Fill in this inf	ormation to identify the case:	
Debtor	Tricida, Inc.	
United States Ba	ankruptcy Court for the:	District of Delaware (State)
Case number	23-10024	_

Official Form 410

Proof of Claim 04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Pa	art 1: Identify the Clair	n	
1.	Who is the current creditor?	Bryan Miller Name of the current creditor (the person or entity to be paid for this claim) Other names the creditor used with the debtor	
2.	Has this claim been acquired from someone else?	No Yes. From whom?	
3.	Where should notices and	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
	payments to the creditor be sent?	See summary page	
	Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)		
		Contact phone <u>4134416462</u>	Contact phone
		Contact email bryanmm@roadrunner.com	Contact email
		Uniform claim identifier for electronic payments in chapter 13 (if you use o	one):
4.	Does this claim amend one already filed?	✓ No✓ Yes. Claim number on court claims registry (if known)	Filed on
			MM / DD / YYYY
5.	Do you know if anyone else has filed a proof of claim for this claim?	No Yes. Who made the earlier filing?	

Official Form 410 Proof of Claim

Part 2:	Give Information Abo	out the Claim as of the Date the Case Was Filed
6. Do y o	ou have any number	☑ No

6.	Do you have any number	☑ No								
	you use to identify the debtor?	Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor:								
7.	How much is the claim?	\$ 7004.8 Does this amount include interest or other charges? No								
		Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).								
8.	What is the basis of the claim?	Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information. stock purchase								
9.	Is all or part of the claim secured?	No Yes. The claim is secured by a lien on property. Nature or property: Real estate: If the claim is secured by the debtor's principle residence, file a Mortgage Proof of Claim Attachment (Official Form 410-A) with this Proof of Claim. Motor vehicle Other. Describe: Basis for perfection: Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.) Value of property:								
10.	Is this claim based on a lease?	✓ No ✓ Yes. Amount necessary to cure any default as of the date of the petition.								
11.	Is this claim subject to a right of setoff?	✓ No ✓ Yes. Identify the property:								

Official Form 410 Proof of Claim

12. Is all or part of the claim	№ No	
entitled to priority under 11 U.S.C. § 507(a)?	Yes. Check all that apply:	Amount entitled to priority
A claim may be partly priority and partly	Domestic support obligations (including alimony and child support) u 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	nder
nonpriority. For example, in some categories, the law limits the amount	Up to \$3,350* of deposits toward purchase, lease, or rental of propor services for personal, family, or household use. 11 U.S.C. § 507	
entitled to priority.	Wages, salaries, or commissions (up to \$15,150*) earned within 1 days before the bankruptcy petition is filed or the debtor's business whichever is earlier. 11 U.S.C. § 507(a)(4).	
	Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)((8). <u>\$</u>
	Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$
	Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.	\$
	* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for case	es begun on or after the date of adjustment.
13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?	Yes. Indicate the amount of your claim arising from the value of any go days before the date of commencement of the above case, in which the ordinary course of such Debtor's business. Attach documentation s	e goods have been sold to the Debtor in
	\$	
Part 3: Sign Below		
The person completing this proof of claim must sign and date it. FRBP 9011(b). If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is. A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.	Check the appropriate box:	knowledgement that when calculating eived toward the debt. If that the information is true and correct. Last name
	Contact phone Em	ail



Official Form 410 Proof of Claim

KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic 866-476-0898 | International 001-310-823-9000

· ·	•						
Debtor:							
23-10024 - Tricida, Inc.							
District:							
District of Delaware	_						
Creditor:	Has Supporting Doc	umentation:					
Bryan Miller	Yes, supporting	g documentation successfully uploaded					
2209 Union Ave	Related Document S	tatement:					
Punta Gorda, fl, 33950-4624	Has Related Claim:						
United States	No						
Phone:	Related Claim Filed I	Зу:					
4134416462	Ellin - Donto						
Phone 2:	Filing Party: Creditor						
Fax:	Creditor						
rax:							
Email:							
bryanmm@roadrunner.com							
Other Names Used with Debtor:	Amends Claim:						
	No						
	Acquired Claim:						
	No						
Basis of Claim:	Last 4 Digits:	Uniform Claim Identifier:					
stock purchase	No						
Total Amount of Claim:	Includes Interest or 0	Charges:					
7004.8	No No						
Has Priority Claim:	Priority Under:						
No No							
Has Secured Claim:	Nature of Secured A	mount:					
No	Value of Property:						
Amount of 503(b)(9): No	Annual Interest Rate	:					
Based on Lease:	Arrearage Amount:						
No	Basis for Perfection:						
Subject to Right of Setoff:							
No	Amount Unsecured:						
Submitted By:							
Bryan M Miller on 27-Mar-2023 1:06:54 p.m. Eastern Time							
Title:							
Company							



