

Troy D. Greenfield, OSB #892534
Email: tgreenfield@schwabe.com
Lawrence R. Ream (Admitted *Pro Hac Vice*)
Email: lream@schwabe.com
SCHWABE, WILLIAMSON & WYATT, P.C.
1211 SW Fifth Avenue, Suite 1900
Portland, OR 97204
Telephone: 503-222-9981
Facsimile: 503-796-2900

Attorneys for the Receiver for Defendants
AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON
PORTLAND DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC;
AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE,
LLC; AEQUITAS CAPITAL
MANAGEMENT, INC.; AEQUITAS
INVESTMENT MANAGEMENT, LLC;
ROBERT J. JESENİK, BRIAN A. OLIVER;
and N. SCOTT GILLIS,

Defendants.

No. 3:16-cv-00438-JR

**NOTICE OF FILING RECEIVER'S
REPORT DATED SEPTEMBER 30, 2021**



Ronald F. Greenspan, the duly appointed Receiver of the entity defendants and 43 related entities, hereby files the attached Report of Ronald F. Greenspan, Receiver, dated September 30, 2021.

Dated this 10th day of November, 2021.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield
Troy D. Greenfield, OSB #892534
Email: tgreenfield@schwabe.com
Lawrence R. Ream (Admitted *Pro Hac Vice*)
Email: lream@schwabe.com
Telephone: 503-222-9981
Facsimile: 503-796-2900

*Attorneys for the Receiver for Defendants
Aequitas Management, LLC, Aequitas
Holdings, LLC, Aequitas Commercial
Finance, LLC, Aequitas Capital
Management, Inc., and Aequitas Investment
Management, LLC*

RONALD GREENSPAN
COURT-APPOINTED RECEIVER FOR
AEQUITAS MANAGEMENT, LLC, AEQUITAS HOLDINGS, LLC, AEQUITAS COMMERCIAL
FINANCE, LLC, AEQUITAS CAPITAL MANAGEMENT, INC., AEQUITAS INVESTMENT MANAGEMENT, LLC AND
CERTAIN RELATED ENTITIES
(the "Receivership Entity")

In re AEQUITAS MANAGEMENT, LLC, et al.

Case No. 3:16-cv-00438-JR

United States District Court

District of Oregon

Portland Division

Report

of

Ronald F. Greenspan, Receiver

September 30, 2021

Contents

I. Introduction	4
II. Limitations of Report	5
III. Case Background	6
A. Introduction	6
B. Focus of the Activities to Date	7
C. Recommendation regarding Continuance of the Receivership	14
D. Criminal Actions and SEC Investigations	15
E. Lifting the Stay of Litigation	16
IV. Overview of the Receiver’s Activities	17
A. Summary of Operations of the Receiver	17
1. Day-to-Day Management and the Wind Down	17
2. Bank Accounts	18
3. Staffing	19
4. Tax Preparation and Other Tax Matters	19
5. Ongoing Litigation	22
6. Claims Process	24
7. Additional Information Sharing	24
8. Responding to Claims Process Inquiries	25
9. Claims Processing and Analysis	25
V. Disposition of Assets/Interests	27
A. Assets/Interests Sold	27
B. Ongoing Asset Monetization and Sales Efforts	28
1. Campus Student Funding	28
2. MotoLease Financial, LLC (“MLF”)	29
3. Portland Seed Fund (PSF)	30
VI. Communications to Interested Parties	30
A. Ongoing Communication with Investors/Counsel	30
B. SEC and Other Governmental Agencies	31
1. SEC	31
2. CSF and CFPB, and State Attorneys General	31
3. Additional Governmental Agencies	31
VII. Lender Relationships	31
A. Retirement of Institutional Debt	31
VIII. Assets in the Possession, Custody and Control of the Receivership Estate	31
A. Cash and Cash Equivalents	31

IX. Accrued Professional Fees	32
X. Receivership Claimants	33
XI. Summary of the Distribution Plan	34
A. Qualified Settlement Fund.....	34
B. Distribution Plan.....	35
C. Findings of Fact and Conclusions of Law	35
D. Distribution Plan Noticing.....	36
E. The First Distribution.....	36
F. The Second Distribution	37
G. The Third Distribution.....	37
XII. Timeline and Future Interim Distributions.....	38

Aequitas Receiver Report

I. Introduction

During the course of an investigation into the business practices of Aequitas Management, LLC (“AM”); Aequitas Holdings, LLC (“AH”); Aequitas Commercial Finance, LLC (“ACF”); Aequitas Capital Management, Inc. (“ACM”); and Aequitas Investment Management, LLC (“AIM”) (collectively “Entity Defendants”), as well as 43 subsidiaries and/or majority-owned affiliates (collectively “Receivership” or “Receivership Entity” or “Aequitas”), the Securities and Exchange Commission (“Commission” or “SEC”) concluded that the appointment of a receiver was necessary and appropriate for the purposes of marshaling and preserving all assets of the Receivership Entity (the “Receivership Property”). Accordingly, on March 10, 2016, the Commission and the Entity Defendants filed a Proposed Stipulated Order Appointing Receiver (the “Proposed Receivership Order”) [Dkt. 2-2].¹

On March 16, 2016, pursuant to the Stipulated Interim Order Appointing Receiver (the “Interim Receivership Order”), Ronald Greenspan was appointed as Receiver for the Entity Defendants and 43 related entities on an interim basis. On April 14, 2016, pursuant to the Order Appointing Receiver, Mr. Greenspan was appointed as Receiver for the Receivership Entity on a final basis (the “Final Receivership Order”) [Dkt. 156].

In accordance with the Final Receivership Order, the Receiver is required to file a report (the “Receiver’s Report”) with the Court within thirty (30) days after the end of each calendar quarter. This report (the “Report”) represents the report and recommendations to the Court for the quarter ending September 30, 2021. A voluntary

¹ All Dkt (or Docket) references are available at the Receiver’s website - <http://www.kccllc.net/aequitasreceivership>

report and recommendations to the Court (the “Initial Report”) for the first “stub quarter” ending June 30, 2016 [Dkt. 246], the first mandated quarterly report covering the period through September 30, 2016 [Dkt. 298] and subsequent reports covering the period through December 31, 2016 [Dkt. 365], through March 31, 2017 [Dkt. 444], through June 30, 2017 [Dkt. 491], through September 30, 2017 [Dkt. 559], through December 31, 2017 [Dkt. 587], through March 31, 2018 [Dkt. 610] through June 30, 2018 [Dkt. 644], through September 30, 2018 [Dkt. 662], through December 31, 2018 [Dkt. 674], through March 31, 2019 [Dkt. 700], through June 30, 2019 [Dkt. 749], through September 30, 2019 [Dkt. 776], through December 31, 2019 [Dkt. 793], through March 31, 2020 [Dkt. 826], through June 30, 2020 [Dkt. 843], through September 30, 2020 [Dkt. 860], through December 31, 2020 [Dkt. 872], through March 31, 2021 [Dkt. 885], and through June 30, 2021 [Dkt. 903] are collectively referred to herein as the “Receiver’s Reports”. In the accompanying discussion of Receivership matters, the Report provides an update regarding some matters previously reported and does not include all details contained in prior Receiver’s Reports. For a complete and fulsome discussion and for such additional details please refer to prior Receiver’s Reports.

As is the case for the prior Receiver’s Reports, the findings and recommendations of the Receiver contained in this Report are subject to change, as necessitated by the discovery of additional information, as well as subsequent analysis and verification.

II. Limitations of Report

The information contained herein has been prepared based upon financial and other data obtained from the Receivership Entity’s books and records and provided to the Receiver and FTI Consulting, Inc. from the staff employed by the Receivership Entity as well as its contract staff and advisers, or from public sources.

The Receiver has not subjected the information contained herein to an audit in accordance with generally accepted auditing or attestation standards or the Statement on Standards for Prospective Financial Information issued by the American Institute of Certified Public Accountants (the "AICPA"). Also, most of the Receivership Entity's assets discussed herein are not readily tradable, have no public value indication, are illiquid, are often minority and/or other partial interests, and might be detrimentally affected by affiliation with Aequitas and uncertain consequences of past and future events involving Aequitas. Accordingly, the Receiver cannot express an opinion or any other form of assurance on, and assumes no responsibility for, the accuracy or correctness of the historical information or the completeness and achievability of the projected financial data, valuations, information, and assessments upon which the following Report is rendered.

III. Case Background

A. Introduction

The focus of this Report is to provide an update on various aspects of the Receivership. Additionally, the Final Receivership Order requires that certain items be addressed with the filing of this Report. Pursuant to Section IV Stay of Litigation, paragraph 24 states the following:

The Receiver shall also investigate the probable impact of discovery directed to the Receiver and the Receivership Entity in Ancillary Proceedings and those actions authorized in Paragraph 23. The Receiver shall include in the report and petition it must file pursuant to Paragraph 39 below, a recommendation to the Court as to a plan to govern all discovery directed to the

Receiver and the Receivership Entity in Ancillary Proceedings and those actions authorized in Paragraph 23.

Each of the required topics will be addressed individually in the Report.

B. Focus of the Activities to Date

The Receiver has successfully stabilized the Receivership Entity, preserved value when possible, facilitated the monetization of a majority of the Receivership assets, obtained approval of the Distribution Plan and largely completed three, court-approved interim distributions of a majority of the Receivership assets. Through the quarter ended September 30, 2021, the Receiver has sold Receivership Entity gross assets and collected receivables totaling approximately \$324.8 million plus an additional \$32 million of gross assets owned by CPFIT, an affiliate of the Receivership Entity but excluded from the Receivership itself.

The Receiver has also entered into and substantially completed the implementation of a settlement with the Consumer Financial Protection Bureau (“CFPB”) and fourteen state Attorneys General in connection with the Corinthian Colleges student loan portfolio held by CSF - including modification or cancellation of each of the approximately 47 thousand loans, and appropriate notification to each of the borrowers.

As previously discussed, on March 10, 2016, the SEC filed a complaint in this Court alleging that certain Aequitas executives and five entities had violated various federal securities laws. On June 6, 2016, the SEC and the Receiver, acting on behalf of the Aequitas Entity Defendants, filed a consent judgment with the Court, which resolved the claims set forth in the SEC Complaint against the Entity Defendants only, without admitting or denying the numerous allegations. A final judgment in this case as to Aequitas Management, LLC; Aequitas Holdings, LLC; Aequitas Commercial Finance, LLC; Aequitas Capital Management, Inc.; and Aequitas Investment Management, LLC was

entered by the Court on April 13, 2020 [Dkt. 822]. Summary information related to this judgment and final judgments on consent against the three top executives can be found at <https://www.sec.gov/litigation/litreleases/2020/lr24805.htm>.

Having made substantial progress on the asset dispositions and resolution of the numerous governmental investigations, the Receiver proceeded with a preliminary investor data validation process involving the compilation and dissemination of 2,561 individually tailored investment data verification packets.

As mandated by the Order, the Receiver conducted his forensic investigation, and the resulting report (the “Forensic Report”) was filed with the Court and posted to the Receiver’s website² on November 21, 2018 [Dkt. 663].

On April 25, 2019, the Court entered the Order (1) Establishing Claims Bar Date, (2) Approving The Form And Manner Of Notice, And (3) Approving The Proof Of Claim Form, Procedures And Other Related Relief (the “Claims Procedures Order”).

The Receiver then proceeded expeditiously to implement the claims process as mandated in the Claims Procedures Order. As of September 30, 2021, 341 claims had been received and 4,830 initial Notices of Receiver’s Initial Determination had been issued.³

On December 23, 2019, with the goal of mitigating certain potential tax consequences and maximizing the future distributions on the Allowed Claims, the Receiver filed his Motion and the Court entered the Order To Authorize, Approve, And Take Continuing Jurisdiction Over A Qualified Settlement Fund (“QSF”), And For Related Relief [Dkt 781]. Subsequently, on December 31, 2019, the Receiver filed his Motion For Order (1) Approving Form And Manner Of Notice Regarding Approval Of Proposed Distribution Plan And Ponzi Determination, (2) Approving Procedures And Deadlines, (3)

² <http://www.kccllc.net/aequitasreceivership/document/16004381811210000000000001>

³ Certain claims agree with the Notice of Receiver’s Initial Determination, and a number of filed claims are duplicative. Therefore, the number of actual claims is less than the sum of the filed claims and NODs issued.

Setting A Hearing, And For Related Relief [Dkt 785] which was approved by the Court on January 14, 2020 [Dkt 790].

Also, on December 31, 2019, the Receiver filed the Motion To Approve The Receiver's Distribution Plan And Determination Of A Ponzi Scheme [Dkt 787]. Following a hearing on this Motion on March 31, 2020, the Court entered the Findings of Fact and Conclusions of Law, approving the Receiver's Distribution/Ponzi Determination Motion, as expressly modified by the Receiver [Dkt. 813].

In the first half of 2020, the Receivership staff and the retained professionals expeditiously managed a Distribution Plan noticing campaign, based on the form and manner of notice approved by the Court, and also prepared to seek Court approval of the proposed first round of distributions. At least 4,796 notices were sent to the parties that were required to be noticed by the Court and relevant information was posted on the Receivership website.

On May 15, 2020, the Receiver's Motion to Approve Classification, Allowance of the Amount of Claims for Certain Claimants (Administrative Claims, Convenience Class Claims, and Former-Employment Claims), and Approving Distributions to Those Claimants [Dkt. 835] and the related pleadings were filed with the Court. The Motion was unopposed and was approved by the Court on June 1, 2020 [Dkt. 838]. Following Court approval in June of 2020, the Receivership staff and retained professionals completed the first distribution in the amount of \$973,797⁴.

In the second half of 2020, the Receivership staff and retained professionals focused on the necessary analyses, preparation of materials, and logistics planning for the second interim distribution that covered several classes of claimants including the Defrauded Investors. On October 21, 2020, the Receiver's (Second) Motion to Approve

⁴ Additional detail related to the First Distribution is discussed in section XI.E. of this Report.

Classification of Certain Claims (Administrative, Former-Employees, Convenience Class, Defrauded Investors, Creditors, Individual Defendants, and Pass-Through Investors), and Allow and Approve Distributions on Account of Certain Claims (“Second Distribution Motion”) and related pleadings were filed with the Court. [Dkt. Nos. 848-850]. This Motion addressed 2,056 claims across seven different claim classes. The Second Distribution Motion was approved by the Court on November 10, 2020 [Dkt. 861], following which the Receivership staff and retained professionals focused their efforts on the implementation of the Second Distribution. The Second Distribution was substantially completed by the end of 2020, with approximately \$73.5 million going to Defrauded Investors⁵.

In the first quarter of 2021, the Receivership staff and the retained professionals focused on the various tasks of completing the Second Distribution, including but not limited to extensive communication with the Investors and their representatives, addressing Investor inquiries, re-issuing distributions on a case-by-case basis under special circumstances, and preparing for and implementing required tax reporting related to the Second Distribution.

During the second quarter of 2021, the Receivership staff and the retained professionals prepared for the next (third) interim distribution and resolution of the remaining claims.

During this reporting period (third quarter of 2021), on July 16, 2021, distribution motion notices were mailed to 1,674 parties and relevant information, including answers to an exhaustive set of FAQs, was posted on the Receivership website. On July 19, 2021, the Receiver filed a Third Motion to Approve Classification of Certain Claims (Administrative, Convenience Class, Creditors, and Defrauded Investors), Allow and

⁵ Additional detail related to the Second Distribution is discussed in section XI.F. of this Report.

Approve Distributions on Account of Certain Claims, and Approving Distributions to Claimants Who Elect Reclassification To Convenience Class Status (the “Third Distribution Motion”), by which the Receiver proposed to distribute approximately \$22 million to several classes of claimants. The Third Distribution Motion was approved by the Court on August 5, 2021. Thereafter, the Receivership staff and professionals proceeded expeditiously to implement the distribution. As of November 3, 2021, approximately \$22.05 million has been distributed to the claimants by forwarding funds either to counsel representing groups of Defrauded Investors, to investment account custodians, or to the investors directly.

