

Fill in this information to identify the case:

Debtor Tricida, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-10024

**Official Form 410
Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

<p>1. Who is the current creditor?</p>	<p><u>Falk GmbH Co KG WPG StBg</u> Name of the current creditor (the person or entity to be paid for this claim)</p> <p>Other names the creditor used with the debtor _____</p>	
<p>2. Has this claim been acquired from someone else?</p>	<p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes. From whom? _____</p>	
<p>3. Where should notices and payments to the creditor be sent?</p> <p>Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)</p>	<p>Where should notices to the creditor be sent?</p> <p><u>Falk GmbH Co KG WPG StBg</u> <u>Im Breitspiel 21</u> <u>Heidelberg, BW 69126, Germany</u></p> <p>Contact phone _____</p> <p>Contact email <u>silke.meyer-arnold@falk-co.de</u></p> <p>Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____</p>	<p>Where should payments to the creditor be sent? (if different)</p> <p>Contact phone _____</p> <p>Contact email _____</p>
<p>4. Does this claim amend one already filed?</p>	<p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY</p>	
<p>5. Do you know if anyone else has filed a proof of claim for this claim?</p>	<p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes. Who made the earlier filing? _____</p>	



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 2969 ____

7. How much is the claim? \$ 919,13. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Tax consulting services

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

No

Yes. Check all that apply:

	Amount entitled to priority
<input type="checkbox"/> Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$ _____
<input type="checkbox"/> Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$ _____
<input type="checkbox"/> Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	\$ _____
<input type="checkbox"/> Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$ _____
<input type="checkbox"/> Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$ _____
<input type="checkbox"/> Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.	\$ _____

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ 919,13

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 02/27/2023
MM / DD / YYYY

/s/Steffen Ahrens
Signature

Print the name of the person who is completing and signing this claim:

Name Steffen Ahrens
First name Middle name Last name

Title German Certified Auditor, Managing Partner

Company FALK GmbH Co KG
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address _____

Contact phone _____ Email _____



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic 866-476-0898 | International 001-310-823-9000

Debtor: 23-10024 - Tricida, Inc.		
District: District of Delaware		
Creditor: Falk GmbH Co KG WPG StBg Im Breitspiel 21 Heidelberg, BW, 69126 Germany Phone: Phone 2: Fax: Email: silke.meyer-arnold@falk-co.de	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: Tax consulting services	Last 4 Digits: Yes - 2969	Uniform Claim Identifier:
Total Amount of Claim: 919,13	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): Yes: 919,13 Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Steffen Ahrens on 27-Feb-2023 6:12:03 a.m. Eastern Time Title: German Certified Auditor, Managing Partner Company: FALK GmbH Co KG		



Tricida, Inc.
7000 Shoreline Court, Suite 201
South San Francisco CA 94080
USA

hzimmerman@tricida.com

FALK GmbH & Co KG
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69012 Heidelberg

Im Breitspiel 21
69126 Heidelberg

Tel.: +49 6221/399-0
Fax: +49 6221/399-238
falk-heidelberg@falk-co.de

Invoice

Date: 18/11/2022
Issuer: Me/Ar
Client No.: 22969
Invoice No.: 12208416

For our services rendered in October 2022

	EUR
Paryoll accounting	
Monthly payroll fixed fee	75,00
monthly pay slip 1 employee	75,00
- Payment list	
Payroll accounting	0,50 hrs. x 100,00 EUR 50,00
Out-pocket expenses 3%	6,00
	<u>206,00</u>

FALK GmbH & Co KG

Net payment due upon receipt of invoice

Sparkasse Heidelberg
DE19 6725 0020 0000 0519 50
SOLADES1HDB

Commerzbank Heidelberg
DE98 6724 0039 0197 1100 00
COBADEFFXXX

Heidelberger Volksbank eG
DE36 6729 0000 0025 5896 02
GENODE61HD1

FALK GmbH & Co KG Wirtschaftsprüfungsgesellschaft Steuerberatungsgesellschaft
Sitz der Gesellschaft: Heidelberg | AG Mannheim HRA 702086 | USt-Identifikations-Nr. DE 258 256 316

Persönlich haftende Gesellschafterin: FALK & Co Verwaltungs-GmbH Wirtschaftsprüfungsgesellschaft Steuerberatungsgesellschaft
Sitz der Gesellschaft: Heidelberg AG Mannheim HRB 705586

Geschäftsführer: WP StB Gerhard Meyer, WP StB Dr. Martin Ziegler, StB Gerd Fuhrmann, WP StB Thomas Rohling,
WP StB Markus Schmidtke, WP StB Phillip Roth, WP StB Stephan Hilbig, WP CPA Gerhard Müller, WP StB Dr. Stefan Tichy,
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WP StB Thorsten Bischoff, WP StB Kathrin Mindnich





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falk-heidelberg@falk-co.de

Tricida, Inc.
7000 Shoreline Court, Suite 201
South San Francisco CA 94080
USA

hzimmerman@tricida.com

Date: 12/10/2022
Issuer: Me/Ar
Client No.: 22969
Invoice No.: 12206971

Invoice

For our services rendered in September 2022

	<u>EUR</u>
Paryoll accounting	
Monthly payroll fixed fee	75,00
monthly pay slip 1 employee	75,00
- Payment list	
Payroll accounting	0,50 hrs. x 100,00 EUR
	50,00
Out-pocket expenses 3%	6,00
	<u>206,00</u>

FALK GmbH & Co KG

Net payment due upon receipt of invoice

Sparkasse Heidelberg
DE19 6725 0020 0000 0519 50
SOLADES1HDB

Commerzbank Heidelberg
DE98 6724 0039 0197 1100 00
COBADEFFXXX

Heidelberger Volksbank eG
DE36 6729 0000 0025 5896 02
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South San Francisco CA 94080
USA

hzimmerman@tricida.com

Date: 27/12/2022
Issuer: Me/Ar
Client No.: 22969
Invoice No.: 12209621

Invoice

For our services rendered in November 2022

	<u>EUR</u>
Paryoll accounting	
Monthly payroll fixed fee	75,00
monthly pay slip 1 employee	75,00
- Payment list	
- Year end work	
- Correspondence regarding wage tax certificate	
Senior Manager	0,75 hrs. x 195,00 EUR
Payroll accounting	1,50 hrs. x 100,00 EUR
Out-pocket expenses 3%	13,39
	<u>459,64</u>

FALK GmbH & Co KG

Net payment due upon receipt of invoice

Sparkasse Heidelberg
DE19 6725 0020 0000 0519 50
SOLADES1HDB

Commerzbank Heidelberg
DE98 6724 0039 0197 1100 00
COBADEFFXXX

Heidelberger Volksbank eG
DE36 6729 0000 0025 5896 02
GENODE61HD1

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