OFFICE SERVING YOU WEBULL FINANCIAL LLC - HOUSE 917-725-2448 BRYAN M MILLER 2209 UNION AVE PUNTA GORDA FL 33950-4624

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "you") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.

- 2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- 3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- 4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- 5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- 6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- 7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- 8.Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.

 9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- 10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- 11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

 12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- 13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
- 14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- 15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
- 16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- 17. Backup Withholding If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- 18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- 19. If the official statement/Prospectus is available, you can view them at mma.msrb.org. In the event the official statement/Prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- 20. Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

8-Description Available on Req.

5-Options
6-Over-the-Counter/NASDAQ
ACCOUNTS CARRIED BY APEX CLEARING CORPORATION
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453

7-Underwriting

8-Foreign Exchanges, Money Funds.

Stock Dividends, Redemptions,

"Reorgs" or Tenders

MKT = Market in which transaction was Executed/Cleared

Currency Codes:
AUD. Australian \$
CAD: Canadian \$
EUR: Euros
GBP: British Pounds
HKD. Hong Kong \$
JPY: Japan Yen
MXN: Mexican Peso
NZD: New Zealand \$
Add'l Currencies

Contact your broker

CAP=Capacity in which your Introducing Broker or Apex has acted 1-2, 4-5, 8 – As AGENT

3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or less on the transaction 6-As AGENT for both buyer and seller.

7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction.
9-Other
0-Multiple cap (two or more of agent, principal, & agent for another)

67 – Riskless Principal A – As Agency B – As Principal

1-New York Stock Exchange

2-NYSE American

4-Mutual Funds

3-Other US Exchanges



Account Name: BRYAN M MILLER

Acct	D/C	Trada Data	Sattle Date	OTV	CVM	DDICE	Deineinel	СОММ	Tran Faa	Add'l	Tag	Not Amount	Tue de#	M C K A
Type	B/S		Settle Date		SYM	PRICE	Principal		Tran Fee	Fees		Net Amount		T P
2	S	10/28/22	11/01/22	1,238	AYTU	0.1675000	207.37	0.00	0.17	0.00	F5117	207.20	WEA1101	6 1
Desc:		BIOPHARMA IN		TOCK						Interest/STTax:	0.00	CUSIP:	054754874	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:		40/00/00	44/04/00			0.4400700		0.00				2.500.02		6 1
2	В	10/28/22 NLANE HOLDIN	11/01/22	8,000	GNLN	0.4499790	3,599.83	0.00	0.00	0.00	F5363	3,599.83 CUSIP:	WEA1101	6 1
Desc:		NLANE HOLDIN		S A COMMON	STOCK				MarkUp/Down:	Interest/STTax:	0.00	CUSIP:	395330202	
Currency	. 050		ReportedPX:						warkup/Down:					
Trailer: 2	 В	10/28/22	 11/01/22	4,000	GNLN	0.4500000	1.800.00	0.00	0.00	0.00	 U9688	1,800.00	 WEA1101	6 1
Desc:	_	NLANE HOLDIN		*		0.4300000	1,000.00	0.00	0.00	Interest/STTax:	0.00	*	395330202	0 1
Currency		INLAINE HOLDII	ReportedPX:	3 A COMMON	STOCK				MarkUp/Down:	interestro i rax.	0.00	COSII .	393330202	
Trailer:	. 000		reported 7.						Markop/Down.					
2	 S	10/28/22	11/01/22	4.000	GNLN	0.4930000	1.972.00	0.00	0.57	0.00	B0300	1.971.43	 WEA1101	6 1
Desc:	_	NLANE HOLDIN		,		0.400000	1,072.00	0.00	0.07	Interest/STTax:		CUSIP:	395330202	0 1
Currency			ReportedPX:		313311				MarkUp/Down:	mioroca o i rax.	0.00		000000202	
Trailer:	. 005		rtoportour 7t.						Markop/Bown.					
2	 S	10/28/22	11/01/22	4,000	GNLN	0.5095000	2,038.00	0.00	0.57	0.00	K5397	2,037.43	WEA1101	6 1
Desc:	GREE	NLANE HOLDIN	NGS INC CLAS	S A COMMON	STOCK		,			Interest/STTax:	0.00	CUSIP:	395330202	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:			•						•					
2	S	10/28/22	11/01/22	2,000	IMUX	1.7800000	3,560.00	0.00	0.35	0.00	F6512	3,559.65	WEA1101	6 1
Desc:	IMMU	VIC INC COMM	ON STOCK							Interest/STTax:	0.00	CUSIP:	4525EP101	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	S	10/28/22	11/01/22	354	NVOS	0.2761140	97.74	0.00	0.06	0.00	T3057	97.68	WEA1101	6 1
Desc:	NOVO	INTEGRATED	SCIENCES INC	COMMON ST	OCK					Interest/STTax:	0.00	CUSIP:	67011T201	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	В	10/28/22	11/01/22	6,000	TCDA	0.4008000	2,404.80	0.00	0.00	0.00	C1406	2,404.80	WEA1101	6 1
Desc:	TRICI	DA INC COMMO	ON STOCK							Interest/STTax:	0.00	CUSIP:	89610F101	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														

