

KCC

Claim #27 Date Filed: 11/15/2024

Fill in this information to identify the case:

Debtor 1 Jeff Bulva, MD MS FACP

Debtor 2  
(Spouse, if filing)

United States Bankruptcy Court for the: District of Delaware

Case number 24-12305 (KBO)

RECEIVED  
2024 NOV 15 AM 10:11  
CLERK  
US BANKRUPTCY COURT  
DISTRICT OF DELAWARE

## Official Form 410

# Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

### Part 1: Identify the Claim

1. Who is the current creditor?

Gritstone Bio. Inc

Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor Gritstone Oncology, Inc

2. Has this claim been acquired from someone else?

☒ No

☐ Yes. From whom? \_\_\_\_\_

3. Where should notices and payments to the creditor be sent?

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Where should notices to the creditor be sent?

Jeff Bulva, MD MS FACP

Name

15130 Crestone Circle

Number Street

Rosemount

MN

55068

City

State

ZIP Code

Contact phone 651-245-1350

Contact email jb15130c@gmail.com

Where should payments to the creditor be sent? (if different)

Same as above

Name

Number Street

City

State

ZIP Code

Contact phone \_\_\_\_\_

Contact email \_\_\_\_\_

Uniform claim identifier for electronic payments in chapter 13 (if you use one):  
\_\_\_\_\_

4. Does this claim amend one already filed?

☒ No

☐ Yes. Claim number on court claims registry (if known) \_\_\_\_\_

Filed on \_\_\_\_\_

MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim?

☒ No

☐ Yes. Who made the earlier filing? \_\_\_\_\_



**Part 2: Give Information About the Claim as of the Date the Case Was Filed**

6. Do you have any number you use to identify the debtor? ☒ No  
☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: \_\_\_\_\_

7. How much is the claim? \$ 8,885.00 Does this amount include interest or other charges?  
☒ No  
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.  
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  
Limit disclosing information that is entitled to privacy, such as health care information.  
Debtor has entered into Chapter 11 Bankruptcy

9. Is all or part of the claim secured? ☒ No  
☐ Yes. The claim is secured by a lien on property.  
**Nature of property:**  
☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.  
☐ Motor vehicle  
☐ Other. Describe: \_\_\_\_\_  
**Basis for perfection:** \_\_\_\_\_  
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)  
**Value of property:** \$ \_\_\_\_\_  
**Amount of the claim that is secured:** \$ \_\_\_\_\_  
**Amount of the claim that is unsecured:** \$ \_\_\_\_\_ (The sum of the secured and unsecured amounts should match the amount in line 7.)  
**Amount necessary to cure any default as of the date of the petition:** \$ \_\_\_\_\_  
**Annual Interest Rate** (when case was filed) \_\_\_\_\_ %  
☐ Fixed  
☐ Variable

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NOV 26 2024  
VERITA GLOBAL

10. Is this claim based on a lease? ☒ No  
☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ \_\_\_\_\_

11. Is this claim subject to a right of setoff? ☒ No  
☐ Yes. Identify the property: \_\_\_\_\_

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

☒ No

☐ Yes. Check one:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

\$ \_\_\_\_\_

☐ Up to \$3,350\* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ \_\_\_\_\_

☐ Wages, salaries, or commissions (up to \$15,150\*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ \_\_\_\_\_

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ \_\_\_\_\_

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ \_\_\_\_\_

☐ Other. Specify subsection of 11 U.S.C. § 507(a)( ) that applies.

\$ \_\_\_\_\_

\* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

### Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☒ I am the creditor.

☐ I am the creditor's attorney or authorized agent.

☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 11/05/2024

MM / DD / YYYY

Jeff Bulva, MD MS FACP

Signature

Jeff Bulva, MD MS FACP

Print the name of the person who is completing and signing this claim:

Name

Jeff Bulva, MD MS FACP

First name

Middle name

Last name

Title

Physician

Company

Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

15130 Crestone Circle

Number

Street

Rosemount

MN

55068

City

State

ZIP Code

Contact phone

651-245-1350

Email jb15130c@gmail.com

RECEIVED

NOV 26 2024

VERITA GLOBAL

**Part 2: Give Information About the Claim as of the Date the Case Was Filed**

6. Do you have any number you use to identify the debtor? ☒ No  
☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: \_\_\_\_\_

7. How much is the claim? \$ 8,907.00 Does this amount include interest or other charges?  
☒ No  
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.  
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  
Limit disclosing information that is entitled to privacy, such as health care information.  
Debtor has entered into Chapter 11 Bankruptcy

9. Is all or part of the claim secured? ☒ No  
☐ Yes. The claim is secured by a lien on property.  
**Nature of property:**  
☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.  
☐ Motor vehicle  
☐ Other. Describe: \_\_\_\_\_  
**Basis for perfection:** \_\_\_\_\_  
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)  
**Value of property:** \$ \_\_\_\_\_  
**Amount of the claim that is secured:** \$ \_\_\_\_\_  
**Amount of the claim that is unsecured:** \$ \_\_\_\_\_ (The sum of the secured and unsecured amounts should match the amount in line 7.)  
**Amount necessary to cure any default as of the date of the petition:** \$ \_\_\_\_\_  
**Annual Interest Rate (when case was filed)** \_\_\_\_\_ %  
☐ Fixed  
☐ Variable

10. Is this claim based on a lease? ☒ No  
☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ \_\_\_\_\_

11. Is this claim subject to a right of setoff? ☒ No  
☐ Yes. Identify the property: \_\_\_\_\_

November 6, 2024

United States Bankruptcy Court  
824 N. Market Street  
3rd Floor  
Wilmington, Delaware 19801

RECEIVED  
2024 NOV 15 AM 10:11  
CLERK  
US BANKRUPTCY COURT  
DISTRICT OF DELAWARE

To: Bankruptcy Clerk's Office

Dear Sir or Madam:

My name is Jeff Bulva and on November 4th I received the attached letter from the US Bankruptcy Court for the District of Delaware regarding Gritstone Bio as they filed for Chapter 11 on October 10, 2024.

The case number on your form 309F1 cites my case number as 24-12305 (KBO).

Please note that I have attached a completed and signed Official Form 410 and have included a tabulation sheet showing current loss of \$8,884.83. The current price of the stock is \$0.02 cents per share as of November 6, 2024 (I have a total of 1,112.654 shares). The stock loss as of today is \$8,884.83,

If you have questions my cell number is 651-245-1350 and my email address is jb15130c@gmail.com.

Thank you for your help in filing my claim against Gritstone Bio with your Bankruptcy Court District of Delaware (Circuit #3).

Respectfully submitted

Jeff Bulva, MD MS FACP

Jeff Bulva, MD MS FACP  
15130 Crestone Circle  
Rosemount, Minnesota 55068  
Cell: 651:245-1350  
Email: jb15130c@gmail.com

Enclosures:  
Forms 309F1, 410  
And supporting document

**JEFF BULVA MD MS FAGP**  
**15130 GRESTONE CIRCLÉ**  
**ROSEMOUNT MN 55068**

## GRITSTONE BIO INC COM

			\$ Total Gain/Loss	% Total Gain/Loss	Current Value	Quantity	Average Cost Basis	Cost Basis Total
Acquired	Term							
Buy	Sell	Set Exit Plan						
	Long		-\$5.29	-99.72%	\$0.01	0.687	\$7.71	\$5.30
Aug-10-2021	Long	Research	-\$1,531.22	-99.72%	\$4.28	200	\$7.68	\$1,535.50
Aug-10-2021	Long		-\$1,417.52	-99.70%	\$4.28	200	\$7.11	\$1,421.80
Aug-10-2021	Long		-\$1,455.13	-99.72%	\$4.07	190	\$7.68	\$1,459.20
Aug-10-2021	Long		-\$1,566.58	-99.70%	\$4.73	221	\$7.11	\$1,571.31
Aug-10-2021	Long		-\$6.87	-99.70%	\$0.02	0.967	\$7.13	\$6.89
May-18-2021	Long		-\$1,709.57	-99.78%	\$3.79	177	\$9.68	\$1,713.36
May-18-2021	Long		-\$1,191.09	-99.78%	\$2.63	123	\$9.71	\$1,193.72

