Statement Order”) and *Order Approving: (A) the Form, Scope, and Nature of Solicitation, Balloting, Tabulation, and Notices with Respect to the "Chapter 9 Plan of Adjustment for Jefferson County, Alabama (Dated July 29, 2013)"; and (B) Related Confirmation Procedures, Deadlines, and Notices* [**Docket No. 1975**] (the “Solicitation Procedures Order”).
3. As the County's Balloting Agent, KCC is charged with the duty of preparing and distributing solicitation packages to creditors and other interested parties pursuant to the instructions set forth in the Disclosure Statement Order and the Solicitation Procedures Order.
4. On September 18, 2013, KCC filed the *Affidavit of Service of David Hartie re: Chapter 9 Plan of Adjustment for Jefferson County, Alabama (Dated July 29, 2013)* [**Docket No. 2055**] (the “Hartie Affidavit”).
5. The Hartie Affidavit includes Exhibits B, K and O, which list entities to which KCC had provided bulk quantities of solicitation packages for subsequent forwarding to holders of Sewer Warrant Claims, Series 2004-A School Claims and Series 2005-A School Claims (the “Securities Claims”). Broadridge Financial Solutions, Inc. (“Broadridge”), an entity included on these Hartie Affidavit Exhibits, acts as

<sup>1</sup> Capitalized terms not defined herein shall have the meanings ascribed to them in the Plan.




agent on behalf of institutional nominees by processing mailings on their behalf to their clients, the underlying holders of Securities Claims. Attached hereto as Exhibit A is an affidavit of mailing prepared by Broadridge, as agent for institutional nominees of the holders of Securities claims.

6. Further, attached hereto as Exhibit B is a schedule received from Broadridge that reflects the institutional nominees covered in Broadridge's mailings. The schedule lists the Broadridge Job number, associated CUSIP number, relevant Series and institutional nominee by Broadridge Reorg Client Number (the "Client Number"). Attached hereto is Exhibit C is a list of institutional nominees that use the services of Broadridge and associates the Client Number to the name of institutional nominee.
7. The Hartie Affidavit also includes Exhibit W, which lists entities to which KCC had provided bulk quantities of the Notice of Non-Voting Status and Confirmation Hearing Notice (together, the "Notices") for subsequent forwarding to holders of Class 3-A Board of Education Lease Claims, Class 5-B Series 2003-A GO Claims and Class 5-C Series 2004-A GO Claims (the "Non-Voting Claims"). As an agent of the institutional nominees, Broadridge appears on this Exhibit and was provided with bulk quantities of Notices for forwarding to the underlying holders of Non-Voting Claims. Attached hereto as Exhibit D is an affidavit of mailing prepared by Broadridge, as agent for institutional nominees of the holders of the Non-Voting Claims.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, information and belief. If called to testify at trial on this matter, I would testify under oath to the facts stated in this Affidavit.

Dated: October 29, 2013

  
\_\_\_\_\_  
David Hartie

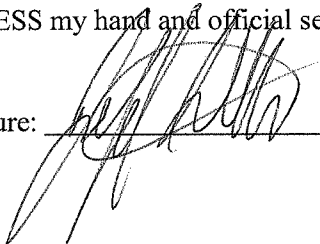
Kurtzman Carson Consultants LLC  
599 Lexington Avenue, 39<sup>th</sup> Floor  
New York, NY 10022  
Phone: (917) 281-4800

State of New York  
County of New York

Subscribed and sworn to (or affirmed) before me on October 29, 2013 by David Hartie, proved to me on the basis of satisfactory evidence to be the person who appeared before me.

WITNESS my hand and official seal.

Signature: \_\_\_\_\_





# Exhibit A

September 24, 2013

Mr. David Hartie  
Kurtzman Carson Consultants  
599 Lexington Avenue  
39<sup>th</sup> Floor  
New York, NY 10022

Dear Mr. David Hartie:

This affidavit of mailing certifies that the package described below was mailed from Farmingdale, New York Post Office 11735.

Company Name: Jefferson County  
Type of Mailing: Bankruptcy / Election – 34 Jobs; Bankruptcy / Vote – 17 Jobs  
Mailing Date: Various – Refer to Exhibit A  
Class of Mail: First Class  
Total Pieces: 5048 (approximate) – Refer to Exhibit A for Details

Sincerely,



Andrew Marino  
Senior Client Service Representative

Sworn to before me this 24th  
Day of September, 2013

  
\_\_\_\_\_  
Notary Public

**JILL KAPLAN**  
Notary Public, State of New York  
No. 01KA6242333  
Qualified in Suffolk County  
Commission Expires 05/31/2015

