

Fill in this information to identify the case:

Debtor Gritstone bio, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 24-12305

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>JOSE POMARES ESTRADA</u> Name of the current creditor (the person or entity to be paid for this claim) Other names the creditor used with the debtor <u>17725384 account number with the broker Charles Schwab</u>	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? See summary page Federal Rule of Bankruptcy Procedure (FRBP) 2002(g) Contact phone <u>3106674054</u> Contact email <u>jpomares7@hotmail.com</u>	Where should payments to the creditor be sent? (if different) Contact phone _____ Contact email _____ Uniform claim identifier for electronic payments in chapter 13 (if you use one): <u>17725384 account number</u>
4. Does this claim amend one already filed?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ <div style="text-align: right;">MM / DD / YYYY</div>	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Who made the earlier filing? <u>JOSE CARLOS POMARES ESTRADA</u>	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?

☐ No

☒ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 17725384

7. How much is the claim?

\$ 3000

. Does this amount include interest or other charges?

☒ No

☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim?

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

9. Is all or part of the claim secured?

☒ No

☐ Yes. The claim is secured by a lien on property.

Nature or property:

☐ Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe:

Basis for perfection:

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property:

\$_____

Amount of the claim that is secured:

\$_____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$_____

Annual Interest Rate (when case was filed) _____ %

☐ Fixed

☐ Variable

10. Is this claim based on a lease?

☒ No

☐ Yes. Amount necessary to cure any default as of the date of the petition. \$

11. Is this claim subject to a right of setoff?

☒ No

☐ Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☐ No

☒ Yes. Check all that apply:

☒ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ 800

☒ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ 2000

☒ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ 100

☒ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ 100

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/09/2025
MM / DD / YYYY

/s/JOSE POMARES ESTRADA
Signature

Print the name of the person who is completing and signing this claim:

Name JOSE POMARES ESTRADA
First name Middle name Last name

Title Medical Doctor

Company Serena del Mar Hospital, Cartagena, Colombia, SouthAmerica.
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

Contact phone Email



Verita (KCC) ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (877) 709-4754 | International (424) 236-7233

Debtor: 24-12305 - Gritstone bio, Inc. District: District of Delaware		
Creditor: JOSE POMARES ESTRADA JOSE POMARES ESTRADA Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin Cartagena, Bolívar, 130007 Colombia Phone: 3106674054 Phone 2: 3106674054 Fax: Email: jpomares7@hotmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: Yes Related Claim Filed By: JOSE CARLOS POMARES ESTRADA	
	Filing Party: Creditor	
Other Names Used with Debtor: 17725384 account number with the broker Charles Schwab	Amends Claim: Yes Acquired Claim: No	
Basis of Claim:	Last 4 Digits: Yes - 17725384	Uniform Claim Identifier: 17725384 account number
Total Amount of Claim: 3000	Includes Interest or Charges: No	
Has Priority Claim: Yes	Priority Under: 11 U.S.C. §507(a)(1)(A) or (a)(1)(B): 800 11 U.S.C. §507(a)(4): 100 11 U.S.C. §507(a)(7): 2000 11 U.S.C. §507(a)(8): 100	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: JOSE POMARES ESTRADA on 09-Jan-2025 3:03:46 p.m. Eastern Time Title: Medical Doctor Company: Serena del Mar Hospital. Cartagena, Colombia. SouthAmérica.		



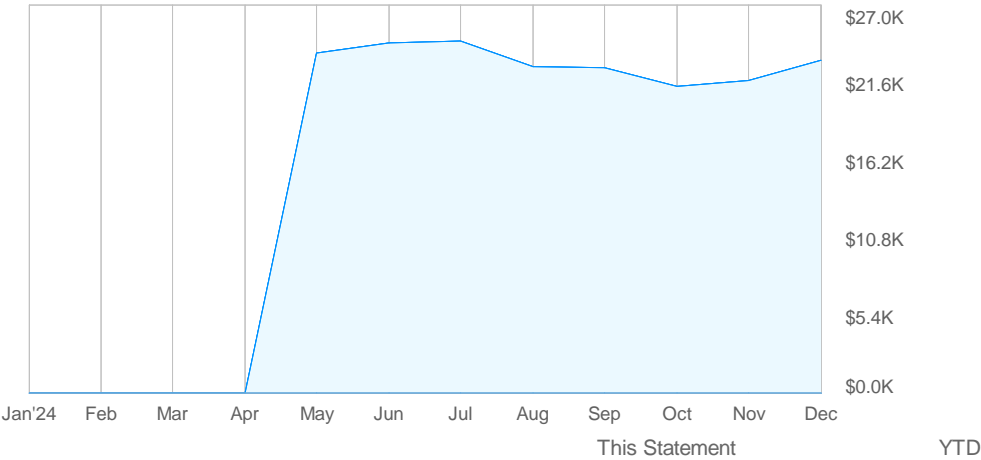
Schwab One® International Account of
JOSE CARLOS POMARES ESTRADA