ProcessDate:10/28/2022 Page: 1

Account Number: 5KV-07588 Account Name: BRYAN M MILLER

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	СОММ	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
2	S	10/28/22	11/01/22	6,000	TCDA	0.4500000	2,700.00	0.00	0.85	0.00	A2985	2,699.15	WEA1101	6 1
Desc:	TRICI	DA INC COMMO	N STOCK							Interest/STTax:	0.00	CUSIP:	89610F101	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														

SUMMARY FOR CURRENT TRADE DATE: 10/28/22

TOTAL SHARES BOUGHT: 18,000.00 TOTAL DOLLARS BOUGHT: -7,804.63
TOTAL SHARES SOLD: 10,572.54



OFFICE SERVING YOU WEBULL FINANCIAL LLC - HOUSE 917-725-2448 BRYAN M MILLER 2209 UNION AVE PUNTA GORDA FL 33950-4624

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "you") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.

- 2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- 3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- 4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- 5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- 6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- 7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- 8.Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.

 9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- 10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- 11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

 12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- 13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
- 14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- 15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
- 16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- 17. Backup Withholding If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- 18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- 19. If the official statement/Prospectus is available, you can view them at mma.msrb.org. In the event the official statement/Prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- 20. Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

8-Description Available on Req.

5-Options
6-Over-the-Counter/NASDAQ
ACCOUNTS CARRIED BY APEX CLEARING CORPORATION
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453

7-Underwriting

8-Foreign Exchanges, Money Funds.

Stock Dividends, Redemptions,

"Reorgs" or Tenders

MKT = Market in which transaction was Executed/Cleared

Currency Codes:
AUD. Australian \$
CAD: Canadian \$
EUR: Euros
GBP: British Pounds
HKD. Hong Kong \$
JPY: Japan Yen
MXN: Mexican Peso
NZD: New Zealand \$
Add'l Currencies

Contact your broker

CAP=Capacity in which your Introducing Broker or Apex has acted 1-2, 4-5, 8 – As AGENT

3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or less on the transaction 6-As AGENT for both buyer and seller.

7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction.
9-Other
0-Multiple cap (two or more of agent, principal, & agent for another)

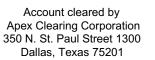
67 – Riskless Principal A – As Agency B – As Principal