**EXHIBIT A - JOB DETAILS FOR JEFFERSON COUNTY MAILINGS (51 JOBS)**

Job Name	Cusip	Job Number	Offer Type	Pieces Mailed	Completed Mail Date
JEFFERSON COUNTY	472682JF1	E77246	Bankruptcy / Election	51	8/26/2013
JEFFERSON COUNTY	472682JG9	E77247	Bankruptcy / Election	45	8/27/2013
JEFFERSON COUNTY	472682JH7	E77248	Bankruptcy / Election	82	8/26/2013
JEFFERSON COUNTY	472682JJ3	E77249	Bankruptcy / Election	20	8/26/2013
JEFFERSON COUNTY	472682JL8	E77250	Bankruptcy / Election	46	8/26/2013
JEFFERSON COUNTY	472682JM6	E77251	Bankruptcy / Election	106	8/26/2013
JEFFERSON COUNTY	472682JN4	E77252	Bankruptcy / Election	92	8/26/2013
JEFFERSON COUNTY	472682KA0	E77253	Bankruptcy / Election	35	8/26/2013
JEFFERSON COUNTY	472682KB8	E77254	Bankruptcy / Election	26	8/26/2013
JEFFERSON COUNTY	472682KC6	E77255	Bankruptcy / Election	44	8/26/2013
JEFFERSON COUNTY	472682KD4	E77256	Bankruptcy / Election	23	8/26/2013
JEFFERSON COUNTY	472682KH5	E77257	Bankruptcy / Election	34	8/26/2013
JEFFERSON COUNTY	472682LH4	E77258	Bankruptcy / Election	62	8/26/2013
JEFFERSON COUNTY	472682LJ0	E77259	Bankruptcy / Election	47	8/26/2013
JEFFERSON COUNTY	472682LK7	E77260	Bankruptcy / Election	70	8/26/2013
JEFFERSON COUNTY	472682LL5	E77261	Bankruptcy / Election	29	8/26/2013
JEFFERSON COUNTY	472682LM3	E77262	Bankruptcy / Election	63	8/26/2013
JEFFERSON COUNTY	472682MC4	E77263	Bankruptcy / Election	447	8/27/2013
JEFFERSON COUNTY	472682MD2	E77264	Bankruptcy / Election	861	8/27/2013
JEFFERSON COUNTY	472682MQ3	E77265	Bankruptcy / Election	383	8/27/2013
JEFFERSON COUNTY	472682MR1	E77266	Bankruptcy / Election	192	8/26/2013
JEFFERSON COUNTY	472682MS9	E77267	Bankruptcy / Election	354	8/27/2013
JEFFERSON COUNTY	472682NA7	E77268	Bankruptcy / Election	39	8/26/2013
JEFFERSON COUNTY	472682NB5	E77269	Bankruptcy / Election	39	8/26/2013
JEFFERSON COUNTY	472682NC3	E77270	Bankruptcy / Election	41	8/26/2013
JEFFERSON COUNTY	472682ND1	E77271	Bankruptcy / Election	65	8/26/2013
JEFFERSON COUNTY	472682NE9	E77272	Bankruptcy / Election	46	8/26/2013
JEFFERSON COUNTY	472682NF6	E77273	Bankruptcy / Election	27	8/26/2013
JEFFERSON COUNTY	472682NG4	E77274	Bankruptcy / Election	36	8/26/2013
JEFFERSON COUNTY	472682NH2	E77275	Bankruptcy / Election	21	8/26/2013
JEFFERSON COUNTY	472682NJ8	E77276	Bankruptcy / Election	40	8/26/2013
JEFFERSON COUNTY	472682NK5	E77277	Bankruptcy / Election	47	8/26/2013
JEFFERSON COUNTY	472682NW9	E77279	Bankruptcy / Election	168	8/26/2013
JEFFERSON COUNTY	472682NX7	E77280	Bankruptcy / Election	6	8/26/2013
JEFFERSON COUNTY	472628NS1	E77663	Bankruptcy / Vote	3	9/3/2013
JEFFERSON COUNTY	472628QE9	E77664	Bankruptcy / Vote	3	9/3/2013
JEFFERSON CNTY	472653AH7	E77665	Bankruptcy / Vote	75	9/3/2013
JEFFERSON CNTY	472653AJ3	E77666	Bankruptcy / Vote	167	9/3/2013
JEFFERSON CNTY	472653AK0	E77667	Bankruptcy / Vote	90	9/3/2013
JEFFERSON CNTY	472653AL8	E77668	Bankruptcy / Vote	41	9/3/2013
JEFFERSON CNTY	472653AN4	E77670	Bankruptcy / Vote	6	9/3/2013
JEFFERSON CNTY	472653AP9	E77671	Bankruptcy / Vote	49	9/3/2013
JEFFERSON CNTY	472653AQ7	E77672	Bankruptcy / Vote	4	9/3/2013
JEFFERSON CNTY	472653AR5	E77673	Bankruptcy / Vote	29	9/3/2013
JEFFERSON CNTY	472653AS3	E77674	Bankruptcy / Vote	63	9/3/2013
JEFFERSON CNTY	472653AT1	E77675	Bankruptcy / Vote	352	9/4/2013
JEFFERSON CNTY	472653AU8	E77676	Bankruptcy / Vote	352	9/3/2013
JEFFERSON CNTY	472653BA1	E77677	Bankruptcy / Vote	31	9/3/2013
JEFFERSON CNTY	472653BB9	E77678	Bankruptcy / Vote	44	9/3/2013
JEFFERSON CNTY	472653BC7	E77679	Bankruptcy / Vote	23	9/3/2013
JEFFERSON CNTY	472653BD5	E77680	Bankruptcy / Vote	29	9/3/2013

