

Fill in this information to identify the case:

Debtor 1 Highland Capital Management, L.P

Debtor 2 _____
(Spouse, if filing)

United States Bankruptcy Court for the: Northern District of Texas

Case number 19-34054-sgj11

FILED
 FEB 10 2020 CS
 CLERK, U.S. BANKRUPTCY COURT
 NORTHERN DISTRICT OF TEXAS

Official Form 410
Proof of Claim

04/19

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. **Who is the current creditor?** Vengroff Williams, Inc c/o American Arbitration Association
 Name of the current creditor (the person or entity to be paid for this claim)

Other names the creditor used with the debtor _____

2. **Has this claim been acquired from someone else?** No Yes. From whom? American Arbitration Association

3. **Where should notices and payments to the creditor be sent?** **Where should notices to the creditor be sent?** **Where should payments to the creditor be sent? (if different)**

Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)

Vengroff Williams, Inc c/s American Arbitration
 Name _____ Name _____
2211 Fruitville Rd
 Number Street _____ Number Street _____
Sarasota FL 34237
 City State ZIP Code _____ City State ZIP Code _____
 Contact phone 877-815-5382 Contact phone _____
 Contact email cscotti@vwinc.com Contact email _____

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FEB 20 2020

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Uniform claim identifier for electronic payments in chapter 13 (if you use one):

4. **Does this claim amend one already filed?** No Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. **Do you know if anyone else has filed a proof of claim for this claim?** No Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 1 2 0 2

7. How much is the claim? \$ 12,911.80 Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
 services performed

9. Is all or part of the claim secured? No Yes. The claim is secured by a lien on property.
Nature of property:
 Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____

Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____
Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amounts should match the amount in line 7.)

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Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %
 Fixed
 Variable

10. Is this claim based on a lease? No Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

- No
 Yes. Check one:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

- Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). \$ _____
- Up to \$3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ _____
- Wages, salaries, or commissions (up to \$13,650*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ _____
- Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ _____
- Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ _____
- Other. Specify subsection of 11 U.S.C. § 507(a)() that applies. \$ _____

* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- I am the creditor.
- I am the creditor's attorney or authorized agent.
- I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.
- I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 02/05/2020
MM / DD / YYYY


Signature

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KURTZMAN CARSON CONSULTANTS

Print the name of the person who is completing and signing this claim:

Name Carmela Scotti
First name Middle name Last name

Title Legal Forwarder

Company Vengroff Williams, Inc c/o American Arbitration Association
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 2211 Fruitville Rd
Number Street

Sarasota FL 34237
City State ZIP Code

Contact phone 877-815-5132 Email cscotti@vwinc.com



AMERICAN
ARBITRATION
ASSOCIATION

INTERNATIONAL CENTRE
FOR DISPUTE RESOLUTION

Statement Date
26-Aug-19
Balance Currently Due
\$12,911.80
Case #
01-16-0002-6927-2-ER

Detail Invoice/Statement

Isaac D. Leventon
Highland Capital Management, LP
300 Crescent Court
Suite 700
Dallas, TX 75201

Representing: **Highland Capital Management, L.P.**

Re: Redeemer Committee of the Highland
Crusader Fund
Vs.
Highland Capital Management, L.P.

Statement Date	Case #	Previous Balance	Credits	New Charges	Statement Balance
26-Aug-19	01-16-0002-6927	\$12,911.80	\$0.00	\$0.00	\$12,911.80

Bill Line Date Transaction Date	Bill Line #	Description	Amount	Credits	Balance	Due Date
26-Apr-2019	12385794	Expenses to be reimbursed to Arbitrator	\$250.48		\$250.48	26-Apr-2019
12-Apr-2019	12377125	Your Share of the Tribunal Compensation Deposit covering an Additional 9 hours of Study time	\$12,500.00		\$1,003.63	26-Apr-2019
02-May-2019		Cancellation		\$11,496.37		
15-Mar-2019	12359788	Expenses to be reimbursed to Arbitrator	\$178.61		\$178.61	15-Mar-2019
22-Feb-2019	12345858	Final Fee	\$16,100.00		\$11,479.08	22-Feb-2019
22-Feb-2019		ACH/Wire		\$4,620.92		
13-Dec-2018	12305057	Expenses to be reimbursed to Arbitrator	\$500.00		\$0.00	27-Dec-2018
29-Jan-2019		ACH/Wire		\$500.00		
13-Dec-2018	12305055	Your 50% Share of the Tribunal Compensation Deposit covering 80 hours for Arb. Brodsky and 50 hours each for Arb. Young and Arb. Martin	\$80,125.00		\$0.00	24-Dec-2018
29-Jan-2019		ACH/Wire		\$80,125.00		
11-Dec-2018	12303446	Expenses to be reimbursed to Arbitrator	\$6.83		\$0.00	11-Dec-2018
13-Dec-2018		ACH/Wire		\$6.83		
22-Aug-2018	12240255	Expenses to be reimbursed to Arbitrator	\$6.79		\$0.00	22-Aug-2018
13-Dec-2018		ACH/Wire		\$6.79		
10-Aug-2018	12234208	Expenses to be reimbursed to the Tribunal	\$13.61		\$0.00	10-Aug-2018
13-Dec-2018		ACH/Wire		\$13.61		
06-Aug-2018	12230806	Expenses to be reimbursed to Arbitrator	\$128.91		\$0.00	06-Aug-2018
13-Dec-2018		ACH/Wire		\$128.91		
21-Feb-2018	12132092	Administrative Fee for Counterclaim	\$13,843.88		-\$0.00	21-Feb-2018
22-Feb-2018		Check (#59250)		\$10,796.00		
13-Dec-2018		ACH/Wire		\$3,047.88		
08-Aug-2017	12023956	Expenses to be reimbursed to Arbitrator	\$6.76		\$0.00	08-Aug-2017



