Fill in this information to identify the case:

Debtor 1 Tricida, Inc.

Debtor 2 (Spouse, if filing)

United States Bankruptcy Court for the: District of Delaware

Case number 23-10024

☒ Date Stamped Copy Returned
☐ No self addressed stamped envelope
☐ No copy to return

Official Form 410

Proof of Claim

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) **that you received.**

Part 1: Identify the Claim

1. Who is the current creditor?

ARGOT PARTNERS, LLC
Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor

2. Has this claim been acquired from someone else?

☑ No
☐ Yes. From whom?

3. Where should notices and payments to the creditor be sent?

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Name: ARGOT PARTNERS LLC	Name:
Number Street: 767 THIRD AVENUE	Number Street:
City: NEW YORK State: NY ZIP Code: 10017	City: State: ZIP Code:
Contact phone 212-600-1902	Contact phone
Contact email Nikki@argotpartners.com	Contact email

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Uniform claim identifier for electronic payments in chapter 13 (if you use one):

4. Does this claim amend one already filed?

☑ No
☐ Yes. Claim number on court claims registry (if known) ______ Filed on MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim?

☑ No
☐ Yes. Who made the earlier filing?

2310024230130000000000003

Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor?

☑ No
☐ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: ____ ____ ____ ____

7. How much is the claim?

$ 41,213.90. **Does this amount include interest or other charges?**

☑ No
☐ Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim?

Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.

Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).

Limit disclosing information that is entitled to privacy, such as health care information.

Services performed

9. Is all or part of the claim secured?

☑ No
☐ Yes. The claim is secured by a lien on property.

Nature of property:

☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim.*
☐ Motor vehicle
☐ Other. Describe: ____________________

Basis for perfection: ____________________

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: $__________

Amount of the claim that is secured: $__________

Amount of the claim that is unsecured: $__________ (The sum of the secured and unsecured amounts should match the amount in line 7.)

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Amount necessary to cure any default as of the date of the petition: $__________

Annual Interest Rate (when case was filed)______%

☐ Fixed
☐ Variable

10. Is this claim based on a lease?

☑ No
☐ Yes. **Amount necessary to cure any default as of the date of the petition.** $__________

11. Is this claim subject to a right of setoff?

☑ No
☐ Yes. Identify the property: ____________________

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)? A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.	☑ No ☐ Yes. *Check one:*	**Amount entitled to priority**
	☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).	$__________
	☐ Up to $3,350* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).	$__________
	☐ Wages, salaries, or commissions (up to $15,150*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).	$__________
	☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	$__________
	☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	$__________
	☐ Other. Specify subsection of 11 U.S.C. § 507(a)(__) that applies.	$__________
	* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.	

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to $500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

☑ I am the creditor.
☐ I am the creditor's attorney or authorized agent.
☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 01/23/2023
MM / DD / YYYY

____
Signature

Print the name of the person who is completing and signing this claim:

Name: Nikki Vukelj
First name / Middle name / Last name

Title: Senior Operations Manager

Company: Argot Partners, LLC
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address: 767 Third Avenue, 34th floor
Number / Street
New York, NY
City / State / ZIP Code

Contact phone: 2126001902 Email: nikki@argotpartners.com

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A R G O T

P A R T N E R S

Jackie Cossmon
SVP, Investor Relations & Communications
Tricida, Inc.
7000 Shoreline Court, Suite 201
South San Francisco, CA 94080

November 1, 2022
Client/Project 332/001
Invoice 001

INVOICE

To provide Investor Relations services:

October Fees (pro-rated 10/17-10/31)	$ 7,258.06
October fees net retainer	$ 17,370.69
November monthly retainer	$ 15,000.00
4% fee	$ 1,585.15
Please remit Total Amount Due	**$ 41,213.90**

Payment terms: Due Upon Receipt

Indicate invoice number on remittance

Please wire payment to Argot Partners at:

Chase Bank
1003 Lexington Avenue
New York, NY 10021

Routing number: 021272723
Beneficiary: Argot Partners
Account #: 3121772946
Argot Partners, LLC
767 Third Avenue, 34th Floor
New York, NY 10017
Federal Tax ID #: 20-8407373

ARGOT

PARTNERS

Summary of October Hours

AR, President, CEO and Chairman - .50
DP, Founder, Vie-Chairman- 1.0
LP, COO – 3.0
DR, MD, Media – 2.0
MK, SMRC – 2.0
PD, SVP- 2.0
SJ, MD – 18.75
JF, MD – 12.25
VS, SA – 6.75
JE, JA – 1.0

-
- Review of Tricida 10-K, recent releases, website, and investor deck
- Kick off call
- Internal team call on project plan
- Follow up call with Stephanie and David on media strategy
- Developed list of potential tactics, timing and potential audiences
- Secured input from team
- Developed team contact sheet
- Sent recent phase 3 announcement
- Developing fact sheet
- Call with Jackie and Gena to review project plan
- Reviewed emails on project plan, scheduling, media strategy, and social media
- Read Valor patient flyers; project planning
- Team call to review list of potential tactics, identified final list
- Updated tactic list
- Misc team emails, speaking live with David R about media strategy
- Press release research
- Building out social
- Speaking with Joel re: creative needs, etc.
- Internal call w to discuss media strategy recommendations for the announcement
- Reviewed media strategy slides
- Call with Jackie and Gena to discuss media relations strategy and overall announcement plan
- Compiling press release examples
- Correspondence and review of media strategy
- Debt facility press release

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P A R T N E R S

- Social media plan, data results examples, brand guidelines, social media examples, and reporting on clinical trials
- Social Media: call about potential creative needs for social posts
- Call w to discuss media strategy and walk-through tactic lists
- Debrief call with team to establish next steps
- Secured brand guidelines, draft social posts and shared with team
- Researched Phase 3, Late Breaker announcement examples
- Sent examples to team
- P3 call slide
- Created media list
- Internal team call
- Strategy call with Jackie and Gena; and follow-up call with Stephanie
- Review of emails and counsel on calling analysts prior to conference call
- Team chats; read Goldman Sachs report on debt announcement and examples of presentations on trial announcements
- Correspondence and review of social media monitoring and media inquiry
- Researched investor presentations: compiled examples and shared with team
- Drafted social media posts and shared for consideration
- Social media - discuss social monitoring, keywords and cadence
- Social monitoring setup
- Reviewed Valor press release and listened to webcast; reviewed emails
- Emailing with team, flagging news for monitoring team
- Editing media reports and emailing the monitoring team
- Media Monitoring 10/24
- Monitored for press release; shared with team
- Listened to IR call for potential insights that might influence monitoring or recommendations
- Read press release and listened to webcast; review of emails