### IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

In re:		)	Chapter 11
Tricida, Inc., <sup>1</sup>		)	Case No. 23-10024 (JTD)
	Debtor.	)	
		)	Related Docket No. 593

CERTIFICATION OF COUNSEL REGARDING THE LIQUIDATING TRUSTEE'S FIRST OMNIBUS OBJECTION TO (I) INSUFFICIENT DOCUMENTATION CLAIMS, (II) AMENDED AND SUPERSEDED CLAIMS, (III) EQUITY CLAIMS, AND (IV) LATE FILED CLAIMS (NON-SUBSTANTIVE)

Undersigned counsel for Jackson Square Advisors, as trustee (the "Liquidating Trustee") of the Tricida Liquidating Trust (the "Liquidating Trust") hereby certifies as follows:

- 1. On August 25, 2023, the Liquidating Trustee filed its *First Omnibus Objection to* (I) Insufficient Documentation Claims, (II) Amended and Superseded Claims, (III) Equity Claims, and (IV) Late Filed Claims (Non-Substantive) [DI 593] (the "Claim Objection"). Pursuant to the Notice filed with the Claim Objection, the deadline to object or respond to the Claim Objection was September 13, 2023.
- 2. The Court held a hearing on the Claim Objection and ruled that it would sustain the Claim Objection with the following exceptions: (i) the Liquidating Trustee agreed to withdraw the Claim Objection with respect to Claim No. 88 filed by Emily Chao, which is listed on Exhibit A to the proposed order filed with the Claim Objection; (ii) the parties have agreed to continue the hearing on Claim No. 164 filed by US Customs and Border Protection, which is listed on Exhibit A to the proposed order filed with the Claim Objection, to a date to be determined; and (iii) the parties have agreed to continue the hearing on Claim No. 188 filed by David Bonita and Claim

<sup>&</sup>lt;sup>1</sup> The Debtor in this chapter 11 case, together with the last four digits of the Debtor's federal tax identification number, is Tricida, Inc. (2526). The Debtor's service address is 2108 N Street, Suite 4935, Sacramento, CA 95816.



No. 189 filed by Klaus Veitenger, which are listed on Exhibit D to the proposed order filed with the Claim Objection, until November 14, 2023.

3. The undersigned certifies that the proposed order attached hereto as **Exhibit 1** (the "Proposed Order") incorporates the changes to Exhibit A and Exhibit D. A blacklined version of the Proposed Order showing the changes from the proposed order filed with the Objection is attached hereto as **Exhibit 2**.

WHEREFORE, the Liquidating Trustee respectfully requests that this Honorable Court enter the Proposed Order attached hereto as Exhibit 1.

Dated: October 11, 2023 Wilmington, Delaware SULLIVAN · HAZELTINE · ALLINSON LLC

/s/ William A. Hazeltine

William D. Sullivan (No. 2820) William A. Hazeltine (No. 3294) 919 North Market Street, Suite 420 Wilmington, Delaware 19801

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Attorneys for Jackson Square Advisors

## EXHIBIT 1

### IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

In re:		)	Chapter 11
Tricida, Inc., 1	Dahtan	)	Case No. 23-10024 (JTD)
	Debtor.	)	Related Docket No. 593

ORDER SUSTAINING THE LIQUIDATING TRUSTEE'S FIRST OMNIBUS OBJECTION TO (I) INSUFFICIENT DOCUMENTATION CLAIMS, (II) AMENDED AND SUPERSEDED CLAIMS, (III) EQUITY CLAIMS, AND (IV) LATE FILED CLAIMS (NON-SUBSTANTIVE)

Upon the First Omnibus Objection to (I) Insufficient Documentation Claims, (II) Amended and Superseded Claims, (III) Equity Claims, and (IV) Late Filed Claims (Non-Substantive) (the "Objection")<sup>2</sup>; and it appearing that notice of the Objection was good and sufficient upon the particular circumstances and that no other or further notice need be given; and the Court having considered the Objection, the claims listed on **Exhibits A through D** attached hereto, and any responses thereto; and the Court having reviewed and considered the Nathan Declaration; and after due deliberation thereon and good and sufficient cause appearing therefore; it is hereby

#### FOUND AND DETERMINED THAT:

- A. The Objection is a core proceeding under 28 U.S.C. § 157(b)(2).
- B. This Court has authority to enter a final order in this matter consistent with Article III of the United States Constitution.
- C. Each holder of a Claim listed on **Exhibits A through D** attached hereto was properly and timely served with a copy of the Objection, this Order, the accompanying exhibits

<sup>&</sup>lt;sup>1</sup> The Debtor in this chapter 11 case, together with the last four digits of the Debtor's federal tax identification number, is Tricida, Inc. (2526). The Debtor's service address is 2108 N Street, Suite 4935, Sacramento, CA 95816.

<sup>&</sup>lt;sup>2</sup> Capitalized terms used but not otherwise defined herein shall have the meaning ascribed to them in the Objection.

and the notice of hearing and response deadline.

- D. Any entity known to have an interest in the Claim subject to the Objection has been afforded reasonable opportunity to respond to, or be heard regarding, the relief requested in the Objection.
- E. The relief requested in the Objection is in the best interests of the Debtors, their estates, their creditors, and other parties in interest.

#### IT IS THEREFORE ORDERED THAT:

- 1. The Objection is SUSTAINED, as set forth herein.
- 2. Each of the Insufficient Documentation Claims identified on **Exhibit A** attached hereto is disallowed and expunged.
- 3. Each of the Amended and Superseded Claims identified on **Exhibit B** attached hereto is disallowed in its entirety. The Amended and Superseded Claims listed in the column titled "Surviving Claim" identified on **Exhibit B** hereto shall remain on the Claims Register, subject to the Liquidating Trustee's further objections on any substantive or non-substantive grounds.
- 4. Each of the Equity Claims identified on **Exhibit C** attached hereto is disallowed and expunged in its entirety.
- 5. Each Late Filed Claim identified on **Exhibit D** attached hereto is disallowed and expunged in its entirety.
- 6. Nothing in the Objection or this Order shall be construed as an allowance of any Claim.
- 7. The Liquidating Trustee's right to amend, modify, or supplement this Objection, to file additional objections to the Claims or any other claims (filed or not) which may be asserted

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against the Debtors, and to seek further reduction of any Claim to the extent such Claim has been

paid, are preserved to the extent permitted by Del. Bankr. L.R. 3007-1. Additionally, should one

or more of the grounds of objection stated in the Objection be dismissed, the Liquidating Trustee's

right to object on other stated grounds or any other grounds that the Liquidating Trustee discovers

during the pendency of these chapter 11 cases are further preserved to the extent permitted by Del.

Bankr. L.R. 3007-1.

8. This Court shall retain jurisdiction over the Liquidating Trustee, the Debtors and

the Claimants whose Claims are subject to the Objection with respect to any matters related to or

arising from the Objection or the implementation of this Order.

