

**Fill in this information to identify the case:**

Debtor Extraction Oil & Gas, Inc.

United States Bankruptcy Court for the: \_\_\_\_\_ District of Delaware  
(State)

Case number 20-11548

**Official Form 410  
Proof of Claim**

04/19

**Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.**

**Filers must leave out or redact** information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

**Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.**

**Part 1: Identify the Claim**

1. <b>Who is the current creditor?</b>	<u>Alwathiq Qasim</u> Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor _____	
2. <b>Has this claim been acquired from someone else?</b>	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. <b>Where should notices and payments to the creditor be sent?</b>	<b>Where should notices to the creditor be sent?</b>	<b>Where should payments to the creditor be sent? (if different)</b>
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	<u>Alwathiq Qasim</u> <u>218 Overmount Ave</u> <u>Apt E</u> <u>Woodland Park, NJ 07424, USA</u>	
	Contact phone <u>9732701399</u>	Contact phone _____
	Contact email <u>Wathiqalone@gmail.com</u>	Contact email _____
	Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	
4. <b>Does this claim amend one already filed?</b>	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ <span style="float: right;">MM / DD / YYYY</span>	
5. <b>Do you know if anyone else has filed a proof of claim for this claim?</b>	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes. Who made the earlier filing? _____	



**Part 2: Give Information About the Claim as of the Date the Case Was Filed**

6. Do you have any number you use to identify the debtor?  No  
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: \_\_\_\_ \_

7. How much is the claim? \$ 1280. Does this amount include interest or other charges?  
 No  
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.  
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  
Limit disclosing information that is entitled to privacy, such as health care information.  
Money Loss in shares

9. Is all or part of the claim secured?  No  
 Yes. The claim is secured by a lien on property.  
**Nature or property:**  
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.  
 Motor vehicle  
 Other. Describe: \_\_\_\_\_  
**Basis for perfection:** \_\_\_\_\_  
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)  
**Value of property:** \$ \_\_\_\_\_  
**Amount of the claim that is secured:** \$ \_\_\_\_\_  
**Amount of the claim that is unsecured:** \$ \_\_\_\_\_ (The sum of the secured and unsecured amount should match the amount in line 7.)  
**Amount necessary to cure any default as of the date of the petition:** \$ \_\_\_\_\_  
**Annual Interest Rate** (when case was filed) \_\_\_\_\_ %  
 Fixed  
 Variable

10. Is this claim based on a lease?  No  
 Yes. Amount necessary to cure any default as of the date of the petition. \$ \_\_\_\_\_

11. Is this claim subject to a right of setoff?  No  
 Yes. Identify the property: \_\_\_\_\_

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

	Amount entitled to priority
<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
<input type="checkbox"/> Up to \$3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
<input type="checkbox"/> Wages, salaries, or commissions (up to \$13,650*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
<input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ _____
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)(____) that applies.	\$ _____

\* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ \_\_\_\_\_

**Part 3: Sign Below**

**The person completing this proof of claim must sign and date it. FRBP 9011(b).**

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

**A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.**

*Check the appropriate box:*

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 08/04/2020  
MM / DD / YYYY

/s/Alwathiq Qasim  
Signature

**Print the name of the person who is completing and signing this claim:**

Name Alwathiq Qasim  
First name Middle name Last name

Title \_\_\_\_\_

Company \_\_\_\_\_  
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address \_\_\_\_\_

Contact phone \_\_\_\_\_ Email \_\_\_\_\_



# KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (866) 571-1791 | International (781) 575-2049

<b>Debtor:</b> 20-11548 - Extraction Oil & Gas, Inc.		
<b>District:</b> District of Delaware		
<b>Creditor:</b> Alwathiq Qasim 218 Overmount Ave Apt E Woodland Park, NJ, 07424 USA <b>Phone:</b> 9732701399 <b>Phone 2:</b>  <b>Fax:</b>  <b>Email:</b> Wathiqalone@gmail.com	<b>Has Supporting Documentation:</b> Yes, supporting documentation successfully uploaded <b>Related Document Statement:</b>	
	<b>Has Related Claim:</b> Yes <b>Related Claim Filed By:</b>	
	<b>Filing Party:</b> Creditor	
<b>Other Names Used with Debtor:</b>	<b>Amends Claim:</b> Yes <b>Acquired Claim:</b> No	
<b>Basis of Claim:</b> Money Loss in shares	<b>Last 4 Digits:</b> No	<b>Uniform Claim Identifier:</b>
<b>Total Amount of Claim:</b> 1280	<b>Includes Interest or Charges:</b> No	
<b>Has Priority Claim:</b> No	<b>Priority Under:</b>	
<b>Has Secured Claim:</b> No <b>Amount of 503(b)(9):</b> No <b>Based on Lease:</b> No <b>Subject to Right of Setoff:</b> No	<b>Nature of Secured Amount:</b> <b>Value of Property:</b> <b>Annual Interest Rate:</b> <b>Arrearage Amount:</b> <b>Basis for Perfection:</b> <b>Amount Unsecured:</b>	
<b>Submitted By:</b> Alwathiq Qasim on 04-Aug-2020 9:01:17 a.m. Eastern Time <b>Title:</b> <b>Company:</b>		



85 Willow Rd, Menlo Park, CA 94025  
support@robinhood.com

07/30/2020

**Alwathiq Qasim** Account #: **616923843**  
218 E Overmount Avenue, Woodland Park, NJ 07424

## Transaction Confirmation

Thank you for letting Robinhood Securities, LLC ("RHS") serve you through Robinhood Financial, LLC ("RHF").