Account Number
1772-5384

Statement Period
December 1-31, 2024

Account Summary

Ending Account Value as of 12/31	Beginning Account Value as of 12/01
\$23,247.57	\$21,814.66



Manage Your Account

Customer Service and Trading:
Call your Schwab Representative
1-415-667-8400
24/7 Customer Service

For the most current records on your account visit international.schwab.com. Statements are archived up to 10 years online.

Commitment to Transparency

Client Relationship Summaries and Best Interest disclosures are at schwab.com/transparency. Charles Schwab & Co., Inc. Member SIPC.

Online Assistance

Visit us online at schwab.com

Visit schwab.com/stmt to explore the features and benefits of this statement.

JOSE CARLOS POMARES ESTRADA
VIAL AL MAR. KM 12. BLOQUE GUA
BOLIVAR
CARTAGENA 130007
COLOMBIA

	This Statement	YTD
Beginning Value	\$21,814.66	\$0.00
Deposits	0.00	20,080.04
Withdrawals	0.00	0.00
Dividends and Interest	1.16	34.16
Transfer of Securities	0.00	2,371.12
Market Appreciation/(Depreciation)	1,431.75	762.25
Expenses	0.00	0.00
Ending Value	\$23,247.57	\$23,247.57

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.



Schwab One® International Account of

JOSE CARLOS POMARES ESTRADA

Asset Allocation

	This Period
Cash and Cash Investments	23,236.52
Equities	106.05
Options	(95.00)
Total	\$23,247.57
Liabilities	(95.00)

Liabilities such as margin balances and short positions are included in the Total as well as the current allocation %. If the asset class is negative (more liabilities than assets) the current allocation % will not be displayed.

Gain or (Loss) Summary

	Short-Term (ST)			Long-Term (LT)		
	Gain	(Loss)	Net	Gain	(Loss)	Net
This Period	1,818.90	(599.79)	1,219.11	0.00	0.00	0.00
YTD	3,045.28	0.00	3,045.28	0.00	0.00	0.00
Unrealized	(\$2,626.07)					

Values may not reflect all of your gains/losses and may be rounded up to the nearest dollar; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

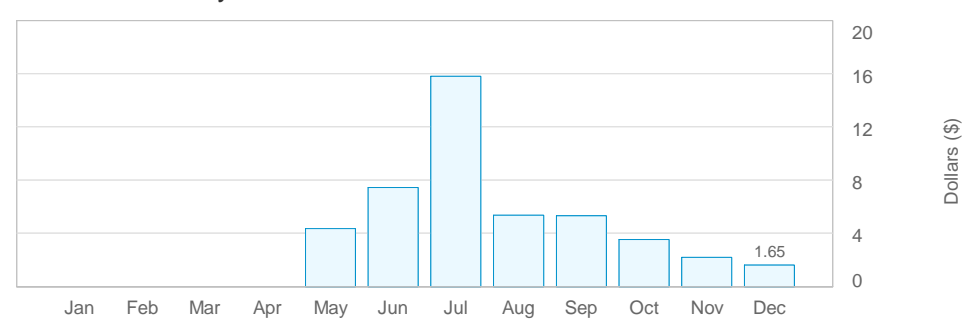
A Message About Your Account

CALIFORNIA RESIDENTS

If your total payments of interest and interest dividends on federally tax-exempt non-California municipal bonds were \$10 or greater and you or your Partnership had a California address as of 12/31, Schwab will report this information to the California Franchise Tax Board each tax year, per state statute. (1223-3LZ0)