9. Each Claim and the objections by the Liquidating Trustee to such Claim, as

addressed in the Objection and set forth on Exhibits A through D hereto, constitutes a separate

contested matter as contemplated by Bankruptcy Rule 9014 and Local Rule 3007-1. This Order

shall be deemed a separate Order with respect to each Claim. Any stay of this Order pending appeal

by any Claimant whose Claims are subject to this Order shall apply to the contested matter which

involves such Claimant and shall not act to stay the applicability and/or finality of this Order with

respect to the other contested matters listed in the Objection or this Order.

Dated: \_\_\_\_\_\_, 2023 Wilmington, Delaware

The Henry 11 Library Decrees

The Honorable John T. Dorsey United States Bankruptcy Judge

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### EXHIBIT A

#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A

#### **Insufficient Information Provided**

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
1	Omar Benyoucef 209B Compassvale Lane 08-106 Singapore 542209	02/21/2023	78	\$450.00	Claim No. 78 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
2	Keith Buvia 21428 Caladonia Hazel Park, MI 48030	02/16/2023	57	Unliquidated	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
4	Cintas Corporation 6800 Cintas Boulevard Mason, OH 45040	06/27/2023	186	\$3,472.08	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
5	Ryan Haferbecker 48850 Avenida Anselmo La Quinta, CA 92253	03/10/2023	153	\$26.00	Claim No. 153 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
6	Ayesha Khan 507 Potrero Ave San Francisco, CA 94110	05/21/2023	184	\$1,000.00	Claim No. 184 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
7	Akm B Khoda 1458 Stillwater Ave Bangor, ME 04401	02/06/2023	21	\$732.50	Claim No. 21 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
8	Breann Liston 703 Maxwell Ave Labelle, PA 15450	05/13/2023	182	\$.42	Claim No. 182 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant
9	William B. Mayer 1376 Marseille Ln Roseville, CA 95747	03/07/2023	139	\$30,060.00	Claim No. 139 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
10	Mena Mohareb 41 Francis Ave Shrewsbury, MA 01545	02/03/2023	13	No amount specified	Claim No. 13 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
11	Terry Mott 1108 South Washington St. Harrisburg, IL 62946	02/06/2023	15	No amount specified	Claim No. 15 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
12	Ezra Njoku 510 Sage Hill Grove NW Calgary, AB T3R0Z8 Canada	03/09/2023	152	No amount specified	Claim No. 152 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
13	Danilo Raymundo 43 Verbena St Winnipeg, MB R2V 3A4 Canada	02/23/2023	86	\$410.39 CND	Claim No. 86 states that the basis of the claim is "Bankruptcy" and does not include or attach any documentation in support of the claim.  Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant
14	Jeremy Trasser 825 Oakwood Lane Unit D Brookfield, WI 53045	02/10/2023	38	\$500.00	Claim No. 38 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
16	Kimberly Welander General Delivery La Crosse, WI 54601	03/09/2023	151	\$7,974.00	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
17	Michael D Williams 275 North Front Street Creswell, OR 97426	02/10/2023	42	\$281.00	Claim No. 42 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
18	Jacqueline Yaul 700 Francisco Street Berkeley, CA 94703	03/20/2023	161	Unspecified	Claim No. 42 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

### EXHIBIT B

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# Tricida, Inc. Case No. 23-10024 (JTD) Exhibit B Amended & Superseded Claims

No.	Creditor Name	Date Filed	Surviving Claim	Amended and Disallowed Claim	Claim Amount	Reason for Disallowance
1	Argo Partners, as assignee of Clinigen Clinical Supplies Management, Inc. 12 West 37th Street, Ste 900 New York, NY 10018	03/07/2023	190	128	\$443,178.43	Claim No. 190 amends and supersedes Claim No. 128
2	Argo Partners, as Assignee of Workbox, Inc. 12 West 37th Street, Ste 900 New York, NY 10018	02/03/2023	178	12	\$7,750.00	Claim No. 178 amends and supersedes Claim No. 12 (filed by Argo Partners)
3	Argot Partners, LLC 767 Third Avenue 34th Fl New York, NY 10017	01/30/2023	31	4	\$41,213.90	Claim No. 31 amends and supersedes Claim No. 4
4	TCG Builders, Inc. DBA The Core Group 890 N. McCarthy Blvd., Suite 100 Milpitas, CA 95035	01/30/2023	3	2	\$33,546.00	Claim No. 3 amends and supersedes Claim No. 2
5	TRC Master Fund LLC PO Box 633 Woodmere, NY 11598	03/06/2023	140	123	\$1,359,762.99	Claim No. 140 amends and supersedes Claim No. 123

