

Fill in this information to identify the case:

Debtor Gritstone bio, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 24-12305

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Axolabs GmbH</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor <u>None</u>	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent? <u>Axolabs GmbH</u> <u>Meredith Hiller</u> <u>Fritz-Hornschuch-Str. 9</u> <u>Kulmbach, BY 95326, Germany</u>	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Contact phone <u>+491754449309</u> Contact email <u>meredith.hiller@axolabs.com</u>	Contact phone _____ Contact email _____
	Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? ☐ No
☒ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 8000 ____

7. How much is the claim? \$ 5275 Does this amount include interest or other charges?
☒ No
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.

Services provided and invoiced, which remain unpaid.

9. Is all or part of the claim secured? ☒ No
☐ Yes. The claim is secured by a lien on property.
Nature or property:
☐ Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
☐ Motor vehicle
☐ Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
☐ Fixed
☐ Variable

10. Is this claim based on a lease? ☒ No
☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? ☒ No
☐ Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☒ No

☐ Yes. Check all that apply:

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ _____

☐ Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

☐ Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

☐ Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?

☒ No

☐ Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☐ I am the creditor.

☒ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/07/2025
MM / DD / YYYY

/s/Meredith Hiller
Signature

Print the name of the person who is completing and signing this claim:

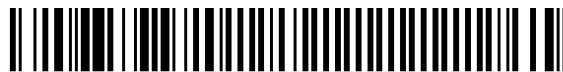
Name Meredith Hiller
First name Middle name Last name

Title Counsel

Company Axolabs GmbH
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



Verita (KCC) ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (877) 709-4754 | International (424) 236-7233

Debtor: 24-12305 - Gritstone bio, Inc. District: District of Delaware		
Creditor: Axolabs GmbH Meredith Hiller Fritz-Hornschuch-Str. 9 Kulmbach, BY, 95326 Germany Phone: +491754449309 Phone 2: Fax: Email: meredith.hiller@axolabs.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Authorized agent	
Other Names Used with Debtor: None	Amends Claim: No Acquired Claim: No	
Basis of Claim: Services provided and invoiced, which remain unpaid.	Last 4 Digits: Yes - 8000	Uniform Claim Identifier:
Total Amount of Claim: 5275	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Meredith Hiller on 07-Jan-2025 9:30:00 a.m. Eastern Time Title: Counsel Company: Axolabs GmbH		

Axolabs GmbH Fritz-Hornschuch-Str. 9 DE-95326 Kulmbach

Invoice 1616.0-24

Gritstone bio, Inc.
- Accounting department -
5959 Horton Street, Suite 300
Emeryville, CA 94608
UNITED STATES

Invoice date 11.09.2024
Document no. 57160-24
Your customer no. 516 / 50712 / 8000
Contact person Nadia Hammami-Grimm
Direct dial +49 9221 82762 8138
E-Mail address nadia.hammami-grimm@axolabs.com
Your order PO# 41961
Your order date 13.08.2024

Service date 11.09.2024

Service recipient:

Gritstone bio, Inc.
31 - PL - General WH
Mr. Jing Kuang
4698 Willow Road
Pleasanton, CA 94588
UNITED STATES

Customer

Gritstone bio, Inc.
31 - PL - General WH
Mr. Jing Kuang
4698 Willow Road
Pleasanton, CA 94588
UNITED STATES

Ref.: OC 1091.0-24

Position	Item	Unit	Price per unit	Total price
1	40099 Setup costs per analysis batch for the Cap assay by LC-MS Routine analysis "Cap assay" - Setup costs per batch Setup of an already developed Cap assay method for the analysis of up to 16 mRNA samples per analysis batch.	1	1.365,00 USD per	1.365,00
2	40099 Cost per mRNA sample for the Cap assay by LC-MS Routine analysis "Cap assay" - Costs per sample Analysis of the following sample: (R90223DS040 T=12M)	1	1.030,00 USD per	1.030,00

Client will provide at least 300 pmol of each mRNA sample for analysis, ideally in a concentration of 2 to 3 mg/mL in water or EDTA-free low salt buffer.

The mRNAs will be analyzed by the method developed in a previous project (see Standard Test Method).

For each mRNA sample the UV - chromatogramm at 260 nm of the LC-MS analysis (including relative peak area) together with mass assignment for the most relevant peaks will be evaluated.

Subtotal 2.395,00 USD

Invoice 1616.0-24 of 11.09.2024

Position	Item	Unit	Price per unit	Total price
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The data will be summarized in excel format.

Subtotal in USD	2.395,00
+0% VAT out of 2.395,00	+0,00
Total in USD	2.395,00

Term of payment USD 2.395,00 until 11.10.2024 net without deduction

Axolabs GmbH Fritz-Hornschuch-Str. 9 DE-95326 Kulmbach

Gritstone bio, Inc.
- Accounting department -
5959 Horton Street, Suite 300
Emeryville, CA 94608
UNITED STATES

Invoice 1200.0-24

Invoice date 08.07.2024
Document no. 55688-24
Your customer no. 516 / 50712 / 8000
Contact person Nadia Hammami-Grimm
Direct dial +49 9221 82762 8138
E-Mail address nadia.hammami-grimm@axolabs.com
Your order PO# 41683
Your order date 18.06.2024

Service date 08.07.2024

Service recipient:

Gritstone bio, Inc.
31 - PL - General WH
Mr. Jing Kuang
4698 Willow Road
Pleasanton, CA 94588
UNITED STATES

Customer

Gritstone bio, Inc.
31 - PL - General WH
Mr. Jing Kuang
4698 Willow Road
Pleasanton, CA 94588
UNITED STATES

Ref.: OC 817.0-24

Position	Item	Unit	Price per unit	Total price
1	40099 Setup costs per analysis batch for the Cap assay by LC-MS Routine analysis "Cap assay" - Setup costs per batch Setup of an already developed Cap assay method for the analysis of up to 16 mRNA samples per analysis batch.	1	1.365,00 USD per	1.365,00
2	40099 Cost per mRNA sample for the Cap assay by LC-MS Routine analysis "Cap assay" - Costs per sample Analysis of the following sample: o R92423DS001 T=9M	1	1.030,00 USD per	1.030,00

Client will provide at least 300 pmol of each mRNA sample for analysis, ideally in a concentration of 2 to 3 mg/mL in water or EDTA-free low salt buffer.

The mRNAs will be analyzed by the method developed in a previous project (see Standard Test Method).

For each mRNA sample the UV - chromatogramm at 260 nm of the LC-MS analysis (including relative peak area) together with mass assignment for the most relevant peaks will be evaluated.

Subtotal 2.395,00 USD

Invoice 1200.0-24 of 08.07.2024

Position	Item	Unit	Price per unit	Total price
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The data will be summarized in excel format.

Subtotal in USD	2.395,00
+0% VAT out of 2.395,00	+0,00
Total in USD	2.395,00

Term of payment USD 2.395,00 until 07.08.2024 net without deduction