

Fill in this information to identify the case:

Debtor Tricida, Inc.

United States Bankruptcy Court for the: _____ District of Delaware
(State)

Case number 23-10024

Official Form 410
Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor? i-Red Infrarot Systeme GmbH
Name of the current creditor (the person or entity to be paid for this claim)
Other names the creditor used with the debtor _____

2. Has this claim been acquired from someone else? No
 Yes. From whom? _____

3. Where should notices and payments to the creditor be sent?
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
<u>i-Red Infrarot Systeme GmbH</u> <u>Dr. Wolfgang Maerzinger</u> <u>Hafenstrasse 47-51</u> <u>Linz, Upper Aust 4020, Austria</u>	
Contact phone <u>+436649219212</u>	Contact phone _____
Contact email <u>wm@i-red.at</u>	Contact email _____
Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____	

4. Does this claim amend one already filed? No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____
MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim? No
 Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ____ _

7. How much is the claim? \$ 5864. Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
services performed

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature or property:
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____
Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)
Value of property: \$ _____
Amount of the claim that is secured: \$ _____
Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)
Amount necessary to cure any default as of the date of the petition: \$ _____
Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

- No
- Yes. Check all that apply:

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

- Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). \$ _____
- Up to \$3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ _____
- Wages, salaries, or commissions (up to \$15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ _____
- Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ _____
- Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ _____
- Other. Specify subsection of 11 U.S.C. § 507(a)() that applies. \$ _____

Amount entitled to priority

* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

- No
- Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this Proof of Claim serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this Proof of Claim and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 02/09/2023
MM / DD / YYYY

/s/Wolfgang Maerzinger
Signature

Print the name of the person who is completing and signing this claim:

Name Wolfgang Maerzinger
First name Middle name Last name

Title Dr.

Company i-RED Infrarot Systeme GmbH
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

Contact phone Email



KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic 866-476-0898 | International 001-310-823-9000

Debtor: 23-10024 - Tricida, Inc.		
District: District of Delaware		
Creditor: i-Red Infrarot Systeme GmbH Dr. Wolfgang Maerzinger Hafenstrasse 47-51 Linz, Upper Aust, 4020 Austria Phone: +436649219212 Phone 2: Fax: Email: wm@i-red.at	Has Supporting Documentation: Yes, supporting documentation successfully uploaded Related Document Statement:	
	Has Related Claim: No Related Claim Filed By:	
	Filing Party: Creditor	
Other Names Used with Debtor:	Amends Claim: No Acquired Claim: No	
Basis of Claim: services performed	Last 4 Digits: No	Uniform Claim Identifier:
Total Amount of Claim: 5864	Includes Interest or Charges: No	
Has Priority Claim: No	Priority Under:	
Has Secured Claim: No Amount of 503(b)(9): No Based on Lease: No Subject to Right of Setoff: No	Nature of Secured Amount: Value of Property: Annual Interest Rate: Arrearage Amount: Basis for Perfection: Amount Unsecured:	
Submitted By: Wolfgang Maerzinger on 09-Feb-2023 12:17:07 p.m. Eastern Time Title: Dr. Company: i-RED Infrarot Systeme GmbH		



i-RED Infrarot Systeme GmbH
Hafenstr. 47-51
4020 Linz
Austria

Tricida, Inc.
7000 Shoreline Court
Suite 201
South San Francisco, CA 94080
US

Invoice

AR22762

Linz, October 31st 2022

Description	Quantity	Unit Price EUR	Price EUR
Support according to our consulting services agreement from March 5th, 2020. Tasks: * FTNIR raw data extraction * Data analysis, development of PSD PLS models * Project meetings For a detailed description of executed tasks see working hours list "WorkingHours_Tricida_iRED_202210.pdf". Working time: 35 h Period of service: September 10th to October 31st 2022	35	94,00	3 290,00
Total:			3 290,00

Terms of payment:

Net 30

This is a tax-exempt export delivery. It is exempt from the Austrian value added tax.

