

Fill in this information to identify the case:

Debtor 1 GRITSTONE BIO, INC

Debtor 2 _____
(Spouse, if filing)

United States Bankruptcy Court for the: _____ District of DELAWARE

Case number 24-12305 (KBO)

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?		<u>J. LEE FITCH</u> Name of the current creditor (the person or entity to be paid for this claim)	
		Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?		<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent? Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Where should notices to the creditor be sent?		Where should payments to the creditor be sent? (if different)
	<u>J. LEE FITCH</u> Name		_____ Name
	<u>102 CAMPUS DR.</u> Number Street		_____ Number Street
	<u>ROCHESTER NY 14623</u> City State ZIP Code		_____ City State ZIP Code
	Contact phone <u>505-334-8988</u>		Contact phone _____
	Contact email <u>leei@een1@hotmail.com</u>		Contact email _____
RECEIVED JAN 13 2025 VERITA GLOBAL			
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____			
4. Does this claim amend one already filed?		<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on ____ / ____ / ____	
5. Do you know if anyone else has filed a proof of claim for this claim?		<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? ☒ No
☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: _____

7. How much is the claim? \$ 430.30 Does this amount include interest or other charges?
☒ No
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.

FIDELITY ACCOUNT IRA

9. Is all or part of the claim secured? ☒ No
☐ Yes. The claim is secured by a lien on property.
- Nature of property:**
☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
☐ Motor vehicle
☐ Other. Describe: _____
- Basis for perfection:** _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
- Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ 430.30 (The sum of the secured and unsecured amounts should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %

- ☐ Fixed
☐ Variable

10. Is this claim based on a lease? ☒ No
☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? ☒ No
☐ Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☒ No

☐ Yes. Check one:

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

☐ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

☐ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

Amount entitled to priority

\$ _____

\$ _____

\$ _____

\$ _____

\$ _____

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/08/2025
MM / DD / YYYY

J. Lee Fitch
Signature

Print the name of the person who is completing and signing this claim:

Name J. LEE FITCH
First name Middle name Last name

Title _____

Company _____

Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 102 CAMPUS DR.
Number Street

ROCHESTER NY 14623
City State ZIP Code

Contact phone 585-334-8988 Email leeileef@hotmail.com

RECEIVED
JAN 13 2025
VERITA GLOBAL

INVESTMENT REPORT
December 1, 2024 - December 31, 2024

Account # 676-186108
J LEE FITCH - ROLLOVER IRA

Holdings

Stocks (continued)

Description	Beginning Market Value Dec 1, 2024	Quantity Dec 31, 2024	Price Per Unit Dec 31, 2024	Ending Market Value Dec 31, 2024	Cost	Unrealized Gain/Loss Dec 31, 2024	EAI (\$) / EY (%)
Common Stock (continued)							
BELO SUN MNG CORP COM ISIN #CA0805581091 SEDOL #2942973 (BSXGF) ++FMV Code: A	6.42	200.000	0.0591	11.82	72.67	-60.85	-
CISCO SYSTEMS INC (CSCO)	1,184.20	20.000	59.2000	1,184.00	928.80	255.20	32.00 2.700
DYNATRACE INC COM NEW(DT)	224.76	4.000	54.3500	217.40	189.44	27.96	-
ELEDON PHARMACEUTICALS INC COM (ELDN)	233.00	50.000	4.1200	206.00	155.25	50.75	-
ENGINE HLDGS INC COM ISIN #CA29286M1059 (ENGN)	648.75	75.000	6.6500	498.75	577.25	-78.50	-
GOLDMINING INC COM NPV ISIN #CA38149E1016 SEDOL #BYZGY64 (GLDG)	436.95	500.000	0.8050	402.50	482.90	-80.40	-
GRITSTONE BIO INC COM (GRTSQ) ++FMV Code: A	1.10	40.000	0.0125	0.50	430.30	-429.80	-
HALLIBURTON CO COM USD2.50(HAL)	955.80	30.000	27.1900	815.70	897.90	-82.20	20.40 2.500
HANCOCK JOHN TAX-ADVANTAGED DI COM (HTD)	3,546.00	150.000	22.0200	3,303.00	2,759.85	543.15	248.40 7.520
HECLA MINING CO (HL)	552.00	100.000	4.9100	491.00	485.00	6.00	4.00 0.810
LEIDOS HOLDINGS INC COM(LDOS)	1,654.00	10.000	144.0600	1,440.60	1,358.00	82.60	16.00 1.110
LEONARDO SPA UNSP ADR EACH REPR 0.50 OR (FINMY) ++FMV Code: A	1,681.25	125.000	13.5099	1,688.73	467.50	1,221.23	12.20 0.720
LIGHTBRIDGE CORP COM(LTBR)	327.00	50.000	4.7300	236.50	169.00	67.50	-
MAIN STR CAP CORP COM(MAIN)	5,547.00	100.000	58.5800	5,858.00	3,626.95	2,231.05	300.00 5.120