From the outset of the Receivership and through this reporting period, the Receiver and his team have expended considerable time and effort to orchestrate successful resolution of claims of both the Receivership Entity and plaintiff investor groups, including but not limited to claims against the professional firms that served the various Aequitas entities such as Tonkon Torp, Integrity Bank & Trust, Deloitte & Touche, EisnerAmper, Sidley Austin, Duff & Phelps, and TD Ameritrade (“Professional Firm Defendants”).

In particular, development of the Receivership Entity’s consolidated database, preparation of the Forensic Report and facilitation of multiple large-scale, multi-day mediation sessions paved the way for the following:

- Payments from the Professional Firm Defendants to the class totaling \$234,613,000, as well as multiple additional seven- and eight-figure payments to other plaintiff investor groups presently subject to confidentiality agreements;
- Releases of contribution and other claims of the Professional Firm Defendants against the Receivership Entity, including the release of a \$50

million contribution claim presented by Deloitte; and

- Contingent releases of contribution and other claims of the Professional Firm Defendants against the Individual Defendants, Advisory Board members and other former officers and directors. The original releases were contingent upon those parties releasing claims to the proceeds of the insurance policies maintained by entities comprising the Receivership Entity.

The efforts of the Receiver to facilitate resolution of investor claims against the Professional Firm Defendants greatly accelerated distributions to the investors, both direct distributions of the settlement proceeds and ultimately distributions from the Receivership Estate and will meaningfully reduce the cost of administering the Receivership.

On October 22, 2020, the Receiver filed a Motion for Order (1) Approving Compromises of Claims, (2) Approving and Authorizing Performance of Settlement Agreements, (3) Entering Claims Bars, and (4) Removing a Receivership Entity and an Extended Entity (“First Motion to Approve Settlements”) and related pleadings [Dkt. Nos. 852 – 855] encompassing 36 settlements. Amongst other requested relief, the Receiver sought approval of the settlement agreement resolving all claims presented in the consolidated insurance coverage action other than those of the Receivership Entity against Catlin. Judge Jolie A. Russo granted the Receiver’s First Motion to Approve Settlements on November 10, 2020, by way of Findings, Recommendations and Order [Dkt. 862], that were simultaneously referred to Judge Marco A. Hernández for review [Dkt. 863]. On December 11, 2020, Judge Hernández issued an Order adopting Judge Russo’s Findings and Recommendations [Dkt. 866] and entered the Amended Limited

Judgment As To The Professional Firms And The Terrell Parties, Including Permanent Injunctions [Dkt. 867].

The Receiver and his retained professionals continue to actively pursue recoveries on the claims that the Receivership holds against various parties, including but not limited to net winners and other parties who received transfers of value from Aequitas during the Ponzi period. As of early April 2021, those efforts had resulted in 47 additional settlements. On April 16, 2021, the Receiver filed a Motion for Order Approving Compromises of Claims and Authorizing Performance of Settlement Agreements (“Second Motion to Approve Settlements”) and related pleadings [Dkt. Nos. 881-883]. On May 6, 2021, the Court entered an order granting the Receiver’s Second Motion to Approve Settlements. [Dkt. No. 886].

During this reporting period, on July 7, 2021, the Receiver filed another Motion for Order Approving Compromises of Claims and Authorizing Performance of Settlement Agreements (“Third Motion to Approve Settlements”) and related pleadings [Dkt. Nos. 889-891], that was granted by the Court on July 26, 2021 [Dkt. No. 898].

The settlements addressed in the Receiver’s First, Second, and Third Motions to Approve Settlements resulted in over \$18.5 million in direct monetary benefits to the Receivership Estate. Additionally, these settlements eliminated tens of millions of dollars of claims against the Receivership Estate, materially increasing the recovery on the allowed claims of the Defrauded Investors and others.

The Receiver and counsel continue in their litigation recovery efforts. On October 27, 2021, the Receiver filed another Motion for Order Approving Compromises of Claims and Authorizing Performance of Settlement Agreements (“Fourth Motion to Approve Settlements”) and related pleadings [Dkt. Nos. 912 - 914], seeking the Court’s approval

of 25 additional settlement agreements that collectively result in payments to the Receivership Estate totaling \$1,207,892.90.

During the subject reporting period, the Receiver and his remaining retained professionals continued to work on various aspects of the gradual operational wind down, despite the challenges presented by the COVID-19 pandemic. Significant changes made to the IT infrastructure during the first quarter of 2021 were finalized, including completing transition to an off-site data center and strengthening the infrastructure security by implementing a two-factor authentication process. The office utilized by the Receivership staff was relocated at the end of the first quarter of 2021, with the footprint and rental cost significantly reduced as discussed further below. During the subject period, the Receivership staff and retained professionals continued working on finalizing the post-move logistics and expended significant effort planning the next phase of the IT reduction including potentially transitioning to a 100% cloud-based solution. The operational changes described above provide substantial administrative cost savings, while allowing the Receivership to continue its necessary operations during the wind-down period.

The Receivership continued to facilitate discovery, with 296 parties accessing the database, which contains 17.5 million documents, through September 30, 2021, and provided financial and tax administration including preparing Receivership Entity tax returns.

C. Recommendation regarding Continuance of the Receivership

It remains the Receiver's recommendation that the Receivership be continued. A number of the conditions under which the Receivership was imposed still exist. While we can finally say we are in the homestretch, several crucial steps remain before the Receiver can reasonably seek an order terminating the Receivership. The Receiver must

finish monetizing the remaining assets in a manner and on a timeline consistent with reasonably maximizing the value to stakeholders. The Receiver also must (i) complete the claims processes, (ii) complete necessary litigation, and (ii) manage remaining distribution(s), and (iv) wind down the estate.

Although the consumer loan portfolios have been immensely reduced, the thousands of remaining loans owned by the Receivership require management until they are monetized or otherwise resolved. The Receiver and his team fill the management gap left after the termination of the Individual Defendants and the departures of other management and staff (more than 95% of pre-Receivership employees are no longer with the companies comprising the Receivership Entity). Absent that day-to-day, hands-on management, the Receivership Entity's and, ultimately, the investors' value would be diminished, and risks significantly increased.

Feedback from SEC Staff and Aequitas investors regarding our progress thus far has been positive. The Receiver is very mindful of the priorities to proceed both expeditiously and economically, to make interim distributions whenever possible, and conclude this Receivership in an equitable fashion as soon as practicable. The Receiver believes he has the constituents' support and encouragement to continue his efforts, and that they also support the continuation of the Receivership.

D. Criminal Actions and SEC Investigations

On July 24, 2019, the Securities and Exchange Commission announced that recidivist Gary Price, a principal of formerly registered investment adviser Genesis Capital LLC, agreed to settle claims that he failed to disclose to clients significant conflicts of interest relating to recommendations to invest in securities issued by Aequitas Commercial Finance, LLC.

The SEC's order found that Price violated Section 206(2) of the Investment Advisers Act of 1940. Without admitting or denying the SEC's findings, Price consented to a cease-and-desist order and agreed to pay disgorgement and prejudgment interest of \$67,033 and a civil penalty of \$75,000. The order also bars Price from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization, with the right to apply for reentry after one year.

Former officers of Aequitas entities, Brian Oliver and Olaf Janke, were indicted, pled guilty and await sentencing. On or about August 11, 2020, Robert Jesenik, former CEO of Aequitas Management, LLC and several other of the entities comprising the Receivership Entity, was charged in a 32-count indictment with conspiracy to commit mail and wire fraud, wire fraud, bank fraud, and money laundering. Also charged were N. Scott Gillis, Brian K. Rice and Andrew N. MacRitchie. The Court entered a scheduling order, setting an anticipated five-week trial to begin April 3, 2023.

E. Lifting the Stay of Litigation

With the completion of the principal forensic investigation, the monetization of the majority of the Receivership Entity's assets, conclusion of the governmental litigation against the Receivership Entity, Court approval of the Distribution Plan and completion of three interim distributions, additional resources are being redirected to litigation-related matters, where the stay has been lifted, without jeopardizing the Receivership's other vital activities.

Presently, considerable Receivership resources, including but not limited to the time of legal counsel and other professionals, are being devoted to (1) the tasks necessary to complete the claims process and (2) prosecution of the claims of the Receivership Entity against third parties, as authorized by the Court on May 13, 2020

[Dkt. 834]. The Receiver recommends that the Court refrain from lifting the stay of litigation against the Receivership Entity and related parties, at least until resolution of the claims authorized by order of this Court on May 13, 2020.

IV. Overview of the Receiver's Activities

A. Summary of Operations of the Receiver

1. Day-to-Day Management and the Wind Down

With the termination of Aequitas management, the Receiver has necessarily supervised the day-to-day operations of the various Receivership Entities. In addition to the daily management duties, the Receiver has focused on several key areas of his mandate, including the marshaling, preserving and monetizing of assets for the benefit of the investors, as well as managing the claims process and distributions on the Allowed Claims.

As addressed above, the Receiver and his professional team have been working on various aspects of the gradual operational wind down, despite the challenges presented by the COVID-19 pandemic. The significant changes made to the IT infrastructure, including transitioning to an off-site data center and scaling down certain service agreements, are anticipated to result in ongoing annualized cost savings of approximately \$200,000. The office utilized by the Receivership staff was also relocated at the end of the first quarter of 2021, with the footprint and rent significantly reduced, resulting in annualized rent savings of approximately \$202,000. As part of the relocation, the Receivership has disposed of the remaining surplus office furniture and various equipment. Additionally, the Receiver has strengthened the infrastructure security by implementing a two-factor access authentication process and is currently planning for additional infrastructure and IT asset reduction, potentially including

transitioning to a 100% cloud-based solution. Such transition is expected to provide additional cost savings, reduction in infrastructure management requirements, and more flexibility going forward, while allowing the Receivership to continue its necessary operations during the wind-down period.

2. Bank Accounts

As discussed in the Initial Report, the Receiver has instituted an integrated on-line platform that facilitates banking, processing of future distributions, and cash reporting for receivership cases. As assets are being monetized, the Receiver has been closing bank accounts that are no longer necessary. Following the approval of the QSF by the Court at the end of 2019, the Receiver has established separate QSF bank accounts and has consolidated the majority of the funds controlled by the Receiver in such account(s). In 2020, the Receiver closed 35 of the legacy Receivership bank accounts that were no longer needed for the ongoing operations of the Receivership and the QSF. This helped streamline the Receivership cash management operations and facilitate the wind down. Since the end of the prior reporting period, the Receiver closed three additional accounts and, as of September 30, 2021, maintained 18 bank accounts, consisting of 15 that belong to the Receivership Entity, including six attributed to the QSF, and three accounts controlled by the Receiver that are owned by related entities. The Receiver continues to gradually close bank accounts that will no longer be needed for the Receivership operations.

During the subject reporting period, the Receiver and his professionals continued to work with East West Bank and Stretto to prepare for the implementation of the third interim distribution. The Receiver intends to continue leveraging these existing relationships and systems for the implementation of future distribution(s) to the extent feasible.

Cash basis reports including information for the current reporting period and case to date are attached as Exhibit B.

3. Staffing

a. Headcount

The Receiver continues to maintain the minimum staff necessary for the Receivership and enterprise to operate efficiently and effectively. As of September 30, 2021, the Receivership Entity had six full-time employees and one part-time employee. The Receiver's employee retention program provides for at least six-weeks' notice to employees whose services are anticipated to no longer be required by the Receivership.

b. Contractors

In response to earlier staff attrition in addition to the planned reductions, the Receiver necessarily backfilled key accounting and technology positions with local independent contractors (not affiliated with FTI). As of September 30, 2021, the Receivership employed two on-call IT contractors. Also, effective April 1, 2021, one of the former full-time employees became a contractor to the Receivership at a significant cost savings.

4. Tax Preparation and Other Tax Matters

a. Taxes

In the ordinary course of business, the Receivership has many reporting and tax preparation responsibilities to investors and taxing authorities. Since the inception of the Receivership, the Receiver has retained a tax specialist to assist legacy Aequitas staff in the preparation of tax and information returns, and to provide tax consulting services on an as-needed basis at the request of the Receiver. During the subject reporting period, Receivership staff and professionals did extensive work to address

various Receivership tax reporting matters and inquiries, as well as to facilitate and finalize 2020 tax preparation.

b. Qualified Settlement Fund 2020 Taxation and 2020 Returns for Remaining Receivership Entities

As further described in section XI of this Report, on motion and by order of the Court, substantial assets of the Receivership Entities were transferred to the Aequitas Qualified Settlement Fund Irrevocable Trust (“QSF”) along with the obligation to make distributions under the Court-approved Distribution Plan. Final tax returns were filed for many of the Receivership Entities.

During the subject reporting period, Receivership staff and professionals dedicated significant effort to the preparation of the 2020 tax returns for the QSF and remaining Receivership Entities: 59 federal and state tax returns were filed for various Receivership Entities in September 2021 and 12 federal and state tax returns were filed for the QSF in early October 2021.

c. Non-Investor Fund Form K-1s

Members of Aequitas Holdings LLC and Aequitas Management LLC were provided 2020 form K-1s in September 2021.

d. QSF Taxation

The QSF is subject to tax on its modified gross income. To the extent that assets transferred to the QSF produce includable income, less certain expenses, the QSF will be required to pay tax at the maximum tax rate in effect for that tax year under section 1(e) of the Internal Revenue Code.

The QSF is required to pay tax by submitting quarterly estimates. State tax filing requirements for the QSF are determined by the source of the activity of the assets transferred to the QSF.

e. *Distribution Reporting and Taxation*

The Receiver is in the process of finalizing the third interim distribution as approved by the Court on August 5, 2021. If required by law, a 1099 form will be issued to the recipients of the third interim distribution. However, at this time, the Receiver does not anticipate issuing a 1099 form to the Defrauded Investors in connection with this interim distribution; nevertheless, that does not necessarily mean that they do not owe taxes on these funds. Other distribution recipients may be issued a 1099 form if required by law.

The Trustee/Administrator of the QSF as well as the Aequitas Receivership staff and representatives cannot provide the claimants with tax or other legal advice.

Distribution recipients are responsible for determining the tax consequences and possible reporting requirements, if any, of such distributions and are ultimately responsible for any tax obligation arising from such distributions. They should consult with their own tax advisors to determine the effects of U.S. federal, state, local, and any non-U.S. (if applicable) tax rules with respect to the tax consequences of any distributions.

f. *Tax Law Changes and Other Events*

The impact of tax reform in the past several years has been incorporated as required into the Receivership Entities' tax reporting.

The Receiver cannot provide tax advice to Investors. Investors are urged to consult their own tax advisors for guidance and counsel about the applicability and impact of significant tax law changes.

Prior quarterly Receiver Reports have included information concerning the impact of tax reform that may be applicable to the Receivership or to Investors. It is not intended to replace advice from Investors' own advisors. In addition, Investors are urged

to consult with their tax advisors regarding the consequences of the guilty pleas entered by certain Individual Defendants and their effect on the Investors' ability to recognize losses.

5. Ongoing Litigation

As addressed in prior reports and reflected in the previously disclosed settlements, the Receiver continues his frequent engagement in negotiations with a number of parties to recover Receivership assets and/or to resolve substantial, disputed claims. Consistent with the Court's prior and any subsequent orders, to the extent negotiated resolutions are not reached with any of the parties, the Receiver will file additional actions.

A. Newman

The Court granted summary judgment in favor of the Receiver on claims for breach of a promissory note. Together with attorney fees, the judgment against the defendants, Robert Jesenik's relatives, totals \$462,754.68 plus interest that continues to accrue. The Receiver has initiated judgment debtor proceedings.

B. Net Winners

With the benefit of the Court's determination that the consolidated Aequis enterprise was operated as a Ponzi scheme at least as early as July 1, 2014 [Dkt. 813], the Receiver and his team gathered and analyzed data to identify "net winners" (investors who received funds in excess of their initial investments prior to initiation of the Receivership) and determine the amount of their net winnings. The Receiver then provided individual net winners a summary of their account activity as well as a pre-litigation offer of settlement. The majority of the net winners accepted the Receiver's offer and made the corresponding repayment to the Receivership Estate.