# Exhibit B

**JEFFERSON COUNTY - CLIENTS MAILED**

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77246	472682JF1	2001-A	164
E77246	472682JF1	2001-A	216
E77246	472682JF1	2001-A	23N
E77246	472682JF1	2001-A	235
E77246	472682JF1	2001-A	279
E77246	472682JF1	2001-A	309
E77246	472682JF1	2001-A	443
E77246	472682JF1	2001-A	54R
E77246	472682JF1	2001-A	725
E77246	472682JF1	2001-A	750
E77246	472682JF1	2001-A	808
E77247	472682JG9	2001-A	141
E77247	472682JG9	2001-A	164
E77247	472682JG9	2001-A	23N
E77247	472682JG9	2001-A	279
E77247	472682JG9	2001-A	309
E77247	472682JG9	2001-A	443
E77247	472682JG9	2001-A	54R
E77247	472682JG9	2001-A	750
E77247	472682JG9	2001-A	808
E77247	472682JG9	2001-A	897
E77248	472682JH7	2001-A	015
E77248	472682JH7	2001-A	141
E77248	472682JH7	2001-A	161
E77248	472682JH7	2001-A	164
E77248	472682JH7	2001-A	188
E77248	472682JH7	2001-A	23N
E77248	472682JH7	2001-A	279
E77248	472682JH7	2001-A	309
E77248	472682JH7	2001-A	443
E77248	472682JH7	2001-A	54R
E77248	472682JH7	2001-A	725
E77248	472682JH7	2001-A	750
E77248	472682JH7	2001-A	808
E77248	472682JH7	2001-A	96L
E77249	472682JJ3	2001-A	161
E77249	472682JJ3	2001-A	188
E77249	472682JJ3	2001-A	309
E77249	472682JJ3	2001-A	443
E77249	472682JJ3	2001-A	725

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77249	472682JJ3	2001-A	750
E77250	472682JL8	2001-A	057
E77250	472682JL8	2001-A	141
E77250	472682JL8	2001-A	161
E77250	472682JL8	2001-A	188
E77250	472682JL8	2001-A	309
E77250	472682JL8	2001-A	443
E77250	472682JL8	2001-A	701
E77250	472682JL8	2001-A	702
E77250	472682JL8	2001-A	725
E77250	472682JL8	2001-A	808
E77251	472682JM6	2001-A	141
E77251	472682JM6	2001-A	161
E77251	472682JM6	2001-A	164
E77251	472682JM6	2001-A	23N
E77251	472682JM6	2001-A	235
E77251	472682JM6	2001-A	279
E77251	472682JM6	2001-A	309
E77251	472682JM6	2001-A	352
E77251	472682JM6	2001-A	443
E77251	472682JM6	2001-A	54R
E77251	472682JM6	2001-A	571
E77251	472682JM6	2001-A	702
E77251	472682JM6	2001-A	725
E77251	472682JM6	2001-A	750
E77251	472682JM6	2001-A	808
E77251	472682JM6	2001-A	897
E77252	472682JN4	2001-A	015
E77252	472682JN4	2001-A	103
E77252	472682JN4	2001-A	141
E77252	472682JN4	2001-A	158
E77252	472682JN4	2001-A	161
E77252	472682JN4	2001-A	164
E77252	472682JN4	2001-A	216
E77252	472682JN4	2001-A	279
E77252	472682JN4	2001-A	309
E77252	472682JN4	2001-A	352
E77252	472682JN4	2001-A	367
E77252	472682JN4	2001-A	443
E77252	472682JN4	2001-A	54R
E77252	472682JN4	2001-A	702
E77252	472682JN4	2001-A	725



JOB #	CUSIP #	SERIES	REORG CLIENT #
E77252	472682JN4	2001-A	750
E77252	472682JN4	2001-A	808
E77252	472682JN4	2001-A	897
E77252	472682JN4	2001-A	96L
E77253	472682KA0	2002-C-1	A21
E77253	472682KA0	2002-C-1	005
E77253	472682KA0	2002-C-1	015
E77253	472682KA0	2002-C-1	161
E77253	472682KA0	2002-C-1	188
E77253	472682KA0	2002-C-1	23N
E77253	472682KA0	2002-C-1	352
E77253	472682KA0	2002-C-1	419
E77253	472682KA0	2002-C-1	443
E77253	472682KA0	2002-C-1	78U
E77254	472682KB8	2002-C-1	A21
E77254	472682KB8	2002-C-1	005
E77254	472682KB8	2002-C-1	015
E77254	472682KB8	2002-C-1	164
E77254	472682KB8	2002-C-1	188
E77254	472682KB8	2002-C-1	750
E77254	472682KB8	2002-C-1	78U
E77254	472682KB8	2002-C-1	82D
E77255	472682KC6	2002-C-1	A21
E77255	472682KC6	2002-C-1	002
E77255	472682KC6	2002-C-1	005
E77255	472682KC6	2002-C-1	015
E77255	472682KC6	2002-C-1	057
E77255	472682KC6	2002-C-1	141
E77255	472682KC6	2002-C-1	23N
E77255	472682KC6	2002-C-1	352
E77255	472682KC6	2002-C-1	443
E77255	472682KC6	2002-C-1	571
E77255	472682KC6	2002-C-1	70M
E77255	472682KC6	2002-C-1	725
E77256	472682KD4	2002-C-1	A21
E77256	472682KD4	2002-C-1	005
E77256	472682KD4	2002-C-1	015
E77256	472682KD4	2002-C-1	235
E77256	472682KD4	2002-C-1	642
E77256	472682KD4	2002-C-1	78U
E77256	472682KD4	2002-C-1	808

