

**Fill in this information to identify the case:**

Debtor Tricida, Inc.

United States Bankruptcy Court for the: \_\_\_\_\_ District of Delaware  
(State)

Case number 23-10024

Official Form 410  
**Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

**Part 1: Identify the Claim**

1. **Who is the current creditor?** Carpmaels and Ransford LLP  
Name of the current creditor (the person or entity to be paid for this claim)  
Other names the creditor used with the debtor \_\_\_\_\_

2. **Has this claim been acquired from someone else?**  No  
 Yes. From whom? \_\_\_\_\_

3. **Where should notices and payments to the creditor be sent?**

Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Carpmaels and Ransford LLP One Southampton Row London, London WC1B 5HA, United Kingdom	

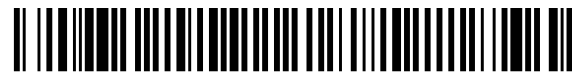
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Contact phone +442072428692 Contact phone \_\_\_\_\_  
Contact email creditcontrol@carpmaels.com Contact email \_\_\_\_\_

Uniform claim identifier for electronic payments in chapter 13 (if you use one):  
\_\_\_\_\_

4. **Does this claim amend one already filed?**  No  
 Yes. Claim number on court claims registry (if known) \_\_\_\_\_ Filed on \_\_\_\_\_  
MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?**  No  
 Yes. Who made the earlier filing? \_\_\_\_\_



**Part 2: Give Information About the Claim as of the Date the Case Was Filed**

6. Do you have any number you use to identify the debtor?  No  
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 1500 \_\_\_\_

7. How much is the claim? \$ 1868.11. Does this amount include interest or other charges?  
 No  
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.  
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  
Limit disclosing information that is entitled to privacy, such as health care information.  
Charges for the provision of professional intellectual property services

9. Is all or part of the claim secured?  No  
 Yes. The claim is secured by a lien on property.  
**Nature or property:**  
 Real estate: If the claim is secured by the debtor's principle residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.  
 Motor vehicle  
 Other. Describe: \_\_\_\_\_  
**Basis for perfection:** \_\_\_\_\_  
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)  
**Value of property:** \$ \_\_\_\_\_  
**Amount of the claim that is secured:** \$ \_\_\_\_\_  
**Amount of the claim that is unsecured:** \$ \_\_\_\_\_ (The sum of the secured and unsecured amount should match the amount in line 7.)  
**Amount necessary to cure any default as of the date of the petition:** \$ \_\_\_\_\_  
**Annual Interest Rate** (when case was filed) \_\_\_\_\_ %  
 Fixed  
 Variable

10. Is this claim based on a lease?  No  
 Yes. Amount necessary to cure any default as of the date of the petition. \$ \_\_\_\_\_

11. Is this claim subject to a right of setoff?  No  
 Yes. Identify the property: \_\_\_\_\_



12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

- No
- Yes. Check all that apply:

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

- Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). \$ \_\_\_\_\_
- Up to \$3,350\* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ \_\_\_\_\_
- Wages, salaries, or commissions (up to \$15,150\*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ \_\_\_\_\_
- Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ \_\_\_\_\_
- Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ \_\_\_\_\_
- Other. Specify subsection of 11 U.S.C. § 507(a)( ) that applies. \$ \_\_\_\_\_

Amount entitled to priority

\* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

- No
- Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ \_\_\_\_\_

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this Proof of Claim serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this Proof of Claim and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 02/21/2023 MM / DD / YYYY

/s/David McDermott Signature

Print the name of the person who is completing and signing this claim:

Name David McDermott First name Middle name Last name

Title Credit and Financial Compliance Manager

Company Carpmael's and Ransford LLP Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