1-New York Stock Exchange

2-NYSE American

4-Mutual Funds

3-Other US Exchanges





Account Name: BRYAN M MILLER

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	СОММ	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
2	S	10/31/22	11/02/22	2,000	IMUX	1.7500000	3,500.00	0.00	0.35	0.00	M8986	3,499.65	WEA1102	6 1
Desc:	IMMU	NIC INC COMM	ON STOCK							Interest/STTax:	0.00	CUSIP:	4525EP101	
Currency: USD			ReportedPX:						MarkUp/Down:					
Trailer:														
2	S	10/31/22	11/02/22	9,557	NVOS	0.2752000	2,630.09	0.00	1.31	0.00	V2005	2,628.78	WEA1102	6 1
Desc:	esc: NOVO INTEGRATED SCIEN			COMMON ST	OCK					Interest/STTax:	0.00	CUSIP:	67011T201	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	В	10/31/22	11/02/22	6,000	TCDA	0.3600000	2,160.00	0.00	0.00	0.00	U5277	2,160.00	WEA1102	6 1
Desc:	TRICI	DA INC COMMO	N STOCK							Interest/STTax:	0.00	CUSIP:	89610F101	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	S	10/31/22	11/02/22	18,762	AYTU	0.1675000	3,142.64	0.00	2.52	0.00	V8206	3,140.12	WEA1102	6 1
Desc:	AYTU	BIOPHARMA IN	IC COMMON S	TOCK						Interest/STTax:	0.00	CUSIP:	054754874	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	S		11/02/22	4,000	GNLN	0.5173260	2,069.30	0.00	0.57	0.00	U8183	2,068.73	WEA1102	6 1
Desc:	GREE	NLANE HOLDIN	IGS INC CLAS	S A COMMON	STOCK					Interest/STTax:	0.00	CUSIP:	395330202	
Currency	/: USD		ReportedPX:						MarkUp/Down:					
Trailer:														
2	В	10/31/22	11/02/22	2,000	IMUX	1.7100000	3,420.00	0.00	0.00	0.00	S6966	3,420.00	WEA1102	6 1
Desc:		NIC INC COMM	ON STOCK							Interest/STTax:	0.00	CUSIP:	4525EP101	
Currency Trailer:	/: USD 		ReportedPX:						MarkUp/Down:					

SUMMARY FOR CURRENT TRADE DATE:

RADE DATE: 10/31/22

TOTAL SHARES BOUGHT: 8,000.00 TOTAL DOLLARS BOUGHT: -5,580.00 TOTAL SHARES SOLD: 11,337.28

ProcessDate:10/31/2022 Page: 1



OFFICE SERVING YOU WEBULL FINANCIAL LLC - HOUSE 917-725-2448 BRYAN M MILLER 2209 UNION AVE PUNTA GORDA FL 33950-4624

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "you") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.

- 2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- 3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- 4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- 5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- 6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- 7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- 8.Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.

 9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- 10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- 11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

 12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
- 13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
- 14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
- 15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
- 16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- 17. Backup Withholding If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- 18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
- 19. If the official statement/Prospectus is available, you can view them at mma.msrb.org. In the event the official statement/Prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
- 20. Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

8-Description Available on Req.

5-Options
6-Over-the-Counter/NASDAQ
ACCOUNTS CARRIED BY APEX CLEARING CORPORATION
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453

7-Underwriting

8-Foreign Exchanges, Money Funds.

Stock Dividends, Redemptions,

"Reorgs" or Tenders

MKT = Market in which transaction was Executed/Cleared

Currency Codes:
AUD. Australian \$
CAD: Canadian \$
EUR: Euros
GBP: British Pounds
HKD. Hong Kong \$
JPY: Japan Yen
MXN: Mexican Peso
NZD: New Zealand \$
Add'l Currencies

Contact your broker

CAP=Capacity in which your Introducing Broker or Apex has acted 1-2, 4-5, 8 – As AGENT

3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or less on the transaction 6-As AGENT for both buyer and seller.

7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction.
9-Other
0-Multiple cap (two or more of agent, principal, & agent for another)

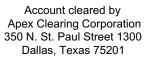
67 – Riskless Principal A – As Agency B – As Principal

1-New York Stock Exchange

2-NYSE American

4-Mutual Funds

3-Other US Exchanges





Account Name: BRYAN M MILLER

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	СОММ	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M C K A T P
2	В	11/02/22	11/04/22	8,000	TCDA	0.3050000	2,440.00	0.00	0.00	0.00	F7380	2,440.00	WEA1104	6 1
Desc:	TRICIE	DA INC COMMO	N STOCK							Interest/STTax:	0.00	CUSIP:	89610F101	
Currency	: USD		ReportedPX:						MarkUp/Down:					
Trailer:														

SUMMARY FOR CURRENT TRADE DATE: 11/02/22

TOTAL SHARES BOUGHT: 8,000.00 TOTAL DOLLARS BOUGHT: -2,440.00 TOTAL SHARES SOLD: 0.00 TOTAL DOLLARS SOLD: 0.00

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