Statement Period
December 1-31, 2024

Income Summary



Federal Tax Status	This Period		YTD	
	Tax-Exempt	Taxable	Tax-Exempt	Taxable
Schwab One® Interest	0.00	1.65	0.00	39.47
Cash Dividends	0.00	0.00	0.00	6.49
Total Income	\$0.00	\$1.65	\$0.00	\$45.96

Margin Loan Information

Opening Margin Loan Balance	Closing Margin Loan Balance	Funds Available to Withdraw *	Securities Buying Power *
\$0.00	\$0.00	\$1,736.52	\$1,736.52

Margin Loan Rates
Vary by Balance
10.82% - 12.57%

* Values include any cash plus the amount available using margin borrowing.
For more information about the margin feature, please visit schwab.com/margin.



Positions - Summary

Beginning Value as of 12/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	Ending Value as of 12/31	Cost Basis	Unrealized Gain/(Loss)
\$21,814.66		\$0.00		\$0.00		\$1.16		\$1,431.75		\$23,247.57	\$2,637.12	(\$2,626.07)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Cash and Cash Investments

Type	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate
Cash					22,241.21	23,236.52	995.31	0.00	
Total Cash and Cash Investments					\$22,241.21	\$23,236.52	\$995.31		

Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)
EC	ECOPETROL S A F ^(M) SPONSORED ADR 1 ADR REPS 20 ORD SHS	8.6550	7.92000	68.55	102.59 ^t	(34.04)	N/A	0.00
GRTSQ	GRITSTONE BIO INC	3,000.0000	0.01250	37.50	2,717.91 ^t	(2,680.41)	N/A	0.00
Total Equities				\$106.05	\$2,820.50	(\$2,714.45)		\$0.00



Positions - Options

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)
QUBT 01/03/2025 12.00 P	PUT QUANTUM COMPUTING IN \$12 EXP 01/03/25	(6.0000) ^S	0.05000	(30.00)	(62.01)	32.01		
RGTI 01/03/2025 11.00 P	PUT RIGETTI COMPUTING IN \$11 EXP 01/03/25	(13.0000) ^S	0.05000	(65.00)	(121.37)	56.37		
Total Options				(\$95.00)	(\$183.38)	\$88.38		\$0.00

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions pursuant to an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Transactions - Summary

Beginning Cash* as of 12/01	+	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+	Dividends/Interest	+	Expenses	=	Ending Cash* as of 12/31
\$22,241.21		\$0.00		\$0.00		(\$1,539.12)		\$2,533.27		\$1.16		\$0.00		\$23,236.52
Other Activity		\$0.00	Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.											

*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

Transaction Details

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
12/03	Sale		MARA 12/06/2024 23.00 P	PUT MARA HLDGS INC EXP 12/06/24 Commission \$0.65; Exchange Processing Fee \$0.01	(1.0000)	0.3800	0.66	37.34	



Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
12/03	Sale		SMCI 12/06/2024 31.50 P	PUT SUPER MICRO COMPUTER\$31.5 EXP 12/06/24 Commission \$3.90; Exchange Processing Fee \$0.09	(6.0000)	0.2400	3.99	140.01	
	Purchase		MARA 12/06/2024 23.00 P	PUT MARA HLDGS INC \$23 EXP 12/06/24 Commission \$0.65; Exchange Processing Fee \$0.01	1.0000	0.6400	0.66	(64.66)	(27.32) ^(ST)
	Purchase		SMCI 12/06/2024 28.00 P	PUT SUPER MICRO COMPUTER\$28 EXP 12/06/24 Commission \$4.55; Exchange Processing Fee \$0.08	7.0000	0.1100	4.63	(81.63)	326.71 ^(ST)
12/09	Purchase		SMCI 12/06/2024 31.50 P	PUT SUPER MICRO COMPUTER\$31.5 EXP 12/06/24 Exchange Processing Fee \$0.07	6.0000	0.0100	0.07	(6.07)	133.94 ^(ST)
12/12	Sale		MARA 12/13/2024 21.50 P	PUT MARA HLDGS INC \$21.5 EXP 12/13/24 Commission \$6.50; Exchange Processing Fee \$0.14	(10.0000)	0.1100	6.64	103.36	
12/16	Sale		NKE 12/20/2024 71.00 P	PUT NIKE INC \$71 EXP 12/20/24 Commission \$1.95; Exchange Processing Fee \$0.05	(3.0000)	0.8600	2.00	256.00	
	Purchase		MARA 12/13/2024 21.50 P	PUT MARA HLDGS INC \$21.5 EXP 12/13/24 Exchange Processing Fee \$0.11	10.0000	0.0400	0.11	(40.11)	63.25 ^(ST)
12/17	Sale		GALT 12/20/2024 1.00 P	PUT GALECTIN THERAPEUTIC\$1 EXP 12/20/24 Commission \$9.10; Exchange Processing Fee \$0.19	(14.0000)	0.0500	9.29	60.71	
12/18	Sale		GALT 01/17/2025 1.00 P	PUT GALECTIN THERAPEUTIC\$1 EXP 01/17/25 Commission \$9.10; Exchange Processing Fee \$0.21	(14.0000)	0.4500	9.31	620.69	
	Purchase		GALT 12/20/2024 1.00 P	PUT GALECTIN THERAPEUTIC\$1 EXP 12/20/24 Commission \$9.10; Exchange Processing Fee \$0.15	14.0000	0.1414	9.25	(207.25)	(146.54) ^(ST)
12/23	Sale		QUBT 12/27/2024 14.00 P	PUT QUANTUM COMPUTING IN\$14 EXP 12/27/24 Commission \$5.85; Exchange Processing Fee \$0.15	(9.0000)	0.7300	6.00	651.00	



Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
12/23	Sale		SOUN 12/27/2024 15.00 P	PUT SOUNDHOUND AI INC \$15 EXP 12/27/24 Commission \$3.90; Exchange Processing Fee \$0.09	(6.0000)	0.1700	3.99	98.01	
	Purchase		GALT 01/17/2025 1.00 P	PUT GALECTIN THERAPEUTIC\$1 EXP 01/17/25 Commission \$9.10; Exchange Processing Fee \$0.15	14.0000	0.3000	9.25	(429.25)	191.44 ^(ST)
	Purchase		NKE 12/20/2024 71.00 P	PUT NIKE INC \$71 EXP 12/20/24 Exchange Processing Fee \$0.03	3.0000	0.0100	0.03	(3.03)	252.97 ^(ST)
	Purchase		QUBT 12/27/2024 13.00 P	PUT QUANTUM COMPUTING IN\$13 EXP 12/27/24 Commission \$5.85; Exchange Processing Fee \$0.10	9.0000	0.5100	5.95	(464.95)	
12/24	Sale		QUBT 12/27/2024 12.50 P	PUT QUANTUM COMPUTING IN\$12.5 EXP 12/27/24 Commission \$5.20; Exchange Processing Fee \$0.11	(8.0000)	0.1500	5.31	114.69	
	Purchase		SOUN 12/27/2024 15.00 P	PUT SOUNDHOUND AI INC \$15 EXP 12/27/24 Exchange Processing Fee \$0.07	6.0000	0.0500	0.07	(30.07)	67.94 ^(ST)
12/27	Sale		QUBT 12/27/2024 13.00 P	PUT QUANTUM COMPUTING IN\$13 EXP 12/27/24 Commission \$5.85; Exchange Processing Fee \$0.13	(9.0000)	0.0500	5.98	39.02	(425.93) ^(ST)
	Sale		QUBT 12/27/2024 16.50 P	PUT QUANTUM COMPUTING IN\$16.5 EXP 12/27/24 Commission \$3.90; Exchange Processing Fee \$0.09	(6.0000)	0.1300	3.99	74.01	
	Purchase		QUBT 12/27/2024 12.50 P	PUT QUANTUM COMPUTING IN\$12.5 EXP 12/27/24 Exchange Processing Fee \$0.09	8.0000	0.0500	0.09	(40.09)	74.60 ^(ST)
	Purchase		QUBT 12/27/2024 14.00 P	PUT QUANTUM COMPUTING IN\$14 EXP 12/27/24 Exchange Processing Fee \$0.10	9.0000	0.0300	0.10	(27.10)	623.90 ^(ST)
12/30	Other Activity	Expired Long	QUBT 12/27/2024 16.50 P	PUT QUANTUM COMPUTING IN\$16.5 EXP 12/27/24	6.0000				
	Interest	NRA Tax		SCHWAB1 INT 11/27-12/29				(0.49)	