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77257	472682KH5	2002-C-5	A21
E77257	472682KH5	2002-C-5	005
E77257	472682KH5	2002-C-5	141
E77257	472682KH5	2002-C-5	161
E77257	472682KH5	2002-C-5	164
E77257	472682KH5	2002-C-5	352
E77257	472682KH5	2002-C-5	355
E77257	472682KH5	2002-C-5	367
E77257	472682KH5	2002-C-5	725
E77258	472682LH4	2003-B-1	A21
E77258	472682LH4	2003-B-1	005
E77258	472682LH4	2003-B-1	057
E77258	472682LH4	2003-B-1	161
E77258	472682LH4	2003-B-1	164
E77258	472682LH4	2003-B-1	188
E77258	472682LH4	2003-B-1	235
E77258	472682LH4	2003-B-1	443
E77258	472682LH4	2003-B-1	571
E77258	472682LH4	2003-B-1	725
E77258	472682LH4	2003-B-1	808
E77258	472682LH4	2003-B-1	82D
E77259	472682LJ0	2003-B-1	002
E77259	472682LJ0	2003-B-1	005
E77259	472682LJ0	2003-B-1	164
E77259	472682LJ0	2003-B-1	188
E77259	472682LJ0	2003-B-1	352
E77259	472682LJ0	2003-B-1	355
E77259	472682LJ0	2003-B-1	443
E77259	472682LJ0	2003-B-1	571
E77259	472682LJ0	2003-B-1	642
E77259	472682LJ0	2003-B-1	725
E77259	472682LJ0	2003-B-1	78U
E77259	472682LJ0	2003-B-1	808
E77259	472682LJ0	2003-B-1	82D
E77260	472682LK7	2003-B-1	A21
E77260	472682LK7	2003-B-1	005
E77260	472682LK7	2003-B-1	141
E77260	472682LK7	2003-B-1	164
E77260	472682LK7	2003-B-1	188
E77260	472682LK7	2003-B-1	235
E77260	472682LK7	2003-B-1	355

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77260	472682LK7	2003-B-1	443
E77260	472682LK7	2003-B-1	571
E77260	472682LK7	2003-B-1	725
E77260	472682LK7	2003-B-1	808
E77260	472682LK7	2003-B-1	82D
E77261	472682LL5	2003-B-1	A21
E77261	472682LL5	2003-B-1	005
E77261	472682LL5	2003-B-1	164
E77261	472682LL5	2003-B-1	571
E77261	472682LL5	2003-B-1	642
E77261	472682LL5	2003-B-1	82D
E77262	472682LM3	2003-B-1	A21
E77262	472682LM3	2003-B-1	005
E77262	472682LM3	2003-B-1	164
E77262	472682LM3	2003-B-1	188
E77262	472682LM3	2003-B-1	23N
E77262	472682LM3	2003-B-1	309
E77262	472682LM3	2003-B-1	547
E77262	472682LM3	2003-B-1	571
E77262	472682LM3	2003-B-1	725
E77262	472682LM3	2003-B-1	750
E77262	472682LM3	2003-B-1	78U
E77262	472682LM3	2003-B-1	808
E77262	472682LM3	2003-B-1	82D
E77263	472682MC4	1997-A	005
E77263	472682MC4	1997-A	015
E77263	472682MC4	1997-A	057
E77263	472682MC4	1997-A	103
E77263	472682MC4	1997-A	141
E77263	472682MC4	1997-A	158
E77263	472682MC4	1997-A	161
E77263	472682MC4	1997-A	164
E77263	472682MC4	1997-A	188
E77263	472682MC4	1997-A	216
E77263	472682MC4	1997-A	23N
E77263	472682MC4	1997-A	235
E77263	472682MC4	1997-A	279
E77263	472682MC4	1997-A	309
E77263	472682MC4	1997-A	367
E77263	472682MC4	1997-A	374
E77263	472682MC4	1997-A	443
E77263	472682MC4	1997-A	54R

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77263	472682MC4	1997-A	547
E77263	472682MC4	1997-A	701
E77263	472682MC4	1997-A	702
E77263	472682MC4	1997-A	725
E77263	472682MC4	1997-A	750
E77263	472682MC4	1997-A	78U
E77263	472682MC4	1997-A	808
E77263	472682MC4	1997-A	82D
E77263	472682MC4	1997-A	96L
E77264	472682MD2	1997-A	005
E77264	472682MD2	1997-A	015
E77264	472682MD2	1997-A	057
E77264	472682MD2	1997-A	103
E77264	472682MD2	1997-A	141
E77264	472682MD2	1997-A	161
E77264	472682MD2	1997-A	164
E77264	472682MD2	1997-A	188
E77264	472682MD2	1997-A	216
E77264	472682MD2	1997-A	23N
E77264	472682MD2	1997-A	235
E77264	472682MD2	1997-A	279
E77264	472682MD2	1997-A	309
E77264	472682MD2	1997-A	352
E77264	472682MD2	1997-A	419
E77264	472682MD2	1997-A	443
E77264	472682MD2	1997-A	54R
E77264	472682MD2	1997-A	547
E77264	472682MD2	1997-A	571
E77264	472682MD2	1997-A	702
E77264	472682MD2	1997-A	725
E77264	472682MD2	1997-A	750
E77264	472682MD2	1997-A	808
E77264	472682MD2	1997-A	9CJ
E77264	472682MD2	1997-A	96L
E77265	472682MQ3	2003-B-8	0L3
E77265	472682MQ3	2003-B-8	015
E77265	472682MQ3	2003-B-8	057
E77265	472682MQ3	2003-B-8	141
E77265	472682MQ3	2003-B-8	158
E77265	472682MQ3	2003-B-8	161
E77265	472682MQ3	2003-B-8	164
E77265	472682MQ3	2003-B-8	188
E77265	472682MQ3	2003-B-8	216