Those net winner settlement agreements were addressed in the Receiver' First, Second, and Third Motions to Approve Settlements.

The table below summarizes the status of the net winner recoveries as of October 15, 2021.

Net Winner Settlement Summary (as of 10/15/21)	# of Parties	Amount
Cash Received from Net Winners	84	\$2,271,210
Additional Installment Payments Outstanding		\$907,304
Distribution Offsets	9	\$490,490
Total	93	\$3,669,004

In accordance with the prior orders of the Court, on June 28, 2021, the Receiver filed suit against all net winners who had not agreed to return their net winnings to the Receivership Estate, seeking to recover approximately \$2,000,000 plus interest.

Since filing suit, the Receiver has resolved the Receivership Entity's claims against 23 of the remaining net winners. On October 27, 2021, the Receiver filed the Fourth Motion to Approve Settlements, seeking the Court's approval of 25 additional settlement agreements including those with the 23 net winners. The 23 settling net winners have collectively agreed to return \$692,892.90 to the Receivership Estate.

C. Lawsuits Against Recipients of Commissions, Consulting Fees and Advisory Fees

On July 9, 2021, the Receiver filed suit against eleven different parties who received transfers from Aequitas during the Ponzi Period that were nominally referred to as commissions, consulting fees or advisory fees, seeking to recover approximately \$1,210,000 plus interest. The Receiver has negotiated settlement agreements with two of the eleven parties, obligating those parties to collectively return \$515,000 to the Receivership Estate.

D. Other

The Receiver is in the midst of settlement negotiations with additional parties, the majority of which are subject to claims for breach of contract and fraudulent conveyance. As indicated above, in the event the claims cannot be resolved in the best interests of the Receivership Estate, the Receiver will commence litigation in accordance with the prior orders of this Court.

6. Claims Process

The Receiver undertook an extensive claim noticing process that included notices by publication in 15 major newspapers, issuance and dissemination of a press release, and mail and email noticing of over 6,982 parties, including at least 4,830 parties who received a Notice of Receiver's Initial Determination.

In total, the following number of Notices were sent out:

Investor with NOD	2,060
Other Pre-Receivership Creditor / Vendor with NOD	2,040
Employee with NOD	84
Administrative Claimant with NOD	646
Non-NOD notices (not counted above)	2,152
Total Notices	6,982

7. Additional Information Sharing

Consistent with the requirements of the Claims Procedures Order, the Receiver set up a dedicated data sharing site that allowed him to provide copies of notices to the legal counsel representing various potential claimants. In some instances, copies of the notices were emailed to legal counsel directly.

While not required by the Claims Procedures Order, in the spirit of cooperation, the Receiver elected to also share copies of the notices received by investors with their Registered Investment Advisors ("RIA") upon receipt of a confirmation of investor's consent to the Receiver's sharing of such information. Through June 30, 2021, 176 investor notice packets have been shared with the RIAs.

8. Responding to Claims Process Inquiries

Following the mailing of the claims process notices, the Receiver and his staff received numerous follow up inquiries from various parties, including potential claimants and their representatives. Through September 30, 2021, over 2,016 inquiries in connection with the claims process, distributions, and related tax reporting were addressed directly by the Receivership staff and professionals. The Receivership team is continuing to field and respond to inquiries as they are received.

9. Claims Processing and Analysis

The Receiver's professionals and staff have performed the review of the filed claims and have submitted three motions to the Court to approve classification and allow distributions on certain claims. Through the date of this Report, the Court has fully or partially adjudicated 4,770 claims. The Receiver anticipates that the remaining claims will be presented to the Court for resolution shortly.

Through September 30, 2021:

- 4,857 Notices of Receiver's Initial Determination ("NOD") were mailed or emailed out by the Receivership (including 4,830 original NODs and 27 replacement NODs that were finalized and sent out during the reporting period)
- 338 individual claim submissions were received by Epiq (the claims agent) including:
 - 33 duplicates
 - 3 claims representing amendments of previously filed claims
 - 41 late-filed claims
- 3 claims that were submitted prior to the Bar Date Order and the establishment of the claims process.

Of the 302 non-duplicative individual claims submissions received above, at least 15 non-duplicative claims did not list any appropriate Aequitas Receivership entity. Additionally, 68 of the non-duplicative claims were filed against multiple Aequitas Receivership entities. As detailed in the attached Report of Cash Receipts and Disbursements (Exhibit B to this Report), for the purpose of consolidated reporting, these claims are counted only once. On the individual entity reports, each entity determined on a preliminary basis as being named in the claim form has the claim included in its count.

Based on the initial review of the Receivership staff, the following is a summary of non-investor claims filed (or allowed NODs if no claim filed). The Receivership staff is continuing to review all submissions to determine the validity of claims. Nothing in the summaries should be considered an acceptance or allowance of any claim.

Non-Investor Claims	Count
NODs Mailed	2,770
Unique claims filed	89
Less: Claims filed and NOD sent	(20)
Total Non-Investor Claims	2,839

Non-Investor Claim Type	Count	Amount as Filed or Allowed
Non-Officer Former Employees >\$12,850	41	1,438,316
Non-Officer Former Employees <=\$12,850	46	384,668
CarePayment Refund Checks	2,430	183,156
Campus Student Funding Refund Checks	189	61,614
Taxes	43	510,261
Other Claims >\$20,000	15	88,704,271
Other Claims <=\$20,000	75	248,897
Total	2,839	\$ 91,531,183

Top Ten Non-Investor Claims

Claimant Name	Amount
Deloitte & Touche LLP ⁶	\$ 50,000,000
ASFG Inc & TRD Consulting LLC	27,381,257
Norman Gary Price/Strategic Capital Group	5,638,129
CBL Insurance Ltd	1,994,000
DELL Financial Services LLC	1,124,865
Olaf Janke	897,360
Akin Gump Strauss Hauer & Feld LLP	646,127
Brian K Rice	438,837
Salesforce.com Inc.	346,478
JCPR Inc D/B/A J Connelly	65,998
Total Top Ten Trade Claims	\$ 88,533,050

V. Disposition of Assets/Interests

A. Assets/Interests Sold

Synchronex, LLC⁷

Synchronex LLC provides technology solutions to the publishing industry via multiple products. It offers syncAccess, a cloud-based pay meter solution that helps newspaper publishers to develop, configure, own, and evolve mobile and digital products.

The Receiver, on behalf of each of AH, ACL, ACF, APF, and Aequitas Management, LLC (“AM”), (AH, AM, ACL and APF each a “Seller Entity,” and collectively the “Seller Entities”), entered into a Purchase Agreement dated as of April 9, 2018, subject to approval of this Court, with Silvermine Media Holdings, LLC (“Purchaser”), which provides the terms for sale of the Seller Entities’ (a) membership interests in company, and (b) their lenders’ interests in certain loans to company (together, the “Assigned Interests”, as defined in the Purchase Agreement). The Court issued its Order Granting Receiver's Motion To Sell Personal Property To Silvermine Media Holdings, LLC, Free And Clear Of Liens, Interests, Claims And Encumbrances relative to

⁶ On June 30, 2020, the Receiver executed an amended and restated settlement agreement with Deloitte, by which Deloitte agreed to release its contribution claim effective upon Court approval of the settlement.

⁷ <http://www.synchronex.com/en/>

the Assigned Interests on May 17, 2018 [Dkt. 614] and the sale closed on June 15, 2018 repaying the AH Loan in full. Pursuant to the Purchase Agreement, the Purchaser had until September 15, 2018 to calculate a purchase price adjustment for operating capital which could result in the receipt of additional consideration of up to \$75 thousand. On September 14, 2018, the Purchaser advised the Receivership that the review of the Closing Balance Sheet had concluded and the Losses (as defined in the Purchase Agreement) exceed \$75 thousand by a substantial margin and, accordingly, the Purchase Price will be reduced by \$75 thousand pursuant to the terms of the Purchase Agreement. Accordingly, the Receiver has filed suit to recover the \$75 thousand plus reasonable costs from Synchronex's CEO based on representations and warranties made by him in conjunction with the Purchase agreement.

B. Ongoing Asset Monetization and Sales Efforts

1. Campus Student Funding

On August 17, 2017, the Court approved Receiver entering into the nationwide settlement with Consumer Financial Protection Bureau (CFPB) and thirteen state Attorneys General in connection with the Corinthian Colleges private student loan portfolio owned by the Receivership (the "Settlement") [Dkt. 495]. Subsequently, on June 12, 2018, the Court approved the Receiver's Motion for Approval of Proposed Settlement with Massachusetts Attorney General. [Dkt. 620]. The Massachusetts Settlement, implemented in the form of an Assurance of Discontinuance, became effective on June 21, 2018.

Following the very significant effort expended by the Receivership on the implementation of the Settlement at the end of 2017 and in the beginning of 2018, which resulted in processing relief in connection with approximately 47 thousand loans, the Receiver continued to implement the remaining obligations under the Settlement in 2018. Subsequently, the Receivership staff and retained professionals continued to

work with the regulators to address any questions that came up in the course of the operations of the portfolio.

Additionally, we have continued to maintain a detailed informational website for the borrowers in connection with the Settlement. Most of the borrower inquiries are being addressed by the servicer but the Receivership also addressed 1,895 borrower inquiries directly (through September 30, 2021). In addition to addressing direct borrower inquiries, the Receivership staff and retained professionals monitor, review, and respond as needed to borrower complaints that are submitted through the CFPB online consumer complaint portal or through other venues. All these activities are instrumental in mitigating the Settlement implementation risk to the Receivership, reducing the number of potential borrower complaints, and stabilizing the portfolio.

To handle potential borrower inquiries related to the 1099 tax forms, the Receivership has set up an outsourced call center that handled 2,407 calls, including 1,070 calls answered by agents, through September 30, 2021 (the rest of the callers chose to only listen to a detailed recorded message). The Receivership staff and retained professionals continue to work with the call center vendor to review call logs and monitor the performance to make sure that the borrowers receive appropriate information.

In the reporting period, the Receivership team continued to work with the loan servicer and help address borrower inquiries. The Receiver is continuing to monitor the portfolio performance and evaluate the next steps in connection with the monetization of the remaining portfolio.

2. MotoLease Financial, LLC (“MLF”)

MLF holds subprime consumer leases for motorcycle and other recreational vehicles. Through September 30, 2021, the Receiver has collected approximately \$16.1

million on account of the leases owned at the beginning of the Receivership. The portfolio is continuing to amortize on its own accord. During this reporting period, the Receivership staff and retained professionals expended significant effort planning the wind down of the portfolio and including exploring opportunities to monetize the remnant assets.

3. Portland Seed Fund (PSF)⁸

Portland Seed Fund is an investment in a local venture capital fund providing early-stage capital to Oregon based start-ups. Proceeds from two recent monetizations of investments by the Fund were distributed to PSF members, including the Receivership, in June and August of 2021. The cumulative distributions from PSF are now greater than the contributions, and additional distributions are expected later.

The Receivership professionals have continued exploring opportunities to monetize the remaining PSF interest in the context of the PSF anticipated future wind down. At this time, the Receiver intends to hold this asset until further notice.

VI. Communications to Interested Parties

A. Ongoing Communication with Investors/Counsel

At the outset of the Receivership, to facilitate regular communication regarding significant opportunities, challenges and actions, the Receiver formed the Investor Advisory Committee (the "IAC"). Particularly with the Court's approval of the distribution plan and resolution of the investors' actions against the Professional Firm Defendants, there is no need for regular meetings with the IAC nor investors' counsel. However, as the Court is aware, the Receiver, his legal counsel, and staff continue to stay in regular contact with many IAC members and investors' counsel, while also responding to

⁸ <http://portlandseedfund.com/>

significant numbers of direct investor inquiries. There has been no request to have a formal IAC meeting at this stage of the Receivership.

B. SEC and Other Governmental Agencies

1. SEC

We continue to interact and cooperate with the SEC Staff, as required by the consent judgement, but there is nothing new to report (other than the developments referenced in sections III.B and III.D above).

2. CSF and CFPB, and State Attorneys General

Please see section V.B.1 above for the discussion of the Settlement with CFPB and fourteen state Attorneys General.

3. Additional Governmental Agencies

The Receiver has previously expended significant efforts to comply with various discovery requests from state and federal agencies in conjunction with their investigations.

VII. Lender Relationships

A. Retirement of Institutional Debt

The Receivership Entities and affiliates have retired, generally on discounted terms, the entirety of the approximately \$104 million of institutional secured debt.

VIII. Assets in the Possession, Custody and Control of the Receivership

Estate

A. Cash and Cash Equivalents

Following the significant distributions made in 2020 and 2021 and collections resulting from a number of settlements, the Receiver had cash balances of

approximately \$33.8 million as of September 30, 2021 for the entities included in the Receivership Entity.

Attached as Exhibit B to this Report is the Report of Cash Receipts and Disbursements in the form of the SFAR as prescribed by the SEC. The reports, together with the accompanying footnotes and detailed schedules, provide an accounting of the Receivership Entity's cash activities through September 30, 2021.

IX. Accrued Professional Fees

As previously discussed, the Receiver has retained several key professionals to assist him in managing the various Aequitas entities, dealing with inquiries and investigations from governmental agencies and prosecuting his mandate as the Receiver.

The amounts are preliminary and subject to adjustment based on the interim and final fee applications. Detailed time records and supporting documents are being supplied to the Commission and fee applications will be filed with the Court for Court approval prior to the payment. All professionals, including the Receiver, are working at a discount to their standard rates.

Aequitas Receivership
Professional Fees & Expenses by Entity (from July 1 to September 30, 2021)

Entity	Fees (\$)	Percentage	Expenses (\$)	Percentage	Total (\$)	Percentage
Receiver	4,950.00	0.9%	-	0.0%	4,950.00	0.9%
FTI Consulting	230,263.00	43.6%	-	0.0%	230,263.00	42.8%
Pepper Hamilton ^[1]	-	0.0%	-	0.0%	-	0.0%
Schwabe, Williamson & Wyatt	292,438.50	55.4%	10,723.48	100.0%	303,161.98	56.3%
Morrison Foerster ^[1]	-	0.0%	-	0.0%	-	0.0%
Law Office of Stanley H. Shure ^[1]	-	0.0%	-	0.0%	-	0.0%
Snell & Wilmer ^[1]	-	0.0%	-	0.0%	-	0.0%
Parsons Farnell & Grein ^[1]	-	0.0%	-	0.0%	-	0.0%
Pachulski Stang Ziehl & Jones ^[1]	-	0.0%	-	0.0%	-	0.0%
Akin Gump ^[1]	-	0.0%	-	0.0%	-	0.0%
Ater Wynne ^[1]	-	0.0%	-	0.0%	-	0.0%
Total:	527,651.50	100%	10,723.48	100%	538,374.98	100%

[1] Pepper Hamilton, Morrison Foerster, Law Office of Stanley H. Shure, Snell & Wilmer, Parsons Farnell & Grein, Pachulski Stang Ziehl & Jones, Akin Gump, and Ater Wynne did not incur fees or expenses during the billing period.

X. Receivership Claimants

In the Initial Report, the Receiver provided a summary compilation of claimants. The summary reflected the Aequitas entities where claimants invested/loaned funds. On May 15, 2020, the Receiver's Motion to Approve Classification, Allowance of the Amount of Claims for Certain Claimants (Administrative Claims, Convenience Class Claims, and Former-Employment Claims), and Approving Distributions to Those Claimants ("the First Distribution Motion") [Dkt. 835] was filed together with the supporting Declaration of Ronald F. Greenspan ("Greenspan First Distribution Declaration") [Dkt. 836]. The Court subsequently issued its Order Granting Receiver's Motion to Approve Classification, Allowance of the Amount of Claims for Certain Claimants (Administrative Claims, Convenience Class Claims, and Former-Employment Claims), and Approving Distributions to Those Claimants on June 1, 2020 ("the First Distribution Order") [Dkt. 838]. Please refer to the exhibits included in the Greenspan First Distribution Declaration for the details of the claims that have been adjudicated as part of the First Distribution Order.

