

Fill in this information to identify the case:

Debtor Extraction Oil & Gas, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 20-11548

**Official Form 410
Proof of Claim**

04/19

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor?	<u>Alexander S Muggenthaler</u> <small>Name of the current creditor (the person or entity to be paid for this claim)</small>	
	Other names the creditor used with the debtor _____	
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	<u>Alexander S Muggenthaler</u> <u>10501 Sierra Ridge Drive</u> <u>Parker, CO 80134, United States</u>	
	Contact phone <u>7202537070</u>	Contact phone _____
	Contact email <u>amuggenthaler@gmail.com</u>	Contact email _____
	Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	
4. Does this claim amend one already filed?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ <small>MM / DD / YYYY</small>	
5. Do you know if anyone else has filed a proof of claim for this claim?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ____ _

7. How much is the claim? \$ 770.00. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____

Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

	Amount entitled to priority
<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
<input type="checkbox"/> Up to \$3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
<input type="checkbox"/> Wages, salaries, or commissions (up to \$13,650*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
<input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ _____
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)(____) that applies.	\$ _____

* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ 770.00

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 08/25/2020
MM / DD / YYYY

/s/Alexander S Muggenthaler
Signature

Print the name of the person who is completing and signing this claim:

Name Alexander S Muggenthaler
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (866) 571-1791 | International (781) 575-2049

Debtor: 20-11548 - Extraction Oil & Gas, Inc.		
District: District of Delaware		
Creditor: Alexander S Muggenthaler 10501 Sierra Ridge Drive Parker, CO, 80134 United States Phone: 7202537070 Phone 2: 3032279555 Fax: 3032888555 Email: amuggenthaler@gmail.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded	
	Related Document Statement:	
	Has Related Claim: No	
		Related Claim Filed By:
		Filing Party: Creditor
Other Names Used with Debtor:		Amends Claim: No
		Acquired Claim: No
Basis of Claim:	Last 4 Digits: No	Uniform Claim Identifier:
Total Amount of Claim: 770.00	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No	Nature of Secured Amount:	
Amount of 503(b)(9): Yes: 770.00	Value of Property:	
Based on Lease: No	Annual Interest Rate:	
Subject to Right of Setoff: No	Arrearage Amount:	
	Basis for Perfection:	
	Amount Unsecured:	
Submitted By: Alexander S Muggenthaler on 25-Aug-2020 8:24:43 a.m. Eastern Time		
Title:		
Company:		



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 866.699.0563
Member FINRA and SIPC - Ally.com/invest

Account Number: 619-99549

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

ALEXANDER S MUGGENTHALER &
KELLY MUGGENTHALER JTWROS
10501 SIERRA RIDGE DR
PARKER CO 80134-4969

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
- Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
- Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
- Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
- Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
- Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, may share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- Backup Withholding - If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- If the official statement/Prospectus is available, you can view them at emma.msrb.org. In the event the official statement/Prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- Payment of interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

Market	Buy/Sell Codes	Account Types	Currency Codes	CAP=Capacity in which your Introducing Broker or Apex has acted
1-New York Stock Exchange	7-Underwriting	B-Buy	AUD: Australian \$	1, 2, 4, 5, 8 - As AGENT
2-NYSE American	8-Foreign Exchanges, Money Funds,	S-Sell	CAD: Canadian \$	3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
3-Other US Exchanges	Stock Dividends, Redemptions,	X#B - Cancel Buy	EUR: Euros	6-As AGENT for both buyer and seller.
4-Mutual Funds	"Reorgs" or Tenders	X#S - Cancel Sell	GBP: British Pounds	7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction.
5-Options	9-Other		HKD: Hong Kong \$	9-Other
6-Over-the-Counter/NASDAQ			JPY: Japan Yen	0-Multiple cap.(two or more of agent, principal, & agent for another)
ACCOUNTS CARRIED BY APEX CLEARING CORPORATION				
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453				
			MXN: Mexican Peso	67 - Riskless Principal
			NZD: New Zealand \$	A - As Agency
			Add'l Currencies	B - As Principal
			9-RVP/DVP	
			7-Description Available on Req.	
			8-Description Available on Req.	
			Contact your broker	



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Account cleared by
 Apex Clearing Corporation
 350 N. St. Paul Street 1300
 Dallas, Texas 75201

Account Number: 619-99549

Account Name: ALEXANDER S MUGGENTHALER &

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P	
1	B	06/08/20	06/10/20	100	CNHI	7.8000000	780.00	0.00	0.00	0.00	U6743	780.00	A140610	6 1	
Desc: ***CNH INDUSTRIAL N V											Interest/STTax:	0.00	CUSIP:	N20944109	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	10	DE	167.0000000	1,670.00	0.00	0.00	0.00	U8345	1,670.00	A140610	6 1	
Desc: DEERE & CO											Interest/STTax:	0.00	CUSIP:	244199105	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	1,000	XOG	0.7700000	770.00	14.95	0.00	0.00	X7841	784.95	A140610	6 1	
Desc: EXTRACTION OIL & GAS INC COM											Interest/STTax:	0.00	CUSIP:	30227M105	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	10	GPC	92.7100000	927.10	0.00	0.00	0.00	U5626	927.10	A140610	6 1	
Desc: GENUINE PARTS CO											Interest/STTax:	0.00	CUSIP:	372460105	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	1,000	NE	0.5599000	559.90	14.95	0.00	0.00	U8074	574.85	A140610	6 1	
Desc: ***NOBLE CORPORATION SHS USD											Interest/STTax:	0.00	CUSIP:	G65431101	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	200	QEP	2.4198000	483.96	0.00	0.00	0.00	A4610	483.96	A140610	6 1	
Desc: QEP RESOURCES INC											Interest/STTax:	0.00	CUSIP:	74733V100	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															
1	B	06/08/20	06/10/20	200	QEP	2.3200000	464.00	0.00	0.00	0.00	I1290	464.00	A140610	6 1	
Desc: QEP RESOURCES INC											Interest/STTax:	0.00	CUSIP:	74733V100	
Currency: USD			ReportedPX:			MarkUp/Down:									
Trailer:															

SUMMARY FOR CURRENT TRADE DATE: 06/08/20
 TOTAL SHARES BOUGHT: 2,520.00 TOTAL DOLLARS BOUGHT: -5,684.86
 TOTAL SHARES SOLD: 0.00 TOTAL DOLLARS SOLD: 0.00