

To file a claim electronically on KCC's website, go to <https://epoc.kccilc.net/garrettmotion> and request a PIN.

United States Bankruptcy Court for the Southern District of New York

Indicate Debtor against which you assert a claim by checking the appropriate box below. **(Check only one Debtor per claim form.)**

| | | |
|--|--|---|
| <input type="checkbox"/> Garrett ASASCO Inc. (Case No. 20-12211) | <input type="checkbox"/> Garrett Motion Holdings II Inc. (Case No. 20-12224) | <input type="checkbox"/> Garrett Motion Slovakia s.r.o. (Case No. 20-12236) |
| <input type="checkbox"/> Garrett Motion Inc. (Case No. 20-12212) | <input type="checkbox"/> Garrett Motion International Services S.R.L. (Case No. 20-12225) | <input type="checkbox"/> Garrett Motion Switzerland Holdings Sarl (Case No. 20-12237) |
| <input type="checkbox"/> BRH LLC (Case No. 20-12213) | <input type="checkbox"/> Garrett Motion Ireland A Limited (Case No. 20-12226) | <input type="checkbox"/> Garrett Motion UK A Limited (Case No. 20-12238) |
| <input type="checkbox"/> Calvari Limited (Case No. 20-12214) | <input type="checkbox"/> Garrett Motion Ireland B Limited (Case No. 20-12227) | <input type="checkbox"/> Garrett Motion UK B Limited (Case No. 20-12239) |
| <input type="checkbox"/> Friction Materials LLC (Case No. 20-12215) | <input type="checkbox"/> Garrett Motion Ireland C Limited (Case No. 20-12228) | <input type="checkbox"/> Garrett Motion UK C Limited (Case No. 20-12240) |
| <input type="checkbox"/> Garrett Borrowing LLC (Case No. 20-12216) | <input type="checkbox"/> Garrett Motion Ireland Limited (Case No. 20-12229) | <input type="checkbox"/> Garrett Motion UK D Limited (Case No. 20-12241) |
| <input type="checkbox"/> Garrett Holding Company Sarl (Case No. 20-12217) | <input type="checkbox"/> Garrett Motion Italia S.R.L. (Case No. 20-12230) | <input type="checkbox"/> Garrett Motion UK Limited (Case No. 20-12242) |
| <input type="checkbox"/> Garrett LX I S.à r.l. (Case No. 20-12218) | <input type="checkbox"/> Garrett Motion Japan Inc. (Case No. 20-12231) | <input type="checkbox"/> Garrett Transportation I Inc. (Case No. 20-12243) |
| <input type="checkbox"/> Garrett LX II S.à r.l. (Case No. 20-12219) | <input type="checkbox"/> Garrett Motion LLC (Case No. 20-12232) | <input type="checkbox"/> Garrett Transportation System Ltd (Case No. 20-12244) |
| <input type="checkbox"/> Garrett LX III S.à r.l. (Case No. 20-12220) | <input type="checkbox"/> Garrett Motion Mexico, Sociedad Anónima de Capital Variable (Case No. 20-12233) | <input type="checkbox"/> Garrett Transportation Systems UK II Ltd (Case No. 20-12245) |
| <input type="checkbox"/> Garrett Motion Australia Pty Limited (Case No. 20-12221) | <input type="checkbox"/> Garrett Motion Romania S.R.L. (Case No. 20-12234) | <input type="checkbox"/> Garrett TS Ltd (Case No. 20-12246) |
| <input type="checkbox"/> Garrett Motion Automotive Research Mexico S. de RL. de C.V. (Case No. 20-12222) | <input type="checkbox"/> Garrett Motion Sarl (Case No. 20-12235) | <input type="checkbox"/> Garrett Turbo Ltd (Case No. 20-12247) |
| <input type="checkbox"/> Garrett Motion Holding Inc. (Case No. 20-12223) | | |

Official Form 410 Securities Proof of Claim

04/19

Read the instructions before filling out this form. This form is for purchasers of the Debtors' publicly traded equity securities who are asserting claims against the Debtors arising from rescission of a purchase or sale of common stock of Garrett Motion Inc., for damages arising from the purchase or sale of common stock of Garrett Motion Inc., or for reimbursement or contribution allowed under section 502 of the Bankruptcy Code on account of such claims.

THIS FORM IS TO BE USED ONLY FOR CLAIMANTS TO ASSERT CLAIMS AGAINST THE DEBTORS ARISING FROM RESCISSION OF A PURCHASE OR SALE OF COMMON STOCK OF GARRETT MOTION INC., FOR DAMAGES ARISING FROM THE PURCHASE OR SALE OF COMMON STOCK OF GARRETT MOTION INC., OR FOR REIMBURSEMENT OR CONTRIBUTION ALLOWED UNDER SECTION 502 OF THE BANKRUPTCY CODE ON ACCOUNT OF SUCH CLAIMS, AND NOT ANY OTHER CLAIMS.

DO NOT USE THIS FORM TO ASSERT A CLAIM IF YOUR CLAIM IS BASED SOLELY ON YOUR CURRENT AND CONTINUOUS OWNERSHIP OF SUCH SECURITIES.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed.

Part 1: Identify the Claim

1. Who is the current creditor?
Alan PATTON & FRANKINE B. PATTON
 Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor _____

2. Has this claim been acquired from someone else?
 No
 Yes. From whom? _____

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3. Where should notices and payments to the creditor be sent? **Where should notices to the creditor be sent?** **Where should payments to the creditor be sent? (if different)**

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Name: ALAN A FRANCINO PATTON

Number: 7346 Street: 244th ST

City: LITTLE NOCK, NY State: 1262 ZIP Code: USA

Country: USA

Contact phone: 718-666-5378

Contact email: alpatton49@yahoo.com

Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____

4. Does this claim amend one already filed? No Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim? No Yes. Who made the earlier filing? _____

Part 2. Give Information About the Claim as of September 20, 2020

6. Do you have any number you use to identify the debtor? No Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: _____

7. How much is the claim? \$ 1,694 Does this amount include interest or other charges? No Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. Is all or part of the claim secured? No Yes. The claim is secured by a lien on property.

Nature of property:

Real estate: If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

Motor vehicle

Other. Describe: _____

Basis for perfection:

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %

Fixed Variable

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9. Is this claim subject to a right of setoff?

No

Yes. Identify the property: _____

10. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

\$ _____

Up to \$3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

Wages, salaries, or commissions (up to \$13,650*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ _____

Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this Proof of Claim serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this Proof of Claim and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/03/2021
MM / DD / YYYY

Alan Patton
Signature

Print the name of the person who is completing and signing this claim:

Name ALAN PATTON
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 7346 244TH ST
Number Street
LITTLE NECK, NY 1362 USA
City State ZIP Code Country

Contact phone 718-666-5378 Email alpatton49@jancc.com

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