**AAPL**   
 APPLE INC

**Account Total**

**Grand Total**

**GRITSTONE BIO INC COM**

Acquired	Term	\$ Total Gain/Loss	% Total Gain/Loss	Current Value	Quantity	Average Cost Basis	Cost Basis Total
Aug-10-2021	Long	-\$5.29	-99.72%	\$0.01	0.687	\$7.71	\$5.30
Aug-10-2021	Long	-\$1,531.22	-99.72%	\$4.28	200	\$7.68	\$1,535.50
Aug-10-2021	Long	-\$1,417.52	-99.70%	\$4.28	200	\$7.11	\$1,421.80
Aug-10-2021	Long	-\$1,455.13	-99.72%	\$4.07	190	\$7.68	\$1,459.20
Aug-10-2021	Long	-\$1,566.58	-99.70%	\$4.73	221	\$7.11	\$1,571.31
Aug-10-2021	Long	-\$6.87	-99.70%	\$0.02	0.967	\$7.13	\$6.89
May-18-2021	Long	-\$1,709.57	-99.78%	\$3.79	177	\$9.68	\$1,713.36
May-18-2021	Long	-\$1,191.09	-99.78%	\$2.63	123	\$9.71	\$1,193.72

**JEFF BULVA MD MS FACP**  
**15130 CRESTONE CIRCLÉ**  
**ROSEMOUNT MN 55068**

## Information to identify the case:

Debtor Gritstone bio, Inc.

Name

EIN 47-4859534

United States Bankruptcy Court for the District of Delaware

Date case filed for chapter 11

October 10, 2024

Case Number: 24-12305 (KBO)

## Official Form 309F1 (For Corporations or Partnerships)

**Notice of Chapter 11 Bankruptcy Case**

10/20

For the debtor listed above, a case has been filed under chapter 11 of the Bankruptcy Code. An order for relief has been entered.

This notice has important information about the case for creditors and debtors, including information about the meeting of creditors and deadlines. Read both pages carefully.

The filing of the case imposed an automatic stay against most collection activities. This means that creditors generally may not take action to collect debts from the debtor or the debtor's property. For example, while the stay is in effect, creditors cannot sue, assert a deficiency, repossess property, or otherwise try to collect from the debtor. Creditors cannot demand repayment from the debtor by mail, phone, or otherwise. Creditors who violate the stay can be required to pay actual and punitive damages and attorney's fees.

Confirmation of a chapter 11 plan may result in a discharge of debt. A creditor who wants to have a particular debt excepted from discharge may be required to file a complaint in the bankruptcy clerk's office within the deadline specified in this notice. (See line 11 below for more information.)

To protect your rights, consult an attorney. All documents filed in the case may be inspected at the bankruptcy clerk's office at the address listed below or through PACER (Public Access to Court Electronic Records at <https://pacer.uscourts.gov>), or by visiting the court-appointed claims agent's website at: <https://www.veritaglobal.net/gritstone>.

The staff of the bankruptcy clerk's office cannot give legal advice.

Do not file this notice with any proof of claim or other filing in the case.

1. Debtor's full name	Gritstone bio, Inc.
2. All other names used in the last 8 years	Gritstone Oncology, Inc.
3. Address	4698 Willow Road, Pleasanton, California 94588
4. Debtor's attorneys	<p>PACHULSKI STANG ZIEHL &amp; JONES LLP  Debra I. Grassgreen, (admitted <i>pro hac vice</i>)  John W. Lucas, (admitted <i>pro hac vice</i>)  Malhar S. Pagay, (admitted <i>pro hac vice</i>)  James E. O'Neill (DE Bar No. 4042)  919 North Market Street, 17th Floor  P.O. Box 8750  Wilmington, Delaware 19899-8705  Tel: 302-652-4100  Fax: 302-652-4400  Email: <a href="mailto:dgrassgreen@pszjlaw.com">dgrassgreen@pszjlaw.com</a>  <a href="mailto:jlucas@pszjlaw.com">jlucas@pszjlaw.com</a>  <a href="mailto:mpagay@pszjlaw.com">mpagay@pszjlaw.com</a>  <a href="mailto:joneill@pszjlaw.com">joneill@pszjlaw.com</a></p>



Debtor Gritstone bio, Inc.Case number (if known) 24-12305 (KBO)

Name

**5. Bankruptcy clerk's office**

Documents in this case may be filed at this address:

United States Bankruptcy Court  
824 N. Market Street  
3<sup>rd</sup> Floor  
Wilmington, DE 19801

Hours of operation: Monday through Friday 8:00 a.m. – 4:00 p.m.  
Contact Phone: (302) 252-2900

You may inspect all records filed in this case at this office or online at <https://pacer.uscourts.gov>, or by visiting the court-appointed claims agent's website, for free, at: <https://www.veritaglobal.net/gritstone>.