On October 21, 2020, the Receiver's Second Distribution Motion was filed together with the supporting Declaration of Ronald F. Greenspan ("Greenspan Second Distribution Declaration") [Dkt. 849]. The Second Distribution Motion was subsequently approved by the Court. Please refer to the exhibits included in the Greenspan Second Distribution Declaration for the details of the claims that were adjudicated as part of the Second Distribution Motion.

On February 18, 2021, the Receiver filed a Motion to Correct Two Clerical Errors: One that Inadvertently Allowed a Claim to CIT Technology Financial Services, Inc. and One that Failed to Aggregate the Trust Accounts Previously Associated with Shirley K.

Rippey & Jeffrey L. Rippey, Co-Trustees of the James F. Rippey Trust [Dkt. Nos. 873-875].

The Motion was approved by Judge Jolie A. Russo on March 7, 2021 [Dkt. 877].

On July 19, 2021, the Receiver's Third Distribution Motion was filed with the Court. together with the supporting Declaration of Ronald F. Greenspan ("Greenspan Third Distribution Declaration") [Dkt. 895, 896]. The Third Distribution Motion was subsequently approved by the Court. Please refer to the exhibits included in the Greenspan Third Distribution Declaration for the details of the claims adjudicated as part of the Third Distribution Motion.

XI. Summary of the Distribution Plan

The Receiver, among other duties, was authorized, empowered, and directed to develop a plan for the fair, reasonable, and efficient recovery and distribution of Receivership Property for the benefit of investors and creditors (the "Distribution Plan").⁹ The following describes the significant effort undertaken by the Receiver and his staff and retained professionals to advance the Distribution Plan. This Report is not intended to establish any presumption(s) regarding distribution of the Receivership Property.

A. Qualified Settlement Fund

On December 23, 2019, the Receiver filed his Motion For Order To Authorize, Approve, And Take Continuing Jurisdiction Over A Qualified Settlement Fund ("QSF"), And For Related Relief [Dkt 779]. In relation to effectuating the Distribution Plan, the Receiver and his professionals determined that, for tax purposes, a QSF is the best vehicle for making distributions to holders of Allowed Claims, including Investors and other Claimants found to have Allowed Claims. Further, to mitigate certain potential tax

⁹ Receivership Order [Dkt. 156], ¶ 38.

consequences to the Receivership Estate under Oregon law, the Receiver requested the creation and transfer of assets to the QSF to be approved by the Court before January 1, 2020. The Receiver and his professionals estimate the potential tax savings to the Receivership Estate from implementing the QSF at upwards of \$2 million.¹⁰

The requested QSF motion was so ordered on December 23, 2019 [Dkt 781].

B. Distribution Plan

On December 31, 2019, the Receiver filed the Motion To Approve The Receiver's Distribution Plan And Determination Of A Ponzi Scheme [Dkt 787]. In conjunction with his request for the finding of a Ponzi scheme, the Receiver moved the Court to approve a Distribution Plan that contains interrelated components and compromises that he believes provides the most equitable treatment of Allowed Claims and the equitable distribution of funds. Impacted parties are urged to read the Distribution Plan in its entirety and is available for review at the Aequitas Receiver's website (www.kccllc.net/aequitasreceivership) and the Receiver's Claims Agent website (<http://www.AequitasClaims.com>).

For tax and other distribution purposes, the Receiver has allocated the distribution between the Defrauded Investors' multiple accounts based on each account's relative Net Investment Loss. For further information regarding the aggregation of accounts, please see Article VI.E of the Distribution Plan Motion.

C. Findings of Fact and Conclusions of Law

The Court entered its Findings of Fact and Conclusions of Law on March 31, 2020 [Dkt. 813]. In its Order, among other things, the Court:

- Approved the Distribution Plan as expressly modified by the Receiver;

¹⁰ Following additional tax planning work related to the possible tax treatment on cancellation of debt income under the "Corporate Activity Tax" (the "CAT"), newly created under Oregon House Bill ("HB") 3427 and HB 2164, the estimated tax impact was increased to approximately \$2 million from the initial estimate of in excess of \$1 million.

- Overruled any objections to the Receiver's Distribution/Ponzi Determination Motion that had not been withdrawn, waived, settled, or expressly reserved pursuant to the terms of the Order;
- Permitted the Receiver to commence with distributions consistent with the terms of the Distribution Plan without further order by the Court, noting that all distributions shall be free and clear of any and all liens, claims, interests, and encumbrances;
- Directed each Defrauded Investor and other Claimant to cooperate and supply such information and documentation as is requested by the Receiver and his professionals to effectuate the Distribution Plan; and
- Made the Order binding in all respects on all creditors and interest holders of the Receivership Entity and their successors and assigns.

D. Distribution Plan Noticing

In the first quarter of 2020, at least 4,796 Distribution Plan notices were sent to the parties based on the form and matter of notice that had been approved by the Court. The Receivership professionals worked closely with KCC, the host of the main Receivership website, and with Epiq, the noticing agent, to update relevant information provided to the public on the Receivership websites.

E. The First Distribution

In connection with the First Distribution Motion, on May 8, 2020, the Receiver provided conferral notices to counsel and mailed notices to 2,674 parties whose claims were resolved as part of the First Distribution.

As discussed earlier in the Report, the First Distribution Order was entered by the Court on June 1, 2020. The table below summarizes the First Distribution disbursements.

Claims Paid -1st Interim Distribution	Count	Amount
Administrative Class Claims	429	\$86,010
Non-Officer Former Employees Claims	78	\$797,722
Convenience Class Claims	336	\$90,065
Total Receivership Distributions	843	\$973,797
Expired and Uncashed Distributions - funds returned to the QSF	(266)	(\$32,253)
Net Receivership Distributions	577	\$941,544

F. The Second Distribution

As discussed above, the Second Distribution Order was entered by the Court on November 10, 2020. Distributions to Defrauded Investors were made to counsel representing the *Albers*, *Wurster*, and *Pommier* litigation groups, to investment custodians, or directly to Defrauded Investors, as applicable. The table below summarizes the Second Distribution disbursements.

Claims Paid - 2nd Interim Distribution	Count	Amount
Administrative Class Claims	8	\$958
Non-Officer Former Employees Claims	4	\$49,546
Convenience Class Claims	36	\$18,689
Defrauded Investor Claims ^{1,2}	1652	\$73,403,642
Total Receivership Distributions	1700	\$73,472,835
Expired and Uncashed Distributions - funds returned to the QSF	(21)	(\$5,131)
Net Receivership Distributions	1679	\$73,467,704

1.Reduced by Net Winner Offsets as applicable

2. The count has been adjusted compared with the prior Report to better reflect the number of claims that have received a distribution. Certain such claims included multiple accounts that received separate distribution payments. The current count aggregates the separate payments related to a given claim and counts them as one.

G. The Third Distribution

As discussed earlier in the Report, on July 19, 2021, the Receiver filed the Third Distribution Motion with the Court. By way of the Third Distribution Motion, the Receiver recommended distributions totaling approximately \$22 million. The Third Distribution

Motion was approved by the Court on August 5, 2021. The table below summarizes the Third Distribution disbursements.

Claims Paid - 3rd Interim Distribution	Count	Amount
Administrative Class Claims	13	\$5,437
Convenience Class Claims	8	\$21,524
Defrauded Investor Claims	1667	\$22,019,424
Total Receivership Distributions	1688	\$22,046,385

XII. Timeline and Future Interim Distributions

As discussed more fully in the Report, the Receiver has made very substantial progress in actively recovering, stabilizing and monetizing assets, consolidated and rationalized the terabytes of electronic data and facilitated access by litigating parties, effected settlements with multiple governmental agencies and major claimants, completed the principal forensic investigation, organized and facilitated an exhaustive and exceedingly successful mediation process that resulted in recovery of a significant portion of Investor losses, implemented the claims process and obtained Court approval for the Distribution Plan. The Receiver has also obtained Court approval for the First, Second, and Third Distributions, has fully implemented all three distributions.

While it is difficult to provide a definitive timeline for the completion of the concluding phases of the Receivership, culminating in the final round of distributions on Allowed Claims and Court-ordered termination of the receivership, the Receiver reasonably anticipates the vast majority of the remaining tasks will be completed in 2021 and the first half of 2022. The precise timing of any subsequent distributions is largely dependent upon when the claims resolution process and the remaining recovery lawsuits are concluded.

Exhibits

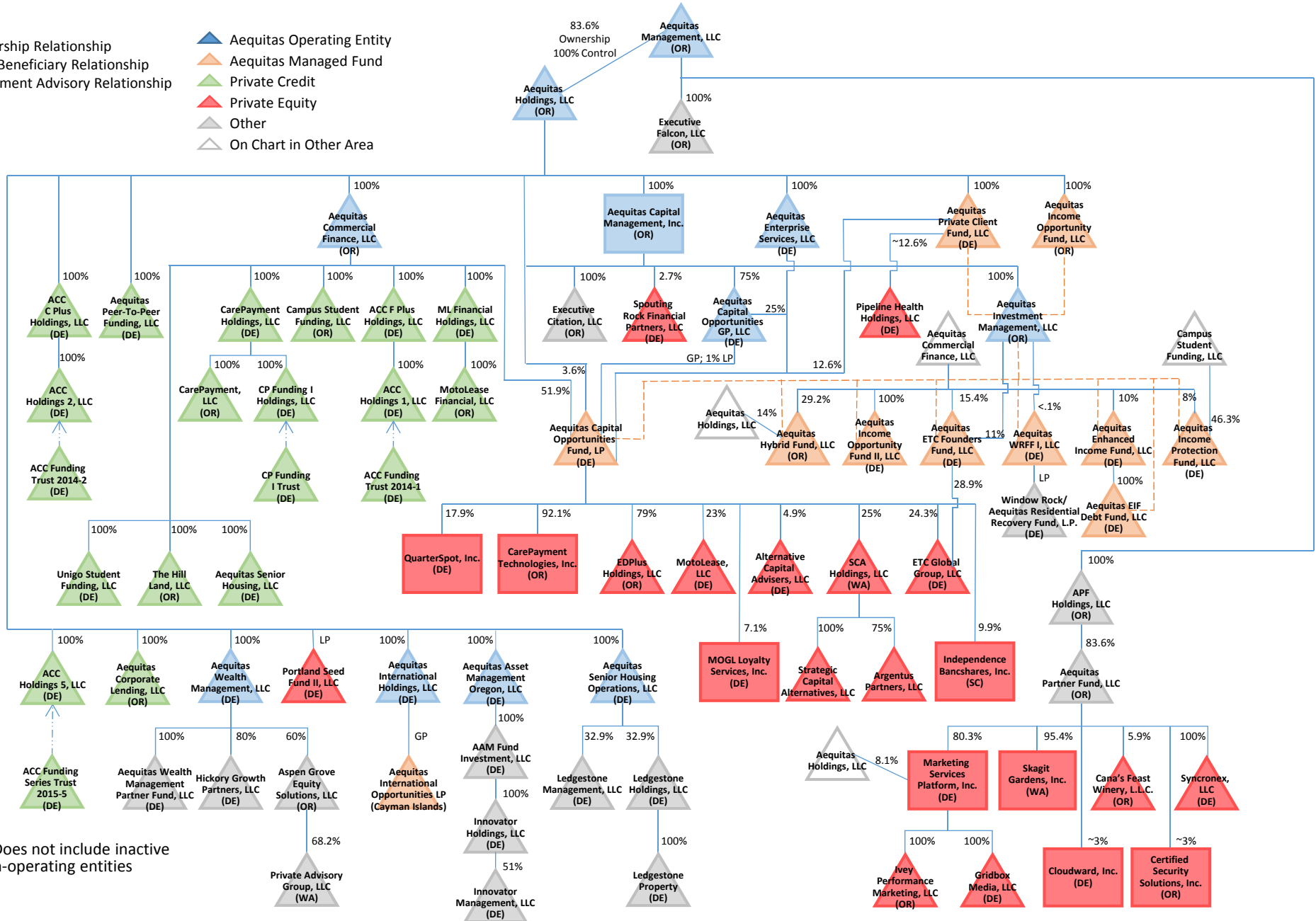
- A. Aequitas Entity Structure
- B. Report of Cash Receipts and Disbursements (Standardized Fund Accounting Report)
- C. Acronyms Glossary

Exhibit A

Aequitas Entity Structure

- Ownership Relationship
- .-> Trust Beneficiary Relationship
- .- Investment Advisory Relationship

- ▲ Aequitas Operating Entity
- ▲ Aequitas Managed Fund
- ▲ Private Credit
- ▲ Private Equity
- ▲ Other
- ▲ On Chart in Other Area



NOTE: Does not include inactive and non-operating entities

Exhibit B

Report of Cash Receipts and Disbursements (Standardized Fund Accounting Report)

Table of Contents

CONSOLIDATED AEQUITAS RECEIVERSHIP ENTITIES	1
SUBSCHEDULE	4
AEQUITAS QUALIFIED SETTLEMENT FUND IRREVOCABLE TRUST (QSF)	6
SUBSCHEDULE	9
AEQUITAS MANAGEMENT, LLC (AM)	11
AEQUITAS HOLDINGS, LLC (AH)	14
SUBSCHEDULE	17
AEQUITAS COMMERCIAL FINANCE, LLC (ACF)	18
AEQUITAS CAPITAL MANAGEMENT, INC (ACM)	21
AEQUITAS INVESTMENT MANAGEMENT, LLC (AIM)	24
AEQUITAS ENTERPRISE SERVICES, LLC (AES)	27
SUBSCHEDULE	30
AAM FUND INVESTMENT, LLC (AAMFI)	31
ACC C PLUS HOLDINGS, LLC (ACCCPH)	34
ACC F PLUS HOLDINGS, LLC (ACCFPH)	37
ACC C FUNDING SERIES TRUST 2015-5 (ACCFST-5)	40
SUBSCHEDULE	43
ACC C FUNDING TRUST 2014-1 (ACCFT-1)	44
ACC C FUNDING TRUST 2014-2 (ACCFT-2)	47
ACC HOLDINGS 1, LLC (ACCH1)	50
ACC HOLDINGS 2, LLC (ACCH2)	53
ACC HOLDINGS 5, LLC (ACCH5)	56
AEQUITAS ASSET MANAGEMENT OREGON, LLC (AMO)	59
AEQUITAS CAPITAL OPPORTUNITIES FUND, LP (COF)	62
AEQUITAS CAPITAL OPPORTUNITIES GP, LLC (COF, GP)	65
AEQUITAS CORPORATE LENDING, LLC (ACL)	68
AEQUITAS EIF DEBT FUND, LLC (EIFDF)	71
AEQUITAS ENHANCED INCOME FUND, LLC (EIF)	74
AEQUITAS ETC FOUNDERS FUND, LLC (ETC)	77
AEQUITAS HYBRID FUND, LLC (HYBRID)	80

AEQUITAS INCOME OPPORTUNITY FUND II, LLC (IOFII)	83
AEQUITAS INCOME OPPORTUNITY FUND, LLC (IOF)	86
AEQUITAS INCOME PROTECTION FUND, LLC (IPF)	89
AEQUITAS INTERNATIONAL HOLDINGS, LLC (AIH)	92
AEQUITAS PARTNER FUND, LLC (APF)	95
AEQUITAS PEER-TO-PEER FUNDING, LLC (AP2PF)	98
AEQUITAS PRIVATE CLIEND FUND, LLC (PCF)	101
AEQUITAS SENIOR HOUSING OPERATIONS, LLC (ASHO)	104
AEQUITAS SENIOR HOUSING, LLC (ASH)	107
AEQUITAS WEALTH MANAGEMENT PARTNER FUND, LLC (AWMPF)	110
AEQUITAS WEALTH MANAGEMENT, LLC (AWM)	113
AEQUITAS WRFF I, LLC (AWRFFI)	116
ASPEN GROVE EQUITY SOLUTIONS, LLC (AGES)	119
CAMPUS STUDENT FUNDING, LLC (CSF)	122
SUBSCHEDULE	125
CAREPAYMENT HOLDINGS, LLC (CPH)	126
CAREPAYMENT, LLC (CPLLC)	129
CP FUNDING I HOLDINGS, LLC (CPFH)	132
HICKORY GROWTH PARTNERS, LLC (HICKORY)	135
ML FINANCIAL HOLDINGS, LLC (MLFH)	138
MOTOLEASE FINANCIAL, LLC (MLF)	141
THE HILL LAND, LLC (HILL LAND)	144
UNIGO STUDENT FUNDING, LLC (USF)	147