AMERICAN
ARBITRATION
ASSOCIATION*

INTERNATIONAL CENTRE
FOR DISPUTE RESOLUTION*

Statement Date
26-Aug-19
Balance Currently Due
\$12,911.80
Case #
01-16-0002-6927-2-ER

17-Aug-2017		ACH/Wire		\$6.76		
18-May-2017	11980996	Expenses to be reimbursed to Arbitrator Young	\$21.65		\$0.00	18-May-2017
17-Aug-2017		ACH/Wire		\$21.65		
09-May-2017	11975275	Expenses to be reimbursed to Arbitrator	\$21.65		\$0.00	09-May-2017
18-May-2017		ACH/Wire		\$21.65		
17-Feb-2017	11929252	Arbitrator's Compensation	\$42,125.00		\$0.00	15-Aug-2017
17-Aug-2017		ACH/Wire		\$42,125.00		
17-Feb-2017	11929251	Arbitrator's Compensation	\$50,000.00		\$0.00	15-Jul-2017
17-Jul-2017		ACH/Wire		\$49,978.35		
16-Aug-2017		ACH/Wire		\$21.65		
17-Feb-2017	11929247	Arbitrator's Compensation	\$50,000.00		\$0.00	15-Jun-2017
14-Jun-2017		ACH/Wire		\$49,978.35		
17-Jul-2017		ACH/Wire		\$21.65		
17-Feb-2017	11929241	Arbitrator's Compensation	\$50,000.00		\$0.00	15-May-2017
19-May-2017		ACH/Wire		\$36,375.00		
19-May-2017		ACH/Wire		\$13,603.35		
14-Jun-2017		ACH/Wire		\$21.65		
17-Feb-2017	11929240	Arbitrator's Compensation	\$50,000.00		\$0.00	15-Apr-2017
18-May-2017		ACH/Wire		\$50,000.00		
17-Feb-2017	11929238	Arbitrator's Compensation	\$50,000.00		\$0.00	15-Mar-2017
21-Feb-2017		ACH/Wire		\$13,625.00		
27-Mar-2017		ACH/Wire		\$36,375.00		
14-Feb-2017	11926304	Arbitrator's Compensation	\$50,000.00		\$0.00	14-Feb-2017
15-Feb-2017		ACH/Wire		\$50,000.00		
22-Dec-2016	11899434	Your Share of the Panel Compensation Deposit covering Pre-hearing, Hearing and Post-Hearing	\$342,125.00		\$0.00	19-Jan-2017
14-Feb-2017		Cancellation		\$342,125.00		
03-Nov-2016	11872427	Your Share of the Arbitrator Compensation Deposit covering the Initial 10 hours of Preliminary Matters	\$13,625.00		\$0.00	17-Nov-2016
30-Nov-2016		ACH/Wire		\$13,625.00		

Statement Balance	Balance Currently Due
\$12,911.80	\$12,911.80



AMERICAN
ARBITRATION
ASSOCIATION

INTERNATIONAL CENTRE
FOR DISPUTE RESOLUTION*

Statement Date
26-Aug-19
Balance Currently Due
\$12,911.80
Case #
01-16-0002-6927-2-ER

Payment Options

Paying by Credit Card

To pay with a credit card online, go to www.adr.org, click on "*File or Access your Case*" and then select "*Quick Pay an Invoice*" and use this Pay PIN: **10759704**.

Paying by Check

Checks should be made out to the American Arbitration Association. Please return this page with your payment (please indicate the Case # on the check) to:

120 Broadway, 21st Floor
New York, NY 10271
Telephone: (212)484-4181
Fax: (212)246-7274

Wire Transfer

As information transmitted by the bank is often truncated due to limited space, please email your reference information (Case #/Bill Line #/Program #/Party Name) with the date and amount of your wire, to ensure that your payment is credited promptly and correctly (e.g. 011400021841 P1 or #1234567 P2 or EDU1234). Please email as follows:

For active cases: send to your AAA case representative and corpfinance@adr.org

For new filings (where your case number is not known): send to casefiling@adr.org and corpfinance@adr.org

FOR WIRES / ACH / EFT

Name of Bank: Wells Fargo Bank
Address: 150 East 42nd Street, 24th FL., New York, NY 10017, USA
Account Name: AAA/American Arbitration Association
Account Number: 2000017952068
ABA/Transit Number: 121000248
Reference: Case # and Bill Line #/Party Name; or Program # (as applicable)
Swift Code/BIC: WFBIUS6S

Note: Please take steps to ensure that your bank does in fact wire the entire amount to our account. From time to time, certain banks will keep a portion of the wire transfer for their own service fee, leaving a balance due to the AAA/ICDR.