Schwab One® International Account of

JOSE CARLOS POMARES ESTRADA

Statement Period
December 1-31, 2024

Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
12/30	Interest	Credit Interest		SCHWAB1 INT 11/27-12/29				1.65	
12/31	Sale		QUBT 01/03/2025 12.00 P	PUT QUANTUM COMPUTING IN\$12 EXP 01/03/25 Commission \$3.90; Exchange Processing Fee \$0.09	(6.0000)	0.1100	3.99	62.01	
	Sale		RGTI 01/03/2025 10.00 P	PUT RIGETTI COMPUTING IN\$10 EXP 01/03/25 Commission \$9.75; Exchange Processing Fee \$0.20	(15.0000)	0.1100	9.95	155.05	
	Sale		RGTI 01/03/2025 11.00 P	PUT RIGETTI COMPUTING IN\$11 EXP 01/03/25 Commission \$8.45; Exchange Processing Fee \$0.18	(13.0000)	0.1000	8.63	121.37	
	Purchase		RGTI 01/03/2025 10.00 P	PUT RIGETTI COMPUTING IN\$10 EXP 01/03/25 Commission \$9.75; Exchange Processing Fee \$0.16	15.0000	0.0900	9.91	(144.91)	10.14 ^(ST)
Total Transactions								\$995.31	\$1,145.10

Date column represents the Settlement/Process date for each transaction.
11/27 through 12/29: \$1.65 based on .082% average Schwab One® interest rate paid on 33 days in which your account had an average daily balance of \$22,552.55.

Endnotes For Your Account

- (M)

Denotes a security that is marginable. Some mutual fund or ETF investments may not be immediately marginable.
- t

Data for this holding has been edited or provided by a third party.
- S

Short sale. Possible tax modifications of the holding period may be required for covered short positions. The market value of these securities will be expressed as a debit and be netted against any long positions in Total Account Value.

Terms and Conditions

GENERAL INFORMATION AND KEY TERMS: This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement. **Accrued Income:** Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account. **AIP (Automatic Investment Plan) Customers:** Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request. **Average Daily Balance:** Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest. **Bank Sweep and Bank Sweep for Benefit Plans Features:** Schwab

acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds swept to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your Account(s), please refer to the Cash Features Disclosure Statement available online or from a Schwab representative. **Cash:** Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business. **Dividend Reinvestment Customers:** Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request. **Gain (or**



Terms and Conditions (continued)

Loss: Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell. **Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.**

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep and Bank Sweep for Benefit Plans features, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage Account is less than \$.005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005. **Margin Account Customers:** This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call; 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. **Market Price:** The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement.

Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts. **Non-Publicly Traded Securities:** All assets shown on this

statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than ½ of 1 cent (\$.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower. **Securities Products and Services:** Securities products and services are offered by Charles Schwab & Co., Inc., **Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features.** Please see your Cash Feature Disclosure Statement for more information on insurance coverage. **Yield to Maturity:** This is the actual average annual return on a note if held to maturity.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. **IN CASE OF COMPLAINTS:** If you have a complaint regarding your Schwab statement, products or services, please write to Client Service & Support at Charles Schwab & Co., Inc., P.O. Box 982603 El Paso, TX 79998-2603, or call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. **Additional Information:** We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third-party trademarks appearing herein are the property of their respective owners. Charles Schwab & Co., Inc., Charles Schwab Bank, Charles Schwab Premier Bank, and Charles Schwab Trust Bank are separate but affiliated companies and subsidiaries of the Charles Schwab Corporation. © 2025 Charles Schwab & Co., Inc. ("Schwab"). All rights reserved. **Member SIPC.** (O1CUSTNC) (0822-20UL)

Fill in this information to identify the case:

Debtor Gritstone bio, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 24-12305