STANDARDIZED FUND ACCOUNTING REPORT for Consolidated Aequitas Receivership Entities- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$56,130,476.45	\$15,934,912.86
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$14,070,455.54
Line 3	Cash and Securities	\$360,000.01	\$360,000.01		\$217,528,554.42
Line 4	Interest/Dividend Income	\$19,299.22	\$19,299.22		\$2,754,414.15
Line 5	Business Asset Liquidation	\$284,421.95	\$284,421.95		\$324,774,560.83
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	\$478,925.90	\$478,925.90		\$11,327,853.67
Line 8	Miscellaneous - Other	\$3,895.17	\$3,895.17		\$3,155,565.70
	Total Funds Available (Lines 1-8):		\$1,146,542.25	\$57,277,018.70	\$589,546,317.17
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	\$22,077,402.95	\$22,077,402.95	\$22,077,402.95	\$201,695,758.75
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	\$360,000.01			\$178,296,540.42
Line 10a	Disbursements to Receiver or Other Professionals	\$187,574.76			\$24,361,710.73
Line 10b	Business Asset Expenses	\$549,686.52			\$72,116,171.09
Line 10c	Personal Asset Expenses	-			-
Line 10d	Hospital Settlements & Investment Expenses	\$654.18			\$72,068,794.85
Line 10e	Third-Party Litigation Expenses	-			\$497,324.78
	1. Attorney Fees	\$126,352.80			
	2. Litigation Expenses	-			
	Total Third-party Litigation Expenses		\$126,352.80		
Line 10f	Tax Administrator Fees and Bonds	-			-
Line 10g	Federal and State Tax Payments	\$424.82			\$395,098.51
	Total Disbursements for Receivership Operations		\$1,224,693.09	\$1,224,693.09	\$347,735,640.38
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-			-
Line 11a	Distribution Plan Development Expenses:				\$909,270.80
	1. Fees:				
	Fund Administrator	-			
	Independent Distribution Consultant (IDC)	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	Total Plan Development Expenses				
Line 11b	Distribution Plan Implementation Expenses:				\$5,401,982.23
	1. Fees:				
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	\$74,489.20			
	Legal Advisers	\$77,498.00			
	Tax Advisers	-			
	2. Administrative Expenses	-			

	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	\$19,270.45			
	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>		\$171,257.65		
	Total Disbursements for Distribution Expenses Paid by the Fund		\$171,257.65	\$171,257.65	\$6,311,253.03
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			-
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			-
Line 12b	<i>Federal Tax Payments</i>	-			-
	Total Disbursements to Court/Other:	-		-	-
	Total Funds Disbursed (Lines 9-12):			\$23,473,353.69	\$555,742,652.16
Line 13	Ending Balance (As of 09/30/21):			\$33,803,665.01	\$33,803,665.01
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$33,803,665.01	\$33,803,665.01
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$33,803,665.01	\$33,803,665.01

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-			
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-			
Line 17	DC & State Tax Payments	-			
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				5,171
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period ^{2,3}</i>				1,666
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund ^{2,4}</i>				2,564

- This number includes:
 - 4,830 Notices of Receiver's Initial Determination ("NOD") that were mailed or emailed out by the Receivership
 - 338 individual claim submissions received by Epiq through March 31, 2021 including:
 - 33 duplicates
 - 3 claims representing amendments of previously filed claims
 - 41 late-filed claims
 - 2 claims submitted prior to the Bar Date Order and provided to the Receivership during Q1'21
 - 3 claims that were submitted prior to the Bar Date Order and the establishment of the claims process.

Of the 302 non-duplicative individual claims submissions received above, at least 15 non-duplicative claims did not list any appropriate Aequitas Receivership entities. Such 15 claims are included on the Consolidated Report, however they are not included in the individual entity reports. Additionally, 68 of the non-duplicative claims were filed against multiple Aequitas Receivership entities. For the purpose of the Consolidated Report, these claims are counted only once. On the individual entity reports, each entity determined on a preliminary basis as being named in the claim form has the claim included in its count. The Receivership staff is finalizing the review of all submissions to determine the validity of claims.

- The count has been adjusted compared with the prior period SFAR to better reflect the number of claims that have received a distribution. Certain such claims included multiple accounts that received separate distribution payments. The current count aggregates the separate payments related to a given claim and counts them as one.
- Represents number of claims paid to certain Defrauded Investor claimants, consistent with Court Order, Dkt. #902 and a partial distribution to a Pass-Through claimant, consistent with Court Order, Dkt #866.
- Of the reported total of 2,564 paid claimants, 1,650 claimants (Defrauded Investors) have received two interim distributions. A total of 287 checks from prior distributions were undeliverable or not cashed within 180 days, therefore have been canceled per the terms of the Distribution Plan.

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

Subschedule for Consolidated Receivership Entities - Cash Basis
Receivership; Civil Court Case No. 3:16-cv-00438-JR
Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				56,130,476.45	
	Increases in Fund Balance:					
Line 2	Business Income		-	-	-	
Line 3	Cash and Securities		-	360,000.01	360,000.01	1
	Internal Transfers / Loan From:					
		Aequitas Qualified Settlement Fund Irrevocable Trust	360,000.00			
		Aequitas Capital Management, Inc.	0.01			
Line 4	Interest/Dividend Income		19,299.22	19,299.22	19,299.22	2
Line 5	Business Asset Liquidation		112,678.54	284,421.95	284,421.95	3
	Collections:					
		ACC Funding Series Trust 2015-5	6,729.90			
		Campus Student Funding, LLC	165,013.51			
Line 6	Personal Asset Liquidation		-	-	-	
Line 7	Third-Party Litigation Income		478,925.90	478,925.90	478,925.90	4
Line 8	Miscellaneous - Other		3,895.17	3,895.17	3,895.17	
	Total Funds Available (Lines 1 - 8):			1,146,542.25	57,277,018.70	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants		-	22,077,402.95	22,077,402.95	5
		Disbursements to Defrauded Investor Claimants	21,883,402.95			
		Disbursements to Pass-Through Claimants	194,000.00			
Line 10	Disbursements for Receivership Operations					
<i>Line 10</i>	Internal Transfers / Loans To:			360,000.01		6
		Aequitas Qualified Settlement Fund Irrevocable Trust	0.01			
		Aequitas Enterprise Services, LLC	360,000.00			
<i>Line 10a</i>	Disbursements to Receiver or Other Professionals		-	187,574.76		7
		Receiver/FTI Consulting	136,418.00			
		Legal Advisers	51,156.76			
<i>Line 10b</i>	Business Asset Expenses		-	549,686.52		8
		Internal Fees, Distributions or Contractual Payment To:				
		Servicing Fees	15,787.60			
		IT Expenses	150,186.09			
		Employee Payroll/Benefit Expenses	362,824.46			
		Rent/Office Supplies	8,413.13			
		Miscellaneous	12,475.24			
<i>Line 10c</i>	Personal Asset Expenses		-	-		
<i>Line 10d</i>	Hospital Settlements & Investment Expenses		-	654.18		
		Banking Fees	654.18			
<i>Line 10e</i>	Third-Party Litigation Expenses		-			
		1. Attorney Fees	126,352.80			9
		Total Third-Party Litigation Expenses		126,352.80		
<i>Line 10f</i>	Tax Administrator Fees and Bonds		-	-		
<i>Line 10g</i>	Federal and State Tax Payments		324.82	424.82		10
		State Filing Fees	100.00			
		Total Disbursements for Receivership Operations		1,224,693.09	1,224,693.09	
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
<i>Line 11a</i>	Distribution Plan Development Expenses		-			
		Total Plan Development Expenses		-		
<i>Line 11b</i>	Distribution Plan Implementation Expenses		-			
		Fees				
		Distribution Agent	-			
		Receiver/FTI Consulting	74,489.20			
		Legal Advisers	77,498.00			
		Investor Identification				
		Notice/Publishing Approved Plan	19,270.45			
		Total Plan Implementation Expenses		171,257.65		11
		Total Disbursements for Distribution Expenses Paid by the Fund		171,257.65	171,257.65	
Line 12	Disbursements to Court/Other:					
<i>Line 12a</i>	Investment Expenses/Court Registry Investment System (CRIS) Fees		-			
<i>Line 12b</i>	Federal Tax Payments		-			
		Total Disbursements to Court/Other:		-	-	
	Total Funds Disbursed (Lines 9 - 12):				23,473,353.69	
Line 13	Ending Balance (As of 09/30/2021):				33,803,665.01	

Reference #	Item	Amount	Corresponding Notes
1	Cash and Securities	\$ 360,000.01	Includes internal loans between Receivership entities. For further details, see the Subschedule for AES.

2	Interest/Dividend Income	\$	19,299.22	Includes aggregate monthly interest deposits from Union Bank.
3	Business Asset Liquidation	\$	284,421.95	Includes asset sale proceeds and collections received by Receivership Entities. For further details, see Subschedules for AH, ACCFST-5 and CSF.
4	Third-Party Litigation Income	\$	478,925.90	Includes third-party settlements.
5	Disbursements to Claimants	\$	22,077,402.95	Includes disbursements to certain Defrauded Investor claimants, consistent with the Court Order, Dkt. #902 and partial disbursements to a Pass-Through claimant consistent with the Court Order, Dkt. #866. For further details, see the Subschedule for QSF and ACCFST-5.
6	Internal Transfers / Loans	\$	360,000.01	Includes internal loans between Receivership and related entities. For further details, see the Subschedule for QSF.
7	Receivership Operations Fees to Receiver or Other Professionals	\$	187,574.76	Includes payments for Receivership operational services of Receiver and retained professionals from January 1, 2021 to March 31, 2021, per Court approval. Additional amounts approved by the Court and paid to the Receiver and retained professionals are included in lines 10e and 11b. For further details, see the Subschedule for QSF.
8	Business Asset Expenses	\$	549,686.52	Includes Servicing Fees, Employee Payroll/Benefit Expenses, Rent/Office Supplies, and various other expenses. For further details, see Schedules or Subschedules for QSF, AES, CSF and MLF.
9	Third-Party Litigation Expenses	\$	126,352.80	Includes payments for litigation services of retained professionals from January 1, 2021 to March 31, 2021, per Court approval. Additional amounts approved by the Court and paid to the retained professionals are included in lines 10a and 11b. For further details, see the Subschedule for QSF.
10	Federal and State Tax Payments	\$	424.82	Includes various taxes and registration fees. For further details see the Subschedules for QSF, AES and ACCFST-5.
11	Distribution Plan Implementation Expenses	\$	171,257.65	Includes payments to the Noticing Agents, as well as payments for plan implementation/claims process expenses of Receiver and retained professionals from January 1, 2021 to March 31, 2021, per Court approval. Additional amounts approved by the Court and paid to the Receiver and retained professionals are included in lines 10a and 10e. For further details, see the Subschedule for QSF.

Note: This Report reflects all Receivership Entities. Immediately following the Consolidated Report are Standardized Fund Accounting Reports for individual Receivership Entities that had cash activity during the Receivership. For certain individual Receivership Entities, additional Subschedules are also provided. Cash balances are reported per the "Book" values. Entities that are not included in Exhibit A of the Stipulated Order have been excluded from this Report.

STANDARDIZED FUND ACCOUNTING REPORT for **Aequitas Qualified Settlement Fund Irrevocable Trust - Cash Basis**

Receivership; Civil Court Case No. 00
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21		12/23/19 to 09/30/21	
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$46,181,594.05	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	\$0.01	\$0.01		\$121,226,107.91
Line 4	Interest/Dividend Income	\$15,609.39	\$15,609.39		\$506,925.25
Line 5	Business Asset Liquidation	-	-		\$7,150.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	\$478,925.90	\$478,925.90		\$11,327,753.67
Line 8	Miscellaneous - Other	\$3,895.17	\$3,895.17		\$7,604.18
	Total Funds Available (Lines 1-8):		\$498,430.47	\$46,680,024.52	\$133,075,541.01
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	\$21,883,402.95	\$21,883,402.95	\$21,883,402.95	\$95,542,624.86
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	\$360,000.00			\$4,213,000.00
Line 10a	Disbursements to Receiver or Other Professionals	\$187,574.76			\$2,415,967.99
Line 10b	Business Asset Expenses	\$174,957.45			\$2,364,385.26
Line 10c	Personal Asset Expenses	-			-
Line 10d	Hospital Settlements & Investment Expenses	-			-
Line 10e	Third-Party Litigation Expenses	-			\$431,416.40
	1. Attorney Fees	\$126,352.80			
	2. Litigation Expenses	-			
	Total Third-party Litigation Expenses		\$126,352.80		
Line 10f	Tax Administrator Fees and Bonds	-			-
Line 10g	Federal and State Tax Payments	\$100.00			\$53,409.60
	Total Disbursements for Receivership Operations		\$848,985.01	\$848,985.01	\$9,478,179.25
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-			-
Line 11a	Distribution Plan Development Expenses:				\$729,554.40
	1. Fees:				
	Fund Administrator	-			
	Independent Distribution Consultant (IDC)	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	Total Plan Development Expenses				
Line 11b	Distribution Plan Implementation Expenses:				\$3,548,803.59
	1. Fees:				
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	\$74,489.20			
	Legal Advisers	\$77,498.00			
	Tax Advisers	-			
	2. Administrative Expenses	-			

	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	\$19,270.45			
	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>		\$171,257.65		
	Total Disbursements for Distribution Expenses Paid by the Fund		\$171,257.65	\$171,257.65	\$4,278,357.99
Line 12	Disbursements to Court/Other:				
Line 12	Disbursements to Court	-			-
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-			-
Line 12b	Federal Tax Payments	-			-
	Total Disbursements to Court/Other:	-		-	-
	Total Funds Disbursed (Lines 9-12):			\$22,903,645.61	\$109,299,162.10
Line 13	Ending Balance (As of 09/30/21):			\$23,776,378.91	\$23,776,378.91
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	Cash & Cash Equivalents			\$23,776,378.91	\$23,776,378.91
Line 14b	Investments			-	-
Line 14c	Other Assets or Uncleared Funds			-	-
	Total Ending Balance of Fund - Net Assets			\$23,776,378.91	\$23,776,378.91

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			12/23/19 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	Disbursements for Plan Administration Expenses	-			-
Line 15a	Plan Development Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	Plan Implementation Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-			-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-			-
Line 17	DC & State Tax Payments	-			-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period ^{1,2}</i>				1,665
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund ^{1,3}</i>				2,442

1. The count has been adjusted compared with the prior period SFAR to better reflect the number of claims that have received a distribution. Certain such claims included multiple accounts that received separate distribution payments. The current count aggregates the separate payments related to a given claim and counts them as one.
2. Represents number of claims paid to certain Defrauded Investor claimants, consistent with Court Order, Dkt. #902.
3. Of the reported total of 2,442 paid claimants, 1,650 claimants (Defrauded Investors) have received two interim distributions. A total of 287 checks from prior distributions were undeliverable or not cashed within 180 days, therefore have been canceled per the terms of the Distribution Plan.