## EXHIBIT C

# Tricida, Inc. Case No. 23-10024 (JTD) Exhibit C Equity Claims\*

#### \*Claims are in alphabetical order by first name

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
1	Adam Leinwand 1982 2nd Ave Apt 4C New York, NY 10029	02/06/2023	14	\$325.69	Proof of claim form (Box 8) states that the basis of the claim is stock shares.
2	Ahmed Elsakar 2655 45th Avenue San Francisco, CA 94116	03/10/2023	154	\$5,383.00	Proof of claim form (Box 8) states that the basis of the claim is Stocks.
3	Alan Weick 16 Walker Ln Woodbridge, CT 06525	02/21/2023	71	\$5.42	Proof of claim form (Box 8) states that the basis of the claim is Stock Purchase.
4	Alberto Garcia Torres 154 Caldera Ln Hemet, CA 92545	04/09/2023	172	\$191.50	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
5	Alex Bekkes 53 Duaue Lane Demarest, NY 07627	02/15/2023	55	\$1,000.00	Proof of claim form (Box 8) states that the basis of the claim is Stocks via Fidelity.
6	Andrew C. Ksenzulak 21221 Gift Road Bend, OR 97703	02/22/2023	80	\$1,056.00	Proof of claim form (Box 8) states that the basis of the claim is TCDA stock.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
7	Ann Neilson and L. N. (Minor) Address on File	03/20/2023	162	\$89,010.38	Proof of claim form (Box 8) states that the basis of the claim is Stock owners, ESPP owner, custodial account owner.
8	Anthony Gordon 46915 Cooper Foster Park Rd Amherst, OH 44001	03/01/2023	107	\$114.00	Proof of claim form (Box 8) states that the basis of the claim is Shares.
9	Brandon Chewey 12 Pebble Beach Way Washington, NJ 07882-1577	02/13/2023	44	\$72.60	Proof of claim form (Box 8) states that the basis of the claim is Stock.
10	Brian Bolden 1012 Watkins Glen Ct Marysville, OH 43040	02/09/2023	32	\$25,490.00	Proof of claim form (Box 8) states that the basis of the claim is Stock Holdings Losses.
11	Brian Turmenne PO Box 3153 Beverly, MA 01915	02/16/2023	58	\$12,100.99	Proof of claim form (Box 8) states that the basis of the claim is Stockholder.
12	Bryan Miller 2209 Union Ave Punta Gorda, FL 33950-4624	03/27/2023	168	\$7,004.80	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.
13	C. H Minor Child UCAUTMA c/o Nelle Elizabeth Medanich Hirai, Mother Address on File	05/09/2023	181	\$450.90	Proof of claim form (Box 8) states that the basis of the claim is Decline of Stock holding.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
14	Calla Zhi Wong 333 Fell Street # 320 San Francisco, CA 94102	02/25/2023	89	\$2,834.40	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
15	Carlos Hernandez 817 N. 10th St, Apt 322 San Jose, CA 95112	03/01/2023	106	\$102.22	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
16	Carolyn Wagner 1278 Muir Ct Tracy, CA 95304	02/28/2023	98	\$18.34	Proof of claim form (Box 8) states that the basis of the claim is stocks purchased.
17	Chabibe Amam 861 E North Broadway St Columbus, OH 43224	03/08/2023	149	\$1,260.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
18	Christian Ace Tambago Tricida Inc 424 Rang Saint Regis Saint-Isidore, QC J0L 2A0 Canada	02/22/2023	84	\$540.00	Proof of claim form (Box 8) states that the basis of the claim is stocks.
19	Christian Marcel Julliay 5400 Los Robles Dr Carlsbad, CA 92008	03/07/2023	138	\$10,556.90	Proof of claim form (Box 8) states that the basis of the claim is Funds paid for 1100 TCDA on 7/19/22.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
20	Chunqi Geng 16628 Cimarron Crest Drive San Diego, CA 92127	02/06/2023	19	\$155.66	Proof of claim form (Box 8) states that the basis of the claim is Tricida Company stock.
21	David Worley 4797 Rivers Edge Drive Troy, MI 48098	02/20/2023	66	\$51,417.76	Proof of claim form (Box 8) states that the basis of the claim is stockholder.
22	Derek A Lee 621 Doc Norton Road Walland, TN 37886	02/10/2023	36	\$200.00	Proof of claim form (Box 8) states that the basis of the claim is Stock.
23	Dharmesh Modiya Unit 1808, 470 Sentinel Road Toronto, ON M3J 1V6 Canada	02/26/2023	91	\$1,276.30	Proof of claim form (Box 8) states that the basis of the claim is Stock Purchase.
24	Dhiraj Gautam 1520 Bellflower St Kingsburg, CA 93631	04/04/2023	169	\$1,050.66	Proof of claim form (Box 8) states that the basis of the claim is Stocks purchased.
25	Don Zimmerman 200 23rd St NW Minot, ND 58703	03/01/2023	105	\$491.84	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
26	Donald M. Sirianni 1900 Empire Blvd 157 Webster, NY 14580	02/21/2023	68	\$3,935.32	Proof of claim form (Box 8) states that the basis of the claim is Tricida Stock.
27	Dusan Radovanovic 6820 Praire Street Morton Grove, IL 60053	03/15/2023	157	\$23.95	Proof of claim form (Box 8) states that the basis of the claim is Tricida Stock.
28	Elizabeth Holmes 2443 Fillmore Street #370 San Francisco, CA 94115	02/01/2023	8	\$5,134.50	Proof of claim form (Box 8) states that the basis of the claim is Shareholder.
29	Eric Humbracht 42W039 Il Route 64 Campton Hills, IL 60175	02/18/2023	65	\$400.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
30	Ernest N Belser 2304 N Ellamont St Baltimore, MD 21216	02/21/2023	73	\$2,227.74	Proof of claim form (Box 8) states that the basis of the claim is purchase of stock.
31	Evren Ozbey 576 E Verdugo Ave Apt D Burbank, CA 91501	02/21/2023	72	Not Specified	Information written in proof of claim form Box 11 indicates that the claim relates to stock ownership.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
32	Francis D. Bautista 11838 Penford Drive Whittier, CA 90604	03/08/2023	148	\$11,777.00	Proof of claim form (Box 8) states that the basis of the claim is stocks.
33	Frank J. Swiatowiec 7260 Scarsdale Place San Jose, CA 95120	03/03/2023	113	\$8,519.80	Proof of claim form (Box 8) states that the basis of the claim is Stock Purchase.
34	Gary Acenas 2167 Pamplona Court Escondido, CA 92025	02/09/2023	34	\$5,000.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
35	Gary T Sandler 3016 Swan Hill Dr Las Vegas, NV 89134	02/17/2023	63	\$1,900.00	Proof of claim form (Box 8) states that the basis of the claim is Stockholder.
36	Ge Zhao 132 Summerdale Dr Thornhill, ON L3T 6Y6 Canada	03/21/2023	163	\$4,013.90	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
37	Georgina Johnston 860 Vista Grande Millbrae, CA 94030	02/01/2023	7	Unliquidated	Proof of claim form (Box 8) states that the basis of the claim is 5,660 shares of Tricida.
38	Gregory Randall Kauffman 3484 San Pablo Ln San Miguel, CA 93451	04/21/2023	179	\$2,016.00	Proof of claim form (Box 8) states that the basis of the claim is 126 Shares of Stock TCDAQ.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
39	Hai Hoang Phuoc 1074 Attlee Avenue Sudbury, ON P3A 3J2 Canada	02/28/2023	96	\$925.00	Proof of claim form (Box 8) states that the basis of the claim is Stock from Tricida.
40	Hanten Day 1202 Nisqually St Steilacoom, WA 98388	03/08/2023	150	\$7,393.76	Proof of claim form (Box 8) states that the basis of the claim is security.
41	Holly Gish 28 Milton Avenue Cranston, RI 02905	02/09/2023	28	\$35.59	Proof of claim form (Box 8) states that the basis of the claim is Stock purchase.
42	Ibrahima Ousmane Ida 2593 Longfields Dr Nepean, ON K2J 2L7 Canada	02/27/2023	95	\$2,013.65	Proof of claim form (Box 8) states that the basis of the claim is TCDA shares bought.
43	Jacob Lerch 152 Verano Street Kingsland, GA 31548	03/06/2023	124	\$709.20	Proof of claim form (Box 8) states that the basis of the claim is Stock Shares.
44	James Knobbe 6331 N Klamm Rd, Unit 649 Kansas City, MO 64151	03/06/2023	125	\$2,485.00	Proof of claim form (Box 8) states that the basis of the claim is Stock shares.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
45	Janice A. Porell TTEE, The Janice A Porell Family Trust 260 High St Winchester, MA 01890	03/02/2023	110	\$7,918.54	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.
46	Jay T Ho 9992 Boca Cir Naples, FL 34109-7318	02/08/2023	25	\$2,550.00	Proof of claim form (Box 8) states that the basis of the claim is shareholder.
47	Jeff Fiore 4617 Rocky Ridge Trail Little Elm, TX 75068	02/01/2023	6	\$3,454.25	Proof of claim form (Box 8) states that the basis of the claim is 173 Shares purchased.
48	Jeffrey Craig Mase 24501 Deer Trace Dr Ponte Vedra Beach, FL 32082	02/11/2023	39	\$4,535.00	Proof of claim form (Box 8) states that the basis of the claim is I own 500 shares of TCDA stock. Now it is TCDAQ.
49	Jin Lee 895 South Country Glen Way Anaheim, CA 92808	02/22/2023	81	\$12,693.84	Proof of claim form (Box 8) states that the basis of the claim is Stock purchase.
50	Joel R Baker 139 Autumn Ridge Drive Knightdale, NC 27545	03/01/2023	101	Not Specified	Proof of claim form (Box 8) states that the basis of the claim is shares owned.
51	Joined Eneida & Steven Harmening 15005 E Oregon Dr Aurora, CO 80012	02/13/2023	45	\$560.44	Proof of claim form (Box 8) states that the basis of the claim is