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77265	472682MQ3	2003-B-8	23N
E77265	472682MQ3	2003-B-8	235
E77265	472682MQ3	2003-B-8	279
E77265	472682MQ3	2003-B-8	309
E77265	472682MQ3	2003-B-8	352
E77265	472682MQ3	2003-B-8	374
E77265	472682MQ3	2003-B-8	443
E77265	472682MQ3	2003-B-8	54R
E77265	472682MQ3	2003-B-8	571
E77265	472682MQ3	2003-B-8	702
E77265	472682MQ3	2003-B-8	725
E77265	472682MQ3	2003-B-8	750
E77265	472682MQ3	2003-B-8	808
E77265	472682MQ3	2003-B-8	82D
E77265	472682MQ3	2003-B-8	897
E77265	472682MQ3	2003-B-8	9CJ
E77265	472682MQ3	2003-B-8	96L
E77266	472682MR1	2003-B-8	0L3
E77266	472682MR1	2003-B-8	015
E77266	472682MR1	2003-B-8	057
E77266	472682MR1	2003-B-8	141
E77266	472682MR1	2003-B-8	161
E77266	472682MR1	2003-B-8	164
E77266	472682MR1	2003-B-8	188
E77266	472682MR1	2003-B-8	23N
E77266	472682MR1	2003-B-8	235
E77266	472682MR1	2003-B-8	309
E77266	472682MR1	2003-B-8	443
E77266	472682MR1	2003-B-8	54R
E77266	472682MR1	2003-B-8	702
E77266	472682MR1	2003-B-8	725
E77266	472682MR1	2003-B-8	808
E77266	472682MR1	2003-B-8	82D
E77266	472682MR1	2003-B-8	82V
E77266	472682MR1	2003-B-8	897
E77266	472682MR1	2003-B-8	9CJ
E77266	472682MR1	2003-B-8	96L
E77267	472682MS9	2003-B-8	015
E77267	472682MS9	2003-B-8	057
E77267	472682MS9	2003-B-8	141
E77267	472682MS9	2003-B-8	158
E77267	472682MS9	2003-B-8	161
E77267	472682MS9	2003-B-8	164

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77267	472682MS9	2003-B-8	188
E77267	472682MS9	2003-B-8	216
E77267	472682MS9	2003-B-8	23N
E77267	472682MS9	2003-B-8	235
E77267	472682MS9	2003-B-8	279
E77267	472682MS9	2003-B-8	309
E77267	472682MS9	2003-B-8	352
E77267	472682MS9	2003-B-8	374
E77267	472682MS9	2003-B-8	443
E77267	472682MS9	2003-B-8	54R
E77267	472682MS9	2003-B-8	547
E77267	472682MS9	2003-B-8	702
E77267	472682MS9	2003-B-8	725
E77267	472682MS9	2003-B-8	750
E77267	472682MS9	2003-B-8	808
E77267	472682MS9	2003-B-8	897
E77267	472682MS9	2003-B-8	9CJ
E77267	472682MS9	2003-B-8	96L
E77268	472682NA7	2003-C-1	A21
E77268	472682NA7	2003-C-1	005
E77268	472682NA7	2003-C-1	164
E77268	472682NA7	2003-C-1	443
E77268	472682NA7	2003-C-1	571
E77268	472682NA7	2003-C-1	725
E77268	472682NA7	2003-C-1	78U
E77268	472682NA7	2003-C-1	808
E77268	472682NA7	2003-C-1	82D
E77269	472682NB5	2003-C-2	A21
E77269	472682NB5	2003-C-2	005
E77269	472682NB5	2003-C-2	141
E77269	472682NB5	2003-C-2	161
E77269	472682NB5	2003-C-2	164
E77269	472682NB5	2003-C-2	352
E77269	472682NB5	2003-C-2	547
E77269	472682NB5	2003-C-2	78U
E77269	472682NB5	2003-C-2	808
E77270	472682NC3	2003-C-3	005
E77270	472682NC3	2003-C-3	015
E77270	472682NC3	2003-C-3	164
E77270	472682NC3	2003-C-3	235
E77270	472682NC3	2003-C-3	279
E77270	472682NC3	2003-C-3	352

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77270	472682NC3	2003-C-3	443
E77270	472682NC3	2003-C-3	571
E77270	472682NC3	2003-C-3	642
E77271	472682ND1	2003-C-4	A21
E77271	472682ND1	2003-C-4	002
E77271	472682ND1	2003-C-4	005
E77271	472682ND1	2003-C-4	015
E77271	472682ND1	2003-C-4	161
E77271	472682ND1	2003-C-4	164
E77271	472682ND1	2003-C-4	352
E77271	472682ND1	2003-C-4	355
E77271	472682ND1	2003-C-4	443
E77271	472682ND1	2003-C-4	571
E77271	472682ND1	2003-C-4	725
E77271	472682ND1	2003-C-4	750
E77271	472682ND1	2003-C-4	78U
E77271	472682ND1	2003-C-4	808
E77272	472682NE9	2003-C-5	0L3
E77272	472682NE9	2003-C-5	005
E77272	472682NE9	2003-C-5	015
E77272	472682NE9	2003-C-5	141
E77272	472682NE9	2003-C-5	164
E77272	472682NE9	2003-C-5	188
E77272	472682NE9	2003-C-5	216
E77272	472682NE9	2003-C-5	235
E77272	472682NE9	2003-C-5	352
E77272	472682NE9	2003-C-5	355
E77272	472682NE9	2003-C-5	443
E77272	472682NE9	2003-C-5	571
E77272	472682NE9	2003-C-5	78U
E77272	472682NE9	2003-C-5	808
E77272	472682NE9	2003-C-5	82D
E77273	472682NF6	2003-C-6	A21
E77273	472682NF6	2003-C-6	005
E77273	472682NF6	2003-C-6	188
E77273	472682NF6	2003-C-6	352
E77273	472682NF6	2003-C-6	725
E77274	472682NG4	2003-C-7	A21
E77274	472682NG4	2003-C-7	0L3
E77274	472682NG4	2003-C-7	005
E77274	472682NG4	2003-C-7	725