**6. Meeting of creditors**

The debtor's representative must attend the meeting to be questioned under oath. Creditors may attend, but are not required to do so.

Location: Telephonic:

Conference Line: 1-844-291-6364

Participant Code: 2613970#

**November 19, 2024 at 2:00 p.m. (Eastern Time)**

Date

Time

The meeting may be continued or adjourned to a later date. If so, the date will be on the court docket.

**7. Proof of claim deadline****Deadline for filing proof of claim: To be provided by separate notice.**

A proof of claim is a signed statement describing a creditor's claim. A proof of claim form may be obtained at [www.uscourts.gov](http://www.uscourts.gov) or any bankruptcy clerk's office. A proof of claim form may be filed either electronically or as a paper document. For more information on how to file a Proof of Claim, visit the court-appointed claims agent's website at <https://www.veritaglobal.net/gritstone>.

Your claim will be allowed in the amount scheduled unless:

- ☐ your claim is designated as *disputed*, *contingent*, or *unliquidated*;
- ☐ you file a proof of claim in a different amount; or
- ☐ you receive another notice.

If your claim is not scheduled or if your claim is designated as *disputed*, *contingent*, or *unliquidated*, you must file a proof of claim or you might not be paid on your claim and you might be unable to vote on a plan. You may file a proof of claim even if your claim is scheduled.

You may review the schedules at the bankruptcy clerk's office, online at <https://pacer.uscourts.gov>, or at the court-appointed claims agent's website at <https://www.veritaglobal.net/gritstone>.

Secured creditors retain rights in their collateral regardless of whether they file a proof of claim. Filing a proof of claim submits a creditor to the jurisdiction of the bankruptcy court, with consequences a lawyer can explain. For example, a secured creditor who files a proof of claim may surrender important nonmonetary rights, including the right to a jury trial.

**8. Exception to discharge deadline**

The bankruptcy clerk's office must receive a complaint and any required filing fee by the following deadline.

If § 523(c) applies to your claim and you seek to have it excepted from discharge, you must start a judicial proceeding by filing a complaint by the deadline stated below.

**Deadline for filing the complaint: N/A****9. Creditors with a foreign address**

If you are a creditor receiving notice mailed to a foreign address, you may file a motion asking the court to extend the deadlines in this notice. Consult an attorney familiar with United States bankruptcy law if you have any questions about your rights in this case.

**10. Filing a Chapter 11 bankruptcy case**

Chapter 11 allows debtors to reorganize or liquidate according to a plan. A plan is not effective unless the court confirms it. You may receive a copy of the plan and a disclosure statement telling you about the plan, and you may have the opportunity to vote on the plan. You will receive notice of the date of the confirmation hearing, and you may object to confirmation of the plan and attend the confirmation hearing. Unless a trustee is serving, the debtor will remain in possession of the property and may continue to operate its business.

**11. Discharge of debts**

Confirmation of a chapter 11 plan may result in a discharge of debts, which may include all or part of your debt. See 11 U.S.C. § 1141(d). A discharge means that creditors may never try to collect the debt from the debtor except as provided in the plan. If you want to have a particular debt owed to you excepted from the discharge and § 523(c) applies to your claim, you must start a judicial proceeding by filing a complaint and paying the filing fee in the bankruptcy clerk's office by the deadline.

**If you have questions about this notice, please call**  
**US/Canada: 877.709.4754 (Toll free) or +1424.236.7233 (International)**  
**send an inquiry: <https://www.veritaglobal.net/gritstone/inquiry>, or**  
**visit <https://www.veritaglobal.net/gritstone>**