

**Troy D. Greenfield**, OSB #892534  
Email: tgreenfield@schwabe.com  
**Lawrence R. Ream**, (Admitted *Pro Hac Vice*)  
Email: lream@schwabe.com  
**Anna Helton**, OSB #054424  
Email: ahelton@schwabe.com  
SCHWABE, WILLIAMSON & WYATT, P.C.  
1211 SW 5th Ave., Suite 1900  
Portland, OR 97204  
Telephone: 503.222.9981  
Facsimile: 503.796.2900

Attorneys for Receiver for Defendants  
AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;  
AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS  
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT  
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON  
PORTLAND DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC, *ET AL.*,

Defendants.

Case No. 3:16-cv-00438-JR

**ORDER APPROVING COMPROMISES  
OF CLAIMS AND AUTHORIZING  
PERFORMANCE OF SETTLEMENT  
AGREEMENTS**

This matter having come before the Court on the motion of the Receiver Ronald F. Greenspan (“Receiver”) for approval of settlement agreements between the Receivership Entity and the following counterparties (the “Settlement Agreements”):

- Michael J. Newman and Susan L. Newman



- Robert W. Noack
- MBO Partners, Inc.
- Infrastructure Group, Inc.
- Allen & Associates (WY), LLC
- Behaviours In, LLC fka CDL Ventures, LLC
- Cliff Bradley
- William Corbett
- R. Scott Fearon
- The Scott G. and Joan M. Joe Trust
- Shianky Lee
- Jacob Ouh
- Dave Parten and the Parten Company
- William J. Pyznar
- Margaret S. Cusack, as former trustee of the William W. Slocum 2012 Family Trust dtd 4/27/2012
- Florence H. Sugarman

///

///

///

///

The Court having considered all papers filed and proceedings held herein and otherwise being fully informed,

**NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED** that the Settlement Agreements are approved and the Receiver is authorized to perform on each of the Settlement Agreements.

**IT IS SO ORDERED.**

Dated: April 12th, 2022.

/s/ Jolie A. Russo  
United States Magistrate Judge Jolie A. Russo

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield  
Troy D. Greenfield, OSB #892534  
tgreenfield@schwabe.com  
Lawrence R. Ream (Admitted *Pro Hac Vice*)  
lream@schwabe.com  
Anna Helton, OSB #054424  
ahelton@schwabe.com  
Telephone: 503.222.9981  
Facsimile: 503.796.2900  
Attorneys for the Receiver and Receivership Entity