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77274	472682NG4	2003-C-7	78U
E77274	472682NG4	2003-C-7	82D
E77275	472682NH2	2003-C-8	A21
E77275	472682NH2	2003-C-8	005
E77275	472682NH2	2003-C-8	725
E77275	472682NH2	2003-C-8	78U
E77276	472682NJ8	2003-C-9	005
E77276	472682NJ8	2003-C-9	161
E77276	472682NJ8	2003-C-9	164
E77276	472682NJ8	2003-C-9	188
E77276	472682NJ8	2003-C-9	355
E77276	472682NJ8	2003-C-9	443
E77276	472682NJ8	2003-C-9	725
E77276	472682NJ8	2003-C-9	78U
E77276	472682NJ8	2003-C-9	808
E77276	472682NJ8	2003-C-9	82D
E77277	472682NK5	2003-C-10	005
E77277	472682NK5	2003-C-10	164
E77277	472682NK5	2003-C-10	188
E77277	472682NK5	2003-C-10	309
E77277	472682NK5	2003-C-10	352
E77277	472682NK5	2003-C-10	355
E77277	472682NK5	2003-C-10	443
E77277	472682NK5	2003-C-10	642
E77277	472682NK5	2003-C-10	725
E77277	472682NK5	2003-C-10	78U
E77277	472682NK5	2003-C-10	808
E77279	472682NW9	1997-A	015
E77279	472682NW9	1997-A	057
E77279	472682NW9	1997-A	103
E77279	472682NW9	1997-A	141
E77279	472682NW9	1997-A	161
E77279	472682NW9	1997-A	164
E77279	472682NW9	1997-A	188
E77279	472682NW9	1997-A	216
E77279	472682NW9	1997-A	23N
E77279	472682NW9	1997-A	235
E77279	472682NW9	1997-A	279
E77279	472682NW9	1997-A	309
E77279	472682NW9	1997-A	374
E77279	472682NW9	1997-A	443



JOB #	CUSIP #	SERIES	REORG CLIENT #
E77279	472682NW9	1997-A	54R
E77279	472682NW9	1997-A	702
E77279	472682NW9	1997-A	725
E77279	472682NW9	1997-A	750
E77279	472682NW9	1997-A	808
E77279	472682NW9	1997-A	897
E77279	472682NW9	1997-A	96L
E77280	472682NX7	1997-A	78U
E77280	472682NX7	1997-A	82D
E77663	472628NS1	2001-B-1	82D
E77664	472628QE9	2001-B-1	82D
E77665	472653AH7	2004-A	015
E77665	472653AH7	2004-A	141
E77665	472653AH7	2004-A	164
E77665	472653AH7	2004-A	188
E77665	472653AH7	2004-A	221
E77665	472653AH7	2004-A	23N
E77665	472653AH7	2004-A	309
E77665	472653AH7	2004-A	352
E77665	472653AH7	2004-A	361
E77665	472653AH7	2004-A	443
E77665	472653AH7	2004-A	54R
E77665	472653AH7	2004-A	808
E77665	472653AH7	2004-A	82D
E77665	472653AH7	2004-A	9CJ
E77665	472653AH7	2004-A	96L
E77666	472653AJ3	2004-A	015
E77666	472653AJ3	2004-A	141
E77666	472653AJ3	2004-A	161
E77666	472653AJ3	2004-A	164
E77666	472653AJ3	2004-A	216
E77666	472653AJ3	2004-A	221
E77666	472653AJ3	2004-A	23N
E77666	472653AJ3	2004-A	235
E77666	472653AJ3	2004-A	279
E77666	472653AJ3	2004-A	309
E77666	472653AJ3	2004-A	443
E77666	472653AJ3	2004-A	54R
E77666	472653AJ3	2004-A	547
E77666	472653AJ3	2004-A	701

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77666	472653AJ3	2004-A	725
E77666	472653AJ3	2004-A	808
E77666	472653AJ3	2004-A	897
E77666	472653AJ3	2004-A	9CJ
E77666	472653AJ3	2004-A	96L
E77667	472653AK0	2004-A	005
E77667	472653AK0	2004-A	015
E77667	472653AK0	2004-A	057
E77667	472653AK0	2004-A	141
E77667	472653AK0	2004-A	161
E77667	472653AK0	2004-A	164
E77667	472653AK0	2004-A	188
E77667	472653AK0	2004-A	221
E77667	472653AK0	2004-A	279
E77667	472653AK0	2004-A	309
E77667	472653AK0	2004-A	352
E77667	472653AK0	2004-A	443
E77667	472653AK0	2004-A	54R
E77667	472653AK0	2004-A	547
E77667	472653AK0	2004-A	571
E77667	472653AK0	2004-A	750
E77667	472653AK0	2004-A	808
E77667	472653AK0	2004-A	82D
E77667	472653AK0	2004-A	9CJ
E77668	472653AL8	2004-A	005
E77668	472653AL8	2004-A	141
E77668	472653AL8	2004-A	161
E77668	472653AL8	2004-A	164
E77668	472653AL8	2004-A	188
E77668	472653AL8	2004-A	235
E77668	472653AL8	2004-A	443
E77668	472653AL8	2004-A	54R
E77668	472653AL8	2004-A	808
E77668	472653AL8	2004-A	82D
E77668	472653AL8	2004-A	9CJ
E77668	472653AL8	2004-A	96L
E77670	472653AN4	2004-A	005
E77670	472653AN4	2004-A	82D
E77671	472653AP9	2004-A	005
E77671	472653AP9	2004-A	057
E77671	472653AP9	2004-A	141