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Administrator and Trustee

(title)

Date: November 1, 2021

Subschedule for Aequitas Qualified Settlement Fund Irrevocable Trust (QSF) - Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				46,181,594.05	
	Increases in Fund Balance:					
Line 2	Business Income	-	-	-		
Line 3	Cash and Securities		0.01	0.01		
	Aequitas Capital Management, Inc.	0.01				
Line 4	Interest/Dividend Income	15,609.39	15,609.39	15,609.39		
Line 5	Business Asset Liquidation	-	-	-		
Line 6	Personal Asset Liquidation	-	-	-		
Line 7	Third-Party Litigation Income	478,925.90	478,925.90	478,925.90		1
Line 8	Miscellaneous - Other	3,895.17	3,895.17	3,895.17		
	Total Funds Available (Lines 1 - 8):			498,430.47	46,680,024.52	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants		21,883,402.95	21,883,402.95	21,883,402.95	2
	Disbursements to Defrauded Investor Claimants	21,883,402.95				
Line 10	Disbursements for Receivership Operations					
<i>Line 10</i>	Internal Transfers / Loans To:		360,000.00			
	Aequitas Enterprise Services, LLC	360,000.00				
<i>Line 10a</i>	Disbursements to Receiver or Other Professionals		187,574.76			
	Receiver/FTI Consulting	136,418.00				3
	Legal Advisers	51,156.76				4
<i>Line 10b</i>	Business Asset Expenses		174,957.45			
	IT Expenses	150,186.09				
	Employee Payroll/Benefit Expenses	3,882.99				
	Rent/Office Supplies	8,413.13				
	Miscellaneous	12,475.24				
<i>Line 10c</i>	Personal Asset Expenses		-			
<i>Line 10d</i>	Hospital Settlements & Investment Expenses		-			
<i>Line 10e</i>	Third-Party Litigation Expenses					
	1. Attorney Fees	126,352.80				5
	Total Third-Party Litigation Expenses		126,352.80			
<i>Line 10f</i>	Tax Administrator Fees and Bonds		-			
<i>Line 10g</i>	Federal and State Tax Payments		100.00			
	State Filing Fees	100.00				
	Total Disbursements for Receivership Operations			848,985.01	848,985.01	
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
<i>Line 11a</i>	Distribution Plan Development Expenses					
	Total Plan Development Expenses			-		
<i>Line 11b</i>	Distribution Plan Implementation Expenses					
	Fees					
	Receiver/FTI Consulting	74,489.20				6
	Legal Advisers	77,498.00				7
	Investor Identification					
	Notice/Publishing Approved Plan	19,270.45				8
	Total Plan Implementation Expenses		171,257.65			
	Total Disbursements for Distribution Expenses Paid by the Fund			171,257.65	171,257.65	
Line 12	Disbursements to Court/Other:					
<i>Line 12a</i>	Investment Expenses/Court Registry Investment System (CRIS) Fees		-			
<i>Line 12b</i>	Federal Tax Payments		-			
	Total Disbursements to Court/Other:			-	-	
	Total Funds Disbursed (Lines 9 - 12):				22,903,645.61	
Line 13	Ending Balance (As of 09/30/2021):				<u>23,776,378.91</u>	

Reference #	Item	Amount	Corresponding Notes
1	Third-Party Litigation Income	\$ 478,925.90	Includes third-party settlements.

2	Disbursements to Defrauded Investor Claimants	\$	21,883,402.95	Includes disbursements to certain Defrauded Investor claimants, consistent with the Court Order, Dkt. #902. From the distributions that occurred in July 2020 and December 2020, a total of \$37,383.78 representing 287 checks were undeliverable or not cashed within 180 days. Such checks were canceled per the terms of the Distribution Plan. Additionally, \$105,939.11 representing two checks were voided with Court approval. The associated funds were applied to the third interim distribution.
3	Receivership Operations Fees to Receiver/FTI Consulting	\$	136,418.00	Includes Court approved payments for Receivership operational services from January 1, 2021 to March 31, 2021. Amounts paid to the Receiver and FTI Consulting were \$11,285.60 and \$125,132.40, respectively. Additional amounts approved by the Court and paid to the Receiver and FTI Consulting are included in line 11b.
4	Receivership Operations Fees to Legal Advisors	\$	51,156.76	Includes Court approved payments for Receivership operational services from January 1, 2021 to March 31, 2021 for Schwabe Williamson & Wyatt and Parsons Farnell & Grein. Additional amounts approved by the Court and paid to Schwabe Williamson & Wyatt are included in lines 10e and 11b.
5	Third-Party Litigation Expenses	\$	126,352.80	Includes payments for litigation services from January 1, 2021 to March 31, 2021 for Schwabe Williamson & Wyatt. Additional amounts approved by the Court and paid to the retained professionals are included in lines 10a and 11b. For further details, see Subschedule for QSF.
6	Distribution Plan Implementation Fees to Receiver/FTI Consulting	\$	74,489.20	Includes Court approved payments for distribution plan implementation services from January 1, 2021 to March 31, 2021. Amounts paid to the Receiver and FTI Consulting were \$1,980.00 and \$75,509.20, respectively. Additional amounts approved by the Court and paid to the Receiver and FTI Consulting are included in lines 10a.
7	Distribution Plan Implementation Fees to Legal Advisors	\$	77,498.00	Includes Court approved payments for distribution plan implementation services from January 1, 2021 to March 31, 2021 for Schwabe Williamson & Wyatt. Additional amounts approved by the Court and paid to Schwabe Williamson & Wyatt are included in lines 10a and 10e.
8	Distribution Plan Implementation Fees to Noticing Agent	\$	19,270.45	Includes payments to the Claims and Noticing Agent, Epiq Corporate Restructuring.

STANDARDIZED FUND ACCOUNTING REPORT for Aequis Management, LLC (AM)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$10,154.41
Line 4	Interest/Dividend Income	-	-		\$0.96
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$10,155.37
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$150.01
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$10,005.36
	Total Disbursements for Receivership Operations			-	\$10,155.37
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$10,155.37
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:				
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:				
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:				
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-		-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-		-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				50
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 50 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 8 duplicative claims
 - 8 late filed claims

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Holdings, LLC (AH)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$121,616.95	\$70,050.71
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$150.00
Line 3	Cash and Securities	-	-		\$153,810.00
Line 4	Interest/Dividend Income	\$20.85	\$20.85		\$12,776.92
Line 5	Business Asset Liquidation	\$112,678.54	\$112,678.54		\$2,921,333.45
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		\$112,699.39	\$234,316.34	\$3,158,121.08
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$2,819,483.27
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$37,263.47
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$50,000.00
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$17,058.00
	Total Disbursements for Receivership Operations			-	\$2,923,804.74
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$2,923,804.74
Line 13	Ending Balance (As of 09/30/21):			\$234,316.34	\$234,316.34
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$234,316.34	\$234,316.34
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$234,316.34	\$234,316.34

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				12
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 12 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 1 duplicative claim
 - 2 late filed claims
 - 1 claim submitted prior to the Bar Date Order and provided to the Receivership during Q1'21

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

Subschedule for Aequitas Holdings, LLC (AH) - Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				121,616.95	
	Increases in Fund Balance:					
Line 2	Business Income	-	-	-		
Line 3	Cash and Securities	-	-	-		
Line 4	Interest/Dividend Income	20.85	20.85	20.85		
Line 5	Business Asset Liquidation	112,678.54	112,678.54	112,678.54		1
Line 6	Personal Asset Liquidation	-	-	-		
Line 7	Third-Party Litigation Income	-	-	-		
Line 8	Miscellaneous - Other	-	-	-		
	Total Funds Available (Lines 1 - 8):			112,699.39	234,316.34	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-		
Line 10	Disbursements for Receivership Operations					
Line 10	Internal Transfers / Loans To:	-	-	-		
Line 10a	Disbursements to Receiver or Other Professionals	-	-	-		
Line 10b	Business Asset Expenses	-	-	-		
Line 10c	Personal Asset Expenses	-	-	-		
Line 10d	Hospital Settlements & Investment Expenses	-	-	-		
Line 10e	Third-Party Litigation Expenses	-	-	-		
	Total Third-Party Litigation Expenses			-		
Line 10f	Tax Administrator Fees and Bonds	-	-	-		
Line 10g	Federal and State Tax Payments	-	-	-		
	Total Disbursements for Receivership Operations			-		
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
Line 11a	Distribution Plan Development Expenses	-	-	-		
	Total Plan Development Expenses			-		
Line 11b	Distribution Plan Implementation Expenses	-	-	-		
	Total Plan Implementation Expenses			-		
	Total Disbursements for Distribution Expenses Paid by the Fund			-		
Line 12	Disbursements to Court/Other:					
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-	-	-		
Line 12b	Federal Tax Payments	-	-	-		
	Total Disbursements to Court/Other:			-		
	Total Funds Disbursed (Lines 9 - 12):					
Line 13	Ending Balance (As of 09/30/2021):				234,316.34	

Reference #	Item	Amount	Corresponding Notes
1	Business Asset Liquidation	\$ 112,678.54	Distribution received from investment in Portland Seed Fund II, LP.

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Commercial Finance, LLC (ACF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$1,244,172.81
	Increases in Fund Balance:				
Line 2	Business Income	-	-		\$719,532.75
Line 3	Cash and Securities	-	-		\$898,737.28
Line 4	Interest/Dividend Income	-	-		\$1,074,207.46
Line 5	Business Asset Liquidation	-	-		\$48,030,208.39
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$2,102.27
	Total Funds Available (Lines 1-8):			\$0.00	\$51,968,960.96
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	\$100,000.00
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$40,532,535.73
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$628,236.10
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$10,700,000.01
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$8,189.12
	Total Disbursements for Receivership Operations			-	\$51,868,960.96
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$51,968,960.96
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				1,043
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

- Represents 926 NOD forms provided by the Receiver and 117 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 17 duplicative claims
 - 3 late filed claims
 - 1 claim submitted prior to the Bar Date Order and provided to the Receivership during Q1'21

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Capital Management, Inc. (ACM)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.01	\$103,972.20
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$166,156.94
Line 3	Cash and Securities	-	-		\$900,992.32
Line 4	Interest/Dividend Income	-	-		\$92.14
Line 5	Business Asset Liquidation	-	-		\$91,461.74
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$2,623,896.64
	Total Funds Available (Lines 1-8):			\$0.01	\$3,886,571.98
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	\$0.01			\$9,940.15
Line 10a	Disbursements to Receiver or Other Professionals	-	-		\$45,592.50
Line 10b	Business Asset Expenses	-	-		\$3,699,439.78
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$77,406.50
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$54,193.05
	Total Disbursements for Receivership Operations		\$0.01	\$0.01	\$3,886,571.98
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.01	\$3,886,571.98
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				145
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 69 NOD forms provided by the Receiver, 3 claims that were submitted prior to the Bar Date Order, and 73 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 15 duplicative claims
 - 1 amended claim
 - 12 late filed claims

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Investment Management, LLC (AIM)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$119.78
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$333,077.16
Line 3	Cash and Securities	-	-		\$1,940.03
Line 4	Interest/Dividend Income	-	-		\$5,678.83
Line 5	Business Asset Liquidation	-	-		\$200,000.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$1,556.05
	Total Funds Available (Lines 1-8):			\$0.00	\$542,371.85
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$538,841.04
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$1,104.29
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,426.52
	Total Disbursements for Receivership Operations			-	\$542,371.85
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$542,371.85
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-			-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-			-
Line 17	DC & State Tax Payments	-			-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				101
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 101 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 18 duplicative claims
 - 10 late filed claims

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Enterprise Services, LLC (AES)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$1,629.47	\$483,563.92
	Increases in Fund Balance:				
Line 2	Business Income	-	-		\$1,775,593.81
Line 3	Cash and Securities	\$360,000.00	\$360,000.00		\$42,275,951.38
Line 4	Interest/Dividend Income	\$2.48	\$2.48		\$1,459.51
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$207,061.12
	Total Funds Available (Lines 1-8):		\$360,002.48	\$361,631.95	\$44,743,629.74
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	\$887,072.77
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$838.19
Line 10a	Disbursements to Receiver or Other Professionals	-	-		\$20,818,509.46
Line 10b	Business Asset Expenses	\$358,941.47			\$20,808,097.87
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$0.00
Line 10e	Third-Party Litigation Expenses	-	-		\$65,908.38
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	\$0.14			\$127,617.69
	Total Disbursements for Receivership Operations		\$358,941.61	\$358,941.61	\$41,820,971.59
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				\$179,716.40
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				\$1,853,178.64
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			\$2,032,895.04
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			-
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			-
Line 12b	<i>Federal Tax Payments</i>	-			-
	Total Disbursements to Court/Other:	-			-
	Total Funds Disbursed (Lines 9-12):			\$358,941.61	\$44,740,939.40
Line 13	Ending Balance (As of 09/30/21):			\$2,690.34	\$2,690.34
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$2,690.34	\$2,690.34
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$2,690.34	\$2,690.34

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				82
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				116

1. Represents 80 NOD forms provided by the Receiver and 2 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

Subschedule for Aequitas Enterprise Services, LLC (AES) - Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				1,629.47	
	Increases in Fund Balance:					
Line 2	Business Income	-	-	-		
Line 3	Cash and Securities	-	360,000.00	360,000.00		
	Internal Transfers / Loan From:					
	Aequitas Qualified Settlement Fund Irrevocable Trust	360,000.00				
Line 4	Interest/Dividend Income	2.48	2.48	2.48		
Line 5	Business Asset Liquidation	-	-	-		
Line 6	Personal Asset Liquidation	-	-	-		
Line 7	Third-Party Litigation Income	-	-	-		
Line 8	Miscellaneous - Other	-	-	-		
	Total Funds Available (Lines 1 - 8):			360,002.48	361,631.95	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-	-	
Line 10	Disbursements for Receivership Operations					
Line 10	Internal Transfers / Loans To:					
Line 10a	Disbursements to Receiver or Other Professionals	-	-	-		
Line 10b	Business Asset Expenses	-	358,941.47	-		
	Employee Payroll/Benefit Expenses	358,941.47				
Line 10c	Personal Asset Expenses	-	-	-		
Line 10d	Hospital Settlements & Investment Expenses	-	-	-		
Line 10e	Third-Party Litigation Expenses	-	-	-		
	Total Third-Party Litigation Expenses			-		
Line 10f	Tax Administrator Fees and Bonds	-	-	-		
Line 10g	Federal and State Tax Payments	0.14	0.14	-		
	Total Disbursements for Receivership Operations			358,941.61	358,941.61	
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
Line 11a	Distribution Plan Development Expenses					
	Total Plan Development Expenses			-		
Line 11b	Distribution Plan Implementation Expenses					
	Total Plan Implementation Expenses			-		
	Total Disbursements for Distribution Expenses Paid by the Fund			-	-	
Line 12	Disbursements to Court/Other:					
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-	-	-		
Line 12b	Federal Tax Payments	-	-	-		
	Total Disbursements to Court/Other:			-	-	
	Total Funds Disbursed (Lines 9 - 12):				358,941.61	
Line 13	Ending Balance (As of 09/30/2021):				2,690.34	

STANDARDIZED FUND ACCOUNTING REPORT for **AAM Fund Investment, LLC (AAMFI)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$600.00
Line 4	Interest/Dividend Income	-	-		\$488.20
Line 5	Business Asset Liquidation	-	-		\$50,709.98
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$4,135.50
	Total Funds Available (Lines 1-8):			\$0.00	\$55,933.68
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$49,633.68
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$6,300.00
	Total Disbursements for Receivership Operations			-	\$55,933.68
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$55,933.68
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC C Plus Holdings, LLC (ACCCPH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$212.42
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,100.78
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,313.26
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,313.23
	Total Disbursements for Receivership Operations			-	\$1,313.26
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,313.26
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				3
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 3 NOD forms provided by the Receiver since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC F Plus Holdings, LLC (ACCFPH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$41.73
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,271.52
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,313.31
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,313.28
	Total Disbursements for Receivership Operations			-	\$1,313.31
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,313.31
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				1
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 1 NOD form provided by the Receiver since the start of the Receivership

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC Funding Series Trust 2015-5 (ACCFST-5)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

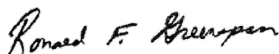
FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$9,011,578.36	\$1,528,952.28
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$3,672.23
Line 4	Interest/Dividend Income	\$3,329.74	\$3,329.74		\$142,287.34
Line 5	Business Asset Liquidation	\$6,729.90	\$6,729.90		\$11,722,489.20
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		\$10,059.64	\$9,021,638.00	\$13,397,401.05
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	\$194,000.00	\$194,000.00	\$194,000.00	\$194,000.00
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$899.97
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$4,351,744.98
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	\$654.18			\$23,772.28
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	\$324.68			\$324.68
	Total Disbursements for Receivership Operations		\$978.86	\$978.86	\$4,376,741.91
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$194,978.86	\$4,570,741.91
Line 13	Ending Balance (As of 09/30/21):			\$8,826,659.14	\$8,826,659.14
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$8,826,659.14	\$8,826,659.14
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$8,826,659.14	\$8,826,659.14

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				1
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period ²</i>				1
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

1. Represents 1 individual claim submission received by Epiq since the start of the Receivership
2. Represents a partial distribution to a Pass-Through claimant, consistent with Court Order, Dkt #866. Pursuant to that certain Court-approved Settlement Agreement dated as of January 17, 2020, Aequitas Commercial Finance, LLC agreed to resign as the Grantor of the ACC Funding Series Trust 2015-5 ("ACCFST-5") and assign its rights and obligations as Grantor under the Trust Agreement to IOL, GP, the nominee of Aequitas International Opportunities LP. Upon execution of the Resignation and Assignment Agreement and other documents concluding the settlement, the Receiver will no longer report current activity.