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
52	Jonathan Mikkelson 1602 Mervine St Monterey, CA 93940	02/09/2023	33	\$3,230.01	Proof of claim form (Box 8) states that the basis of the claim is 5,000 shares of Tricida common stock.
53	Jordan M. Verroi PO Box 1036 Telluride, CO 81435	04/11/2023	175	\$4,448.70	Proof of claim form (Box 8) states that the basis of the claim is Stock Shares.
54	Joshua Collin Watson 3129 Ridgecrest Dr Rocky Mount, NC 27803	04/08/2023	171	\$136.50	An attachment to the claim states that the claim is based on stock purchased through Webull 01/17/2023 1000 shares at .1365.
55	Kamalalayam Pooranampillai 430 McLevin Ave Scarborough, ON M1B 5P1 Canada	02/22/2023	83	\$3,866.76	Proof of claim form (Box 8) states that the basis of the claim is Common shares.
56	Kashif Memon 18511 Winford Arbor Lane Richmond, TX 77407	03/05/2023	119	\$8,479.48	Proof of claim form (Box 8) states that the basis of the claim is Stock purchase.
57	Kristin Anne Cramer 5106 Fulton Sherman Oaks, CA 91423	02/21/2023	70	\$9,560.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
58	Lantz Family Investments, LLC 1600 Thicket Ln Sarasota, FL 34240	03/07/2023	134	\$10,000.00	Proof of claim form (Box 8) states that the basis of the claim is Stock Purchase.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
59	Larry and Amy Wilkinson 2630 Westshire Drive West Valley City, UT 84119	02/27/2023	92	\$335.00	Proof of claim form (Box 8) states that the basis of the claim is Stockholder – 600 shares.
60	LaVon Jackson 305 Brook Hollow Dr DeSoto, TX 75115	02/10/2023	35	\$2,924.94	Proof of claim form (Box 8) states that the basis of the claim is Stockholder losses.
61	Lawrence (Larry) Blower 3411 Woodburn Ave Victoria, BC V8P 5B8 Canada	03/14/2023	155	\$13,196.48	Proof of claim form (Box 8) states that the basis of the claim is Investment-Stock
62	Lesley Bernice Alvarado 8923 Coronado Ct Indianapolis, IN 46234	02/06/2023	20	\$1,206.85	Proof of claim form (Box 8) states that the basis of the claim is Own 2200 shares.
63	Linda Thomson 7219 Meadowlark Lane Brentwood Bay, BC V8M 1J9 Canada	03/17/2023	159	\$9,084.42 CND	Proof of claim form (Box 8) states that the basis of the claim is Investment, Stock purchase.
64	Marjorie Ann Swiatowiec 7260 Scarsdale Pl San Jose, CA 95120	03/03/2023	114	\$8,510.00	Proof of claim form (Box 8) states that the basis of the claim is Stock Purchase.
65	Marjun Balatero 109 Sweetbay Park Youngsville, NC 27596	02/21/2023	74	\$3,121.25	Proof of claim form (Box 8) states that the basis of the claim is 10,000 shares of Tricida stocks.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
66	Mathew Edwards 227 Vista Way Benbrook, TX 76126	02/01/2023	5	\$14,000.00	Information attached to the claim form provides as follows: I was a shareholder in Tricida with over 175000 shares.
67	Matthew Giesen 111 Rhode Island St, Suite 8 San Francisco, CA 94103	02/28/2023	99	\$203.44	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
68	Maya Bechi 19914 Mountain Dale Drive Cypress, TX 77433	04/09/2023	173	\$1,164.00	Proof of claim form (Box 8) states that the basis of the claim is common stock.
69	Michael Cody Conley 520 Flint Rd Waco, KY 40385	02/09/2023	29	\$1,767.80	Proof of claim form (Box 8) states that the basis of the claim is Stock.
70	Michael Tagle 1450 Jackson Way Alamo, CA 94507	02/26/2023	90	\$3,018.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
71	Mohammad Burghol 1515 Creighton Rd Pensacola, FL 32504	02/14/2023	47	\$662.00	Proof of claim form (Box 8) states that the basis of the claim is Stocks.
72	Nathan W Hudson 5215 S Walden Cir Centennial, CO 80015	03/01/2023	104	\$500.00	Proof of claim form (Box 8) states that the basis of the claim is 500 Shares of Stock Purchased 11/22/2022.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
73	Nicole A. Fisher 141 Middessa Dr Middletown, DE 19709	02/14/2023	51	\$550.09	Proof of claim form (Box 8) states that the basis of the claim is loss on stock purchase.
74	Nora Stejer 18350 Bywood Dr Cottonwood, CA 96022	03/05/2023	118	\$30.58	Proof of claim form (Box 8) states that the basis of the claim is Stocks purchased.
75	Norman Pollock 5400 Mason Dr Fort Belvoir, VA 22060	02/13/2023	41	\$667.88	Proof of claim form (Box 8) states that the basis of the claim is Shareholder.
76	Pasquale Yasparro 15370 Briarcrest Circle Ft Myers, FL 33912	02/02/2023	10	\$3,008.08	Proof of claim form (Box 8) states that the basis of the claim is Stock Owner/Holder.
77	Paul Collins 7242 E. North Shore Drive Hartsburg, MO 65039	03/02/2023	109	\$3,550.00	Proof of claim form (Box 8) states that the basis of the claim is 100 shares of TCDA stock.
78	Paul Scanga 34 Plum Rd Mahopac, NY 10541-4247	03/20/2023	160	\$1,282.50	Proof of claim form (Box 8) states that the basis of the claim is securities sold.
79	Piratheepan Pooranampillai 430 Mclevin Ave Apt - 1514 Scarborough, ON M1B 5P1 Canada	02/22/2023	82	\$10,106.82	Proof of claim form (Box 8) states that the basis of the claim is Common shares.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
