

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS**

Charitable DAF Fund, L.P. and CLO
Holdco, Ltd., Directly and Derivatively

Plaintiff

3:22-cv-00695-S

v.

Highland Capital Management, L.P., Highland HCF
Advisor, Ltd., and Highland CLO Funding Ltd., Nominally
Defendant

Civil Action No.

CERTIFICATE OF INTERESTED PERSONS/DISCLOSURE STATEMENT

(This form also satisfies Fed. R. Civ. P. 7.1)

Pursuant to Fed. R. Civ. P. 7.1 and LR 3.1(c), LR 3.2(e), LR 7.4, LR 81.1(a)(4)(D), and LR 81.2,

Charitable DAF Fund, L.P. and CLO Holdco, Ltd.

provides the following information:

For a nongovernmental corporate party, the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock (if none, state "None"):

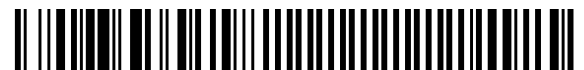
****Please separate names with a comma. Only text visible within box will print.***

Charitable DAF Fund, L.P. is the parent of CLO Holdco, Ltd.

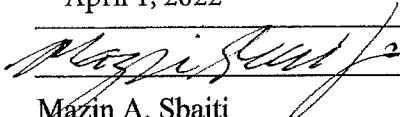
A complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:

****Please separate names with a comma. Only text visible within box will print.***

The Dallas Foundation, Greater Kansas City Community Foundation, Santa Barbara Foundation, The Community Foundation of North Texas (CFNT), Highland Dallas Foundation, Inc., Highland Kansas City Foundation, Inc., Highland Santa Barbara Foundation, Inc., HCMLP Charitable Fund, Charitable DAF Holdco, Ltd., Charitable DAF Fund, L.P., CLO Holdco, Ltd.



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Date: April 1, 2022
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