Contact phone Email



# KCC ePOC Electronic Claim Filing Summary

For phone assistance: Domestic 866-476-0898 | International 001-310-823-9000

<b>Debtor:</b> 23-10024 - Tricida, Inc.		
<b>District:</b> District of Delaware		
<b>Creditor:</b> Carpmaels and Ransford LLP One Southampton Row London, London, WC1B 5HA United Kingdom <b>Phone:</b> +442072428692 <b>Phone 2:</b>  <b>Fax:</b>  <b>Email:</b> creditcontrol@carpmaels.com	<b>Has Supporting Documentation:</b> Yes, supporting documentation successfully uploaded <b>Related Document Statement:</b>	
	<b>Has Related Claim:</b> No <b>Related Claim Filed By:</b>	
	<b>Filing Party:</b> Creditor	
<b>Other Names Used with Debtor:</b>	<b>Amends Claim:</b> No <b>Acquired Claim:</b> No	
<b>Basis of Claim:</b> Charges for the provision of professional intellectual property services	<b>Last 4 Digits:</b> Yes - 1500	<b>Uniform Claim Identifier:</b>
<b>Total Amount of Claim:</b> 1868.11	<b>Includes Interest or Charges:</b> No	
<b>Has Priority Claim:</b> No	<b>Priority Under:</b>	
<b>Has Secured Claim:</b> No <b>Amount of 503(b)(9):</b> No <b>Based on Lease:</b> No <b>Subject to Right of Setoff:</b> No	<b>Nature of Secured Amount:</b> <b>Value of Property:</b> <b>Annual Interest Rate:</b> <b>Arrearage Amount:</b> <b>Basis for Perfection:</b> <b>Amount Unsecured:</b>	
<b>Submitted By:</b> David McDermott on 21-Feb-2023 7:01:05 a.m. Eastern Time <b>Title:</b> Credit and Financial Compliance Manager <b>Company:</b> Carpmaels and Ransford LLP		

# CARPMAELS & RANSFORD

## Statement

VAT Reg. No. GB 188 8879 13  
Partnership Reg. No. OC382284

Chartered Patent Attorneys  
European Patent Attorneys  
Solicitors  
Trade Mark Attorneys  
Regulated by IPReg

Tricida, Inc.  
7000 Shoreline Court  
Suite 201  
South San Francisco CA 94080  
United States of America

**Carpmaels & Ransford LLP**  
One Southampton Row  
London WC1B 5HA  
United Kingdom  
T +44 20 7242 8692  
F +44 20 7405 4166  
E creditcontrol@carpmaels.com  
www.carpmaels.com

**Account No.:** T5071500  
**Statement Date:** 21/02/2023

**Page:** 1  
**Currency:** U.S. Dollar

Date	Invoice No.	Your Ref.	Our Ref.	Debit	Credit	Balance
23/01/2023	1542897	TRICIDA-2.EPD2	P076616EP	512.08		512.08
07/02/2023	1544680	TRICIDA-9.EP	P079860EP	506.47		1,018.55
20/02/2023	1545943	TRICIDA-9.EP	P079860EP	204.93		1,223.48
20/02/2023	1545944	TRICIDA-2.EP	G069582PT	644.63		1,868.11
	<b>3 Periods+</b>	<b>2 Periods</b>	<b>1 Period</b>	<b>Current</b>	<b>Unallocated Funds</b>	<b>Total</b>
	0.00	0.00	512.08	1,356.03	0.00	1,868.11

Make cheque or draft payable to:  
Carpmaels & Ransford LLP  
One Southampton Row  
London WC1B 5HA

Or direct Transfer to:  
Bank Name: National Westminster Bank  
Sort Code: 60 80 08  
Account No.: 01418408  
SWIFT Code: NWBKGB2L  
IBAN: GB05 NWBK 6080 0801 4184 08

# CARPMAELS & RANSFORD

## Invoice No. 1542897

VAT Reg. No. GB 188 8879 13  
Partnership Reg. No. OC382284

Tricida, Inc.  
7000 Shoreline Court  
Suite 201  
South San Francisco CA 94080  
United States of America

Chartered Patent Attorneys  
European Patent Attorneys  
Solicitors  
Trade Mark Attorneys  
Regulated by IPReg

**Account No.** T5071500      **Invoice Date** 23/01/2023  
**Your VAT No.**  
**P/O No.**  
**Your Ref** TRICIDA-2.EPD2  
**Our Ref** P076616EP  
**Currency** USD