80	Praveen Kumar Goyal 1119 Saddlebrook Dr Murphy, TX 75094	03/07/2023	132	\$1,289.27	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
81	Raja Rajeswari Vegunta 5325 Stream Bank Lane Greenbelt, MD 20770	04/18/2023	177	\$2,200.00	Proof of claim form (Box 8) states that the basis of the claim is stock.
82	RANDALL B DEHAAN 27610 239TH PL SE MAPLE VALLEY, WA 98038	02/15/2023	53	\$21,407.00	Proof of claim form (Box 8) states that the basis of the claim is Stock shares purchased and held.
83	Remus Vezan 2550 Royal Oaks Dr Alamo, CA 94507-2227	02/23/2023	87	\$64,616.21	Proof of claim form (Box 8) states that the basis of the claim is Stocks.
84	Richard D. Emmons 41 Stoney Creek Drive Egg Harbor Township, NJ 08234	02/21/2023	69	\$940.00	Proof of claim form (Box 8) states that the basis of the claim is 100 shares of Common Stock.
85	Riham Elshanawany Tricida Inc 525 Woodchase Street Ottawa, ON K2W 1J2 Canada	03/01/2023	103	Not specified.	Proof of claim form (Box 8) states that the basis of the claim is Stocks owned.
86	Robert Fett 4224 Tyrone Way Carmichael, CA 95608-1649	02/15/2023	52	\$372.36	Proof of claim form (Box 8) states that the basis of the claim is stocks owned.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
87	Robert Lenza 25 King Rd Bedford, NH 03110	03/07/2023	136	\$34,453.19	Proof of claim form (Box 8) states that the basis of the claim is Cost basis of shares at Vanguard and Etrade.
88	Robert S. Thompson 21952 E. Calle de Flores Queen Creek, AZ 85142	03/07/2023	137	\$410.00	Proof of claim form (Box 8) states that the basis of the claim is Options trade – see attached.
89	Ronald and Linda Quick 1088 Blue Springs Road Pineview, GA 31071-5234	03/07/2023	130	\$618.27	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.
90	Ronald J G Junk 801 - 1190 Richmond Road Ottawa, ON K2B 8J3 Canada	03/02/2023	111	\$1,398.95	Proof of claim form (Box 8) states that the basis of the claim is 200 shares purchased on Feb. 01, 2021.
91	Rose Smith 703 Antler Dr Statesboro, GA 30458	02/07/2023	22	\$149.53	Proof of claim form (Box 8) states that the basis of the claim is Investment stock loss.
92	Rukshana Mehta Merwan Mehta 1402 Bloomsbury Rd Greenville, NC 27858	02/07/2023	18	\$7,212.00	Proof of claim form (Box 8) states that the basis of the claim is 6,000 shares of TCDA at 1.2021 average per share for 7,212.
93	Russell L Hunter 4711 Mrs White Ln Mebane, NC 27302	02/17/2023	62	\$1,901.26	Proof of claim form (Box 8) states that the basis of the claim is Loss on sale of TCDA common stock.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
94	Samuel Sheng-Fong Hsieh 9015 Leslie Street, Suite LP20 Richmond Hill, ON L4B 4J8 Canada	02/22/2023	79	\$351.48	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
95	Sergey Davidenko 4735 Ashwell Lane Suwanee, GA 30024	03/06/2023	121	\$181.50	Proof of claim form (Box 8) states that the basis of the claim is Stocks.
96	Simon Marc Haefliger Feldeggstrasse 63 Schonbuhl, Bern 3322 Switzerland	05/14/2023	183	Not Specified	Proof of claim form (Box 8) states that the basis of the claim isI have 800 TCDA stocks.
97	Sivakumar V. Amar 16427 Ivy Lake Drive Odessa, FL 33556	04/14/2023	176	Not Specified	Proof of claim form (Box 8) states that the basis of the claim is owner of company shares.
98	Susan Jane Cramer 2353 Miramonte Circle East #E Palm Springs, CA 92264	02/21/2023	75	\$1,178.00	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.
99	Susan Jane Cramer 2353 Miramonte Circle East #E Palm Springs, CA 92264	02/21/2023	76	\$6,704.00	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.
100	Susan Jane Cramer 2353 Miramonte Circle East #E Palm Springs, CA 92264	02/21/2023	77	\$9,099.00	Proof of claim form (Box 8) states that the basis of the claim is stock purchase.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
101	Susanne and or Ian Middleditch 131 Payne Road Box 99 Saturna Island, BC V0N 2Y0 Canada	03/03/2023	117	\$10,209.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
102	Thomas A. Marciniak and Chi Ho Marciniak 39344 Hatteras Dr Bethany Beach, DE 19930	02/13/2023	43	\$4,745.44	Proof of claim form (Box 8) states that the basis of the claim is 200 shares of TCDAQ, Tricida Inc.
103	Travis Dean 3820 E 180 N Rigby, ID 83442	06/26/2023	184	\$1,832.07	Proof of claim form (Box 8) states that the basis of the claim is own shares.
104	Tuyen Mai 7264 Brittany Park Avenue West Jordan, UT 84084	03/07/2023	131	\$28,961.09	Proof of claim form (Box 8) states that the basis of the claim is 406R - 33,000 shares for 22,770 / 568R - 9,000 shares for 6,191.09
105	Wilder Nunez Tricida, Inc. 215 Meadow Run Carrollton, GA 30116	02/13/2023	46	\$964.00	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.
106	William Owen 510 10th Ave NW Jasper, FL 32052	02/18/2023	64	Not Specified.	The attachment to the claim demonstrates the claim is based on ownership of Tricida stock.

