

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION

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<i>In re:</i>	: Chapter 11
	: :
HIGHLAND CAPITAL MANAGEMENT, L.P.,	: Bankruptcy Case No. 19-34054-sgj11
	: :
Debtor.	: :
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UBS SECURITIES LLC and UBS AG,	: :
LONDON BRANCH,	: :
Appellants,	: Case No. 20-cv-3408-G
	: :
v.	: :
	: :
HIGHLAND CAPITAL MANAGEMENT, L.P.	: :
Appellee.	: :
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**APPELLANTS' CERTIFICATE OF INTERESTED PERSONS**

Pursuant to Federal Rule of Bankruptcy Procedure 8012 and Local Rule 7.4, Appellants UBS Securities LLC and UBS AG, London Branch provide the following information:

**For a nongovernmental corporate party, the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock (if none, state "None"):**

UBS Securities LLC is owned by UBS Americas Holding LLC and UBS Americas Inc., the latter of which is wholly owned by UBS Americas Holding LLC, which in turn is wholly owned by UBS AG, which in turn is wholly owned by UBS Group AG, a publicly held corporation. UBS Group AG has no parent company, and no publicly held company owns 10 percent or more of its stock. UBS AG, London Branch is a branch of UBS AG.

**A complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:**



1. Highland Capital Management, L.P.
2. The Redeemer Committee of the Highland Crusader Fund
3. Highland Crusader Offshore Partners, L.P.
4. Highland Crusader Fund, L.P.
5. Highland Crusader Fund, Ltd.
6. Highland Crusader Fund II, Ltd.
7. Eames, Ltd.
8. Hockney, Ltd.
9. Strand Advisors, Inc.
10. Highland Special Opportunities Holding Company
11. Highland CDO Opportunity Master Fund, L.P.
12. Highland Financial Partners, L.P.
13. Highland Credit Strategies Master Fund, L.P.
14. Highland Credit Opportunities CDO, L.P.
15. House Hanover, LLC
16. Alvarez & Marsal CRF Management, LLC
17. Creditors and advisors of Highland Capital Management, L.P.
18. UBS Securities LLC
19. UBS AG, London Branch
20. Latham & Watkins LLP
21. Kirkland & Ellis LLP

Dated: December 7, 2020

Respectfully submitted,

/s/ Sarah Tomkowiak

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*Counsel for UBS Securities LLC and UBS  
AG, London Branch*

**CERTIFICATE OF SERVICE**

I, Sarah Tomkowiak, certify that the foregoing document was filed electronically through the Court's ECF system, which will notify all parties of interest.

Dated: December 7, 2020

/s/ Sarah Tomkowiak