Receiver:


 (signature)
 Ronald F. Greenspan

 (printed name)

Receiver

 (title)

November 1, 2021

Subschedule for ACC Funding Series Trust 2015-5 (ACFST-5) - Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
Line		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				9,011,578.36	
	Increases in Fund Balance:					
Line 2	Business Income	-	-	-		
Line 3	Cash and Securities	-	-	-		
Line 4	Interest/Dividend Income	3,329.74	3,329.74	3,329.74		
Line 5	Business Asset Liquidation	-	6,729.90	6,729.90		
	Collections:					
	ACC Funding Series Trust 2015-5	6,729.90				
Line 6	Personal Asset Liquidation	-	-	-		
Line 7	Third-Party Litigation Income	-	-	-		
Line 8	Miscellaneous - Other	-	-	-		
	Total Funds Available (Lines 1 - 8):			10,059.64	9,021,638.00	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	194,000.00	194,000.00	194,000.00	194,000.00	1
	Disbursements to Pass-Through Claimants					
Line 10	Disbursements for Receivership Operations					
Line 10	Internal Transfers / Loans To:	-	-			
Line 10a	Disbursements to Receiver or Other Professionals	-	-			
Line 10b	Business Asset Expenses	-	-			
Line 10c	Personal Asset Expenses	-	-			
Line 10d	Hospital Settlements & Investment Expenses	-	654.18			
	Banking Fees	654.18				
Line 10e	Third-Party Litigation Expenses	-	-			
	Total Third-Party Litigation Expenses	-	-			
Line 10f	Tax Administrator Fees and Bonds	-	-			
Line 10g	Federal and State Tax Payments	324.68	324.68			
	Total Disbursements for Receivership Operations			978.86	978.86	
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
Line 11a	Distribution Plan Development Expenses					
	Total Plan Development Expenses			-		
Line 11b	Distribution Plan Implementation Expenses					
	Total Plan Implementation Expenses			-		
	Total Disbursements for Distribution Expenses Paid by the Fund			-	-	
Line 12	Disbursements to Court/Other:					
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees					
Line 12b	Federal Tax Payments					
	Total Disbursements to Court/Other:			-	-	
	Total Funds Disbursed (Lines 9 - 12):				194,978.86	
Line 13	Ending Balance (As of 09/30/2021):				8,826,659.14	

Reference #	Item	Amount	Corresponding Notes
1	Disbursements to Pass-Through Claimants	\$ 194,000.00	Includes a partial disbursement to certain claimants consistent with the Court Order, Dkt. #866. Pursuant to that certain Court-approved Settlement Agreement dated as of January 17, 2020, Aequitas Commercial Finance, LLC agreed to resign as the Grantor of the ACC Funding Series Trust 2015-5 ("ACFST-5") and assign its rights and obligations as Grantor under the Trust Agreement to IOL, GP, the nominee of Aequitas International Opportunities LP. Upon execution of the Resignation and Assignment Agreement and other documents concluding the settlement, the Receiver will no longer report current activity.

STANDARDIZED FUND ACCOUNTING REPORT for ACC Funding Trust 2014-1 (ACCFT-1)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$2,477,689.86
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$4,235,599.93
Line 4	Interest/Dividend Income	-	-		\$84,478.70
Line 5	Business Asset Liquidation	-	-		\$48,429,744.62
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$55,227,513.11
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	\$41,464,806.25
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$13,484,718.85
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$254,414.18
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$23,573.83
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		-
	Total Disbursements for Receivership Operations			-	\$13,762,706.86
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$55,227,513.11
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC Funding Trust 2014-2 (ACCFT-2)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$4,285,639.34
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$2,995,877.49
Line 4	Interest/Dividend Income	-	-		\$70,898.81
Line 5	Business Asset Liquidation	-	-		\$27,433,829.75
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$34,786,245.39
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	\$20,654,250.67
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$13,766,239.27
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$311,115.35
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$54,640.10
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		-
	Total Disbursements for Receivership Operations			-	\$14,131,994.72
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$34,786,245.39
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC Holdings 1, LLC (ACCH1)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$11.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$3,188.88
Line 4	Interest/Dividend Income	-	-		\$0.20
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$3,200.08
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$900.08
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,300.00
	Total Disbursements for Receivership Operations			-	\$3,200.08
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$3,200.08
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC Holdings 2, LLC (ACCH2)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$328.26
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$2,772.43
Line 4	Interest/Dividend Income	-	-		\$0.20
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$3,100.89
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$800.89
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,300.00
	Total Disbursements for Receivership Operations	-	-	-	\$3,100.89
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$3,100.89
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for ACC Holdings 5, LLC (ACCH5)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,199.97
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,200.03
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,200.00
	Total Disbursements for Receivership Operations			-	\$1,200.03
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,200.03
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Asset Management Oregon, LLC (AMO)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,499.42
Line 4	Interest/Dividend Income	-	-		\$0.61
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$1,500.03
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$300.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,200.00
	Total Disbursements for Receivership Operations		-	-	\$1,500.03
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,500.03
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Capital Opportunities Fund, LP (COF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$170,591.70
	Increases in Fund Balance:				
Line 2	Business Income	-	-		\$669,625.00
Line 3	Cash and Securities	-	-		\$439,972.36
Line 4	Interest/Dividend Income	-	-		\$0.08
Line 5	Business Asset Liquidation	-	-		\$915,000.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$250,263.00
	Total Funds Available (Lines 1-8):			\$0.00	\$2,445,452.14
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$295,000.00
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$1,294,365.97
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$849,625.00
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$6,461.17
	Total Disbursements for Receivership Operations	-	-	-	\$2,445,452.14
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$2,445,452.14
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				8
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 8 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Capital Opportunities GP, LLC (COF, GP)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$0.03
Line 3	Cash and Securities	-	-		\$10,150.00
Line 4	Interest/Dividend Income	-	-		\$7,585.46
Line 5	Business Asset Liquidation	-	-		\$696,227.53
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$511.10
	Total Funds Available (Lines 1-8):			\$0.00	\$714,474.12
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-		-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$665,360.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$45,699.09
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$3,415.00
	Total Disbursements for Receivership Operations				\$714,474.12
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$714,474.12
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				36
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 36 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 5 duplicative claims
 - 7 late filed claims

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Corporate Lending, LLC (ACL)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$30,686.62
Increases in Fund Balance:					
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$6,246,621.00
Line 4	Interest/Dividend Income	-	-		\$88,362.54
Line 5	Business Asset Liquidation	-	-		\$3,931,704.19
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
Total Funds Available (Lines 1-8):				\$0.00	\$10,297,374.35
Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$10,296,974.35
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$400.00
	Total Disbursements for Receivership Operations			-	\$10,297,374.35
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$10,297,374.35
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas EIF Debt Fund, LLC (EIFDF)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$899.97
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$900.03
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$900.00
	Total Disbursements for Receivership Operations			-	\$900.03
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$900.03
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Enhanced Income Fund, LLC (EIF)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$39,574.91
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$307.47
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$180.18
	Total Funds Available (Lines 1-8):			\$0.00	\$40,062.56
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$23,505.80
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$16,556.76
	Total Disbursements for Receivership Operations				\$40,062.56
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$40,062.56
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-			-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-			-
Line 17	DC & State Tax Payments	-			-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				9
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 8 NOD forms provided by the Receiver and 1 individual claim submission received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **Aequitas ETC Founders Fund, LLC (ETC)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$3,706.94
Line 4	Interest/Dividend Income	-	-		\$0.08
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$3,707.02
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		-
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$3,707.02
	Total Disbursements for Receivership Operations			-	\$3,707.02
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$3,707.02
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				5
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 5 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Hybrid Fund, LLC (Hybrid)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$4,560.96
Line 4	Interest/Dividend Income	-	-		\$14.90
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$4,575.86
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$1,975.86
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,600.00
	Total Disbursements for Receivership Operations		-	-	\$4,575.86
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$4,575.86
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-			-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-			-
Line 17	DC & State Tax Payments	-			-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				21
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 21 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 1 duplicative claim
 - 1 late filed claim

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Income Opportunity Fund II, LLC (IOF II)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$17,436.79
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$192.34
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$17,629.13
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$16,429.13
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses				
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,200.00
	Total Disbursements for Receivership Operations		-	-	\$17,629.13
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$17,629.13
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				595
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 590 NOD forms provided by the Receiver and 5 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 1 late filed claim

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Income Opportunity Fund, LLC (IOF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$235,071.99
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$6,131.00
Line 5	Business Asset Liquidation	-	-		\$136,936.21
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$2,525.92
	Total Funds Available (Lines 1-8):			\$0.00	\$380,665.12
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-		-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$362,790.12
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$16,500.00
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,375.00
	Total Disbursements for Receivership Operations				\$380,665.12
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-

	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-		-	-
Line 12	Disbursements to Court/Other:				
Line 12	Disbursements to Court	-			-
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-			-
Line 12b	Federal Tax Payments	-			-
	Total Disbursements to Court/Other:	-		-	-
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$380,665.12
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	Cash & Cash Equivalents			\$0.00	\$0.00
Line 14b	Investments			-	-
Line 14c	Other Assets or Uncleared Funds			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	Disbursements for Plan Administration Expenses	-			-
Line 15a	Plan Development Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	Plan Implementation Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-

	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				101
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 90 NOD forms provided by the Receiver and 11 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 2 duplicative claims

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Income Protection Fund, LLC (IPF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$4,037.08
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$1,044,028.77
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$9,204.78
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,057,270.63
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-		-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$1,054,645.63
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,625.00
	Total Disbursements for Receivership Operations				\$1,057,270.63
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,057,270.63
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				428
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 423 NOD forms provided by the Receiver and 5 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 1 duplicative claim
 - 1 late filed claim

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas International Holdings, LLC (AIH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$3.66
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$15,000.00
Line 4	Interest/Dividend Income	-	-		\$170.84
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$15,174.50
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$14,774.50
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$100.00
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$300.00
	Total Disbursements for Receivership Operations			-	\$15,174.50
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$15,174.50
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **Aequitas Partner Fund, LLC (APF)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$5,612.19
Line 4	Interest/Dividend Income	-	-		\$472.70
Line 5	Business Asset Liquidation	-	-		\$47,899.29
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$53,984.18
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$47,871.99
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$5,362.19
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$750.00
	Total Disbursements for Receivership Operations			-	\$53,984.18
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$53,984.18
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				4
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 4 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Peer-To-Peer Funding, LLC (AP2PF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$43,352.91
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$897.61
Line 5	Business Asset Liquidation	-	-		\$36,499.28
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$27.27
	Total Funds Available (Lines 1-8):			\$0.00	\$80,777.07
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$77,787.96
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$989.11
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,000.00
	Total Disbursements for Receivership Operations	-	-	-	\$80,777.07
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$80,777.07
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				2
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 2 NOD forms provided by the Receiver since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **Aequitas Private Client Fund, LLC (PCF)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$7,599.71
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$3,900.04
Line 3	Cash and Securities	-	-		\$132,810.00
Line 4	Interest/Dividend Income	-	-		\$100,435.56
Line 5	Business Asset Liquidation	-	-		\$8,740,098.85
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$8,984,844.16
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$8,844,015.86
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$137,951.61
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,876.69
	Total Disbursements for Receivership Operations			-	\$8,984,844.16
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$8,984,844.16
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				27
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 4 NOD forms provided by the Receiver and 23 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 6 duplicative claims
 - 1 late filed claim

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **Aequitas Senior Housing Operations, LLC (ASHO)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,234.14
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,234.20
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,234.17
	Total Disbursements for Receivership Operations			-	\$1,234.20
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,234.20
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Senior Housing, LLC (ASH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$12.99
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$588.00
Line 4	Interest/Dividend Income	-	-		\$21,394.66
Line 5	Business Asset Liquidation	-	-		\$1,899,700.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,921,695.65
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$1,920,495.65
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,200.00
	Total Disbursements for Receivership Operations			-	\$1,921,695.65
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,921,695.65
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Wealth Management Partner Fund, LLC (AWMPF)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$875.00
Line 4	Interest/Dividend Income	-	-		\$0.02
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$875.02
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		-
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$0.02
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$875.00
	Total Disbursements for Receivership Operations		-	-	\$875.02
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$875.02
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas Wealth Management, LLC (AWM)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$25,776.90
Line 3	Cash and Securities	-	-		\$1,500.00
Line 4	Interest/Dividend Income	-	-		\$252.22
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):		-	\$0.00	\$27,529.12
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$22,809.12
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$4,720.00
	Total Disbursements for Receivership Operations		-	-	\$27,529.12
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$27,529.12
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aequitas WRFF I, LLC (AWRFFI)- Cash Basis
Receivership; Civil Court Case No. 3:16-cv-00438-JR
REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$100.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$3,220.00
Line 4	Interest/Dividend Income	-	-		\$107.72
Line 5	Business Asset Liquidation	-	-		\$164,003.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$5,968.97
	Total Funds Available (Lines 1-8):			\$0.00	\$173,399.69
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$4,565.47
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$164,508.69
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$4,325.53
	Total Disbursements for Receivership Operations			-	\$173,399.69
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$173,399.69
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-		-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-		-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				3
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 3 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 1 late filed claim

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Aspen Grove Equity Solutions, LLC (AGES)- Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$85,601.94
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$991.01
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$86,592.95
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$85,992.95
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$600.00
	Total Disbursements for Receivership Operations			-	\$86,592.95
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$86,592.95
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				2
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 2 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Campus Student Funding, LLC (CSF)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21		03/16/16 to 09/30/21	
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$780,268.32	\$2,170,204.80
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$2,487,131.04
Line 4	Interest/Dividend Income	\$324.23	\$324.23		\$306,805.14
Line 5	Business Asset Liquidation	\$165,013.51	\$165,013.51		\$37,528,069.40
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$15,586.60
	Total Funds Available (Lines 1-8):		\$165,337.74	\$945,606.06	\$42,507,796.98
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	\$1,961,505.22
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$32,184,289.87
Line 10a	Disbursements to Receiver or Other Professionals	-	-		\$1,081,640.78
Line 10b	Business Asset Expenses	\$12,965.00			\$5,564,706.20
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$775,000.00
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$8,013.85
	Total Disbursements for Receivership Operations		\$12,965.00	\$12,965.00	\$39,613,650.70
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$12,965.00	\$41,575,155.92
Line 13	Ending Balance (As of 09/30/21):			\$932,641.06	\$932,641.06
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$932,641.06	\$932,641.06
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$932,641.06	\$932,641.06