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>JOSE POMARES ESTRADA</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor <u>17725384 account number with the broker Charles Schwab</u>	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? See summary page	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)		
Contact phone	<u>3106674054</u>	Contact phone _____
Contact email	<u>jpomares7@hotmail.com</u>	Contact email _____
Uniform claim identifier for electronic payments in chapter 13 (if you use one):	<u>17725384 account number</u> _____	
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: <u>17725384</u> ____
7. How much is the claim? \$ <u>3000</u>	Does this amount include interest or other charges? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).
8. What is the basis of the claim?	<p>Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information.</p> <p><u>I am a shareholder in Gritstone Bio, Inc.; through the broker Charles Schwab.</u></p>
9. Is all or part of the claim secured?	<div><input checked="" type="checkbox"/> No</div> <div><input type="checkbox"/> Yes. The claim is secured by a lien on property. Nature or property: <div><input type="checkbox"/> Real estate: If the claim is secured by the debtor's principle residence, file a <i>Mortgage Proof of Claim Attachment</i> (Official Form 410-A) with this <i>Proof of Claim</i>.</div><div><input type="checkbox"/> Motor vehicle</div><div><input type="checkbox"/> Other. Describe: _____</div></div> <div>Basis for perfection: _____ Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)</div> <div>Value of property: \$ _____ Amount of the claim that is secured: \$ _____ Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)</div> <div>Amount necessary to cure any default as of the date of the petition: \$ _____</div> <div>Annual Interest Rate (when case was filed) _____ % <input type="checkbox"/> Fixed <input type="checkbox"/> Variable</div>
10. Is this claim based on a lease?	<div><input type="checkbox"/> No</div> <div><input checked="" type="checkbox"/> Yes. Amount necessary to cure any default as of the date of the petition. \$ _____</div>
11. Is this claim subject to a right of setoff?	<div><input checked="" type="checkbox"/> No</div> <div><input type="checkbox"/> Yes. Identify the property: _____</div>



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☐ No

☒ Yes. Check all that apply:

☒ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ 800

☒ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ 2000

☒ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ 100

☒ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ 100

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/09/2025
MM / DD / YYYY

/s/JOSE CARLOS POMARES ESTRADA
Signature

Print the name of the person who is completing and signing this claim:

Name JOSE CARLOS POMARES ESTRADA
First name Middle name Last name

Title Medical Doctor

Company Serena del Mar Hospital, Cartagena, Colombia, SouthAmerica.
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address Via Al Mar, Zona Norte. La Boquilla, Km12 Condominio Laguna Club.
Bloque Gu, Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin,
Cartagena, Bolívar, 130007, Colombia

Contact phone 3106674054 Email jpomares7@hotmail.com



Verita (KCC) ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (877) 709-4754 | International (424) 236-7233

Debtor: 24-12305 - Gritstone bio, Inc. District: District of Delaware		
Creditor: JOSE POMARES ESTRADA JOSE POMARES ESTRADA Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin Cartagena, Bolívar, 130007 Colombia Phone: 3106674054 Phone 2: 3106674054 Fax: Email: jpomares7@hotmail.com	Has Supporting Documentation: Yes, please mail physical supporting documentation Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor: 17725384 account number with the broker Charles Schwab		Amends Claim: No Acquired Claim: No
Basis of Claim: I am a shareholder in Gritstone Bio, Inc.; through the broker Charles Schwab.	Last 4 Digits: Yes - 17725384	Uniform Claim Identifier: 17725384 account number
Total Amount of Claim: 3000	Includes Interest or Charges: No	
Has Priority Claim: Yes	Priority Under: 11 U.S.C. §507(a)(1)(A) or (a)(1)(B): 800 11 U.S.C. §507(a)(4): 100 11 U.S.C. §507(a)(7): 2000 11 U.S.C. §507(a)(8): 100	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: Yes Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: JOSE CARLOS POMARES ESTRADA on 09-Jan-2025 2:27:14 p.m. Eastern Time Title: Medical Doctor Company: Serena del Mar Hospital. Cartagena, Colombia. SouthAmérica. Optional Signature Address: Via Al Mar, Zona Norte. La Boquilla, Km12 Condominio Laguna Club. Bloque Gu Via Al Mar, Zona Norte. La Boquilla, Km12 Condomin Cartagena, Bolívar, 130007 Colombia Telephone Number: 3106674054 Email: jpomares7@hotmail.com		