**Carpmaels & Ransford LLP**  
One Southampton Row  
London WC1B 5HA  
United Kingdom  
T +44 20 7242 8692  
F +44 20 7405 4166  
E [invoices@carpmaels.com](mailto:invoices@carpmaels.com)  
[www.carpmaels.com](http://www.carpmaels.com)

**Attention** Mr Edward Hejlek

**Re:** European Patent Application No. 20154562.1  
Proton-Binding Polymers for oral administration

<b>Date</b> dd/mm/yyyy	<b>Description</b>	<b>Time keeper</b>	<b>Time</b> h:mm	<b>Total</b>
03/01/2023	Email to you regarding proposed action	Sam Grayer	0:12	140.67
05/01/2023	Fixed fee for requesting an extension of time			230.74
05/01/2023	Reviewing your instructions; requesting an extension of time; and reporting to you	Sam Grayer	0:12	140.67
			<b>Net Total</b>	<b>512.08</b>
			<b>VAT</b>	<b>0.00</b>
			<b>Total</b>	<b>USD 512.08</b>

<b>Tax breakdown</b>	<b>Taxable Amount</b>	<b>Tax Rate</b>	<b>Tax</b>
Export (Outside the EU)	£368.40	0.00	£0.00

**Due Date** 24/03/2023

Please make payment by transfer to:

**Account Name** Carpmaels & Ransford LLP  
**Bank Name** National Westminster Bank Plc  
**Sort Code** 60 80 08  
**Account No.** 01418408  
**SWIFT Code** NWB KGB 2L  
**IBAN** GB05 NWBK 6080 0801 4184 08

Or send your remittance to Carpmaels & Ransford LLP

# CARPMAELS & RANSFORD

**Invoice**  
**No. 1544680**

VAT Reg. No. GB 188 8879 13  
Partnership Reg. No. OC382284

Tricida, Inc.  
7000 Shoreline Court  
Suite 201  
South San Francisco CA 94080  
United States of America

Chartered Patent Attorneys  
European Patent Attorneys  
Solicitors  
Trade Mark Attorneys  
Regulated by IPReg

**Account No.** T5071500      **Invoice Date** 07/02/2023  
**Your VAT No.**  
**P/O No.**  
**Your Ref** TRICIDA-9.EP  
**Our Ref** P079860EP  
**Currency** USD

**Carpmaels & Ransford LLP**  
One Southampton Row  
London WC1B 5HA  
United Kingdom  
T +44 20 7242 8692  
F +44 20 7405 4166  
E [invoices@carpmaels.com](mailto:invoices@carpmaels.com)  
[www.carpmaels.com](http://www.carpmaels.com)

## Attention

**Re:** European Patent Application No. 21160045.7  
Method of treating acid-base disorders

<b>Date</b> dd/mm/yyyy	<b>Description</b>	<b>Time keeper</b>	<b>Time</b> h:mm	<b>Total</b>
06/02/2023	Reviewing reporting email	David C. Holland	0:06	93.57
06/02/2023	Email to you regarding deadline for responding to the extended European search report; paying the extension state fees; and filing the application in HK	Sam Grayer	0:36	412.90
			<b>Net Total</b>	<b>506.47</b>
			<b>VAT</b>	<b>0.00</b>
			<b>Total</b>	<b>USD 506.47</b>

<b>Tax breakdown</b>	<b>Taxable Amount</b>	<b>Tax Rate</b>	<b>Tax</b>
Export (Outside the EU)	£372.40	0.00	£0.00

**Due Date** 08/04/2023

Please make payment by transfer to:

**Account Name** Carpmaels & Ransford LLP  
**Bank Name** National Westminster Bank Plc  
**Sort Code** 60 80 08  
**Account No.** 01418408  
**SWIFT Code** NWB KGB 2L  
**IBAN** GB05 NWBK 6080 0801 4184 08

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# CARPMAELS & RANSFORD

## Invoice

**No. 1545943**

VAT Reg. No. GB 188 8879 13  
Partnership Reg. No. OC382284

Tricida, Inc.  
7000 Shoreline Court  
Suite 201  
South San Francisco CA 94080  
United States of America