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
107	Yiannis Kushell 100 Tupelo Court Mount Holly, NC 28120	02/07/2023	23	\$234.41	Proof of claim form (Box 8) states that the basis of the claim is stocks.
108	Yong Li 1446 Creekside Ct Vienna, VA 22182	03/16/2023	158	\$1,930.00	Proof of claim form (Box 8) states that the basis of the claim is shareholder.
109	Yossef Shriki Tricida, Inc. Ginat Egoz 8 Bet-el, IL 9063100 Israel	03/01/2023	102	\$200.00	Proof of claim form (Box 8) states that the basis of the claim is Shares.
110	Zachary Pittman 2945 Hayfield Road Wade, NC 28395	02/08/2023	24	\$309.57	Proof of claim form (Box 8) states that the basis of the claim is purchased stock shares.
111	Zhili Lu 20010 108th Ave NE Bothell, WA 98011	02/14/2023	50	\$606.55	Proof of claim form (Box 8) states that the basis of the claim is stock.
112	Zydrunas Pocius 723 Goodrich Ave Sarasota, FL 34236	02/16/2023	56	\$68,000.00	Proof of claim form (Box 8) states that the basis of the claim is—I own 110000 stock.

### EXHIBIT D

# Tricida, Inc. Case No. 23-10024 (JTD) Exhibit D Late Filed Claims\*

#### \*Claims are in alphabetical order by first name

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
1	Ahmed Elsakar 2655 45th Avenue San Francisco, CA 94116	03/10/2023	154	\$5,383.00	Claim was filed after the General Bar Date of March 8, 2023
2	Alberto Garcia Torres 154 Caldera Ln Hemet, CA 92545	04/09/2023	172	\$191.50	Claim was filed after the General Bar Date of March 8, 2023
3	Ann Neilson and L. N. (Minor) Address on File	03/20/2023	162	\$89,010.38	Claim was filed after the General Bar Date of March 8, 2023
4	Ayesha Khan 507 Potrero Ave San Francisco, CA 94110	05/21/2023	184	\$1,000.00	Claim was filed after the General Bar Date of March 8, 2023
5	Breann Liston 703 Maxwell Ave Labelle, PA 15450	05/13/2023	182	\$0.42	Claim was filed after the General Bar Date of March 8, 2023
6	Bryan Miller 2209 Union Ave Punta Gorda, FL 33950-4624	03/27/2023	168	\$7,004.80	Claim was filed after the General Bar Date of March 8, 2023

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit D - Late Filed Claims

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
7	Business Wire 101 California St. 20th Floor P.O. Box 884182 San Francisco, CA 94111	04/06/2023	170	\$7,500.00	Claim was filed after the General Bar Date of March 8, 2023
8	C. H Minor Child UCAUTMA c/o Nelle Elizabeth Medanich Hirai, Mother Address on File	05/09/2023	181	\$450.90	Claim was filed after the General Bar Date of March 8, 2023
9	Corodata Records Management, Inc. 12375 Kerran Street Poway, CA 92064	06/27/2023	187	\$1,056.37	Claim was filed after the General Bar Date of March 8, 2023
11	Dhiraj Gautam 1520 Bellflower St Kingsburg, CA 93631	04/04/2023	169	\$1,050.66	Claim was filed after the General Bar Date of March 8, 2023
12	DoubleBridge Technologies Inc. 5 Independence Way, Suite 310 Princeton, NJ 08540	03/21/2023	165	\$3,594.00	Claim was filed after the General Bar Date of March 8, 2023
13	Dusan Radovanovic 6820 Praire Street Morton Grove, IL 60053	03/15/2023	157	\$23.95	Claim was filed after the General Bar Date of March 8, 2023
14	Ezra Njoku 510 Sage Hill Grove NW Calgary, AB T3R0Z8 Canada	03/09/2023	152	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
15	Ge Zhao 132 Summerdale Dr Thornhill, ON L3T 6Y6 Canada	03/21/2023	163	\$4,013.90	Claim was filed after the General Bar Date of March 8, 2023

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit D - Late Filed Claims

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
16	Gregory Randall Kauffman 3484 San Pablo Ln San Miguel, CA 93451	04/21/2023	179	\$2,016.00	Claim was filed after the General Bar Date of March 8, 2023
17	Jacqueline Yaul 700 Francisco Street Berkeley, CA 94703	03/20/2023	161	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
18	Jordan M. Verroi PO Box 1036 Telluride, CO 81435	04/11/2023	175	\$4,448.70	Claim was filed after the General Bar Date of March 8, 2023
19	Joshua Collin Watson 3129 Ridgecrest Dr Rocky Mount, NC 27803	04/08/2023	171	\$136.50	Claim was filed after the General Bar Date of March 8, 2023
20	Kimberly Welander General Delivery La Crosse, WI 54601	03/09/2023	151	\$7,974.00	Claim was filed after the General Bar Date of March 8, 2023
22	Lawrence (Larry) Blower 3411 Woodburn Ave Victoria, BC V8P 5B8 Canada	03/14/2023	155	\$13,196.48	Claim was filed after the General Bar Date of March 8, 2023
23	Linda Thomson 7219 Meadowlark Lane Brentwood Bay, BC V8M 1J9 Canada	03/17/2023	159	\$9,084.42 CDN	Claim was filed after the General Bar Date of March 8, 2023
24	Maya Bechi 19914 Mountain Dale Drive Cypress, TX 77433	04/09/2023	173	\$1,164.00	Claim was filed after the General Bar Date of March 8, 2023

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#### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit D - Late Filed Claims

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
25	Paul Scanga 34 Plum Rd Mahopac, NY 10541-4247	03/20/2023	160	\$1,282.50	Claim was filed after the General Bar Date of March 8, 2023
26	Pharmaron UK LimitedInnovation Park, West ClHertford RoadHoddesdon, EN119FHUnited Kingdom	03/15/2023	156	\$9,000.00	Claim was filed after the General Bar Date of March 8, 2023
27	Raja Rajeswari Vegunta 5325 Stream Bank Lane Greenbelt, MD 20770	04/18/2023	177	\$2,200.00	Claim was filed after the General Bar Date of March 8, 2023
28	Resources Connection LLC, dba Resources Global Professionals 17101 Armstrong Avenue Irvine, CA 92614	04/11/2023	174	\$734.80	Claim was filed after the General Bar Date of March 8, 2023
29	Ryan Haferbecker 48850 Avenida Anselmo La Quinta, CA 92253	03/10/2023	153	\$26.00	Claim was filed after the General Bar Date of March 8, 2023
30	Simon Marc Haefliger Feldeggstrasse 63 Schonbuhl, Bern 3322 Switzerland	05/14/2023	183	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
31	Sivakumar V. Amar 16427 Ivy Lake Drive Odessa, FL 33556	04/14/2023	176	No amount specified	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
32	Travis Dean 3820 E 180 N Rigby, ID 83442	06/26/2023	185	\$1,832.07	Claim was filed after the General Bar Date of March 8, 2023
33	Yong Li 1446 Creekside Ct Vienna, VA 22182	03/16/2023	158	\$1,930.00	Claim was filed after the General Bar Date of March 8, 2023

## EXHIBIT 2

### IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

In re:		)	Chapter 11
Tricida, Inc., <sup>1</sup>		)	Case No. 23-10024 (JTD)
	Debtor.	)	
			Related Docket No. ——593

ORDER SUSTAINING THE LIQUIDATING TRUSTEE'S FIRST OMNIBUS OBJECTION TO (I) INSUFFICIENT DOCUMENTATION CLAIMS, (II) AMENDED AND SUPERSEDED CLAIMS, (III) EQUITY CLAIMS, AND (IV) LATE FILED CLAIMS (NON-SUBSTANTIVE)

Upon the First Omnibus Objection to (I) Insufficient Documentation Claims, (II) Amended and Superseded Claims, (III) Equity Claims, and (IV) Late Filed Claims (Non-Substantive) (the "Objection")<sup>2</sup>; and it appearing that notice of the Objection was good and sufficient upon the particular circumstances and that no other or further notice need be given; and the Court having considered the Objection, the claims listed on **Exhibits A through D** attached hereto, and any responses thereto; and the Court having reviewed and considered the Nathan Declaration; and after due deliberation thereon and good and sufficient cause appearing therefore; it is hereby

#### FOUND AND DETERMINED THAT:

- A. The Objection is a core proceeding under 28 U.S.C. § 157(b)(2).
- B. This Court has authority to enter a final order in this matter consistent with Article III of the United States Constitution.
- C. Each holder of a Claim listed on **Exhibits A through D** attached hereto was properly and timely served with a copy of the Objection, this Order, the accompanying exhibits

<sup>&</sup>lt;sup>1</sup> The Debtor in this chapter 11 case, together with the last four digits of the Debtor's federal tax identification number, is Tricida, Inc. (2526). The Debtor's service address is 2108 N Street, Suite 4935, Sacramento, CA 95816.

<sup>&</sup>lt;sup>2</sup> Capitalized terms used but not otherwise defined herein shall have the meaning ascribed to them in the Objection.

and the notice of hearing and response deadline.