We request for payment to our account No. 2.723.641 at
Raiffeisenlandesbank Oberösterreich AG, BLZ 34000, named i-RED Infrarot Systeme GmbH
IBAN: AT70340000002723641 BIC: RZOOAT2L

i-RED Infrarot Systeme GmbH
Hafenstr. 47-51
4020 Linz, Austria
Phone: +43 732 9015 5616
Email: office@i-red.at

Comm. reg.No.: FN278806 i
Regional Court Linz
VAT-No.: ATU62611677



i-RED Infrarot Systeme GmbH
Hafenstr. 47-51
4020 Linz
Austria

Tricida, Inc.
7000 Shoreline Court
Suite 201
South San Francisco, CA 94080
US

Invoice

AR22767

Linz, November 30th 2022

Description	Quantity	Unit Price EUR	Price EUR
Support according to our consulting services agreement from March 5th, 2020. Tasks: * FTNIR raw data extraction * PSD model implementation For a detailed description of executed tasks see working hours list "WorkingHours_Tricida_iRED_202211.pdf". Working time: 23 h Period of service: November 2nd to November 30th 2022	23	94,00	2 162,00
Total:			2 162,00

Terms of payment:

Net 30

This is a tax-exempt export delivery. It is exempt from the Austrian value added tax.

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Raiffeisenlandesbank Oberösterreich AG, BLZ 34000, named i-RED Infrarot Systeme GmbH
IBAN: AT70340000002723641 BIC: RZOOAT2L

i-RED Infrarot Systeme GmbH
Hafenstr. 47-51
4020 Linz, Austria
Phone: +43 732 9015 5616
Email: office@i-red.at

Comm. reg.No.: FN278806 i
Regional Court Linz
VAT-No.: ATU62611677

Record of working hours: i-RED Infrarot Systeme GmbH		€ 94,00
Customer: Tricida, Inc.		

Date mm.dd.yy	Description	Working Hours	Cost Working Hours	Additional cost	
09.10.22	FTNIR raw data extraction for Batch LHCRVA4004	1,0	€ 94,00	€ 0,00	
09.13.22	FTNIR raw data extraction for Batch LHCRVA4005	1,0	€ 94,00	€ 0,00	
09.23.22	FTNIR raw data extraction for Batch LHCRVA5001	1,0	€ 94,00	€ 0,00	
09.25.22	FTNIR raw data extraction for Batch LHCRVA5002	1,0	€ 94,00	€ 0,00	
09.29.22	FTNIR raw data extraction for Batch LHCRVA5003	1,0	€ 94,00	€ 0,00	
09.30.22	FTNIR raw data extraction for Batch LHCRVA5004	1,0	€ 94,00	€ 0,00	
10.03.22	FTNIR raw data extraction for Batch LHCRVA5005 and LHCRVA5006	2,0	€ 188,00	€ 0,00	
10.05.22	FTNIR raw data extraction for Batch LHCRVA5007	1,0	€ 94,00	€ 0,00	
10.06.22	FTNIR raw data extraction for Batch LHCRVA5008	1,0	€ 94,00	€ 0,00	
10.10.22	FTNIR raw data extraction for Batch LHCRVA5009, LHCRVA5010 and LHCRVA5011	2,0	€ 188,00	€ 0,00	
10.11.22	FTNIR raw data extraction for Batch LHCRVA5012	1,0	€ 94,00	€ 0,00	
10.12.22	FTNIR raw data extraction for Batch LHCRVA5013	1,0	€ 94,00	€ 0,00	
10.13.22	FTNIR raw data extraction for Batch LHCRVA5014	1,0	€ 94,00	€ 0,00	
10.14.22	Zoom-Meeting regarding the measurement frequency and averaging procedure (W. Märzinger, M. Teuchtmann)	1,0	€ 94,00	€ 0,00	
10.15.22	FTNIR raw data extraction for Batch LHCRVA5015	1,0	€ 94,00	€ 0,00	
10.16.22	FTNIR raw data extraction for Batch LHCRVA5016	1,0	€ 94,00	€ 0,00	
10.17.22	FTNIR raw data extraction for Batch LHCRVA5017	1,0	€ 94,00	€ 0,00	
10.18.22	Teams-Meeting regarding the averaging procedure and the modeling of parameter PSD0.5 (W. Märzinger, M. Teuchtmann)	1,0	€ 94,00	€ 0,00	
10.19.22	FTNIR raw data extraction for Batch LHCRVA5018	1,0	€ 94,00	€ 0,00	
10.20.22	FTNIR raw data extraction for Batch LHCRVA5019, changing evaluation script for implementation of 5min averaged monomer content	2,0	€ 188,00	€ 0,00	
10.21.22	PSD 0.5 model development and analysis: evaluation of different averaging modes, model variants and databases	4,0	€ 376,00	€ 0,00	
10.24.22	PSD 0.5 model development and analysis: evaluation of different averaging modes, model variants and databases	4,0	€ 376,00	€ 0,00	
10.25.22	PSD 0.5 model development and analysis: evaluation of different averaging modes, model variants and databases	2,0	€ 188,00	€ 0,00	
10.31.22	FTNIR raw data extraction for Batch LHCRVA5020 and LHCRVA5021	2,0	€ 188,00	€ 0,00	
		Sum:	35,0	€ 3 290,00	€ 0,00
		Total:		€ 3 290,00	

