

Fill in this information to identify the case:

Debtor Eiger BioPharmaceuticals, Inc
 United States Bankruptcy Court for the: Northern District of Texas
(State)
 Case number 24-80040

**Official Form 410
 Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

<p>1. Who is the current creditor?</p>	<p><u>Nasdaq, Inc.</u> <small>Name of the current creditor (the person or entity to be paid for this claim)</small></p> <p>Other names the creditor used with the debtor <u>The Nasdaq Stock Market LLC</u></p>	
<p>2. Has this claim been acquired from someone else?</p>	<p><input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____</p>	
<p>3. Where should notices and payments to the creditor be sent?</p> <p><small>Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)</small></p>	<p>Where should notices to the creditor be sent?</p> <p><u>Nasdaq, Inc.</u> <u>c/o Joanne Pedone</u> <u>805 King Farm Blvd</u> <u>Rockville, MD 20850, United States</u></p>	<p>Where should payments to the creditor be sent? (if different)</p>
	<p>Contact phone <u>6504955675</u></p> <p>Contact email <u>joanne.pedone@nasdaq.com</u></p>	<p>Contact phone _____</p> <p>Contact email _____</p>
	<p><small>Uniform claim identifier for electronic payments in chapter 13 (if you use one):</small></p> <p>_____</p>	
<p>4. Does this claim amend one already filed?</p>	<p><input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ <small>MM / DD / YYYY</small></p>	
<p>5. Do you know if anyone else has filed a proof of claim for this claim?</p>	<p><input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. Who made the earlier filing? _____</p>	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 6617 ____

7. How much is the claim? \$ 8508.00. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Nasdaq IR Insight Subscription

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



<p>12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?</p> <p>A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.</p>	<input checked="" type="checkbox"/> No	
	<input type="checkbox"/> Yes. Check all that apply:	Amount entitled to priority
	<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
	<input type="checkbox"/> Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
	<input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
	<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
	<input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ _____
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)(____) that applies.	\$ _____	
* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.		
<p>13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. 503(b)(9)?</p>	<input checked="" type="checkbox"/> No	
	<input type="checkbox"/> Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.	\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 05/25/2024
MM / DD / YYYY

/s/Joanne Pedone
Signature

Print the name of the person who is completing and signing this claim:

Name Joanne Pedone
First name Middle name Last name

Title Principal Associate General Counsel

Company Nasdaq, Inc.
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic (888) 733-1544 | International (310) 751-2638

Debtor: 24-80040 - Eiger BioPharmaceuticals, Inc		
District: Northern District of Texas, Dallas Division		
Creditor: Nasdaq, Inc. c/o Joanne Pedone 805 King Farm Blvd Rockville, MD, 20850 United States Phone: 6504955675 Phone 2: Fax: Email: joanne.pedone@nasdaq.com	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor: The Nasdaq Stock Market LLC	Amends Claim: No Acquired Claim: No	
Basis of Claim: Nasdaq IR Insight Subscription	Last 4 Digits: Yes - 6617	Uniform Claim Identifier:
Total Amount of Claim: 8508.00	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Joanne Pedone on 25-May-2024 9:51:08 p.m. Eastern Time Title: Principal Associate General Counsel Company: Nasdaq, Inc.		

INVOICE



BILL TO:

Eiger BioPharmaceuticals, Inc.
Attention to:Accounts Payable
2155 Park Boulevard
Palo Alto, CA 94306
United States of America

INVOICE NUMBER:

0424NOCS265723

INVOICE DATE:

Apr 15, 2024

ACCOUNT NUMBER:

B1076617

YOUR REFERENCE:

invoices@eiger.coupahost.com

SHIP TO:

Eiger BioPharmaceuticals, Inc.
2155 Park Boulevard
Palo Alto, CA 94306
United States of America

PO 3075

BILLING SUMMARY

Table with 2 columns: Description, Amount. Rows include IR Insight (8,508.00 USD), Total Tax (0.00 USD), Total Amount Due (8,508.00 USD), Total Due By (May 15, 2024).

PAYMENT INSTRUCTIONS:

Bank Name: WELLS FARGO BANK, N.A.
Address: [Redacted]
SWIFT#: [Redacted]
ABA#: [Redacted]
CHIPS ID: [Redacted]
Account: [Redacted]
Account Name: Nasdaq, Inc.
Further Credit to: Nasdaq Corporate Solutions, LLC
Wire Originator to Pay All Bank Fees

QUESTIONS?

If you have any questions, please Contact Tel: 844 375 2626 Option 5 or email Nasdaq at nocsbilling@nasdaq.com

All legal correspondence must be directed to: Nasdaq Office of General Counsel, 805 King Farm Boulevard, Rockville, MD 20850 USA.

If you are receiving this invoice via regular-mail, and want to convert to email only, please send your request to nocsbilling@nasdaq.com and include the following information.

- a) billing account number(s) for which the request applies b) the email address to which you would like invoices sent (up to 3) c) your name and phone number

Nasdaq Corporate Solutions, LLC
151 W 42nd Street
New York, NY 10036
United States of America

REMITTANCE ADVICES SHOULD BE SENT TO REMITTANCES@NASDAQ.COM
Please reference your invoice number: 0424NOCS265723

INVOICE



BILL TO:
Eiger BioPharmaceuticals, Inc.
Attention to:Accounts Payable
2155 Park Boulevard
Palo Alto, CA 94306
United States of America

INVOICE NUMBER: 0424NOCS265723
INVOICE DATE: Apr 15, 2024
ACCOUNT NUMBER: B1076617
YOUR REFERENCE: invoices@eiger.coupahost.com

SHIP TO:
Eiger BioPharmaceuticals, Inc.
2155 Park Boulevard
Palo Alto, CA 94306
United States of America

Item	Billing Period	Quantity	Rate	Total Tax	Total
Nasdaq IR Insight: Full Solution - 1st Seat (Q-00026051)	Apr 1, 2024-Jun 30, 2024	1.00	4,887.50	0.00	4,887.50
Nasdaq IR Insight: Full Solution - Additional Seat (Q-00037396)	Apr 1, 2024-Jun 30, 2024	1.00	3,620.50	0.00	3,620.50

SUBTOTAL	8,508.00 USD
TOTAL TAX	0.00 USD
TOTAL AMOUNT DUE	8,508.00 USD
TOTAL DUE BY	May 15, 2024

Nasdaq has the capability to send its invoices as e-invoices. If you are able to receive e-invoices, please send your customer number and e-invoice address to DL-Basware@nasdaq.com

End of Invoice