- D. Any entity known to have an interest in the Claim subject to the Objection has been afforded reasonable opportunity to respond to, or be heard regarding, the relief requested in the Objection.
- E. The relief requested in the Objection is in the best interests of the Debtors, their estates, their creditors, and other parties in interest.

#### IT IS THEREFORE ORDERED THAT:

- 1. The Objection is SUSTAINED, as set forth herein.
- 2. Each of the Insufficient Documentation Claims identified on **Exhibit A** attached hereto is disallowed and expunged.
- 3. Each of the Amended and Superseded Claims identified on **Exhibit B** attached hereto is disallowed in its entirety. The Amended and Superseded Claims listed in the column titled "Surviving Claim" identified on **Exhibit B** hereto shall remain on the Claims Register, subject to the Liquidating Trustee's further objections on any substantive or non-substantive grounds.
- 4. Each of the Equity Claims identified on **Exhibit C** attached hereto is disallowed and expunged in its entirety.
- 5. Each Late Filed Claim identified on **Exhibit D** attached hereto is disallowed and expunged in its entirety.
- 6. Nothing in the Objection or this Order shall be construed as an allowance of any Claim.
- 7. The Liquidating Trustee's right to amend, modify, or supplement this Objection, to file additional objections to the Claims or any other claims (filed or not) which may be asserted

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against the Debtors, and to seek further reduction of any Claim to the extent such Claim has been

paid, are preserved, to the extent permitted by Del. Bankr. L.R. 3007-1. Additionally, should one

or more of the grounds of objection stated in the Objection be dismissed, the Liquidating Trustee's

right to object on other stated grounds or any other grounds that the Liquidating Trustee discovers

during the pendency of these chapter 11 cases are further preserved to the extent permitted by Del.

Bankr. L.R. 3007-1.

8. This Court shall retain jurisdiction over the Liquidating Trustee, the Debtors and

the Claimants whose Claims are subject to the Objection with respect to any matters related to or

arising from the Objection or the implementation of this Order.

9. Each Claim and the objections by the Liquidating Trustee to such Claim, as

addressed in the Objection and set forth on **Exhibits A through D** hereto, constitutes a separate

contested matter as contemplated by Bankruptcy Rule 9014 and Local Rule 3007-1. This Order

shall be deemed a separate Order with respect to each Claim. Any stay of this Order pending appeal

by any Claimant whose Claims are subject to this Order shall apply to the contested matter which

involves such Claimant and shall not act to stay the applicability and/or finality of this Order with

respect to the other contested matters listed in the Objection or this Order.

Dated: \_\_\_\_\_\_, 2023 Wilmington, Delaware

The Honorable John T. Dorsey United States Bankruptcy Judge

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## EXHIBIT A

### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A

### **Insufficient Information Provided**

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
1	Omar Benyoucef 209B Compassvale Lane 08-106 Singapore 542209	02/21/2023	78	\$450.00	Claim No. 78 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
2	Keith Buvia 21428 Caladonia Hazel Park, MI 48030	02/16/2023	57	Unliquidated	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
3	Emily Chao 677 Riverband Pl Henderson, NV 89052	2/24/2023	88	\$ <del>20,000.00</del>	Claim no. 88 asserts a claim for administrative priority pursuant to 11 U.S.C. § 507(b)(4) pursuant to the Retention Agreement attached to the claim. The Retention Agreement provides that Claimant was entitled to receive a Cash Retention Award in four installments with each installment equal to 10% of her base salary but she doesn't specify her base salary. Moreover, the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
4	Cintas Corporation 6800 Cintas Boulevard Mason, OH 45040	06/27/2023	186	\$3,472.08	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

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### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	<b>Creditor Name</b>	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
5	Ryan Haferbecker 48850 Avenida Anselmo La Quinta, CA 92253	03/10/2023	153	\$26.00	Claim No. 153 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
6	Ayesha Khan 507 Potrero Ave San Francisco, CA 94110	05/21/2023	184	\$1,000.00	Claim No. 184 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant
7	Akm B Khoda 1458 Stillwater Ave Bangor, ME 04401	02/06/2023	21	\$732.50	Claim No. 21 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
8	Breann Liston 703 Maxwell Ave Labelle, PA 15450	05/13/2023	182	\$.42	Claim No. 182 does not include or attach sufficient documentation to constitute <i>prima</i> facie evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant
9	William B. Mayer 1376 Marseille Ln Roseville, CA 95747	03/07/2023	139	\$30,060.00	Claim No. 139 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

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### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
10	Mena Mohareb 41 Francis Ave Shrewsbury, MA 01545	02/03/2023	13	No amount specified	Claim No. 13 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
11	Terry Mott 1108 South Washington St. Harrisburg, IL 62946	02/06/2023	15	No amount specified	Claim No. 15 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
12	Ezra Njoku 510 Sage Hill Grove NW Calgary, AB T3R0Z8 Canada	03/09/2023	152	No amount specified	Claim No. 152 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
13	Danilo Raymundo 43 Verbena St Winnipeg, MB R2V 3A4 Canada	02/23/2023	86	\$410.39 CND	Claim No. 86 states that the basis of the claim is "Bankruptcy" and does not include or attach any documentation in support of the claim.  Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant

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### Tricida, Inc. Case No. 23-10024 (JTD) Exhibit A - Insufficient Information Provided

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
14	Jeremy Trasser 825 Oakwood Lane Unit D Brookfield, WI 53045	02/10/2023	38	\$500.00	Claim No. 38 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
<del>15</del>	U.S. Customs and Border Protection Attn Revenue Division, Bankruptcy Team 6650 Telecom Dr., Suite 100 Indianapolis, IN 46278	03/21/2023	<del>16</del> 4	<del>Unliquidated</del>	Claim No. 164 asserts a contingent/unliquidated claim for customs duties, fees and other charges and lacks sufficient documentation to constitute prima facic evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
16	Kimberly Welander General Delivery La Crosse, WI 54601	03/09/2023	151	\$7,974.00	Claim No. 57 does not include or attach sufficient documentation to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
17	Michael D Williams 275 North Front Street Creswell, OR 97426	02/10/2023	42	\$281.00	Claim No. 42 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.
18	Jacqueline Yaul 700 Francisco Street Berkeley, CA 94703	03/20/2023	161	Unspecified	Claim No. 42 does not state a basis for the claim and does not include or attach any documentation in support of the claim. Accordingly, the claim lacks sufficient information to constitute <i>prima facie</i> evidence of the validity and amount of the claim, and the Debtors' books and records do not reflect any basis for the claim on behalf of this claimant.