Record of working hours: i-RED Infrarot Systeme GmbH		€ 94,00
Customer: Tricida, Inc.		

Date mm.dd.yy	Description	Working Hours	Cost Working Hours	Additional cost	
11.02.22	FTNIR raw data extraction for Batch LHCRVA5022	1,0	€ 94,00	€ 0,00	
11.03.22	FTNIR raw data extraction for Batch LHCRVA5023	1,0	€ 94,00	€ 0,00	
11.04.22	FTNIR raw data extraction for Batch LHCRVA5024, PSD model implementation on FTNIR	3,0	€ 282,00	€ 0,00	
11.05.22	FTNIR raw data extraction for Batch LHCRVA5025	1,0	€ 94,00	€ 0,00	
11.06.22	FTNIR raw data extraction for Batch LHCRVA5026	1,0	€ 94,00	€ 0,00	
11.07.22	FTNIR raw data extraction for Batch LHCRVA5027 and LHCRVA5028	2,0	€ 188,00	€ 0,00	
11.10.22	FTNIR raw data extraction for Batch LHCRVA5029	1,0	€ 94,00	€ 0,00	
11.12.22	FTNIR raw data extraction for Batch LHCRVA5030	1,0	€ 94,00	€ 0,00	
11.17.22	Answering model details to Scott	1,0	€ 94,00	€ 0,00	
11.20.22	FTNIR raw data extraction for Batch LHCRVA5031	1,0	€ 94,00	€ 0,00	
11.21.22	FTNIR raw data extraction for Batch LHCRVA5032	1,0	€ 94,00	€ 0,00	
11.23.22	FTNIR raw data extraction for Batch LHCRVA5033 and LHCRVA5034	2,0	€ 188,00	€ 0,00	
11.25.22	FTNIR raw data extraction for Batch LHCRVA5035 and LHCRVA5036	2,0	€ 188,00	€ 0,00	
11.27.22	FTNIR raw data extraction for Batch LHCRVA5037 and LHCRVA5038	2,0	€ 188,00	€ 0,00	
11.28.22	FTNIR raw data extraction for Batch LHCRVA5039 and LHCRVA5040	2,0	€ 188,00	€ 0,00	
11.30.22	FTNIR raw data extraction for Batch LHCRVA8041	1,0	€ 94,00	€ 0,00	
		Sum:	23,0	€ 2 162,00	€ 0,00
		Total:	€ 2 162,00		