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77671	472653AP9	2004-A	161
E77671	472653AP9	2004-A	164
E77671	472653AP9	2004-A	188
E77671	472653AP9	2004-A	221
E77671	472653AP9	2004-A	235
E77671	472653AP9	2004-A	352
E77671	472653AP9	2004-A	374
E77671	472653AP9	2004-A	443
E77671	472653AP9	2004-A	54R
E77671	472653AP9	2004-A	571
E77671	472653AP9	2004-A	808
E77671	472653AP9	2004-A	897
E77671	472653AP9	2004-A	9CJ
E77672	472653AQ7	2004-A	005
E77672	472653AQ7	2004-A	352
E77673	472653AR5	2004-A	5
E77673	472653AR5	2004-A	57
E77673	472653AR5	2004-A	164
E77673	472653AR5	2004-A	374
E77673	472653AR5	2004-A	443
E77673	472653AR5	2004-A	54R
E77673	472653AR5	2004-A	808
E77673	472653AR5	2004-A	9CJ
E77674	472653AS3	2004-A	C11
E77674	472653AS3	2004-A	0L3
E77674	472653AS3	2004-A	005
E77674	472653AS3	2004-A	164
E77674	472653AS3	2004-A	188
E77674	472653AS3	2004-A	221
E77674	472653AS3	2004-A	235
E77674	472653AS3	2004-A	443
E77674	472653AS3	2004-A	725
E77674	472653AS3	2004-A	808
E77674	472653AS3	2004-A	82D
E77674	472653AS3	2004-A	86Z
E77674	472653AS3	2004-A	9CJ
E77675	472653AT1	2004-A	005
E77675	472653AT1	2004-A	141
E77675	472653AT1	2004-A	164
E77675	472653AT1	2004-A	221
E77675	472653AT1	2004-A	235

JOB #	CUSIP #	SERIES	REORG CLIENT #
E77675	472653AT1	2004-A	443
E77675	472653AT1	2004-A	9CJ
E77675	472653AT1	2004-A	96L
E77676	472653AU8	2004-A	005
E77676	472653AU8	2004-A	015
E77676	472653AU8	2004-A	057
E77676	472653AU8	2004-A	141
E77676	472653AU8	2004-A	158
E77676	472653AU8	2004-A	161
E77676	472653AU8	2004-A	164
E77676	472653AU8	2004-A	188
E77676	472653AU8	2004-A	216
E77676	472653AU8	2004-A	221
E77676	472653AU8	2004-A	23N
E77676	472653AU8	2004-A	235
E77676	472653AU8	2004-A	279
E77676	472653AU8	2004-A	352
E77676	472653AU8	2004-A	443
E77676	472653AU8	2004-A	54R
E77676	472653AU8	2004-A	547
E77676	472653AU8	2004-A	571
E77676	472653AU8	2004-A	725
E77676	472653AU8	2004-A	750
E77676	472653AU8	2004-A	808
E77676	472653AU8	2004-A	897
E77676	472653AU8	2004-A	9CJ
E77676	472653AU8	2004-A	96L
E77677	472653BA1	2005-A	005
E77677	472653BA1	2005-A	015
E77677	472653BA1	2005-A	161
E77677	472653BA1	2005-A	164
E77677	472653BA1	2005-A	725
E77678	472653BB9	2005-A	0L3
E77678	472653BB9	2005-A	005
E77678	472653BB9	2005-A	015
E77678	472653BB9	2005-A	164
E77678	472653BB9	2005-A	221
E77678	472653BB9	2005-A	235
E77678	472653BB9	2005-A	309
E77678	472653BB9	2005-A	352
E77678	472653BB9	2005-A	443
E77678	472653BB9	2005-A	725

<b>JOB #</b>	<b>CUSIP #</b>	<b>SERIES</b>	<b>REORG CLIENT #</b>
E77678	472653BB9	2005-A	82D
E77679	472653BC7	2005-A	005
E77679	472653BC7	2005-A	015
E77679	472653BC7	2005-A	725
E77680	472653BD5	2005-A	005
E77680	472653BD5	2005-A	164
E77680	472653BD5	2005-A	367
E77680	472653BD5	2005-A	725
E77680	472653BD5	2005-A	808

# Exhibit C

Client Number	Company
07N	ABN AMRO CLEARING
284	ALBERT FRIED & COMPANY, LLC
95Q	Alerus Financial
13R	Alliance Bank
084	Alliant Securities, Inc.
072	Alpine Securities
14Q	American Bank & Trust
216	Ameriprise Financial Services
158	APEX CLEARING SERVICES, INC.
30M	ATG TRUST COMPANY
136	Banca IMI Securities
101	Barclays Capital
86Z	Barclays Capital Inc
702	BB&T Securities
70M	BESSEMER TRUST COMPANY
85Z	Bessemer Trust Company
049	BNP Paribas
289	BNP Paribas New York Branch
33Z	BNP Paribas Prime Brokerage Inc
9V2	Brown Brothers Harriman
CCC	CANADIAN & US BRG ASSOC. FOR NIGHTLY DISTRO
697	Cantor Fitzgerald & Company, Inc.
61V	Cattle National Bank
701	CETERA INVESTMENT SERVICES LLC
164	Charles Schwab
438	CIBC World Markets
C32	CIBC World Markets
78X	Citigroup World Markets
78W	Citicorp Financial Services
78T	Citicorp Investment Services
78V	Citigroup Global Markets
78U	Citigroup Global Markets
418	Citigroup Global Markets