1. Amounts due for securities transactions must be received on or before the settlement date shown.
2. All orders are received and executed subject to the applicable rules, regulations and customs of the SEC, FINRA, and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934.
3. Failure of customer to notify RHS in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
4. For purchase transactions in a margin account, it is agreed that sufficient cash or acceptable collateral will be deposited on or before the settlement date, or at such earlier time that payment may be demanded to satisfy applicable margin requirements.
5. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which permit commingling thereof with securities of other customers, all without further notice to the customer.
6. If shares loaned for a short sale are no longer available, RHS reserves the right to decide, by random selection, which positions will be subject to a buy-in.
7. Upon written request and where available, further details of items herein will be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of fees and the remuneration details, if any, to RHS, or RHF for directing orders to select market participants and details of provisions that may cause a call or prepayment.
8. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise.
9. Any Good Till Canceled (GTC) orders may expire or be terminated by RHS or RHF in their ordinary course of business after the order has remained open for a reasonable period of time. Please contact RHF for more specific details. Until such expiration or cancellation, or cancellation by the customer, all open orders are considered good. When entering a substitute order, the responsibility for cancelling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered into the customer's account.
10. The responsibility to cancel an open order resides with the customer. Any transactions which result from the execution of any order which the customer has not instructed RHF/RHS to cancel will be entered into the customer's account.
11. The default Cost Basis Election or tax relief method used by RHS for tax preparing is First-In-First-Out (FIFO) for Equities. Please contact RHF if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
12. Backup Withholding - if you have not provided us with your correct social security number/tax id number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
13. RHS receives remuneration for directing orders to particular broker-dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transactions are available upon written request. RHF, when clearing through RHS, may share in such payments or may directly receive payment for order flow for certain transactions. Details are available upon written request.
14. If you request the sale of a non-marketable or worthless security, RHF will be the buyer to the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and RHF thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against RHF for any losses related to the sale.

Accounts carried by Robinhood Securities, LLC Member FINRA & SIPC - support@robinhood.com Tax ID 38-4019216

### MKT = Market in which transaction was Executed/Cleared

NYSE - New York Stock Exchange  
NYSEA - NYSE Alternext  
USE - Other US Exchange  
MF - Mutual Funds  
OP - Options  
OTC - Over-the-Counter/NASDAQ  
UND - Underwriting  
FOREX - Foreign Exchange  
OTH - Other

### Buy/Sell Codes

B = Buy or Buy To Open  
S = Sell or Sell To Close  
BCXL = Cancel Buy  
SCXL = Cancel Sell  
BTC = Buy To Close  
STO = Sell To Open  
BTCX = Buy To Close Cancel  
STOX = Sell to Open Cancel

### Account Types

C = Cash  
M = Margin  
N = Non-negotiable  
S = Short

### U/S: Solicitation

U = Unsolicited  
S = Solicited

### CAP = Capacity in which the firm acted:

1 - 2,4 - 5,8 - As AGENT  
3 - As PRINCIPAL, your broker has bought from you or sold to you and may have received a profit or loss on the transaction  
6 - As AGENT for both buyer and seller



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07/30/2020

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218 E Overmount Avenue, Woodland Park, NJ 07424

Equities/Options	B/S	Trade Date	Settle Date	Acct Type	Price	QTY	Principal	Comm	Tran Fee	Net Amount	MKT	CAP	U/S
Extraction Oil and Gas XOGAQ CUSIP: 30227M105	S	07/30/2020	08/03/2020	M	\$0.1080	4,039	\$436.21	\$0.00	\$0.48	\$435.73	OTC	1	U
Extraction Oil and Gas XOGAQ CUSIP: 30227M105	S	07/30/2020	08/03/2020	M	\$0.1080	773	\$83.48	\$0.00	\$0.09	\$83.39	OTC	1	U
Oasis Petroleum OAS CUSIP: 674215108	B	07/30/2020	08/03/2020	M	\$0.6711	773	\$518.76	\$0.00	\$0.00	\$518.76	OTC	1	U
<b>Total Quantity Bought:</b>			<b>773</b>				<b>Total Dollars Bought:</b>			<b>\$518.76</b>			
<b>Total Quantity Sold:</b>			<b>4,812</b>				<b>Total Dollars Sold:</b>			<b>\$519.12</b>			



85 Willow Rd, Menlo Park, CA 94025  
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Alwathiq Qasim

DOB : [06/11/1992](#)

SSN# 6935

06/01/2020 to 06/30/2020

Alwathiq Qasim Account #: [616923843](#)