MR_CE_BROGBHBBNRFR_BBBB 20241231 S

Activity & Orders

⚠ To honor the passing of former President Jimmy Carter, US equity markets will be closed on Thursday, January 9, 2025. In addition, the US bond markets will close early at 2 p.m. Both equity and bond markets are expected to reopen on Friday, January 10, 2025. Fidelity Crypto® and Fidelity Money Market Funds will follow their normal operating schedules.

All accounts

Q GRST

As of Jan-08-2025 12:20 PM ET ↺



09/03/2021 - 09/24/2021

Orders

History

Transfers

More filters

⚠ Due to high traffic, in progress transfers and deposits may not be displayed. [Check for transfers](#)

September 3, 2021 - September 24, 2021

Date	Account	Description	Amount
> Sep-24-2021	Rollover IRA ***6108	YOU BOUGHT MANITOWOC CO INC COM NEW (MTW) (Cash)	-\$857.20
> Sep-24-2021	Rollover IRA ***6108	YOU BOUGHT 035710409 (Cash)	-\$886.50
> Sep-23-2021	Rollover IRA ***6108	DIVIDEND RECEIVED PROSPECT CAP CORP COM (PSEC) (Cash)	+\$4.50
> Sep-22-2021	Rollover IRA ***6108	YOU SOLD MONEYGRAM INTERNATIONAL INC *CASH ME... (60935Y208) (Cash)	+\$255.59
> Sep-22-2021	Rollover IRA ***6108	YOU SOLD MEI PHARMA INC COM USD0.00000002 1 F... (55279B202) (Cash)	+\$280.99
> Sep-22-2021	Rollover IRA ***6108	YOU SOLD KAMADA LTD ORD ILS1.00 (KMDA) (Cash)	+\$521.99
> Sep-21-2021	Rollover IRA ***6108	YOU SOLD AXT INC (AXTI) (Cash)	+\$801.81
> Sep-15-2021	Rollover IRA ***6108	DIVIDEND RECEIVED MAIN STR CAP CORP COM (MAIN) (Cash)	+\$20.50
> Sep-09-2021	Rollover IRA ***6108	DIVIDEND RECEIVED WHEATON PRECIOUS METALS CORP COM NPV... (WPM) (Cash)	+\$4.50
> Sep-03-2021	Rollover IRA ***6108	DIVIDEND RECEIVED HECLA MINING CO (HL) (Cash)	+\$0.38
> Sep-03-2021	Rollover IRA ***6108	DIVIDEND RECEIVED HECLA MINING CO (HL) (Cash)	+\$0.75
> Sep-03-2021	Rollover IRA ***6108	YOU BOUGHT GRITSTONE BIO INC COM (GRTSQ) (Cash)	-\$430.30

Date	Sep-03-2021
Symbol	GRTSQ
Symbol description	GRITSTONE BIO INC COM
Type	Cash
Shares	+40.000
Price	\$10.76
Amount	-\$430.30

Feedback