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				191
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

1. Represents 189 NOD forms provided by the Receiver and 2 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

November 1, 2021

Subschedule for Campus Student Funding, LLC (CSF) - Cash Basis
 Receivership; Civil Court Case No. 3:16-cv-00438-JR
 Reporting Period 07/01/2021 to 09/30/2021

FUND ACCOUNTING:						
		Subcategory	Detail	Subtotal	Grand Total	Reference
Line 1	Beginning Balance (As of 07/01/2021):				780,268.32	
	Increases in Fund Balance:					
Line 2	Business Income	-	-	-		
Line 3	Cash and Securities	-	-	-		
Line 4	Interest/Dividend Income	324.23	324.23	324.23		
Line 5	Business Asset Liquidation	-	165,013.51	165,013.51		
	Collections:					
	Campus Student Funding, LLC	165,013.51				
Line 6	Personal Asset Liquidation	-	-	-		
Line 7	Third-Party Litigation Income	-	-	-		
Line 8	Miscellaneous - Other	-	-	-		
	Total Funds Available (Lines 1 - 8):			165,337.74	945,606.06	
	Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-	-	
Line 10	Disbursements for Receivership Operations					
Line 10	Internal Transfers / Loans To:					
Line 10a	Disbursements to Receiver or Other Professionals	-	-	-		
Line 10b	Business Asset Expenses	-	12,965.00	-		
	Servicing Fees	12,965.00				
Line 10c	Personal Asset Expenses	-	-	-		
Line 10d	Hospital Settlements & Investment Expenses	-	-	-		
Line 10e	Third-Party Litigation Expenses	-	-	-		
	Total Third-Party Litigation Expenses	-	-	-		
Line 10f	Tax Administrator Fees and Bonds	-	-	-		
Line 10g	Federal and State Tax Payments	-	-	-		
	Total Disbursements for Receivership Operations			12,965.00	12,965.00	
Line 11	Disbursements for Distribution Expenses Paid by the Fund					
Line 11a	Distribution Plan Development Expenses					
	Total Plan Development Expenses			-		
Line 11b	Distribution Plan Implementation Expenses					
	Total Plan Implementation Expenses			-		
	Total Disbursements for Distribution Expenses Paid by the Fund			-	-	
Line 12	Disbursements to Court/Other:					
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-	-	-		
Line 12b	Federal Tax Payments	-	-	-		
	Total Disbursements to Court/Other:			-	-	
	Total Funds Disbursed (Lines 9 - 12):				12,965.00	
Line 13	Ending Balance (As of 09/30/2021):				<u>932,641.06</u>	

STANDARDIZED FUND ACCOUNTING REPORT for CarePayment Holdings, LLC (CPH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$293.18
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		\$7,227,348.43
Line 3	Cash and Securities	-	-		\$6,604,869.48
Line 4	Interest/Dividend Income	-	-		\$131.64
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$6,432.24
	Total Funds Available (Lines 1-8):			\$0.00	\$13,839,074.97
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$6,604,269.53
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$7,232,005.44
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,800.00
	Total Disbursements for Receivership Operations			-	\$13,839,074.97
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$13,839,074.97
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				5
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 5 NOD forms provided by the Receiver since the start of the Receivership

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **CarePayment, LLC (CPLLC)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$151,688.27
	Increases in Fund Balance:				
Line 2	Business Income	-	-		\$2,105,265.71
Line 3	Cash and Securities	-	-		\$24,976,176.63
Line 4	Interest/Dividend Income	-	-		\$173,885.40
Line 5	Business Asset Liquidation	-	-		\$114,619,975.25
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$2,786.92
	Total Funds Available (Lines 1-8):			\$0.00	\$142,029,778.18
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	\$39,842,380.46
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$19,359,778.06
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$23,309,589.28
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$59,514,777.13
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$3,253.25
	Total Disbursements for Receivership Operations	-	-	-	\$102,187,397.72
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$142,029,778.18
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>	-			
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund	-		-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:	-		-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				2,435
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				1

1. Represents 2,432 NOD forms provided by the Receiver and 3 individual claim submissions received by Epiq since the start of the Receivership

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for CP Funding I Holdings, LLC (CPFIH)- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$38.76
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$1,161.21
Line 4	Interest/Dividend Income	-	-		\$0.06
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$1,200.03
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$0.03
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$1,200.00
	Total Disbursements for Receivership Operations			-	\$1,200.03
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$1,200.03
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for Hickory Growth Partners, LLC (Hickory)- Cash Basis
Receivership; Civil Court Case No. 3:16-cv-00438-JR
REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$0.00
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$450.00
Line 4	Interest/Dividend Income	-	-		\$9.88
Line 5	Business Asset Liquidation	-	-		\$27,750.00
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$28,209.88
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	<i>Internal Transfers / Loans</i>	-	-		-
Line 10a	<i>Disbursements to Receiver or Other Professionals</i>	-	-		-
Line 10b	<i>Business Asset Expenses</i>	-	-		\$27,909.88
Line 10c	<i>Personal Asset Expenses</i>	-	-		-
Line 10d	<i>Hospital Settlements & Investment Expenses</i>	-	-		-
Line 10e	<i>Third-Party Litigation Expenses</i>	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	<i>Total Third-party Litigation Expenses</i>	-	-		-
Line 10f	<i>Tax Administrator Fees and Bonds</i>	-	-		-
Line 10g	<i>Federal and State Tax Payments</i>	-	-		\$300.00
	Total Disbursements for Receivership Operations			-	\$28,209.88
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	<i>Distribution Plan Development Expenses</i>	-	-		-
Line 11a	<i>Distribution Plan Development Expenses:</i>				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	<i>Total Plan Development Expenses</i>	-	-		-
Line 11b	<i>Distribution Plan Implementation Expenses:</i>				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-

	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	Disbursements to Court	-			
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees	-			
Line 12b	Federal Tax Payments	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$28,209.88
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	Cash & Cash Equivalents			\$0.00	\$0.00
Line 14b	Investments			-	-
Line 14c	Other Assets or Uncleared Funds			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	Disbursements for Plan Administration Expenses	-			-
Line 15a	Plan Development Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	Plan Implementation Expenses Not Paid by the Fund:	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-

	Claimant Identification	-			
	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **ML Financial Holdings, LLC (MLFH)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$7,078.90
Increases in Fund Balance:					
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$28.98
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
Total Funds Available (Lines 1-8):				\$0.00	\$7,107.88
Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$1,243.59
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		-
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$5,864.29
	Total Disbursements for Receivership Operations			-	\$7,107.88
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$7,107.88
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Miscellaneous	-			-
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			-
	Fund Administrator	-			-
	IDC	-			-
	Distribution Agent	-			-
	Consultants	-			-
	Legal Advisers	-			-
	Tax Advisers	-			-
	2. Administrative Expenses	-			-
	3. Investor Identification:	-			-
	Notice/Publishing Approved Plan	-			-
	Claimant Identification	-			-

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				3
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

1. Represents 3 NOD forms provided by the Receiver since the start of the Receivership

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)

Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **MotoLease Financial, LLC (MLF)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$33,789.29	\$1,910,987.78
Increases in Fund Balance:					
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$3,692,539.52
Line 4	Interest/Dividend Income	\$12.53	\$12.53		\$129,561.88
Line 5	Business Asset Liquidation	-	-		\$16,133,962.96
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		\$100.00
Line 8	Miscellaneous - Other	-	-		\$20,022.94
Total Funds Available (Lines 1-8):			\$12.53	\$33,801.82	\$21,887,175.08
Decreases in Fund Balance:					
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$20,007,230.21
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	\$2,822.60			\$1,824,966.90
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		\$0.00
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$23,998.75
Total Disbursements for Receivership Operations			\$2,822.60	\$2,822.60	\$21,856,195.86
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$2,822.60	\$21,856,195.86
Line 13	Ending Balance (As of 09/30/21):			\$30,979.22	\$30,979.22
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$30,979.22	\$30,979.22
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$30,979.22	\$30,979.22

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>	-			
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-			
Line 16b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments	-	-		-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund ¹</i>				45
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

- Represents 5 NOD forms provided by the Receiver and 40 individual claim submissions received by Epiq since the start of the Receivership, including:
 - 2 amended claims
 - 20 late filed claims

Receiver:

Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **The Hill Land, LLC (Hill Land)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$36,126.74
	Increases in Fund Balance:				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		-
Line 4	Interest/Dividend Income	-	-		\$1.66
Line 5	Business Asset Liquidation	-	-		-
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		-
	Total Funds Available (Lines 1-8):			\$0.00	\$36,128.40
	Decreases in Fund Balance:				
Line 9	Disbursements to Claimants	-	-	-	-
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$35,000.00
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$1,128.40
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		-
	Total Disbursements for Receivership Operations			-	\$36,128.40
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$36,128.40
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan
 (signature)
 Ronald F. Greenspan
 (printed name)
 Receiver
 (title)

Date: November 1, 2021

STANDARDIZED FUND ACCOUNTING REPORT for **Unigo Student Funding, LLC (USF)**- Cash Basis

Receivership; Civil Court Case No. 3:16-cv-00438-JR

REPORTING PERIOD 07/01/2021 TO 09/30/2021

FUND ACCOUNTING (See Instructions):		Current Reporting Period 07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 1	Beginning Balance			\$0.00	\$829,669.82
	<i>Increases in Fund Balance:</i>				
Line 2	Business Income	-	-		-
Line 3	Cash and Securities	-	-		\$185,000.00
Line 4	Interest/Dividend Income	-	-		\$8,173.09
Line 5	Business Asset Liquidation	-	-		\$1,009,807.74
Line 6	Personal Asset Liquidation	-	-		-
Line 7	Third-Party Litigation	-	-		-
Line 8	Miscellaneous - Other	-	-		\$4,904.80
	Total Funds Available (Lines 1-8):			\$0.00	\$2,037,555.45
	<i>Decreases in Fund Balance:</i>				
Line 9	Disbursements to Claimants	-	-	-	\$1,049,118.52
Line 10	Disbursements for Receivership Operations				
Line 10	Internal Transfers / Loans	-	-		\$951,453.40
Line 10a	Disbursements to Receiver or Other Professionals	-	-		-
Line 10b	Business Asset Expenses	-	-		\$34,587.03
Line 10c	Personal Asset Expenses	-	-		-
Line 10d	Hospital Settlements & Investment Expenses	-	-		-
Line 10e	Third-Party Litigation Expenses	-	-		-
	1. Attorney Fees	-	-		-
	2. Litigation Expenses	-	-		-
	Total Third-party Litigation Expenses	-	-		-
Line 10f	Tax Administrator Fees and Bonds	-	-		-
Line 10g	Federal and State Tax Payments	-	-		\$2,396.50
	Total Disbursements for Receivership Operations			-	\$988,436.93
Line 11	Disbursements for Distribution Expenses Paid by the Fund:				
Line 11	Distribution Plan Development Expenses	-	-		-
Line 11a	Distribution Plan Development Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	Independent Distribution Consultant (IDC)	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-
	3. Miscellaneous	-	-		-
	Total Plan Development Expenses	-	-		-
Line 11b	Distribution Plan Implementation Expenses:				
	1. Fees:				
	Fund Administrator	-	-		-
	IDC	-	-		-
	Distribution Agent	-	-		-
	Consultants	-	-		-
	Legal Advisers	-	-		-
	Tax Advisers	-	-		-
	2. Administrative Expenses	-	-		-

	3. Investor Identification: Notice/Publishing Approved Plan Claimant Identification Claims Processing Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. Federal Account for Investor Restitution (FAIR)	-			
	Reporting Expenses	-			
	<i>Total Plan Implementation Expenses</i>	-			
	Total Disbursements for Distribution Expenses Paid by the Fund	-			
Line 12	Disbursements to Court/Other:				
Line 12	<i>Disbursements to Court</i>	-			
Line 12a	<i>Investment Expenses/Court Registry Investment System (CRIS) Fees</i>	-			
Line 12b	<i>Federal Tax Payments</i>	-			
	Total Disbursements to Court/Other:	-			
	Total Funds Disbursed (Lines 9-12):			\$0.00	\$2,037,555.45
Line 13	Ending Balance (As of 09/30/21):			\$0.00	\$0.00
Line 14	Ending Balance of Fund - Net Assets:				
Line 14a	<i>Cash & Cash Equivalents</i>			\$0.00	\$0.00
Line 14b	<i>Investments</i>			-	-
Line 14c	<i>Other Assets or Uncleared Funds</i>			-	-
	Total Ending Balance of Fund - Net Assets			\$0.00	\$0.00

OTHER SUPPLEMENTAL INFORMATION:		07/01/21 to 09/30/21			03/16/16 to 09/30/21
		Detail	Subtotal	Grand Total	Case to Date
Line 15	Report of Items NOT To Be Paid by the Fund:				
	Disbursements for Plan Administration Expenses Not Paid by the Fund:				
Line 15	<i>Disbursements for Plan Administration Expenses</i>	-			-
Line 15a	<i>Plan Development Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Miscellaneous	-			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>	-			
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-			-
	1. Fees:	-			
	Fund Administrator	-			
	IDC	-			
	Distribution Agent	-			
	Consultants	-			
	Legal Advisers	-			
	Tax Advisers	-			
	2. Administrative Expenses	-			
	3. Investor Identification:	-			
	Notice/Publishing Approved Plan	-			
	Claimant Identification	-			

	Claims Processing	-			
	Web Site Maintenance/Call Center	-			
	4. Fund Administrator Bond	-			
	5. Miscellaneous	-			
	6. FAIR Reporting Expenses	-			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>		-		
Line 15c	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>		-		
	Total Disbursements for Plan Administrative Expenses Not Paid by the fund		-	-	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:				
Line 16a	<i>Investment Expenses/CRIS Fees</i>		-		
Line 16b	<i>Federal Tax Payments</i>		-		
	Total Disbursements to Court/Other Not Paid by the Fund:		-	-	-
Line 17	DC & State Tax Payments		-	-	-
Line 18	No. of Claims:				
Line 18a	<i># of Claims Received This Reporting Period</i>				-
Line 18b	<i># of Claims Received Since Inception of Fund</i>				-
Line 19	No. of Claimants / Investors:				
Line 19a	<i># of Claimants / Investors Paid This Reporting Period</i>				-
Line 19b	<i># of Claimants / Investors Paid Since Inception of Fund</i>				-

Receiver:

By: Ronald F. Greenspan

(signature)

Ronald F. Greenspan

(printed name)

Receiver

(title)

Date: November 1, 2021

Exhibit C

Acronyms Glossary

Acronym	Full Name
ACF	Aequitas Commercial Finance, LLC
ACF-PN	Aequitas Commercial Finance Private Notes
ACL	Aequitas Corporate Lending, LLC
ACM	Aequitas Capital Management, Inc.
AH or AHL	Aequitas Holdings, LLC
AICPA	American Institute of Certified Public Accountants
AIM	Aequitas Investment Management, LLC
AM or AML	Aequitas Management, LLC
APF	Aequitas Partner Fund, LLC
ASFG	American Student Financial Group, Inc.
CFPB	Consumer Financial Protection Bureau
CPFIT	CP Funding I Trust
CPLLC	CarePayment LLC
CPYT	CarePayment Technologies, Inc.
CSF	Campus Student Funding, LLC
D&O	Directors and Officers Liability Insurance
DTI	Document Technologies, Inc.
FTI	FTI Consulting, Inc.
IAC	Investment Advisory Committee
IRA	Individual Retirement Account
IRS	Internal Revenue Service
IT	Information Technology
LLC	Limited Liability Company
LLP	Limited Liability Partnership
LP	Limited Partnership
Ltd.	Limited Company
MLF	Motolease Financial, LLC
PCF	Aequitas Private Client Fund, LLC
PSF	Portland Seed Fund
RFP	Request For Production
S.A.	Société anonyme
SaaS	Software as a Service
SEC	Securities and Exchange Commission