218 E Overmount Avenue, Woodland Park, NJ 07424

Options

Equities

Cash and Cash Equivalents

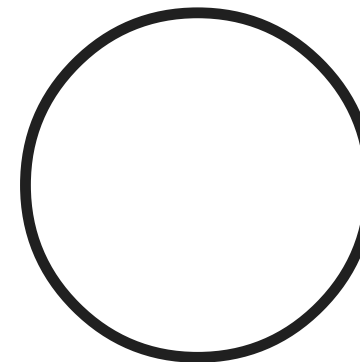
### Account Summary

	Opening Balance	Closing Balance
Net Account Balance	\$0.19	\$0.03
Total Securities	\$930.50	\$582.25
<b>Portfolio Value</b>	<b>\$930.69</b>	<b>\$582.28</b>

### Income and Expense Summary

	This Period	Year to Date
Dividends	\$0.00	\$0.06
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

### Portfolio Allocation



- Cash and Cash Equivalents  
0.01%
- Equities  
99.99%
- Options  
0.00%

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Extraction Oil and Gas Estimated Yield: 0.00%	XOGAQ	Margin	4,812	\$0.12	\$582.25	\$0.00	99.99%
<b>Total Securities</b>					<b>\$582.25</b>	<b>\$0.00</b>	<b>99.99%</b>
<b>Brokerage Cash Balance</b>					<b>\$0.03</b>		<b>0.01%</b>
<b>Total Priced Portfolio</b>					<b>\$582.28</b>		



## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Callon Petroleum Unsolicited, CUSIP: 13123X102	CPE	Margin	Sell	06/05/2020	147	\$1.23		\$180.79
Gulfport Energy Unsolicited, CUSIP: 402635304	GPOR	Margin	Sell	06/05/2020	291	\$1.71		\$497.58
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Buy	06/05/2020	1,050	\$1.21	\$1,270.50	
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Sell	06/05/2020	634	\$0.79		\$500.76
Valaris Unsolicited, CUSIP: G9402V109	VAL	Margin	Buy	06/05/2020	36	\$0.88	\$31.66	
Valaris Unsolicited, CUSIP: G9402V109	VAL	Margin	Sell	06/05/2020	289	\$0.43		\$123.66
Callon Petroleum Unsolicited, CUSIP: 13123X102	CPE	Margin	Sell	06/08/2020	1	\$2.47		\$2.47
Centennial Resource Development Unsolicited, CUSIP: 15136A102	CDEV	Margin	Sell	06/08/2020	1	\$2.02		\$2.02
Gulfport Energy Unsolicited, CUSIP: 402635304	GPOR	Margin	Sell	06/08/2020	1	\$2.00		\$2.00
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Buy	06/08/2020	45	\$1.94	\$87.30	
Valaris Unsolicited, CUSIP: G9402V109	VAL	Margin	Sell	06/08/2020	37	\$2.19		\$81.09
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Sell	06/10/2020	196	\$1.41		\$276.34
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Sell	06/10/2020	300	\$1.41		\$422.96
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Sell	06/10/2020	300	\$1.41		\$422.96
Oasis Petroleum Unsolicited, CUSIP: 674215108	OAS	Margin	Sell	06/10/2020	300	\$1.41		\$422.96

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Valaris Unsolicited, CUSIP: G9402V109	VAL	Margin	Buy	06/11/2020	1,516	\$1.02	\$1,546.32	
Valaris Unsolicited, CUSIP: G9402V109	VAL	Margin	Sell	06/12/2020	1,516	\$1.20		\$1,818.97
Extraction Oil and Gas Unsolicited, CUSIP: 30227M105	XOGAQ	Margin	Buy	06/18/2020	12	\$0.38	\$4.54	
Extraction Oil and Gas Unsolicited, CUSIP: 30227M105	XOGAQ	Margin	Buy	06/18/2020	4,800	\$0.38	\$1,814.40	
<b>Total Funds Paid and Received</b>							<b>\$4,754.72</b>	<b>\$4,754.56</b>

## Executed Trades Pending Settlement

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$0.00</b>

## Important Information

Robinhood Securities, LLC (“RHS”) carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC (“RHF”). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting support@robinhood.com.

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm’s business as permissible under the SEC Rule 15c3-2.

Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a “fully disclosed” basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm’s Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell Robinhood your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell Robinhood the dollar amount of the suspected error.

Robinhood will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes Robinhood to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. (“FINRA”), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [support@robinhood.com](mailto:support@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer’s orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

Statement of Financial Condition  
Robinhood Securities, LLC. audited Statement of Financial Condition as of December 31, 2019 is available on the Company’s website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On December 31, 2019, Robinhood Securities, LLC. had a net capital of \$191,238,974, which was \$177,980,262 in excess of its required net capital of \$13,258,712.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.



# Extraction Oil & Gas, Inc.'s Upcoming Bankruptcy

Hi,

Extraction Oil & Gas, Inc. has announced an upcoming Bankruptcy. Because you own 4,812 shares, your position will be impacted by this corporate action. Tap on the links below for information about the corporate action.

- [Bar Date Notice](#)
- [Official 410 Form](#)

For more information on withdrawals, check out our [Help Center](#).

Sincerely,  
The Robinhood Team  
<https://robinhood.com>