## EXHIBIT D

# Tricida, Inc. Case No. 23-10024 (JTD) Exhibit D Late Filed Claims\*

### \*Claims are in alphabetical order by first name

No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
1	Ahmed Elsakar 2655 45th Avenue San Francisco, CA 94116	03/10/2023	154	\$5,383.00	Claim was filed after the General Bar Date of March 8, 2023
2	Alberto Garcia Torres 154 Caldera Ln Hemet, CA 92545	04/09/2023	172	\$191.50	Claim was filed after the General Bar Date of March 8, 2023
3	Ann Neilson and L. N. (Minor) Address on File	03/20/2023	162	\$89,010.38	Claim was filed after the General Bar Date of March 8, 2023
4	Ayesha Khan 507 Potrero Ave San Francisco, CA 94110	05/21/2023	184	\$1,000.00	Claim was filed after the General Bar Date of March 8, 2023
5	Breann Liston 703 Maxwell Ave Labelle, PA 15450	05/13/2023	182	\$0.42	Claim was filed after the General Bar Date of March 8, 2023
6	Bryan Miller 2209 Union Ave Punta Gorda, FL 33950-4624	03/27/2023	168	\$7,004.80	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
7	Business Wire 101 California St. 20th Floor P.O. Box 884182 San Francisco, CA 94111	04/06/2023	170	\$7,500.00	Claim was filed after the General Bar Date of March 8, 2023
8	C. H Minor Child UCAUTMA c/o Nelle Elizabeth Medanich Hirai, Mother Address on File	05/09/2023	181	\$450.90	Claim was filed after the General Bar Date of March 8, 2023
9	Corodata Records Management, Inc. 12375 Kerran Street Poway, CA 92064	06/27/2023	187	\$1,056.37	Claim was filed after the General Bar Date of March 8, 2023
10	David Bonita 601 Lexington Ave., 54th Floor New York, NY 10022	07/07/2023	188	Unliquidated	Claim was filed after the General Bar Date of March 8, 2023. The claimant seeks indemnification pursuant to an indemnification agreement, which was rejected as of the effective date of the plan. Claimant asserts that the claim arose upon rejection of the indemnification agreement. This assertion is not supported by applicable law. Rather, Claimants indemnification claim arose when the Indemnification Agreement was executed prior to the petition date. See In re G I Holdings, Inc., 568 B.R. 731, 766 (Bankr. D.N.J 2017) (holding that a contingent contractual indemnity claim under an express agreement arises upon the signing of the agreement). Accordingly, Claimant had a claim against the Debtor as of the petition date and should have filed a claim by the General Bar Date.
11	Dhiraj Gautam 1520 Bellflower St Kingsburg, CA 93631	04/04/2023	169	\$1,050.66	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
12	DoubleBridge Technologies Inc. 5 Independence Way, Suite 310 Princeton, NJ 08540	03/21/2023	165	\$3,594.00	Claim was filed after the General Bar Date of March 8, 2023
13	Dusan Radovanovic 6820 Praire Street Morton Grove, IL 60053	03/15/2023	157	\$23.95	Claim was filed after the General Bar Date of March 8, 2023
14	Ezra Njoku 510 Sage Hill Grove NW Calgary, AB T3R0Z8 Canada	03/09/2023	152	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
15	Ge Zhao 132 Summerdale Dr Thornhill, ON L3T 6Y6 Canada	03/21/2023	163	\$4,013.90	Claim was filed after the General Bar Date of March 8, 2023
16	Gregory Randall Kauffman 3484 San Pablo Ln San Miguel, CA 93451	04/21/2023	179	\$2,016.00	Claim was filed after the General Bar Date of March 8, 2023
17	Jacqueline Yaul 700 Francisco Street Berkeley, CA 94703	03/20/2023	161	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
18	Jordan M. Verroi PO Box 1036 Telluride, CO 81435	04/11/2023	175	\$4,448.70	Claim was filed after the General Bar Date of March 8, 2023
19	Joshua Collin Watson 3129 Ridgecrest Dr Rocky Mount, NC 27803	04/08/2023	171	\$136.50	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
20	Kimberly Welander General Delivery La Crosse, WI 54601	03/09/2023	151	\$7,974.00	Claim was filed after the General Bar Date of March 8, 2023
21	Klaus Veitinger 601 Lexington Ave., 54th Floor New York, NY 10022	07/07/2023	<del>189</del>	Unliquidated	Claim was filed after the General Bar Date of March 8, 2023. The claimant seeks indemnification pursuant to an indemnification agreement, which was rejected as of the effective date of the plan. Claimant asserts that the claim arose upon rejection of the indemnification agreement. This assertion is not supported by applicable law. Rather, Claimants indemnification claim arose when the Indemnification Agreement was executed prior to the petition date. See In re G-1 Holdings, Inc., 568 B.R. 731, 766 (Bankr. D.N.J 2017) (holding that a contingent contractual indemnity claim under an express agreement arises upon the signing of the agreement). Accordingly, Claimant had a claim against the Debtor as of the petition date and should have filed a claim by the General Bar Date.
22	Lawrence (Larry) Blower 3411 Woodburn Ave Victoria, BC V8P 5B8 Canada	03/14/2023	155	\$13,196.48	Claim was filed after the General Bar Date of March 8, 2023
23	Linda Thomson 7219 Meadowlark Lane Brentwood Bay, BC V8M 1J9 Canada	03/17/2023	159	\$9,084.42 CDN	Claim was filed after the General Bar Date of March 8, 2023
24	Maya Bechi 19914 Mountain Dale Drive Cypress, TX 77433	04/09/2023	173	\$1,164.00	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
25	Paul Scanga 34 Plum Rd Mahopac, NY 10541-4247	03/20/2023	160	\$1,282.50	Claim was filed after the General Bar Date of March 8, 2023
26	Pharmaron UK LimitedInnovation Park, West ClHertford RoadHoddesdon, EN119FHUnited Kingdom	03/15/2023	156	\$9,000.00	Claim was filed after the General Bar Date of March 8, 2023
27	Raja Rajeswari Vegunta 5325 Stream Bank Lane Greenbelt, MD 20770	04/18/2023	177	\$2,200.00	Claim was filed after the General Bar Date of March 8, 2023
28	Resources Connection LLC, dba Resources Global Professionals 17101 Armstrong Avenue Irvine, CA 92614	04/11/2023	174	\$734.80	Claim was filed after the General Bar Date of March 8, 2023
29	Ryan Haferbecker 48850 Avenida Anselmo La Quinta, CA 92253	03/10/2023	153	\$26.00	Claim was filed after the General Bar Date of March 8, 2023
30	Simon Marc Haefliger Feldeggstrasse 63 Schonbuhl, Bern 3322 Switzerland	05/14/2023	183	No amount specified	Claim was filed after the General Bar Date of March 8, 2023
31	Sivakumar V. Amar 16427 Ivy Lake Drive Odessa, FL 33556	04/14/2023	176	No amount specified	Claim was filed after the General Bar Date of March 8, 2023

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No.	Creditor Name	Date Filed	Claim No.	Claim Amount	Reason for Disallowance
32	Travis Dean 3820 E 180 N Rigby, ID 83442	06/26/2023	185	\$1,832.07	Claim was filed after the General Bar Date of March 8, 2023
33	Yong Li 1446 Creekside Ct Vienna, VA 22182	03/16/2023	158	\$1,930.00	Claim was filed after the General Bar Date of March 8, 2023