Chartered Patent Attorneys  
European Patent Attorneys  
Solicitors  
Trade Mark Attorneys  
Regulated by IPReg

**Account No.** T5071500      **Invoice Date** 20/02/2023  
**Your VAT No.**  
**P/O No.**  
**Your Ref** TRICIDA-9.EP  
**Our Ref** P079860EP  
**Currency** USD

**Carpmaels & Ransford LLP**  
One Southampton Row  
London WC1B 5HA  
United Kingdom  
T +44 20 7242 8692  
F +44 20 7405 4166  
E [invoices@carpmaels.com](mailto:invoices@carpmaels.com)  
[www.carpmaels.com](http://www.carpmaels.com)

### Attention

**Re:** European Patent Application No. 21160045.7  
Method of treating acid-base disorders

<b>Date</b> dd/mm/yyyy	<b>Description</b>	<b>Time keeper</b>	<b>Time</b> h:mm	<b>Total</b>
10/02/2023	Reviewing D. Holland's proposal for next steps	Sam Grayer	0:06	68.31
13/02/2023	Email to you regarding proposed action	Sam Grayer	0:12	136.62
			<b>Net Total</b>	<b>204.93</b>
			<b>VAT</b>	<b>0.00</b>
			<b>Total</b>	<b>USD 204.93</b>

<b>Tax breakdown</b>	<b>Taxable Amount</b>	<b>Tax Rate</b>	<b>Tax</b>
Export (Outside the EU)	£151.80	0.00	£0.00

**Due Date** 21/04/2023

Please make payment by transfer to:

**Account Name** Carpmaels & Ransford LLP  
**Bank Name** National Westminster Bank Plc  
**Sort Code** 60 80 08  
**Account No.** 01418408  
**SWIFT Code** NWB KGB 2L  
**IBAN** GB05 NWBK 6080 0801 4184 08

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# CARPMAELS & RANSFORD

## Invoice No. 1545944

VAT Reg. No. GB 188 8879 13  
Partnership Reg. No. OC382284

Tricida, Inc.  
7000 Shoreline Court  
Suite 201  
South San Francisco CA 94080  
United States of America

Chartered Patent Attorneys  
European Patent Attorneys  
Solicitors  
Trade Mark Attorneys  
Regulated by IPReg

**Account No.** T5071500      **Invoice Date** 20/02/2023  
**Your VAT No.**  
**P/O No.**  
**Your Ref** TRICIDA-2.EP  
**Our Ref** G069582PT  
**Currency** USD

**Carpmaels & Ransford LLP**  
One Southampton Row  
London WC1B 5HA  
United Kingdom  
T +44 20 7242 8692  
F +44 20 7405 4166  
E [invoices@carpmaels.com](mailto:invoices@carpmaels.com)  
[www.carpmaels.com](http://www.carpmaels.com)

**Attention** Mr Edward Hejlek

**Re:** EP3229816 TR working requirements

<b>Date</b> dd/mm/yyyy	<b>Description</b>	<b>Time keeper</b>	<b>Time</b> h:mm	<b>Total</b>
17/02/2023	Fixed fee for filing Turkish working declaration			224.10
10/02/2023	Payment of foreign attorneys' charges			420.53
			<b>Net Total</b>	<b>644.63</b>
			<b>VAT</b>	<b>0.00</b>
			<b>Total</b>	<b>USD 644.63</b>
<b>Tax breakdown</b>	<b>Taxable Amount</b>	<b>Tax Rate</b>	<b>Tax</b>	
Export (Outside the EU)	£477.50	0.00	£0.00	

**Due Date** 21/04/2023

Please make payment by transfer to:

**Account Name** Carpmaels & Ransford LLP  
**Bank Name** National Westminster Bank Plc  
**Sort Code** 60 80 08  
**Account No.** 01418408  
**SWIFT Code** NWB KGB 2L  
**IBAN** GB05 NWBK 6080 0801 4184 08

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