Client Number	Company
78P	CITIGROUP GLOBAL MARKETS
78R	Citigroup Global Markets (EMSIP)
78S	Cititrade
04M	Clinton National Bank
126	Commerz Markets LLC
24M	Community National Bank
79M	Country Trust Bank
55R	Credit Suisse First Boston
355	Credit Suisse First Boston Corp.
66S	Cumberland Valley Nat'l Bank
361	D.A.Davidson & Co.,Inc.
1T4	Daiwa Securities Trust Company
715	Davenport & Company L.L.C
514	David Lerner Associates, Inc.
54R	E*Trade Securities
448	E.S. FINANCIAL SERVICES INC.
057	Edward Jones
5D6	Electronic Transaction Clearing
476	Fagenson & Co.
45Q	First Bankers Trust Co
141	First Clearing
22R	First International Bank & Trust
97C	First National Bank of Onaga
33J	First Premier Bank
309	First Southwest Company
9CJ	FMSbonds Inc
07J	Foley and Lardner
4B0	Fort Madison Bank & Trust
98L	Genworth Financial
129	George K. Baum & Co. Inc.
005	Goldman Sachs & Company
501	Goldman Sachs Execution & Clearing
A20	Goldman Sachs International
A21	Goldman Sachs Trust Co.
79S	Great Western Bank



Client Number	Company
045	Harris Nesbitt Corp.
11L	Heartland Bank and Trust Co
76Y	Hold Brothers
816	HSBC Brokerage
41P	Interactive Brokers
58R	Interactive Brokers Canada
AAA	INTERNAL BROADRIDGE DISTRO LIST!!!
768	J.J.B Hilliard, W.L. Lyons Inc.
0L3	J.P. Morgan Chase
352	J.P. Morgan Clearing Corp
060	J.P. Morgan Securities
731	James I Black
374	Janney Montgomery Scott
04X	Jones National Bank & Trust
82D	JP Morgan Chase Bank
28K	Key Trust N.A
799	Keybank Capital MarketsMcDonald Investments Inc.
712	Koonce Securities Inc.
5A2	Lake Elmo Bank
308	Lazard Freres & Co.L.L.C
32Z	LEK Securities Corp
23N	LPL Financial
287	Marsco Investments
161	Merrill Lynch
727	Mesirow Financial, Inc.
06F	Midwest Bank of Western Illinois
773	ML, PIERCE, FENNER & SMITH INC
780	Morgan Keegan & Co., Inc.
015	Morgan Stanley
050	Morgan Stanley Dean Witter
71R	MORGAN STANLEY SMITH BARNEY (ESP)
0H1	MutualBank
808	National Financial Services Corp.
608	Newedge USA LLC

Client Number	Company
571	Oppenheimer & Co.
807	People's Securities In.
443	Pershing
467	PWMCO LLC.
K7K	Ramsey National Bank
725	Raymond James & Associates, Inc.
235	RBC Capital Markets
425	RBS Securities Inc.
547	Robert W. Baird & Co., Inc.
274	Salomon Smith Barney
82Q	Sanford Bernstein
096	Scotia Capital
897	Scottrade, Inc.
286	SG Americas Securities,LLC
95M	Sharebuilder Securities Corp
494	Smith Moore & Co
279	Southwest Securities, Inc.
92T	Star Wealth Management
81Y	State Bank of Lincoln
61C	State Street Bank & Trust
419	Stephens Inc.
750	Sterne, Agee & Leach Inc.
188	TD Ameritrade Clearing
2A9	The First National Bank
54G	The Suffolk County National Bank
98Q	The Trust Co of Oxford
804	Tradestation Securities
280	U.S. Bancorp Investments, Inc.
169	UBS AG Stamford Branch
221	UBS Financial Services
642	UBS Securities L.L.C
311	US Bancorp Piper Jaffray
367	USAA Brokerage Service
96L	Vanguard Brokerage Services
29Y	Vision Financial

Client Number	Company
86N	WAUKESHA STATE BANK
103	Wedbush Morgan Securities, Inc.
82V	Wells Fargo Bank / Safekeeping Services
002	Wells Fargo Brokerage Services
78N	West Coast Inc
283	Wilson-Davis & Co
522	Wulff Hansen
824	ZIV Investment Company
C11	RBC Dominion Securities

# Exhibit D



September 26<sup>th</sup> 2013

David Hartie  
Director, Public Securities Services  
KCC  
599 Lexington Avenue, 39th Floor  
New York, NY 10022  
tel 917.281.4821  
fax 917.281.4921  
[dhartie@kccllc.com](mailto:dhartie@kccllc.com)  
[www.kccllc.com](http://www.kccllc.com)

Dear David,

This Affidavit of Mailing certifies that the material described below was sent for delivery to the beneficial holders of JEFFERSON COUNTY, passed to us by our Bank and Broker clients.

Company Name:	JEFFERSON COUNTY
Type of Mailing:	Full Package Envelopes Containing Notice Material
Mailing Date:	09/10/2013
Class Of Mail:	USPS First Class Mail
Total Pieces:	802

Sincerely,

Sworn to before me this 26<sup>th</sup> day of  
September, 2013

Rosario Colachagua  
Client Service Representative  
Investor Communication Solutions

  
Notary Public

**Yokasta Arriaza**  
Notary Public, State of New York  
No 01AR6246457  
Qualified in Suffolk County  
Commission Expires August 8, 2015



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Supporting responsible use  
of forest resources  
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